



QAD Adaptive Applications

User Guide

QAD Precision FTZ

70-3486-5.3
QAD Precision FTZ 5.3
August 2021

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Change Summary

The following table summarizes significant differences between this document and previous versions.

Date/Version	Description	Reference
August 2021/ QAD Precision FTZ 5.3	Initial version	--

Chapter 1 QAD Precision FTZ User Manual Overview

This manual is designed to provide the user with detailed, step-by-step instructions for the operation of QAD Precision FTZ. Each of the key functional areas is presented in a separate chapter. These functional areas are:

Chapter 1:

- Introduction to various sections of the QAD Precision FTZ Interface.

Chapter 2:

- Descriptions of the various sections on the QAD Precision FTZ Dashboard.

Chapter 3:

- How to install QAD Precision FTZ, how to log in and log out, and how to manage multiple companies, if necessary.
- How to update the Company Options and the User List.
- How to search the data in each grid.
- Descriptions of the various Support features.

Chapter 4:

- Descriptions of QAD Precision FTZ's various Supporting Lists and how to maintain them.

Chapter 5:

- How to import and process Link Files.

Chapter 6:

- How to add, change and delete Item Master Records.
- How to add Bill of Materials.
- How to add Kits.

Chapter 7:

- How to add, change and delete Purchase Order Records.

Chapter 8:

- How to add, change, and delete Arriving Cargo Information, In-transit information, and assign CBP214 Numbers.
- How to track and query Bills of Lading.

Chapter 9:

- How to add, change and delete Admission Records.
- How to report Admission information to CBP and Census.
- How to add, change or delete Assist information.



Chapter 10:

- How to initiate, submit, and report CBP documentation related to Weekly Import, Individual Import and In-Bond Shipments.

Chapter 11:

- How to add, change, and delete Shipments, Shipped Items, and FTZ Component Items.
- How to obtain CBP approval for release of Import or In-Bond Shipments.

Chapter 12:

- How to Apply FTZ Consumption.

Chapter 13:

- How to display current and year-to-date inventory balances.
- How to compare a company's Inventory balances to QAD Precision FTZ's Inventory balances.
- How to create QAD Precision FTZ Adjustment Records.

Chapter 14:

- How to perform required Periodic Reporting.

Chapter 15:

- How to process Scrap Records.

Chapter 16:

- How to add, delete, replace, arrive, concur electronic CBP214s.

Chapter 17:

- How to submit, delete, arrive, transfer and divert electronic In-Bonds.

Chapter 18:

- How to create, submit, replace, and delete Importer Security Filings (ISF).

Chapter 19:

- Reports that are available in QAD Precision FTZ for CBP compliance and Inventory and Recording Keeping.

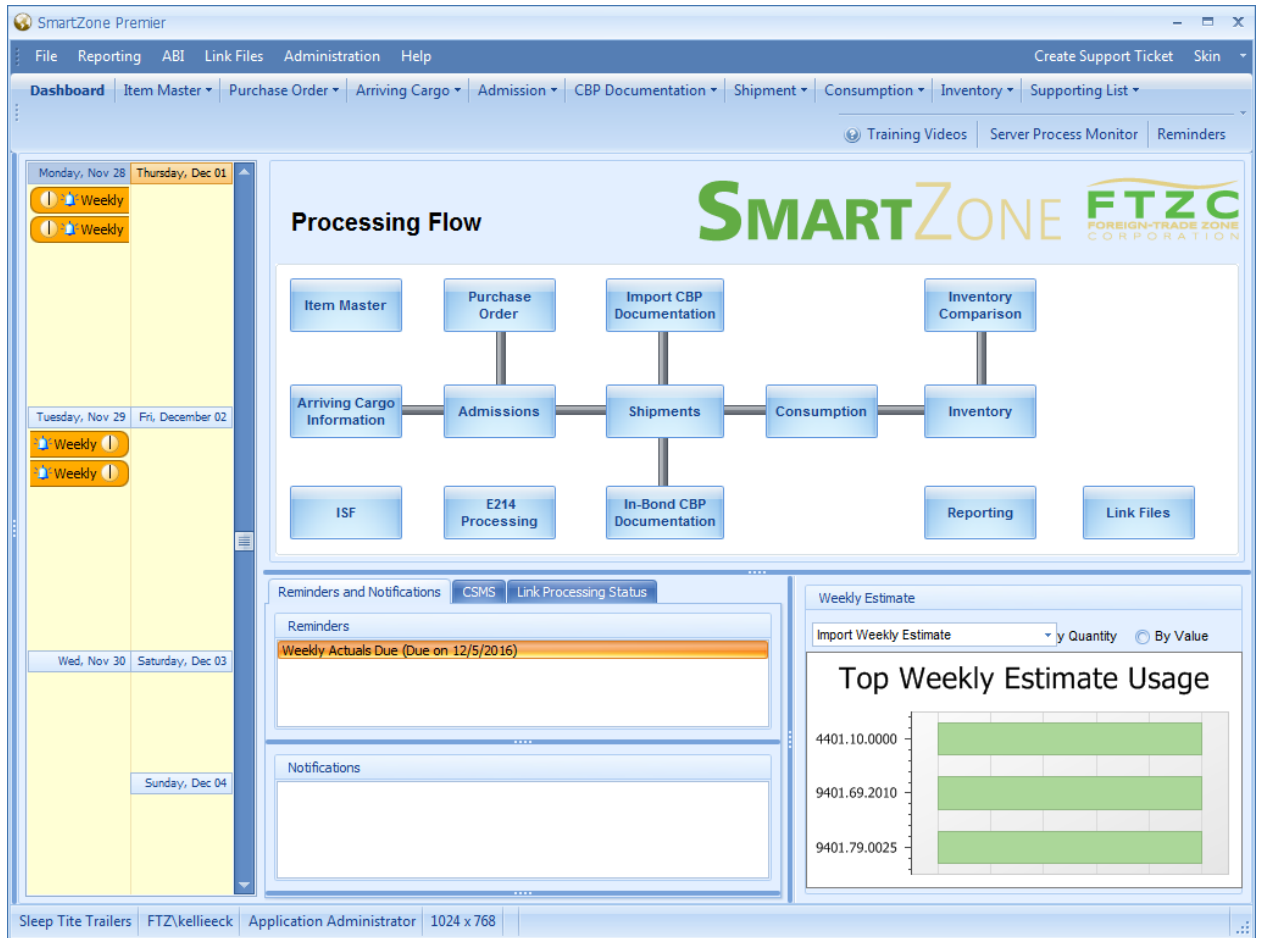
Each chapter contains an overview that describes how the information contained in the section being processed is relevant to FTZ regulatory requirements, how the information is processed by QAD Precision FTZ, and what type of processing is required. There is a listing of the other functional areas that utilize these sections in the chapter. There is a suggested sequence for processing the information related to the section described in the chapter. These general overview areas are followed by specific step-by-step detailed instructions for each process listed in sequence. Processing of the Supporting Lists pertinent to a specific function is described in that function's chapter.



Note: In 2003 U.S. Customs combined with other government agencies to establish the Customs and Border Protection Agency under the U.S. Department of Homeland Security. Throughout this manual we will refer to this agency as CBP.



Chapter 1 Dashboard



The Dashboard is the first thing the user will see once logged into QAD Precision FTZ. It provides quick access to your calendar, Processing Flow, Reminders and Notifications, CSMS Messages, Link Processing Status, and the Weekly Estimate usage.

CALENDAR

The screenshot displays the SmartZone Premier application interface. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. The main navigation bar contains various modules: Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. A toolbar on the right includes Training Videos, Server Process Monitor, and Reminders (highlighted with a red box).

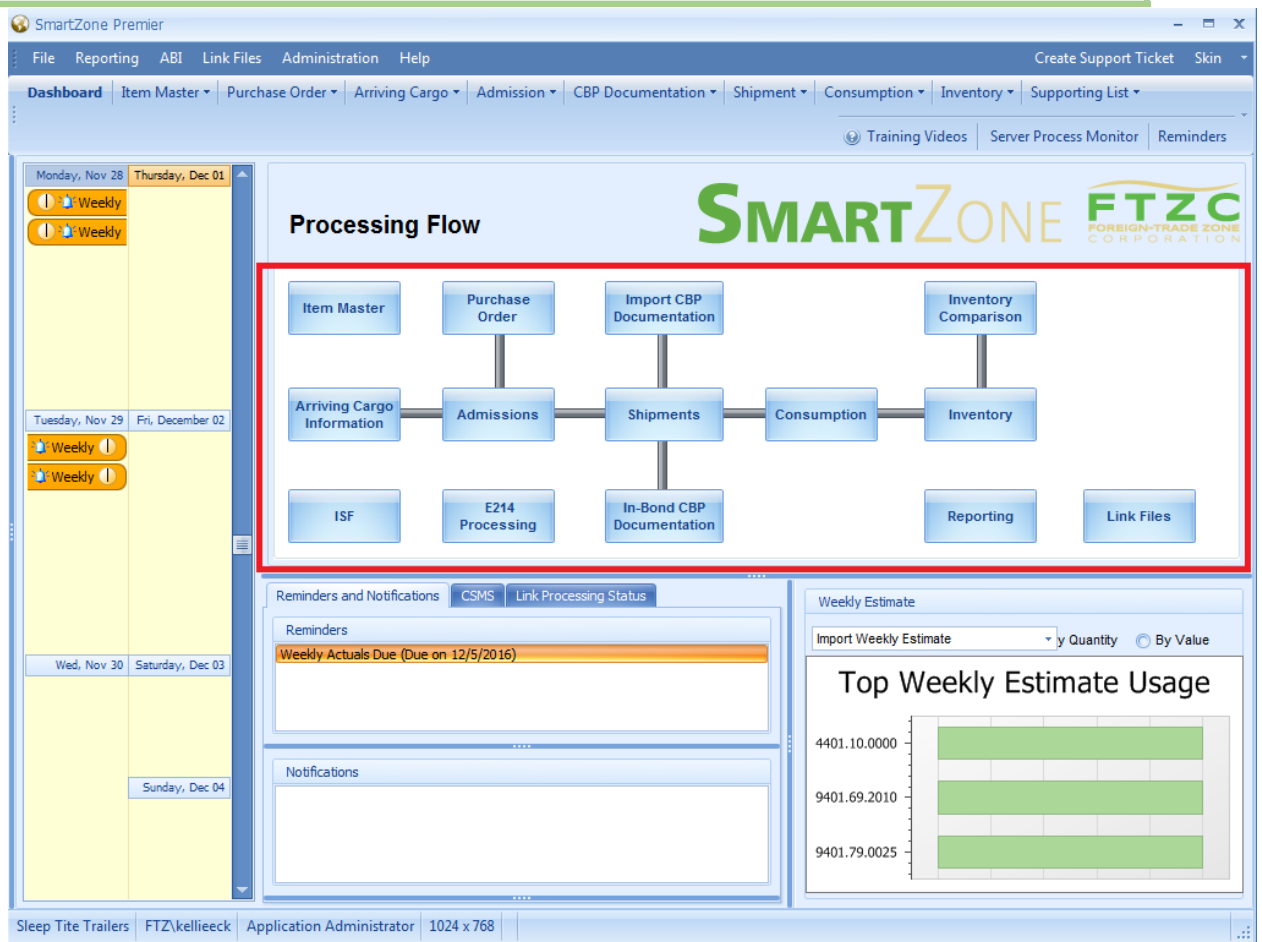
The main content area is divided into several sections:

- Processing Flow:** A flowchart showing the sequence of operations: Item Master, Purchase Order, Import CBP Documentation, Inventory Comparison, Arriving Cargo Information, Admissions, Shipments, Consumption, Inventory, ISF, E214 Processing, In-Bond CBP Documentation, Reporting, and Link Files.
- Reminders and Notifications:** A section with tabs for Reminders, CSMS, and Link Processing Status. The Reminders tab shows a list of reminders, including "Weekly Actuals Due (Due on 12/5/2016)".
- Weekly Estimate:** A section with a dropdown menu for "Import Weekly Estimate" and radio buttons for "Quantity" and "By Value". Below this is a bar chart titled "Top Weekly Estimate Usage" showing usage for three items: 4401.10.0000, 9401.69.2010, and 9401.79.0025.

The left sidebar contains a calendar view showing the current week (Monday, Nov 28 to Thursday, Dec 01) and the following week (Tuesday, Nov 29 to Friday, December 02). The calendar is highlighted with a red box.

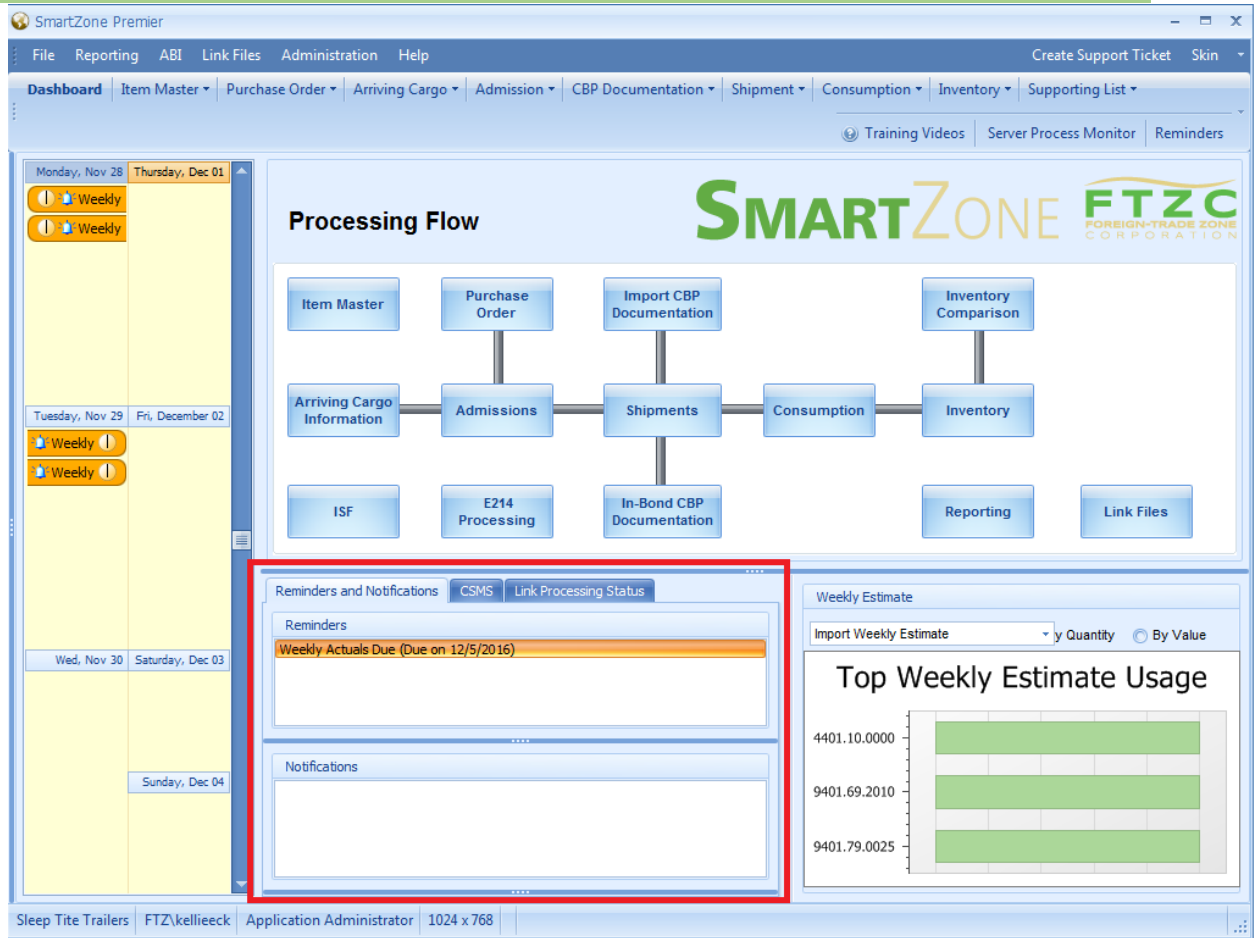
The calendar on the dashboard will show you the current week and any reports that may be due that week. To view the full calendar, go to **Reminders** on the toolbar. You will be able to see the current month or you can look at previous and future dates. Reminders are based on your zone information. Therefore, you must make sure that your FTZ Information under Company Options is setup. Please see Company Options section of Chapter 3.

PROCESSING FLOW



The Processing Flow on the dashboard provides an alternative way for you to access the different areas of QAD Precision FTZ. Instead of using the toolbar to access different areas of QAD Precision FTZ, the dashboard provides quick access to those areas. It is also laid out according to the process flow of the transactions that occur.

REMINDERS AND NOTIFICATIONS



As a supplement to the calendar, the Reminders and Notifications section of the dashboard provides an additional method of notifying users of the reports that are due.

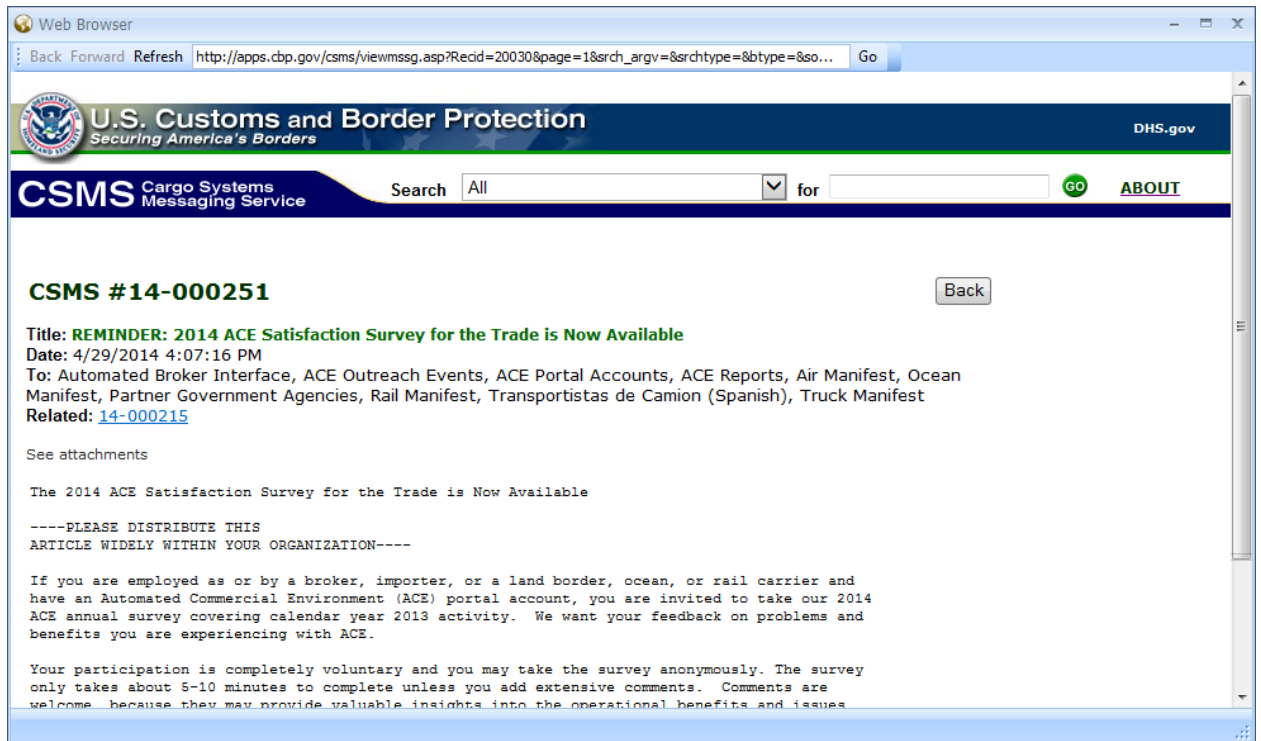
CSMS (CARGO SYSTEMS MESSAGING SERVICE)

The screenshot displays the SmartZone Premier application interface. The top navigation bar includes options like File, Reporting, ABI, Link Files, Administration, and Help. The main menu on the left lists various modules: Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The central area features a 'Processing Flow' diagram showing the sequence of operations: Item Master, Purchase Order, Import CBP Documentation, Inventory Comparison, Arriving Cargo Information, Admissions, Shipments, Consumption, and Inventory. Below this, there are boxes for ISF, E214 Processing, In-Bond CBP Documentation, Reporting, and Link Files. The bottom section is divided into three panels: 'Reminders and Notifications' (highlighted with a red box), 'Link Processing Status', and 'Weekly Estimate'. The 'Reminders and Notifications' panel shows a table of administrative messages with columns for Date, CSMS Number, and CSMS Message. The 'Weekly Estimate' panel displays a bar chart titled 'Top Weekly Estimate Usage'.

Date	CSMS Number	CSMS Message (Double-Click for...)
12/1/2016 3:30 PM	16-000985	Reminder: Warehouse Withdrawal Indicator Trade members who perform warehouse withdrawals should ensure the final withdrawal indicator has been accurately applied. If it is determined that the final indicator has been applied in error, ...

The CSMS tab contains messages from CBP's Cargo Systems Messaging Service. CSMS is a database of messages that are of interest to ABI Filers, ACE Event Participants, ACE Portal Accounts, ACE Reports Users, Air Carriers, Ocean Carriers, Periodic Monthly Statement Participants, Rail Carriers and Truck Carriers.

By double-clicking the messages, you are taken to the original message on CBP's website.



Web Browser

Back Forward Refresh http://apps.cbp.gov/csms/viewmsg.asp?Recid=20030&page=1&srch_argv=&srchtype=&bttype=&so... Go

 **U.S. Customs and Border Protection**
Securing America's Borders DHS.gov

CSMS Cargo Systems Messaging Service Search for [ABOUT](#)

CSMS #14-000251 [Back](#)

Title: REMINDER: 2014 ACE Satisfaction Survey for the Trade is Now Available
Date: 4/29/2014 4:07:16 PM
To: Automated Broker Interface, ACE Outreach Events, ACE Portal Accounts, ACE Reports, Air Manifest, Ocean Manifest, Partner Government Agencies, Rail Manifest, Transportistas de Camion (Spanish), Truck Manifest
Related: [14-000215](#)

See attachments

The 2014 ACE Satisfaction Survey for the Trade is Now Available

----PLEASE DISTRIBUTE THIS
ARTICLE WIDELY WITHIN YOUR ORGANIZATION----

If you are employed as or by a broker, importer, or a land border, ocean, or rail carrier and have an Automated Commercial Environment (ACE) portal account, you are invited to take our 2014 ACE annual survey covering calendar year 2013 activity. We want your feedback on problems and benefits you are experiencing with ACE.

Your participation is completely voluntary and you may take the survey anonymously. The survey only takes about 5-10 minutes to complete unless you add extensive comments. Comments are welcome because they may provide valuable insights into the operational benefits and issues.



LINK PROCESSING STATUS

The screenshot displays the SmartZone Premier application interface. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. The main navigation bar shows various modules: Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. A sidebar on the left shows a calendar for November and December 2016, with weekly update buttons.

The central area features a "Processing Flow" diagram with the following components:

- Item Master
- Purchase Order
- Import CBP Documentation
- Inventory Comparison
- Arriving Cargo Information
- Admissions
- Shipments
- Consumption
- Inventory
- ISF
- E214 Processing
- In-Bond CBP Documentation
- Reporting
- Link Files

The "Link Processing Status" window is highlighted with a red border. It contains a table with the following data:

File Path	File Folder	Current St...	Start Time	End Time
2016-06-2...		Currently P...	12/1/2016 ...	
2016-08-2...	Errors	Complete ...	11/8/2016 ...	11/8/2016 ...
2016-08-2...	Processed	Complete	11/15/201...	11/15/2016...
2016-09-2...	Processed	Complete	11/15/201...	11/15/2016...
2016-11-0...	Archive	Converted	11/7/2016 ...	11/7/2016 ...
2016-11-0...	Processed	Complete ...	11/7/2016 ...	11/7/2016 ...
2016-11-0...	Archive	Converted	11/7/2016 ...	11/7/2016 ...
2016-11-0...	Processed	Complete ...	11/7/2016 ...	11/7/2016 ...

To the right of the table is a "Weekly Estimate" section with a dropdown menu set to "Import Weekly Estimate" and radio buttons for "Quantity" and "By Value". Below this is a bar chart titled "Top Weekly Estimate Usage" showing three bars with values 4401.10.0000, 9401.69.2010, and 9401.79.0025.

Link Processing Status shows the link files that have been processed along with specifics of the link files such as Start and End Times and Current Status.

WEEKLY ESTIMATE USAGE



The Weekly Estimate section of the dashboard will show the top used HTS for the current week's estimates. You can view the top HTS usage by quantity or by value. You can also choose to view either the import weekly estimate's usage or the export weekly estimate's usage.

Chapter 2 Setup and Support

QAD PRECISION FTZ SETUP

The Administration section contains the activities that are relevant to the security, execution, and data integrity of the data base structure that underlies QAD Precision FTZ.

Information detailing the specific version of QAD Precision FTZ being executed is displayed under Help, About QAD Precision FTZ on the toolbar.



In order to use QAD Precision FTZ, a user must log in using a User Name and Password. Specific user information, including security authorization, is maintained in the User List. At the end of each session, the user should log out of QAD Precision FTZ in order to prevent unauthorized usage of the system.

For each Company that is established, QAD Precision FTZ requires a unique Company Options. Specific Company information, such as Company Name, Address, Importer Information, and Approvals for Special CBP Programs, are included in this section. Specific zone information, such as Zone Number, Zone Contact, and CBP Information, are maintained in this section. Default values for some fields that are repetitive in nature are established in order to minimize data entry by the operator. Data file names for downloads are stored for the convenience of the operator.

Multiple Companies can be tracked within a single QAD Precision FTZ database. In order for users to be able to access different Companies within the database, their User Names and



Passwords must be assigned to each of the Companies. Once users are allowed access to multiple Companies, selection of the Company with which they wish to work is required when they log in.

If a user wants to change Companies, the user chooses **File→Change Company**. The application will then automatically restart and allow the user to log in and select a different company.

The User Name and the Current Company are displayed in the status bar located at the bottom of the maximized QAD Precision FTZ form being displayed.

INITIAL INSTALLATION

The Foreign-Trade Zone Corporation is solely responsible for the initial installation of QAD Precision FTZ at the client's site.

CURRENT VERSION

To verify the version of QAD Precision FTZ that is currently installed:

On the toolbar, select Help → About QAD Precision FTZ. A screen will appear that has the Client Version listed.



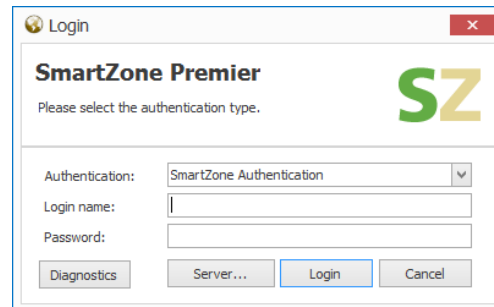
LOGGING IN TO QAD PRECISION FTZ

QAD Precision FTZ has the ability for two different login options; QAD Precision FTZ Authentication and Windows Authentication. QAD Precision FTZ Authentication allows you to specify a user name and password. Windows Authentication uses the Windows Domain user



account credentials and the user will not need to enter a user name or password if they are logged into the domain.

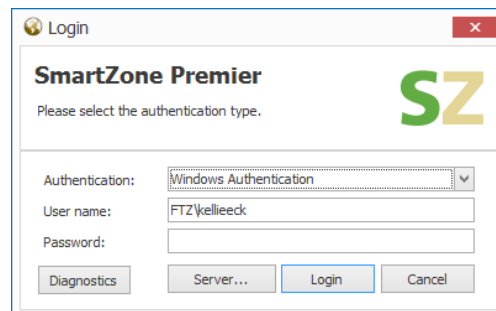
1. Click the QAD Precision FTZ icon on the client desktop.
2. If using QAD Precision FTZ Authentication, select QAD Precision FTZ Authentication on the login screen and enter a User Name and Password and click the Login button.



The image shows a 'Login' dialog box for 'SmartZone Premier'. It has a title bar with a close button. The main area says 'Please select the authentication type.' Below this is a dropdown menu for 'Authentication:' set to 'SmartZone Authentication'. There are input fields for 'Login name:' and 'Password:'. At the bottom are buttons for 'Diagnostics', 'Server...', 'Login', and 'Cancel'. A large 'SZ' logo is in the top right corner.

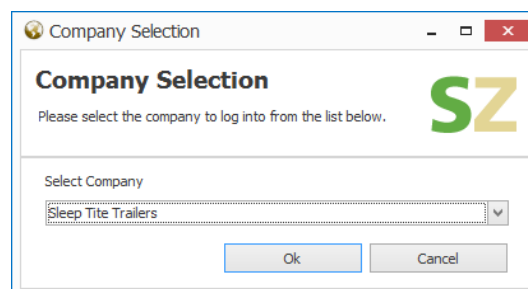
If the User Name or Password is invalid, a message to that effect is displayed. See the QAD Precision FTZ Administrator for assistance.

If using Windows Authentication, select Windows Authentication on the login screen and your Windows Domain user name will auto-populate. Then click the Login button to login to QAD Precision FTZ.



The image shows the same 'Login' dialog box, but the 'Authentication:' dropdown is now set to 'Windows Authentication'. The 'User name:' field is populated with 'FTZ\kellieck'. The 'Password:' field is empty. The 'Login' button is highlighted in blue.

3. Select a Company.
A user may be authorized to access multiple companies within QAD Precision FTZ. If so, the Company Selection form will be displayed once the user has logged in. To display a list of the Companies available for access:
Select the pull down menu button.
Select the company required.



The image shows a 'Company Selection' dialog box. It has a title bar with standard window controls. The main area says 'Please select the company to log into from the list below.' Below this is a dropdown menu labeled 'Select Company' with 'Sleep Tite Trailers' selected. At the bottom are 'Ok' and 'Cancel' buttons. A large 'SZ' logo is in the top right corner.

The User Name and Company that is being accessed is displayed on the bottom task bar of QAD Precision FTZ.

USER LIST

A user's access to a QAD Precision FTZ company and functionality is determined by the Security Access Group. Only an Administrator can add or edit users.

ADD NEW USER

1. From the toolbar, select Administration→Security Settings→User Management. The Users screen is displayed.
2. Click Add New and choose the Authentication Method.

The screenshot shows the 'SmartZone Premier' application window. The 'Users' section is active, displaying a table of users. The 'Add new' button is circled in red. Below the table, the 'General Information' tab is selected, showing a form for adding a new user. The form includes fields for Login Name, User Password, Confirm Password, User First Name, User Last Name, User Email Address, and User Description. The 'Company' dropdown is also visible, showing 'Application Administration (TEST)' and 'Mobile Trading Corporation'.

User Name	First Name	Last Name	Email
John Smith	John	Smith	
FTZ\lindseymartin	Lindsey	Martin	lindseymartin@ftzcorp.com
FTZ\minoruohye	Minoru	Ohye	minoruohye@ftzcorp.com

General Information

☐ Windows Authentication ☒ Block User Login

☒ SmartZone Authentication

Login Name: John Smith Search...

User Password: [Redacted]

Confirm Password: [Redacted]

User First Name: John

User Last Name: Smith

User Email Address: [Redacted]

User Description: [Redacted]

Company

- Application Administration (TEST)
 - Mobile Trading Corporation
 - Sleep Tite Trailers

QAD Precision FTZ has the ability for two different login options; QAD Precision FTZ Authentication and Windows Authentication. QAD Precision FTZ Authentication allows you to specify a user name and password to log into QAD Precision FTZ. Windows Authentication uses the Windows Domain user account credentials and the user will not need to enter a user name or password if they are logged into the domain.

Windows Authentication

If Windows Authentication is selected, you must click Search next to the “Login Name” field and a “Select User” window will pop up.



Select User

Please select user from the list below.

Common Queries

Account Name: Starts with

Search results:

Login Name	Object Type	Display Name	Mail Address	Date Created

Enter the windows domain user name for the user in which you are trying to create a QAD Precision FTZ user account. Once you find the user account, select it and click Ok. The user details will then be displayed in the General Information tab.

QAD Precision FTZ Authentication

If QAD Precision FTZ Authentication is selected, you must enter a login name and user password. You must also complete the First Name, Last Name and Email Address.

- Before clicking Save, continue on to the “Add Company to User” section below.

ADD COMPANY TO USER

1. Find the user you would like to add a company to.

Select the user to be modified and, on the right hand side, select the company you would like to add to the user.

2. Set user permissions

Administrative rights provide the user with access to all areas and functions within QAD Precision FTZ for each company under the Operator, and they can create other users.

If you would like to give Administrative rights to the user, select the top level (Operator) of the company. QAD Precision FTZ will automatically select the company/companies listed under the Operator level.

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Custom Reporting Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Users Add new Delete Save Discard Refresh You have pending changes to save. 13 Record(s) Loaded

Filter Users by Company Sleep Tite Trailers Clear Filter

User Name	First Name	Last Name	Email
test	test	user	support@ftzcorp.com
ftztesting	test	test	support@ftzcorp.com
testuser	test	user	testuser@ftzcorp.com

General Information

☐ Windows Authentication
☒ SmartZone Authentication

☐ Block User Login

Login Name: John Smith Search...
 User Password: ****
 Confirm Password: ****
 User First Name: John
 User Last Name: Smith
 User Email Address:
 User Description:

Company

- Application Administration (TEST)
 - ☒ Mobile Trading Corporation
 - ☒ Sleep Tite Trailers

Sleep Tite Trailers ftztesting Operation Administrator 1024 x 768

If you would like to give non-administrator rights (cannot create new users) and provide specific permissions, select the checkbox next to the company name under the top-level operator and a company tab will appear that will allow you to select specific rights.



SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Custom Reporting Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Users Add new Delete Save Discard Refresh You have pending changes to save. 13 Record(s) Loaded

Filter Users by Company Sleep Tite Trailers Clear Filter

User Name	First Name	Last Name	Email
test	test	user	support@ftzcorp.com
ftztesting	test	test	support@ftzcorp.com
testuser	test	user	testuser@ftzcorp.com

General Information Sleep Tite Trailers

☐ Windows Authentication ☒ SmartZone Authentication ☐ Block User Login

Login Name: John Smith Search...

User Password: ****

Confirm Password: ****

User First Name: John

User Last Name: Smith

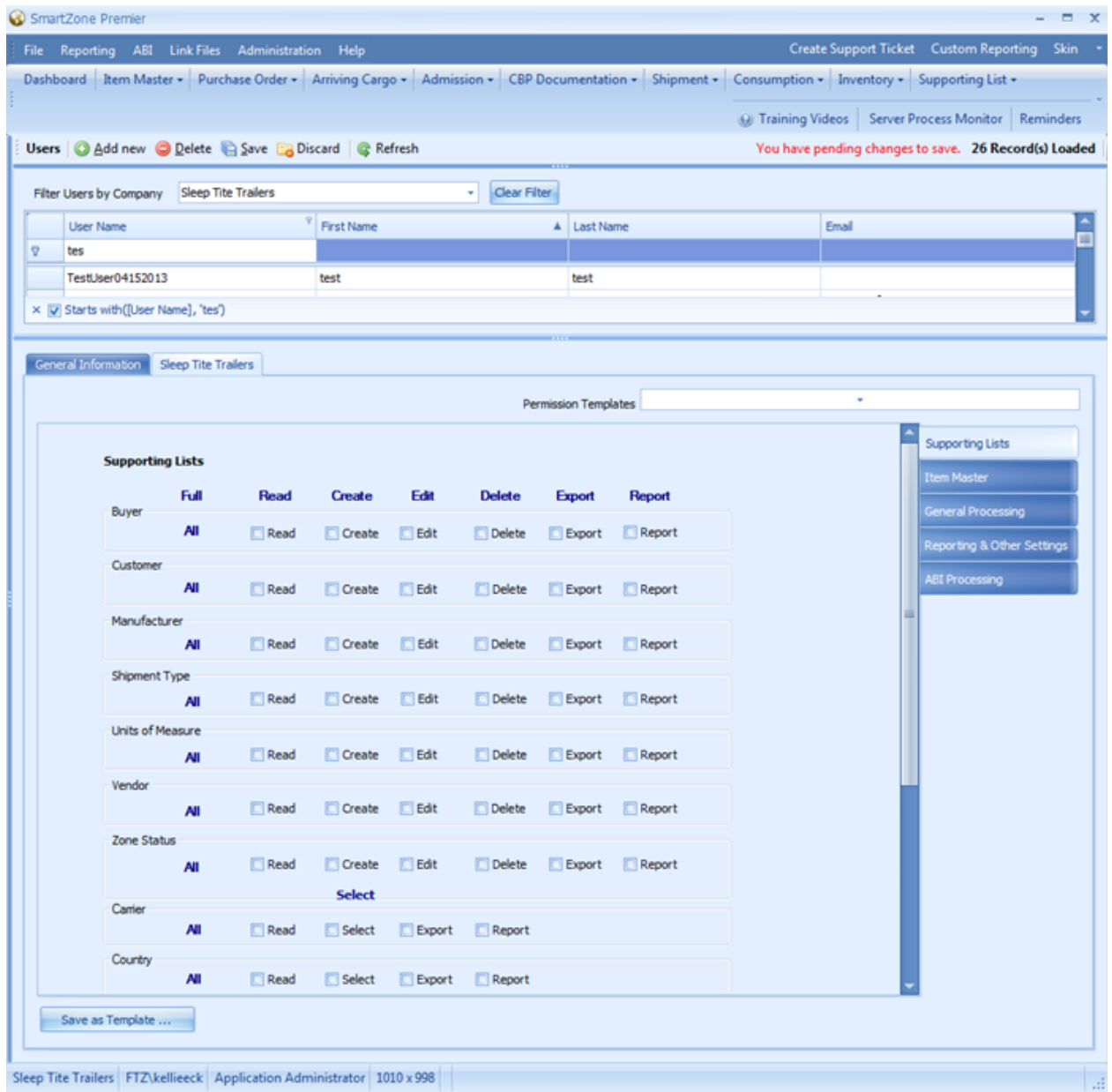
User Email Address:

User Description:

Company

- Application Administration (TEST)
- Mobile Trading Corporation
- ☒ Sleep Tite Trailers

Sleep Tite Trailers ftztesting Operation Administrator 1024 x 768



The user permissions are categorized in 5 different sections; Supporting Lists, Item Master, General Processing, Reporting & Other Settings, and ABI Processing. You will need to click on each tab to set the permissions for those areas of QAD Precision FTZ.

You have many options for setting up user permissions within QAD Precision FTZ. You can select each permission individually or you can utilize the dark blue words (Full, All, Read, Create, Edit, Delete, Export, Report, Select) which will give the user specific levels of permissions without having to select each one.

4. Click Save once you have added company and user permissions.

DELETE USER

1. Find and select the user you wish to delete.
2. Click Delete



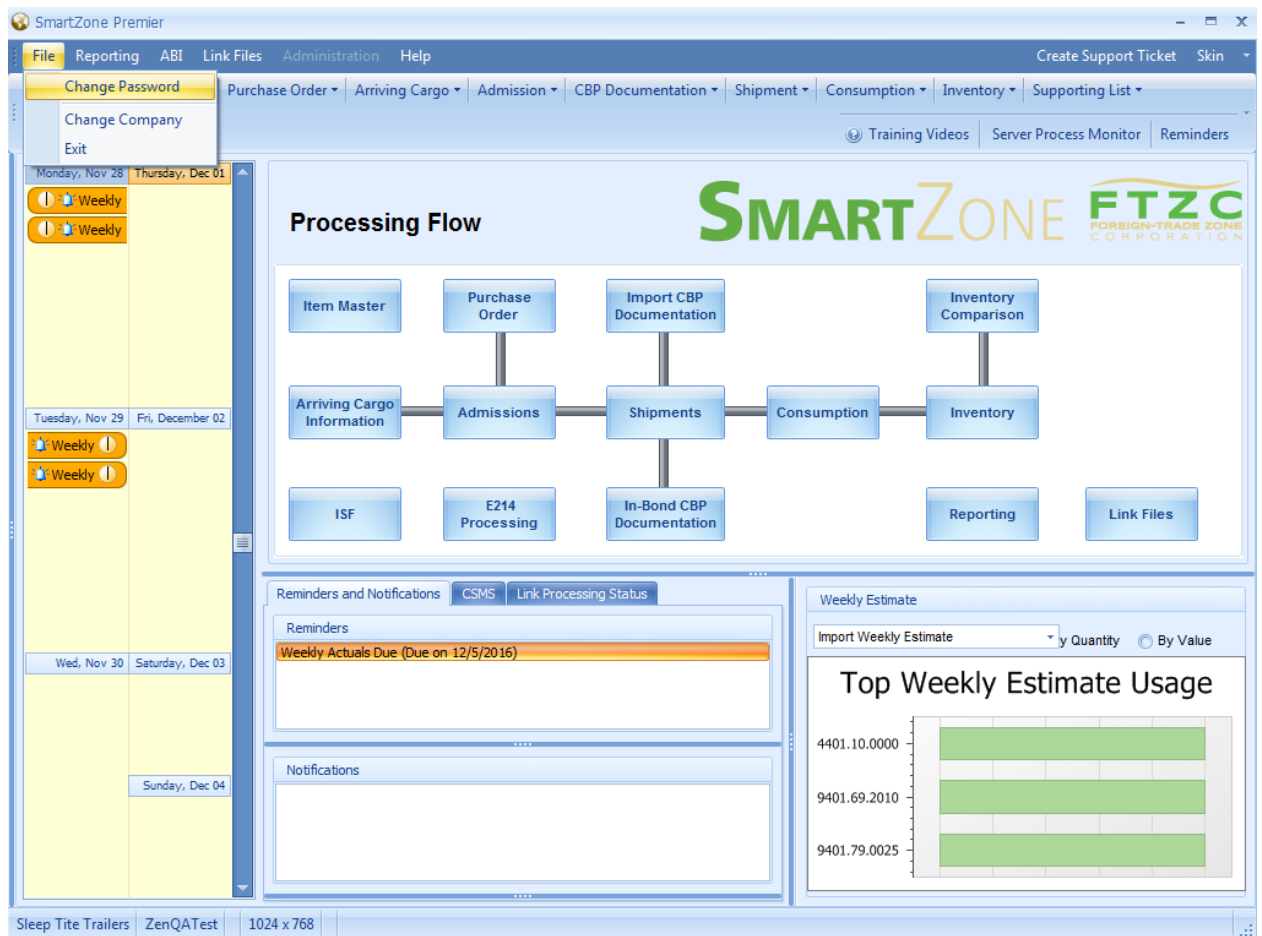
3. Click Save

Note: If you clicked Delete by mistake, you can click Discard and the user will not be deleted.

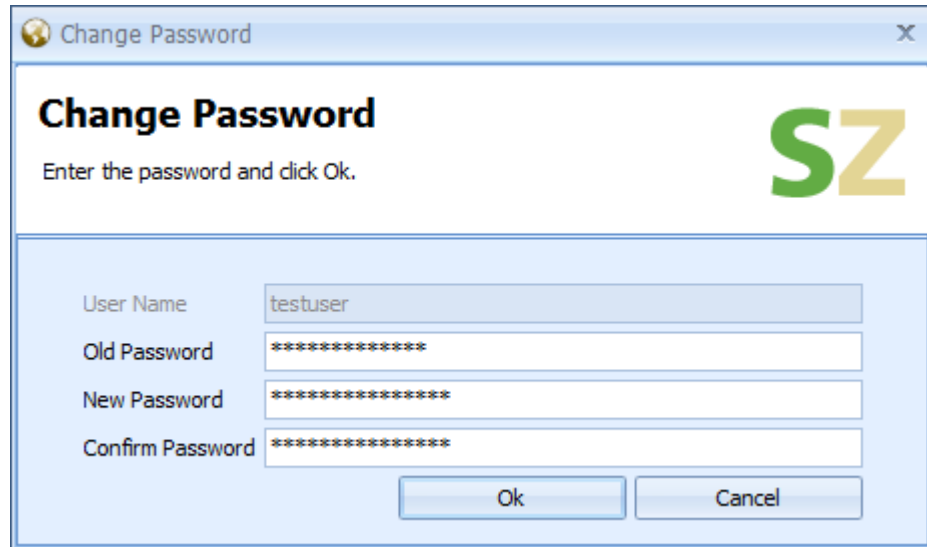
CHANGE PASSWORD-QAD PRECISION FTZ AUTHENTICATION ONLY

For users that have a QAD Precision FTZ Authentication account, you can change your password by going to File→Change Password.

Note: Windows Authentication accounts are tied to the windows domain account credentials. Therefore, you will not be able to change your password through QAD Precision FTZ if you are using Windows Authentication.



A Change Password form will appear. You will need to enter your old password and then your new password. Once you have confirmed your new password, click OK.

A screenshot of a 'Change Password' dialog box. The title bar says 'Change Password' with a close button. The main area has the title 'Change Password' and the instruction 'Enter the password and click Ok.' To the right is a large 'SZ' logo. Below this are four input fields: 'User Name' with 'testuser', 'Old Password' with '*****', 'New Password' with '*****', and 'Confirm Password' with '*****'. At the bottom right are 'Ok' and 'Cancel' buttons.

Change Password

Change Password

Enter the password and click Ok.

SZ

User Name: testuser

Old Password: *****

New Password: *****

Confirm Password: *****

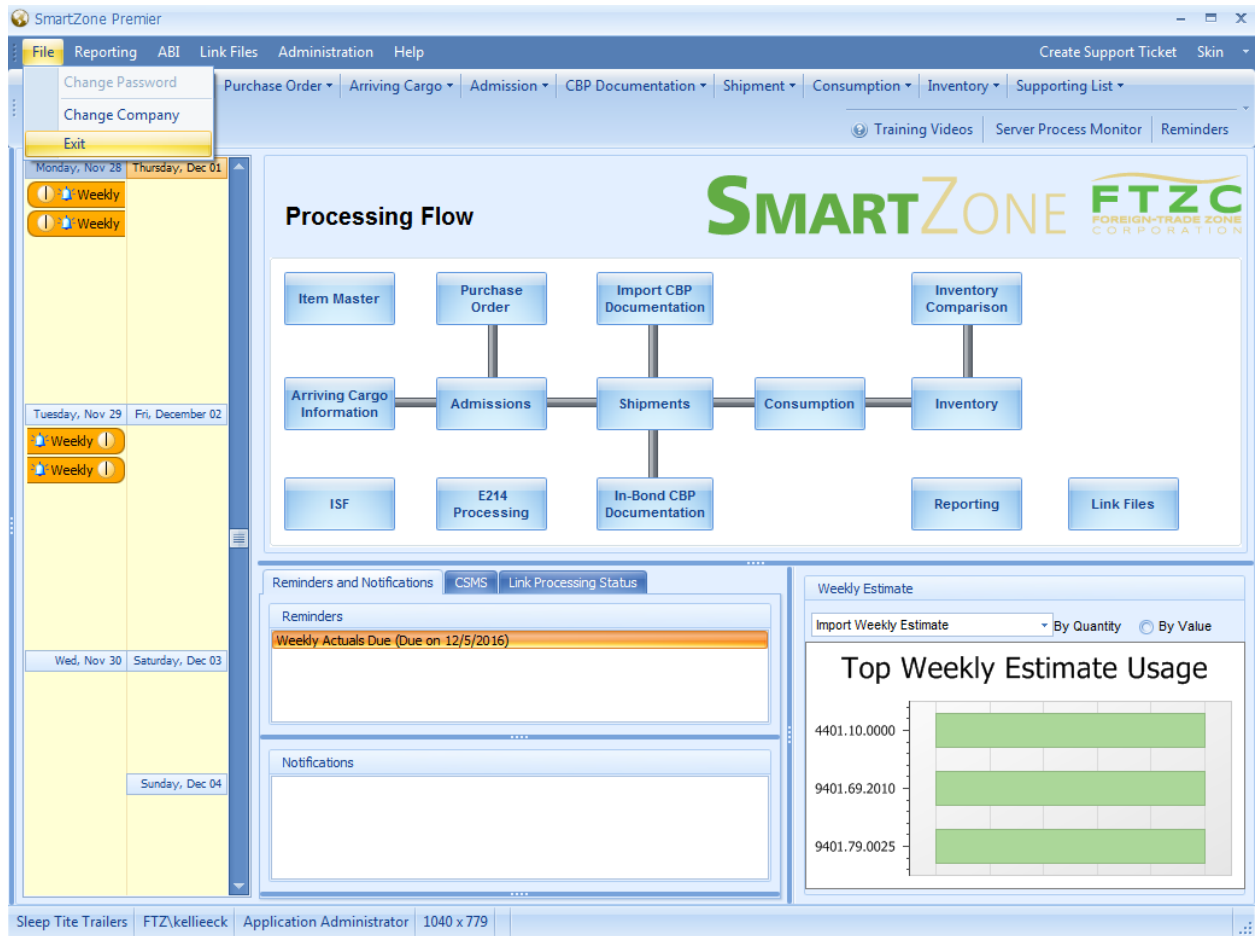
Ok Cancel

If you are unable to remember your QAD Precision FTZ Authentication username or password, please see your FTZ administrator or contact QAD Precision FTZ Support for assistance.

Administrators can change the password for users within their company under Administration→Security Settings→User Management.

LOGGING OUT AND EXITING QAD PRECISION FTZ

1. To Logout of QAD Precision FTZ, select File on the toolbar.
2. Select Exit from the pull down menu displayed.

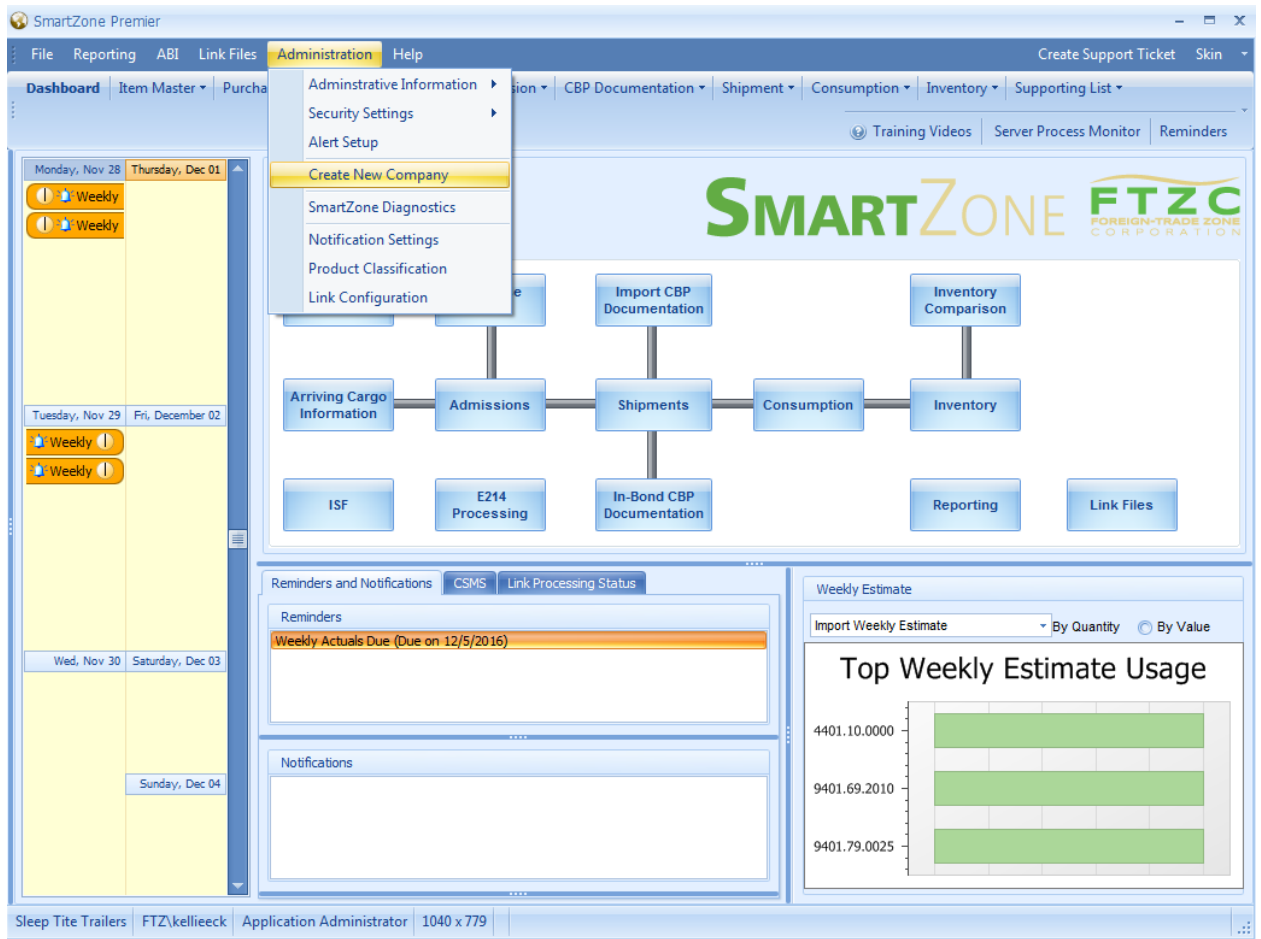


COMPANY MANAGEMENT

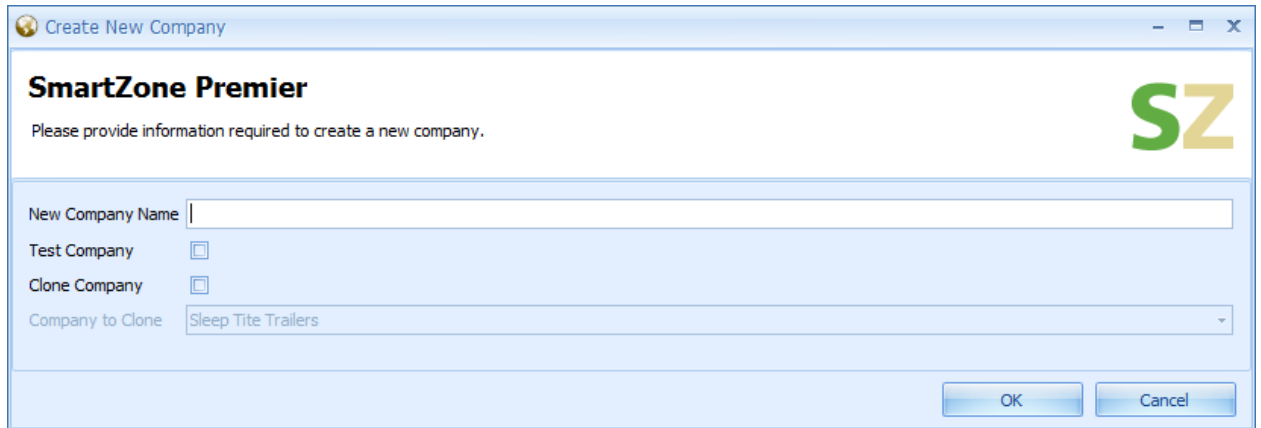
CREATE A COMPANY

QAD Precision FTZ has the capability to handle multiple Companies located at a single site. Each Company has its own FTZ Inventory Records with its own Company Options and Users. To create a new Company:

1. Select Administration→ Create New Company



2. Complete the fields displayed in the Create New Company window.
 - Test Company – Check this box if this will be a test company for use as a test environment so your live data will not be affected.
 - Clone Company – The Clone Company feature is used to allow companies to copy the information from one company into a new company without being required to manually re-enter all the preliminary setup information into the system. This is used when creating a new company that will retain the same information in the Supporting Lists and the Item Master. All other transactional data (inventory affecting records) is not cloned.



Create New Company

SmartZone Premier

Please provide information required to create a new company.

New Company Name

Test Company ☐

Clone Company ☐

Company to Clone

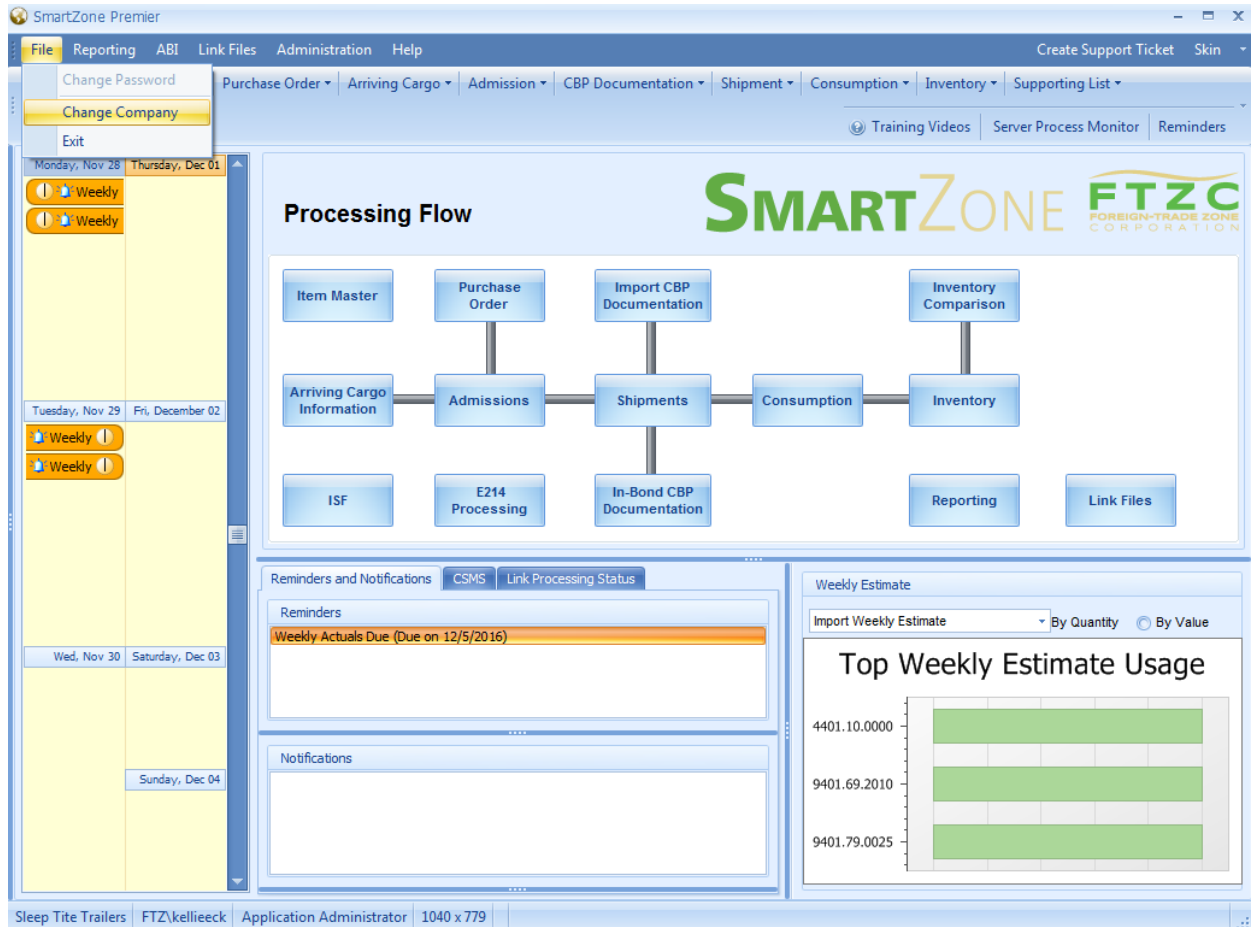
OK Cancel

3. To save the new Company, click OK.

CHANGE A COMPANY

QAD Precision FTZ has the capability to handle multiple Companies located at a single site. Each Company has its own FTZ Inventory Records with its own Company Options and Users. To select a different Company once a user is logged in:

1. From the toolbar, select File→ Change Company.

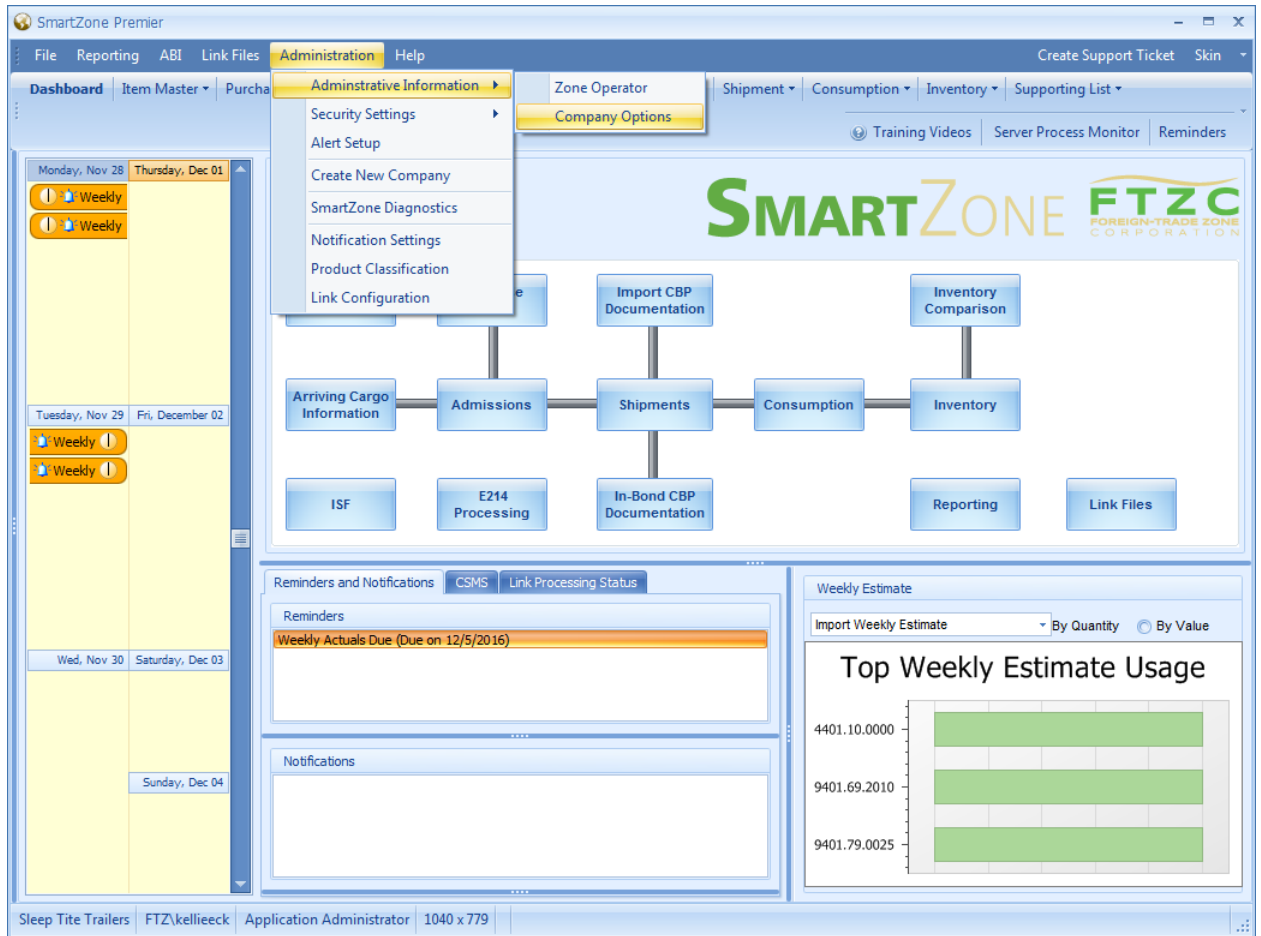


This will log you out of the current company and bring you directly to the QAD Precision FTZ log in screen to allow for quicker access to your list of companies.



COMPANY OPTIONS

- On the toolbar, select Administration→ Administrative Information→ Company Options.



The fields contained in the Company Options and their usages are as follows:

Field Name	Usage
COMPANY IDENTIFICATION	
Operator	Reflects Importer of Record (Zone User)
Company Name	Reflects Importer of Record (Zone User). Will be the company name that shows up when logging into each company
Employer ID Number	Employer tax ID number
Company Address	Street address for use on CBP214 for zone location
Company City	City for use on CBP Documentation
Company State	State for use on CBP Documentation
Company Zip Code	Zip Code for use on CBP Documentation
Company Contact Email Address	Email address of the zone contact person for use in uploading Census file
Company Logo	If you would like your company logo printed out on QAD Precision FTZ reports, upload an image.
FTZ INFORMATION	
Zone Number	Number issued to the zone upon approval of its FTZ application



Zone Contact	Name of the zone contact person
General Purpose Site	If this is a General Purpose Zone, your Zone ID goes here
FTZ Operator's Bond Number	FTZ Operator's Bond Number. Used on E214 and electronic In-Bonds
Subzone Letter	If this is a Subzone, your Subzone letter goes here
Importer Bond Number	Importer Bond Number included on the CBP3461
FIRMS Code	Zone Location Code established by CBP. Used on CBP3461, E214 and Electronic In-Bonds
Zone Week Begins	The day of the week that your zone week begins
Zone Year End Date	End date for the zone's fiscal year
REPORTING INFORMATION	
Census Authorization Code	Code issued to the company by Census upon approval for direct reporting. Is included on the Census File.
Current HMF Percentage	Percentage currently set by the CBP service used on quarterly HMF Report.
Gross Weight Adjust Percentage	Percentage to use when calculating Gross Weight Adjustment to account for packaging on shipments.
ABI Account Number	Optional setting. For future development use.
Company Code	Optional setting. For future development use.
Office Code	Optional setting. For future development use.
Filer Code	The filer code assigned by CBP for electronic processing
Direct Delivery	Check this box if you are authorized for direct delivery
E214 Processing	Check this box if you are authorized for E214 Processing
HTS Special Programs	
Cumulative CBP214 Reporting	Enables the use of Cumulative CBP214 Reporting if the zone has been approved for it.
DEFAULT COMPANY VALUES	
Import Treatment	The most common Import Treatment used in Item Master records (i.e. FTZ, NAFTA, CBI).
Manufacturer	The most common manufacturer of the company's foreign items.
Buyer	Most common buyer of materials.
Country of Origin	The most common foreign country of origin applicable to the company's items.
FTZ Port of Entry	The FTZ Home Port. This is reported on the CBP7501 and CBP 7512 as the FTZ Port.
Zone Status	The most common Zone Status used in Item Master records (i.e. NPF, FDP, DOM).
ECCN Number	The most common Export Commodity Control Number applicable to the company's Items.
Fabric Company	Check this box if you are a fabric company that imports micro-denier suede fabrics.
Sq Yards of Micro Denier Approved by FTZ Board	If you checked "Fabric Company", this field is available. Enter the square yards approved by the FTZ Board in your Authorization for Production Activity. This is used to alert the user if they are reaching their approved limit. To be alerted, you will need to setup an alert under the Alerts and Notifications section of this manual.
Primary Unit of Measure Conversion Factor	The most common factor used to convert company units of measure to CBP units of measure.
Secondary Unit of Measure Conversion Factor	The most common factor used to convert company's secondary units of measure to CBP units of measure.



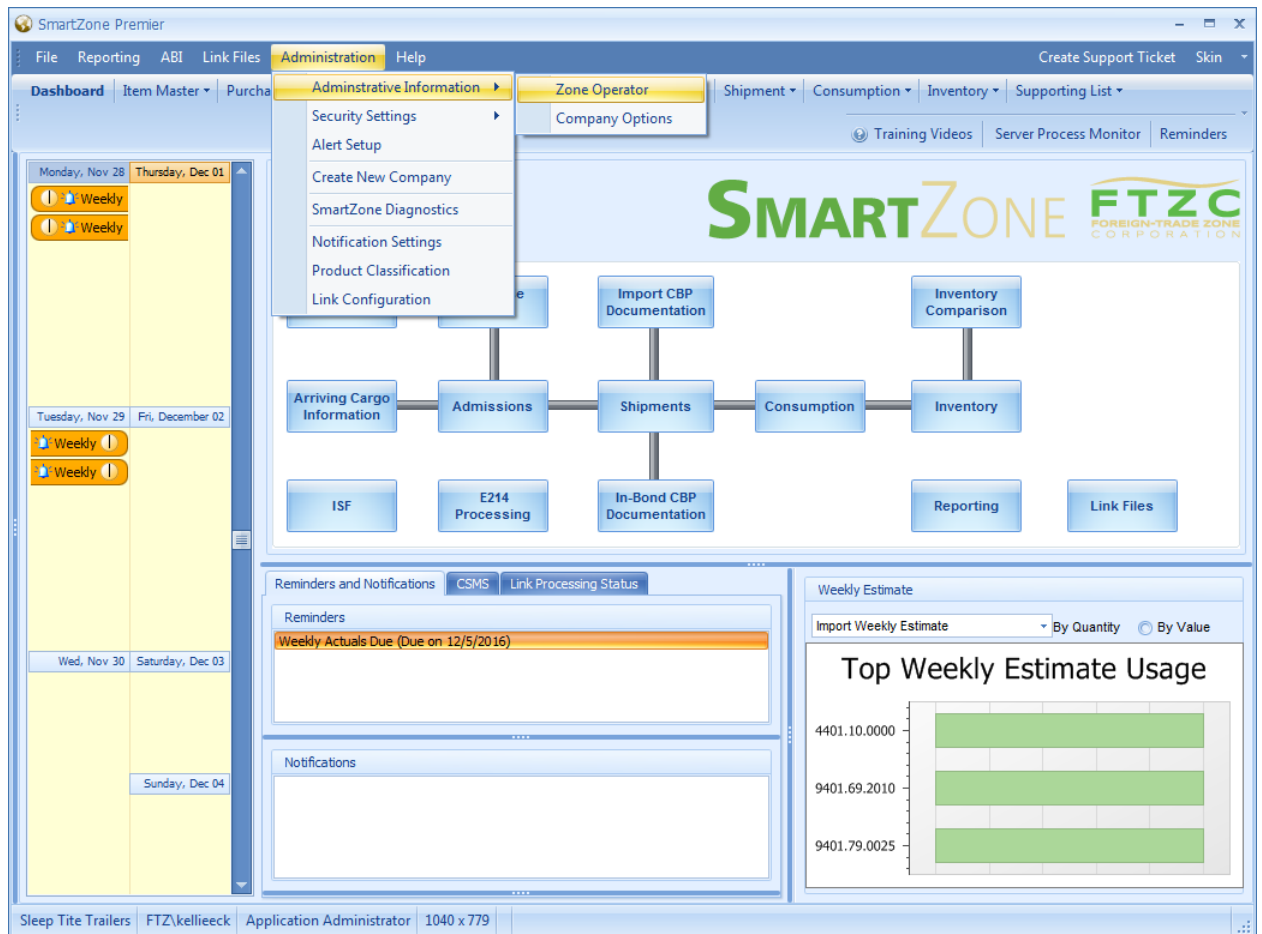
Admission Unit Cost Default Option	An admission receipt will default with this value for invoice unit cost unless populated by user.
COMPANY INFORMATION	
Next Auto Incremented CBP Admission Number	The next CBP Admission Number that will be assigned upon clicking the “Next” button in Arriving Cargo Information.
Auto Increment CBP Admission Number	Mark if you would like QAD Precision FTZ to auto increment the CBP Admission Number based on the value in “Next Auto Incremented CBP Admission Number”.
Next Auto Incremented Shipment Number	Next shipment number to be assigned.
Auto Increment Shipment Number	Mark if you would like QAD Precision FTZ to auto increment to Shipment Number based on the value in “Auto Increment Shipment Number”.
Use the Export Overhead Percentage	Mark if zone utilizes the export overhead percentage.
Standard Export Overhead Percentage	Enter the Standard Export Overhead Percentage for QAD Precision FTZ to calculate if utilizing the Export Overhead Percentage.
CBP Assigned In Bond Number Begin Range	The beginning in-bond number from the block of in-bond numbers provided by CBP for in-bond movements. Used for generating next in line in-bond number in QAD Precision FTZ.
In Bond Number End Range	The ending in-bond number from the block of in-bond numbers provided by CBP for in-bond movements. Used for generating next in line in-bond number in QAD Precision FTZ.
Manufacturing Authorization	Mark if CBP has authorized your zone for manufacturing of goods.
CBP 216 Expiration Date	The date the CBP 216 Form expires for your zone. Calendar reminders for CBP 216 form are based off this date.
PROGRAM SETTINGS	
Number of decimal places to display for non-currency numbers	Enter the number of decimal places to display for non-currency numbers.
Number of decimal places to display for currency	Enter the number of decimal places to display for currency.
Clear All Admission Fields for New Admission	Mark if you would like QAD Precision FTZ to clear out all admission fields when adding a new admission receipt. If this is not marked, QAD Precision FTZ will populate a new admission receipt with default data based on the selected receipt in the grid when Add New is clicked.
HTSUS Update for Open Admissions	Mark this if you would like QAD Precision FTZ to update all open admissions when an update is made to an HTSUS.
ByPass HTSUS Update for Partially Consumed Admissions	Mark this if you would like QAD Precision FTZ to bypass partially consumed admissions when there is an update to an HTSUS.
TRANSACTION SETTINGS	
Allowed Annual Transactions	Optional setting. For future development use.
Contract Beginning Effective Date	Optional setting. For future development use.
Contract Ending Effective Date	Optional setting. For future development use.



ZONE OPERATOR

The Zone Operator section is used to input all your key operator information. This is especially useful if you are an operator with many companies. This allows your operator for each company to remain the same but the individual company's information can go in Company Options.

1. On the toolbar, select Administration→ Administrative Information→ Zone Operator.



2. Click Add New and Input your Zone Operator Information. Click Save when done.

The screenshot displays the SmartZone Premier application interface. At the top, there is a menu bar with options: File, Reporting, ABI, Link Files, Administration, and Help. Below the menu bar is a navigation bar with tabs: Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. A secondary navigation bar includes links for Training Videos, Server Process Monitor, and Reminders. The main content area is titled 'Zone Operator' and features a table with columns: Operator Name, Bond Number, and Address. The table contains one record for 'Trinket Company LLC' with Bond Number '12222' and Address '1212 Company Way Mobile, AL'. Below the table, there is a 'Zone Operator Information' form with fields for Operator Name, Bond Number, State (AL), Contact (John Smith), and Street Address (1212 Company Way Mobile, AL). The status bar at the bottom indicates 'Sleep Tite Trailers', 'FTZ\kellieeck', 'Application Administrator', and '1024 x 768'.

Operator Name	Bond Number	Address
Trinket Company LLC	12222	1212 Company Way Mobile, AL

General Information | Zone Operator Information

Operator Name: Trinket Company LLC

Bond Number: 12222

State: AL | ALABAMA

Contact: John Smith

Street Address: 1212 Company Way Mobile, AL

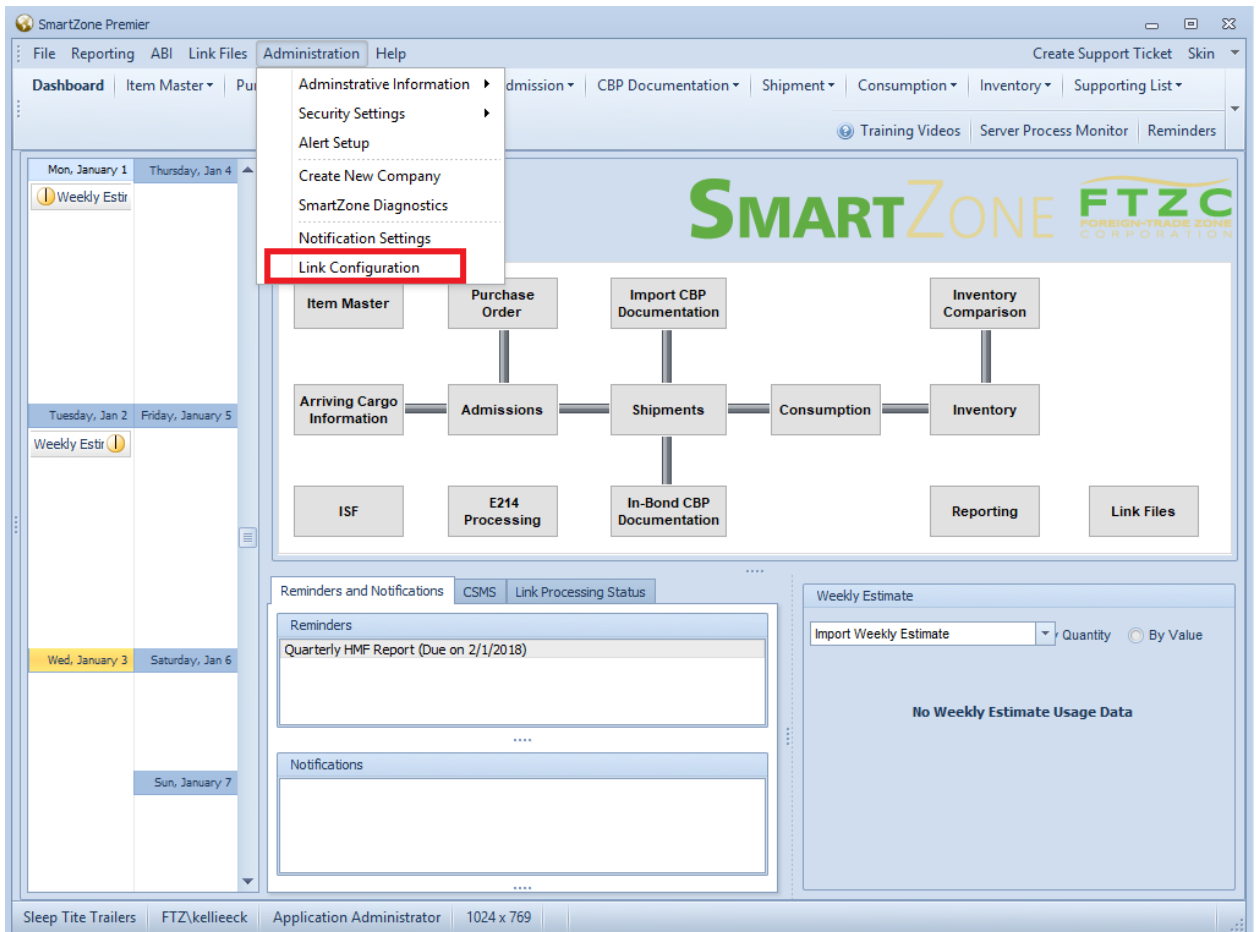
Sleep Tite Trailers | FTZ\kellieeck | Application Administrator | 1024 x 768

LINK CONFIGURATION

Link Configuration is used to choose the fields that will be included in the specified import documents and the order in which the information will be processed. This allows the configuration of the link files for Admissions, Item Master, Inventory, Purchase Order Items, Purchase Orders, Shipment Items and Shipments. Link files need to be configured jointly with the FTZ Administrator and your IT department in order to ensure the accuracy and ability to download from your production system.

Note: You will need to contact QAD Precision FTZ Support if you would like to start importing data via link files to make sure your company is setup for this feature.

1. To configure link files, go to Administration→Link Configuration on the toolbar.



2. Choose your link file type from the left column labeled “Transaction Type”.
3. Choose the fields from the middle column labeled “Not included in the link file” that you want to include in the import file.
4. Drag those fields over to the right column labeled “Included in the link file”.
5. Once all your fields have been selected you can rearrange their order by clicking the field you want to move and selecting Move Up or Move Down.
6. To remove a field from the Fields Included in Imports, click the field to be removed and choose Delete.
7. Click Save once done.

Note: You must save after configuring each link file transaction type. If you move to another transaction type without saving, you will lose any changes made.

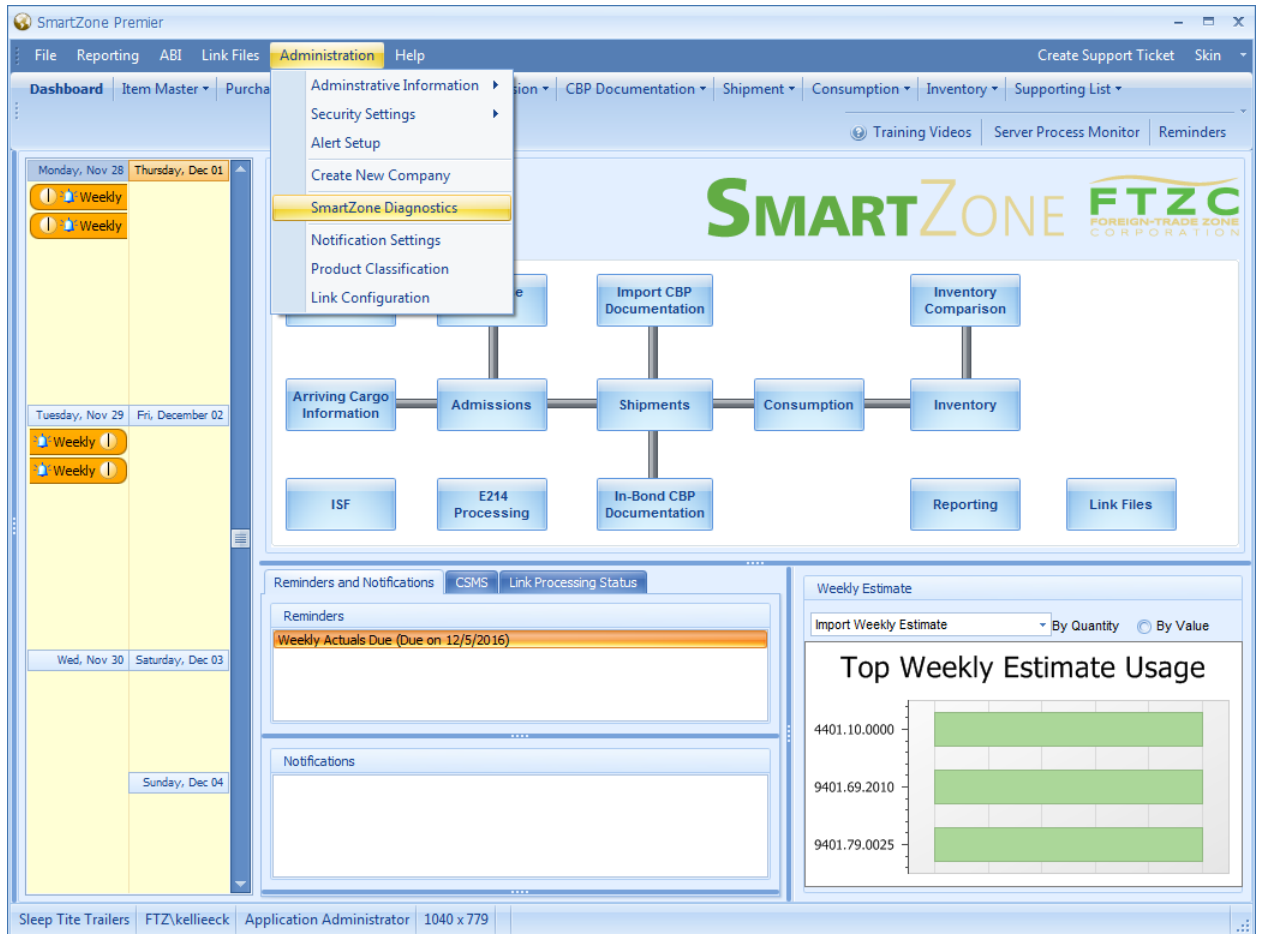
QAD PRECISION FTZ SUPPORT

QAD Precision FTZ has built in features to aid in support and technical issues. The user can email FTZ Corp, start a Web Support session if requested by QAD Precision FTZ Support, check their system information, or initiate a support case. They can also view training videos on some of the main sections of QAD Precision FTZ.



QAD PRECISION FTZ DIAGNOSTICS

To access QAD Precision FTZ Diagnostics: From the toolbar, select Administration→QAD Precision FTZ Diagnostics.



The QAD Precision FTZ Diagnostics window will appear.



EMAIL FTZ CORPORATION

For any reason or at any time, the user can send an email to QAD Precision FTZ Support:

1. On the toolbar, select Administration→ QAD Precision FTZ Diagnostics.
The QAD Precision FTZ Diagnostics window will be displayed.
2. Click Email FTZ Corporation.
A blank email will appear with the support email address filled in.

Start Web Support

Web Support should only be initialized at the request of QAD Precision FTZ Support.

1. On the toolbar, select Administration→ QAD Precision FTZ® Diagnostics.
The QAD Precision FTZ Diagnostics window will be displayed.
2. Click Start Web Support.
A message will appear stating “Web support has been started successfully.”
3. Click Ok.
The Web Support session is now running.
4. To stop Web Support, click Stop Web Support in the QAD Precision FTZ Diagnostics window.

A message will appear stating “Web support has been stopped successfully.” Click Ok to close.

SYSTEM INFORMATION

System Information will load your computer’s system information.

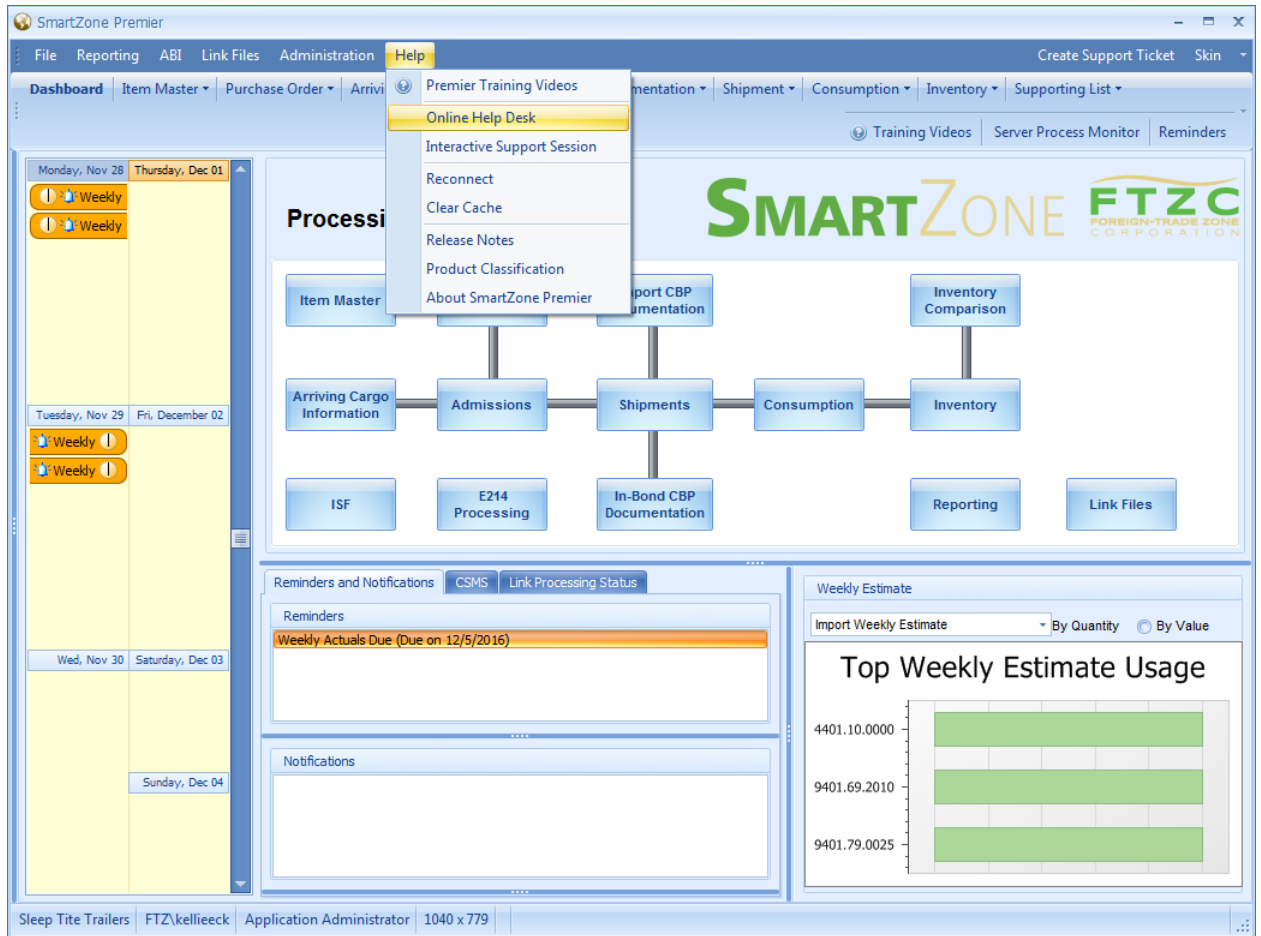
1. On the toolbar, select Administration→ QAD Precision FTZ Diagnostics.
The QAD Precision FTZ Diagnostics window will be displayed.
2. Click System Information.
Your computer's system information will be displayed.

INITIATE A TECHNICAL SUPPORT CASE**ONLINE HELP DESK**

QAD Precision FTZ has an online Help Desk where users can enter questions or issues. Users can enter a Help Incident and can track its progress through the Help Desk. Also, every change to the incident will notify the user in an email.

1. On the toolbar, select Help→ Online Help Desk.





2. The following screen appears and the user can enter their username and password to view their support tickets or enter a new one. Contact QAD Precision FTZ Support if you need assistance obtaining a username or password.

The screenshot shows a web browser window with the URL <http://support.ftzsoftware.com>. The page features the SMARTZONE logo at the top. Below the logo, the heading "Log in to Foreign-Trade Zone Corporation" is displayed. The login form includes two input fields for "Email" and "Password", a checkbox for "Stay logged in", and two buttons: "Cancel" and "Sign in". Below the form, there are links for "I am an Agent" and "Forgot my password". A button labeled "New to Foreign-Trade Zone Corporation? Sign up" is also present. At the bottom, a message states: "Have you emailed us? [Get a password](#). If you've communicated with our support staff through email previously, you're already registered. You probably don't have a password yet, though."

CREATE SUPPORT TICKET

1. On the toolbar, select Create Support Ticket

The screenshot displays the SmartZone Premier application interface. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. A 'Create Support Ticket' button is highlighted in the top right. The main dashboard features a 'Processing Flow' diagram with boxes for Item Master, Purchase Order, Import CBP Documentation, Inventory Comparison, Arriving Cargo Information, Admissions, Shipments, Consumption, Inventory, ISF, E214 Processing, In-Bond CBP Documentation, Reporting, and Link Files. Below the flow diagram are sections for Reminders and Notifications, CSMS, Link Processing Status, and a Weekly Estimate chart showing Top Weekly Estimate Usage.

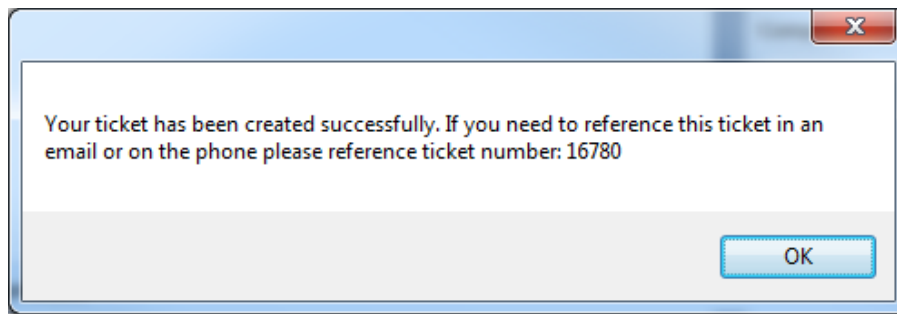
2. Fill out the support ticket information and click Submit Ticket.

The 'Create a Support Ticket' dialog box is shown. It contains the following fields and controls:

- Subject:** A text input field.
- Description:** A large text area for detailed description.
- Steps to Reproduce:** A text area for steps to reproduce the issue.
- Priority:** A dropdown menu.
- Product:** A dropdown menu with 'SmartZone Premier' selected.
- Component:** A dropdown menu.
- Buttons:** 'Cancel' and 'Submit Ticket' buttons at the bottom right.

3. A confirmation window will pop up providing you with a ticket number.

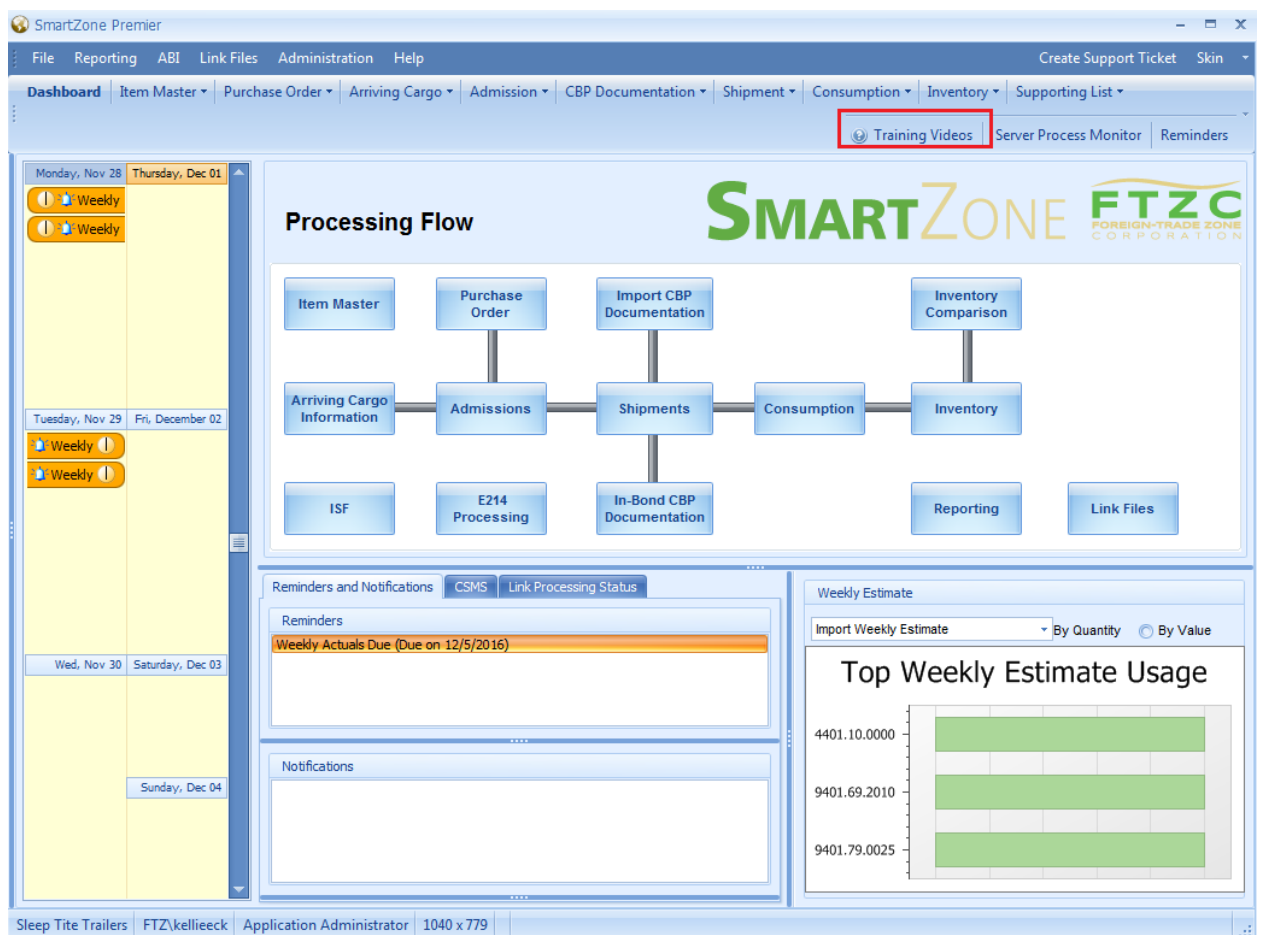




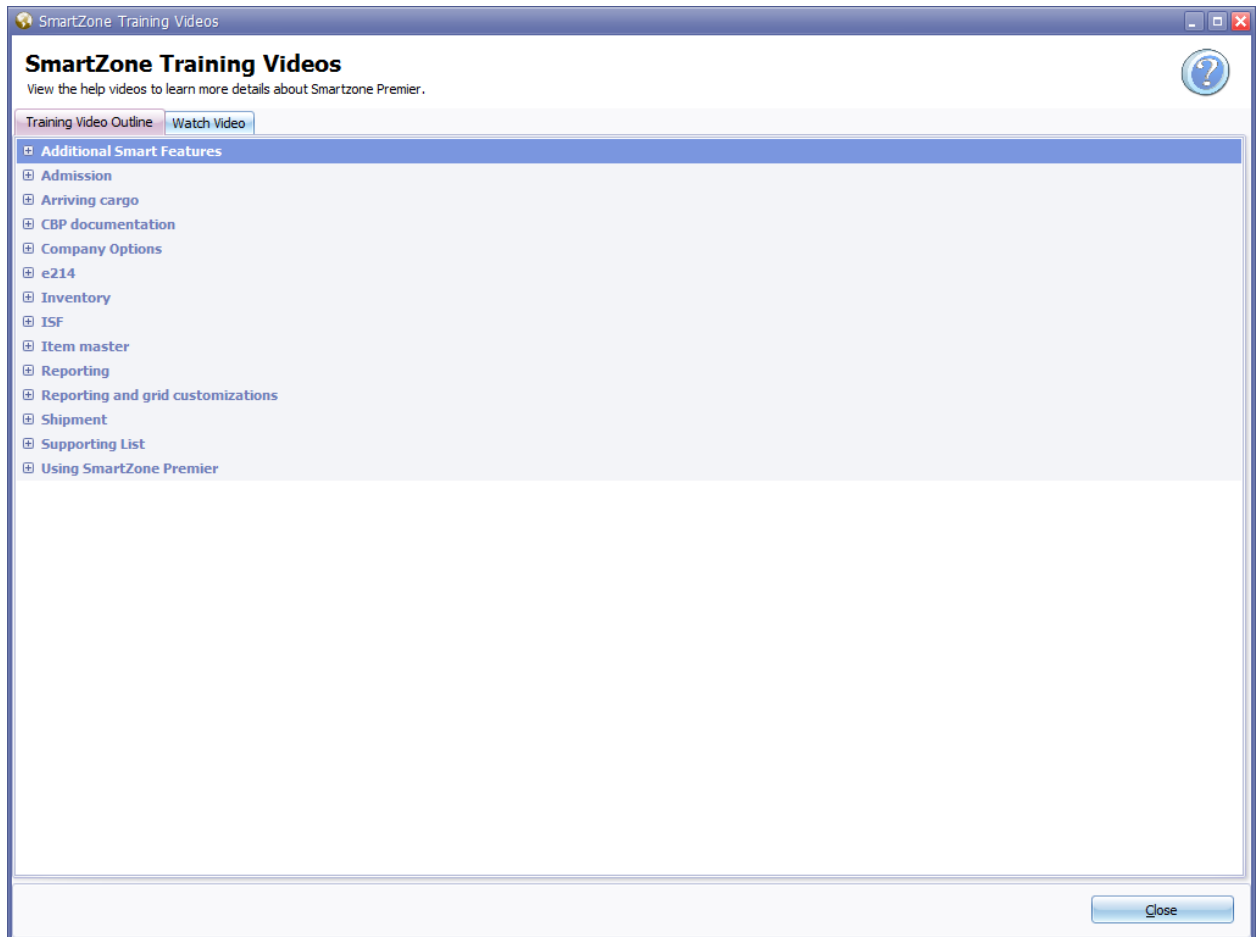
TRAINING VIDEOS

QAD Precision FTZ has training videos to assist the user on some of the main sections of QAD Precision FTZ.

To access the training videos: From the toolbar, select Training Videos.



The *QAD Precision FTZ Training Videos* window will appear.



To access available videos, click on the plus sign next to the Main Topics. Continue to expand each section by clicking any available plus signs.

The available videos will have a “Watch Video” button located to the right of the topic. To watch the available videos, click the *Watch Video* button.

The video will load in the *Watch Video* tab of the *QAD Precision FTZ Training Videos* window. To view the full size video and get access to the video controls, maximize the *QAD Precision FTZ Training Videos* window.

Note: To view all video topics, you must be on the QAD Precision FTZ Dashboard before clicking *Training Videos* on the toolbar. If you are in a particular section of QAD Precision FTZ, you will only see available videos that pertain to that section.

ADDITIONAL TOOLS

EXPORT FUNCTIONALITY

QAD Precision FTZ allows for all reports and grids to be exported to other formats. The grids can be exported to a HTML, MHT, PDF, RTE, XLS or text file. The reports can be exported to PDF, Excel, Word, etc. This allows the user to create documents that can be e-mailed or saved in other formats as needed. For instance, a user is able to export their CBP7501 to a PDF File and email it to their broker for entry. Another use is the exporting of the QAD Precision FTZ grids. For example, a user may export an Item Master grid to excel and create a report of item numbers and HTSUS only.

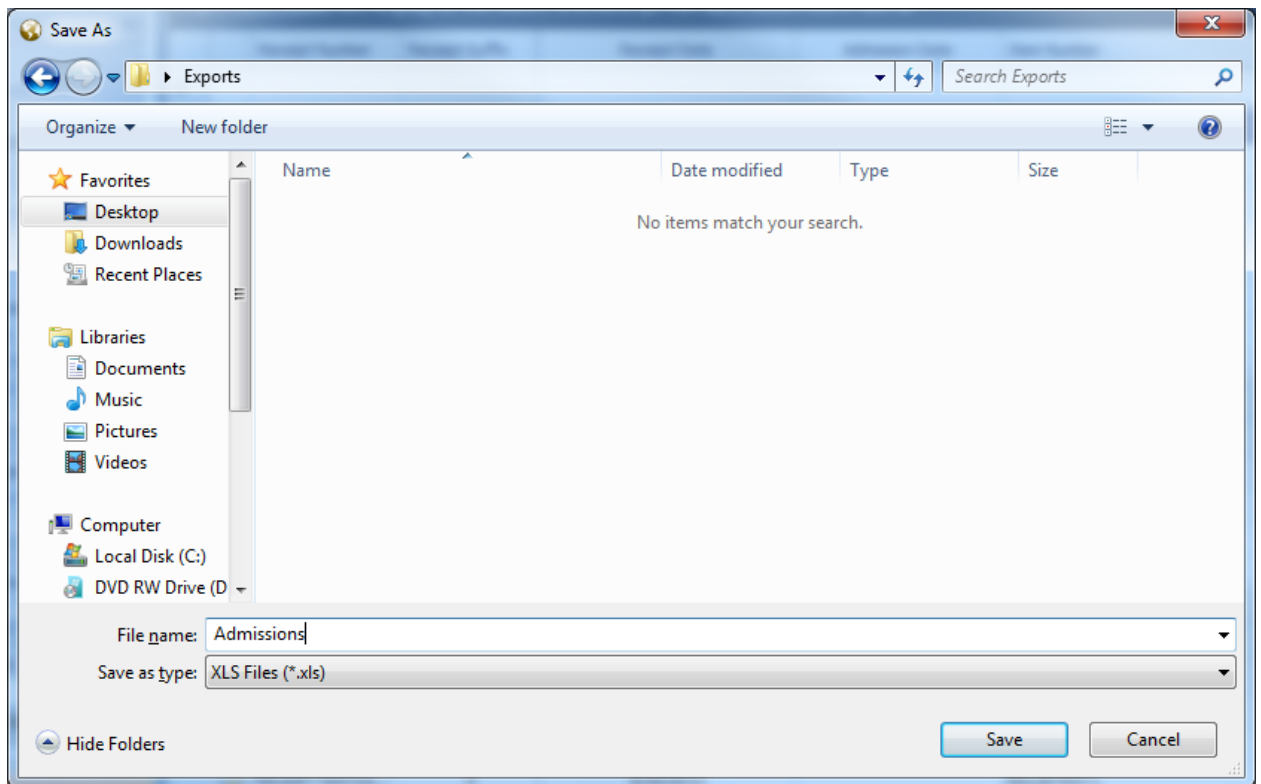


To export any grid:

1. Within the grid select Export.

The screenshot displays the SmartZone Premier application window. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. Below this is a navigation bar with tabs for Dashboard, Item Master, Purchase Order, Arriving Cargo, Admissions, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The 'Admissions' tab is currently active. On the left side, there is a 'View Options' panel with sections for View (Incomplete, Pending Approval, Approved, Reconciliation, Template), Actions (Assign Bill of Lading, Approve CBP214s, Unapprove CBP214s, Unapprove Receipt, Export APHS XML), Reports (Print CBP214 Report, Missing Data Report), Display Options (Display Details View), and Record Loading Settings (Initial Record Load Size: 500, Maximum Record Load Size: 25000). The main area shows a grid titled 'Admissions' with columns: Receipt Number, Receipt Suffix, Receipt Date, Admission Date, Item Number, and Item Description. The grid contains 500 records. The 'Export' button in the grid's toolbar is highlighted with a red box. The status bar at the bottom shows 'Sleep Title Trailers', 'FTZ\kellieeck', 'Application Administrator', and '1040 x 779'.

2. Choose a format to export the file and the following box will appear where the user can select the location to save and type a filename. Then select Save and the file will be created.



SEARCHING FOR DATA

QAD Precision FTZ provides the user with several data search options. Within any section of QAD Precision FTZ, the user can select *Search* to search all records in a View and they can filter the grid results by typing data in the field between the header and data.

SEARCH RECORDS

Using the *Search* feature will allow the user to search all records within a view, not just the records populated in the grid. QAD Precision FTZ provides default search options or you can select *Advanced Search* that will allow users to choose additional search criteria.

To view the *Search* options, click *Search*.



The screenshot displays the SmartZone Premier application window. The top navigation bar includes menus for File, Reporting, ABI, Link Files, Administration, and Help. Below this is a sub-navigation bar with tabs for Dashboard, Item Master, Purchase Order, Arriving Cargo, Admissions (selected), CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The main content area is titled 'Admissions' and shows '500 Record(s) Loaded'. A red box highlights the 'Search' button in the top right corner of the Admissions section. Below the search button, a yellow message states: 'You have loaded your initial number of records. Please enter a search or click go to load more records.' A red box also highlights the 'Advanced Search' button. Below this, a search form is visible with fields for Receipt Number, Admission Date From, Admission Date To, and Item Number, each followed by an 'And' dropdown menu. At the bottom of the search form are buttons for 'Advanced Search', 'Restore Default Conditions', and 'Go'. The main data table below the search form has columns for Receipt Number, Receipt Suffix, Receipt Date, Admission Date, and Item Number. The table contains 10 rows of data, with the 8th row highlighted in blue. The status bar at the bottom shows 'Record 8 of 500'.

If you click *Advanced Search*, the default search options will now have a drop-down menu and you can select other search conditions.

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo **Admission** CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

View Options

Admissions Add new Delete Save Discard Search Export Refresh View 9220 Record(s) Loaded

Please use search to find the records that meet your specific requirements, you can search data against single or multiple columns.

Receipt Number Starts With And

Receipt Date Equal To And

Admission Type Code Equal To And

Admission Type Description

Receipt Number

Receipt Suffix

Invoice Number

Item Number

Item Description

Actual Quantity

Current Quantity

Other Government Agency

Bill of Lading Number

Conveyance Number

Customs Admission Number

Admission Date

Primary HTSUS Tariff Number

Primary HTSUS Commodity Description

Primary HTSUS Group Name

Primary HTSUS Group Description

Secondary HTSUS Tariff Number

Secondary HTSUS Commodity Description

Secondary HTSUS Group Name

Secondary HTSUS Group Description

Actual Import Treatment

Assign Bill of Lading

Approve CBP214s

Unapprove CBP214s

Unapprove Receipt

Export APHIS XML

Print CBP214 Report

Missing Data Report

Display Details View

Initial Record Load Size 500

Maximum Record Load Size 25000

Sleep Tite Trailers FTZ\kellieeck Applicati

Receipt Date	Admission Date	Item Number	Item
6/5/2012	6/5/2013	978143513711000000	
6/5/2012	6/5/2013	978076078395500000	
6/5/2012	6/5/2013	978143513711000000	
6/5/2012	6/5/2013	978143513711000000	
6/5/2012	6/5/2013	978064176811800000	
6/5/2012	6/5/2013	978064176811800000	
6/5/2012	6/5/2013	978064183438700000	
6/5/2012	6/5/2013	978076078396200000	
6/5/2012	6/5/2013	978143513709700000	
6/5/2012	6/5/2013	978143513709700000	
6/6/2012	6/5/2013	978143513709700000	
6/6/2012	6/5/2013	978143513709700000	
6/6/2012	6/5/2013	978076077108200000	
6/6/2012	6/5/2013	978076077108200000	

The screenshot shows the SmartZone Premier application window. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. Below the menu is a navigation bar with tabs for Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission (selected), CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The main window is divided into a left sidebar and a central grid. The sidebar contains sections for View Options, Actions, Reports, Display Options, and Record Loading Settings. The central grid displays a list of admissions records with columns for Receipt Number, Receipt Suffix, Receipt Date, Admission Date, and Item Number. A search dropdown menu is open, showing options like 'Starts With', 'Equals', 'Does Not Equal', 'Contains', 'Starts With', and 'Ends With'. The grid shows 9220 records loaded.

Once you have entered your search criteria, click *Go* and any related results will be returned in the grid.

Click *Restore Default Conditions* to restore the search bar defaults.

Click *Add Condition* to add additional search criteria.

Click *Remove Condition* to remove additional search criteria.

Click *Clear All Conditions* to remove all search criteria.

Note: You will need to search within each *View* in order to search all records in a section.

SORT BY HEADING

Any grid in QAD Precision FTZ can be sorted by clicking the heading of the column to be sorted. For instance, if you want to sort by Standard Unit Weight in Item Master, the user would click on the column heading Standard Unit Weight and the data will be sorted in descending alpha/numeric order. To sort the same data in ascending order simply click the heading again and it will sort in ascending order.

FILTERING DATA IN GRID

If you would like to filter the data in the grid, you can enter data in the white space between the column header and the first row in the grid. For example, if a user is searching for items from the United States, the user types 'US' in the white space between the header Country Code and the first row of data and this will display only those items with a Country Code of 'US'.



The screenshot shows the SmartZone Premier application window. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. The main navigation bar has tabs for Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The Item Master tab is active, showing a list of items with columns: Item Number, Standard Unit Weight, Import Treatment, Country Code, and Zone Status Code. The Country Code column is highlighted with a red box. The filter 'Starts with([Country Code], 'US')' is applied at the bottom of the grid.

Item Number	Standard Unit Weight	Import Treatment	Country Code	Zone Status Code
JBFF-JGAA	0.18144005	FTZ	US	NPF
IJAA-JJJB	0.00800013	FTZ	US	NPF
GHFJ-GBBA	3.45605813	FTZ	US	NPF
GHDC-GABA	3.45605813	FTZ	US	NPF
GHB3-GCBA	3.45605813	FTZ	US	NPF
GHAH-GBBA	3.45605813	FTZ	US	NPF
GFGE-GBBA	3.45605813	FTZ	US	NPF
GFBI-GACB	1.00000000	FTZ	US	NPF
GFAC-GABA	3.45605813	FTZ	US	NPF
ELJB-JJDB	2.72159978	FTZ	US	NPF
EIIB-JJDA	2.12703578	FTZ	US	NPF
EIHH-JJDC	3.17505341	FTZ	US	NPF
EIHF-JJDC	3.45905818	FTZ	US	NPF
EIGG-JJDB	3.45605813	FTZ	US	NPF

Users can enter as much of the search criteria as needed to get the results. For instance, if searching for the part number for the Deluxe Axle and the user only knows that it starts with a D, then the user simply enters “D” and all part numbers starting with “D” will populate the grid. Wildcard characters such as *, %, and _ can also be used in searching as follows:

% - Any string of zero or more characters. For example, “%in” searches for all strings that have the letters in (Ringer, Stinger).

_ - Any single character. For example, “_heryl” searches for all six-letter names ending with the letters heryl (Cheryl, Sheryl).

This gives the user the ability to narrow down their information for analysis.

Additional Filter Options

Once you have filtered a column, additional filtering can be done by clicking *Edit Filter* at the bottom of the grid.

SmartZone Premier

File Reporting ABI Link Files Administration Help

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Item Masters Add new Delete Save Discard Search Export Refresh View 500 Record(s) Loaded

You have loaded your initial number of records. Please enter a search or click go to load more records.

Please use search to find the records that meet your specific requirements, you can search data against single or multiple columns.

Item Number And

Item Description

Advanced Search Restore Default Conditions Go

Drag a column header here to group by that column, to enhance grid filter use a wildcard search such as *

	Item Number	Standard Unit Weight	Import Treatment	Country Code	Zone Status Code
				US	
	JBFF-JGAA	0.18144005	FTZ	US	NPF
	IJAA-JJJB	0.00800013	FTZ	US	NPF
	GHFJ-GBBA	3.45605813	FTZ	US	NPF
	GHDC-GABA	3.45605813	FTZ	US	NPF
	GHBJ-GCBA	3.45605813	FTZ	US	NPF
	GHAH-GBBA	3.45605813	FTZ	US	NPF
	GFGE-GBBA	3.45605813	FTZ	US	NPF
	GFBI-GACB	1.00000000	FTZ	US	NPF
	GFAC-GABA	3.45605813	FTZ	US	NPF
	EIJB-JJDB	2.72159978	FTZ	US	NPF
	EIIB-JJDA	2.12703578	FTZ	US	NPF
	EIHH-JJDC	3.17505341	FTZ	US	NPF
	EIHF-JJDC	3.45905818	FTZ	US	NPF
	EIGG-JJDB	3.45605813	FTZ	US	NPF

Record 0 of 29

Starts with([Country Code], 'US')

Edit Filter

SmartZone Premier (Demo) FTZ\kellieck Application Administrator 1024 x 768

This will bring up a Filter Editor box and it will allow you to filter the data using additional criteria.

Filter Editor

And +

[Country] Begins with JP

OK Cancel Apply

Click on the (+) sign to add additional filters.

Click on the red “And” to change how the filter conditions are combined.

Click on the column name in the first blue parenthesis to choose a different column to filter.

Click on the green constraint to choose a different constraint.

Click on the blue data field to change the data to filter by.

Once you have added your additional filter criteria, click *Apply* and then click *OK*. The grid will update with the new filtered results. To cancel, click *Cancel* and the Filter Editor box will close and no additional filtering will be done.

GRID FUNCTIONALITY

The screenshot displays the SmartZone Premier application window. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. The main toolbar contains buttons for Add new, Delete, Save, Discard, Search, Export, Refresh, and View. The sidebar on the left contains sections for View Options, Actions, Reports, Display Options, and Record Loading Settings. The main grid shows a list of admissions with the following columns: Item Number, Receipt Number, Receipt Suffix, Receipt Date, Admission Date, and Item Description. The grid is filtered to show 500 records.

Item Number	Receipt Number	Receipt Suffix	Receipt Date	Admission Date	Item Description
Item Number: ABFC-ACEA Total 126.00, Total 126.00					
214 2009081000...	0		8/10/2009	8/10/2009	1201878136- 10 81318201101981413
288 2010020200...	0		2/2/2010	2/2/2010	1201878136- 10 81318201101981413
187 2010021600...	0		2/16/2010	2/16/2010	1201878136- 10 81318201101981413
227 2011042000...	0		4/20/2011	4/20/2011	1201878136- 10 81318201101981413
Item Number: ABFC-GABA Total 499.00, Total 499.00					
29	-1		7/23/2006	7/23/2006	17124- ABFC-GABC (211201927)
Item Number: ABFC-GAEB Total 519.00, Total 519.00					
00653 20110115...	26		1/15/2011	1/15/2011	0181824- 584113 214811 (215) BC21
00663 20110218...	27		2/18/2011	2/18/2011	0181824- 584113 214811 (215) BC21
00663 20110218...	1027		8/30/2011	8/30/2011	0181824- 584113 214811 (215) BC21
00663 20110218...	1028		4/2/2012	4/2/2012	0181824- 584113 214811 (215) BC21
205 2012051000...	0		5/10/2012	5/10/2012	0181824- 584113 214811 (215) BC21
Item Number: ABFC-GAJA Total 7,000.00, Total 7,000.00					
00092 20110513...	143		5/13/2011	5/13/2011	15110194- 0171201920174
00102 20110623...	144		6/23/2011	6/23/2011	15110194- 0171201920174

The status bar at the bottom shows: SmartZone Premier (Demo) FTZ\kellieck Application Administrator 1024 x 768



The grid is very versatile and users can manipulate the data in ways that will suit their needs.

Remove Unneeded Columns - To remove unneeded columns, click on the unneeded column and while holding down the mouse, drag the column up or down until you see an X and then release the mouse. The column will now be removed from the grid.

Reorder Columns - To reorder columns, click on the column to move and while holding down the mouse, drag the column to the left or right of the column headers and place the column in the position desired. Two arrows will appear between column headings letting the user know where the column will be placed. Release the mouse once the column is in the desired position.

Group by columns - To group by columns, click on the column to group by and while holding down the mouse, drag the column above the column heading to the “group by” box and release the mouse. The data in the grid will then be grouped by the column.

Expand rows – Once data is grouped, you can expand each individual row by clicking the (+) sign to the left of the group in the grid. To expand all rows, right click on the Group by box and select “Full Expand”.

Collapse rows - Once data is grouped, you can collapse each individual row by clicking the (-) sign to the left of the group in the grid. To collapse all rows, right click on the Group by box and select “Full Collapse”.

VIEW

QAD Precision FTZ provides the user with the option to configure and save different views in each section. The default grid may contain columns that the user does not want to see or the user may want to see the grid grouped by certain data. The *View* option will allow the user to optimize the grid according to their needs.



SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

View Options

Item Masters Add new Delete Save Discard Search Export Refresh View 500 Record(s) Loaded

Drag a column header here to group by that column, to enhance grid filter use a wildcard search such as *

Item Number	Item Description	Item Master Type	Company Unit of Measure
AAGI-GDEA	0181824- 584113 214811 (2D17) BC21	Regular Item	EA
JDHE-BABA	541119- 0	Regular Item	KG
IGIA-ADCA	178136- 1813015 171922CF	Regular Item	KG
IDIF-GDHB	0181824- 541119 178136	Regular Item	KG
IDIF-GDHA	0181824- 541119 178136	Regular Item	KG
HHAD-GDHA	17124 7125 BCDJ-GDHA 541119 178136 018	Regular Item	KG
HAFB-GDHA	0181824- 541119 178136	Regular Item	KG
BCDJ-GDHA	0181824- 541119 178136 (17BDE0)	Regular Item	EA
JAFB-GDHB	0181824- 541119 178136	Regular Item	EA
JDHE-BACA	541119- 1	Regular Item	EA
110-AAAB-A-7	17BDE0 1745178641701319	Regular Item	EA
110-AACC-A-7B	17CC 1745178641701319	Regular Item	EA
110-ABEB-A-7B	17BEB1 1745178641701319	Regular Item	EA
110-AEBA-A-7B	17EBA0 1745178641701319	Regular Item	EA
BGAH-AFDC	130124 15110194	Regular Item	EA
DEFE-AAJA	1101411- 1917180BC	Regular Item	EA
IFDG-DACA	140178136- 6 1813611 3111 171422	Regular Item	EA
IFDG-BACA	140178136	Regular Item	EA
BGAB-HBGA	0181824- 140178136 517141319 19717201819	Regular Item	EA
BCAA-ABHA	140178136- 19717201819	Regular Item	EA
IDIF-AHGA	140178136- 120813	Regular Item	EA

Record 1 of 500

SmartZone Premier (Demo) FTZ\kellieeck Application Administrator 1024 x 768

SAVE VIEW

To save a view according to your needs, configure the grid by removing unneeded columns, reorder columns, group by columns, etc. Then click View→ Save View.

1. Configure the grid view.

If desired, enter any search conditions you would like the view to automatically display.

To remove unneeded columns, click on the unneeded column and while holding down the mouse, drag the column up or down until you see an X and then release the mouse. The column will now be removed from the grid.

To reorder columns, click on the column to move and while holding down the mouse, drag the column to the left or right of the column headers and place the column in the position desired. Two arrows will appear between column headings letting the user know where the column will be placed. Release the mouse once the column is in the desired position.

To group by columns, click on the column to group by and while holding down the mouse, drag the column above the column heading and release the mouse. The data in the grid will then be grouped by the column. Once data is grouped, you can expand the data by clicking the arrow to the left of the group in the grid.

2. Once you have configured your view, click View→Save View.



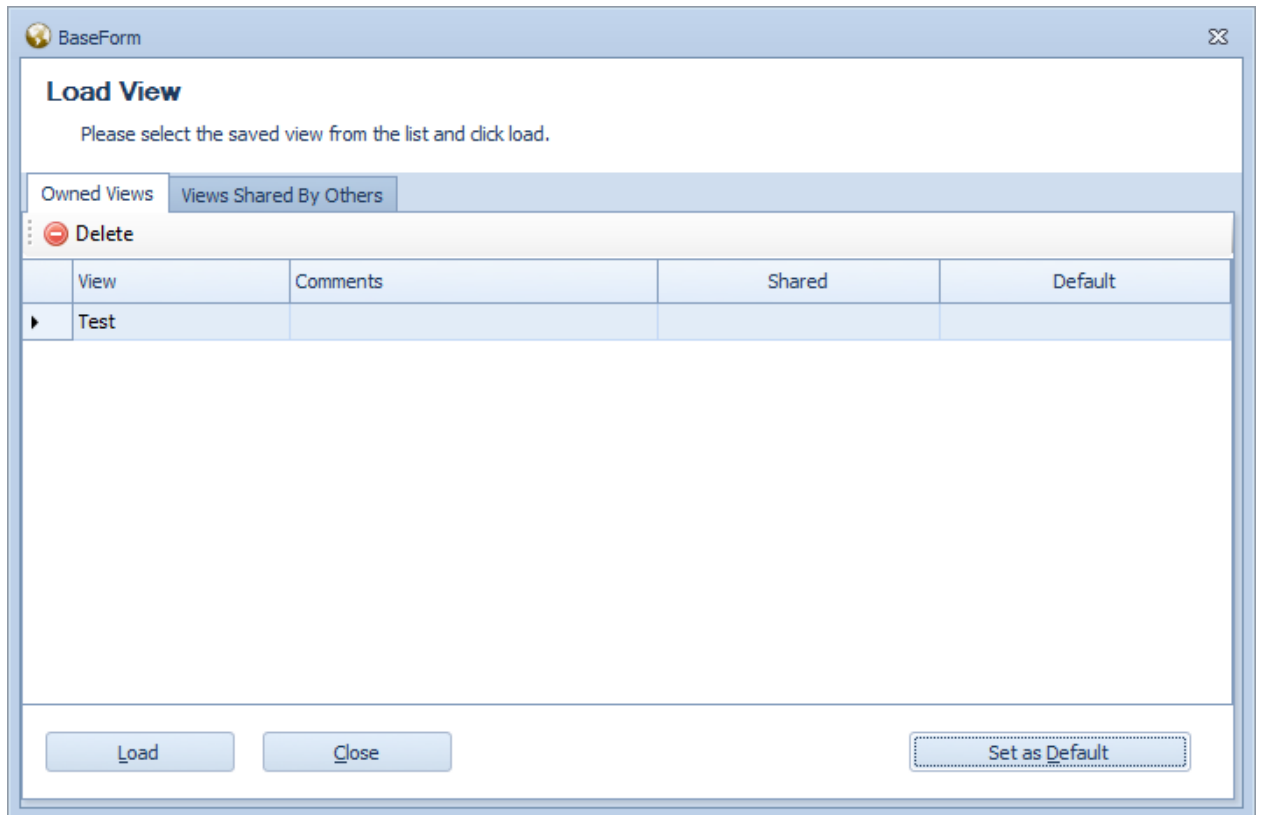
3. In the Save Grid View form, enter a View Name, whether you want the view shared with other users in your company, and configure the Save Options. Once complete, click Save.

You will get a message that states the view has been saved successfully.

LOAD VIEW

The Load View option allows you to load your saved views, make a saved view the default view, delete saved views, and load other views shared by other users in your company.

Load View - To load saved views, click View→Load View. All saved views will be visible in the grid. Select the view to load and click *Load*. The view will now be loaded.



Default View - To make a view the ‘default’ view, select the view and click *Set as Default*. You will get a message that states “The view has been set as the default view successfully”. Click *Ok*. This will be the view that you will see when you enter the section of QAD Precision FTZ that you saved this view for.

Delete View – To delete a view, select the view and click *Delete* at the top of the grid. You will get a message that asks for you to verify the deletion. Click *Yes* to delete the view or *No* to cancel the deletion request. You can only delete views that you have saved.

Views Shared By Others – To load the views that other users in your company have shared, click the *Views Shared By Others* tab. To load a view from this section, select the view and then click *Load*. The selected view will be loaded.

RESTORE SYSTEM STANDARD VIEW

If the user would like to restore the view to the default system standard, click View→Restore System Standard View.

MISSING DATA REPORT

SmartZone Premier

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Training Videos Server Process Monitor Reminders

Item Masters Add new Delete Save Discard Search Export Refresh View 500 Record(s) Loaded

View Options

View

Incomplete (1479)
Active (3430)
Inactive (0)

Actions

Deactivate
Re Activate

Reports

Missing Data Report

Display Options

Display Detail View

Record Loading Settings

Initial Record Load Size
500

Maximum Record Load Size
1000

Update

View Options

Record 1 of 500

SmartZone Premier (Demo) FTZ\kellieck Application Administrator 1024 x 768

Item Number	Item Description	Item Master Type	Company Unit of Measure
JHGJ-ADEB	184011- 172011417 3	Regular Item	EA
BEBI-AFAA	184011- 172011417 3	Regular Item	EA
BEBI-AEJA	184011- 172011417 18	Regular Item	EA
DAFA-AHBA	178136- 541119	Regular Item	EA
DAAG-FCAA	1917024- 514012 181924174134	Regular Item	EA
DAFH-FAAB	381821413198132043- 15106 18419	Regular Item	EA
DAFH-FAAA	381821413198132043- 15106 18419	Regular Item	EA
DCAB-FAAA	381821413198132043- 15106 18419	Regular Item	EA
DAAA-FAEA	514012- 111422417 2013819 11423	Regular Item	EA
DAAA-FADA	514012- 201515417 2013819 11423	Regular Item	EA
CCBE-FAEA	514012- 111422417 2013819 11423	Regular Item	EA
CCBE-FADA	514012- 201515417 2013819 11423	Regular Item	EA
CCAD-FAEA	514012- 111422417 2013819 11423	Regular Item	EA
CCAD-FADA	514012- 201515417 2013819 11423	Regular Item	EA
CCBA-FAAA	381821413198132043- 15106 18419	Regular Item	EA
CCAI-FAAA	381821413198132043- 15106 18419	Regular Item	EA
CAFA-FCAA	1917024- 16	Regular Item	EA
ECBB-CFDA	1141119- D 1570184 214131342191417	Regular Item	EA
EGCC-BBGA	64017- 14182811110198136	Regular Item	EA
EGCC-ADBA	17124- EGCC-BBHA (64017 582343)	Regular Item	EA
ECBH-GHIA	601810419	Regular Item	EA

The Missing Data Report provides a report of the data that is missing from incomplete records. The report is located on the left side of the grid under *Reports* in the Item Master, Arriving Cargo, Admissions, and Shipments sections of QAD Precision FTZ. Running this report can also re-validate any data that may have been corrected in another section of QAD Precision FTZ. For example, in Admissions, if there are receipts sitting incomplete because the item number is also incomplete, you can run the Missing Data Report once you have corrected the item number in Item Master. This will re-validate the receipt and move it to Pending Approval or Approved.

In order for the Missing Data Report to be available for use, you must be in the *Incomplete* view.

RECORD LOADING SETTINGS



The screenshot shows the SmartZone Premier application window. The 'Item Masters' section is active, displaying a list of items. On the left, the 'Record Loading Settings' dialog box is open, showing the 'Initial Record Load Size' set to 125 and the 'Maximum Record Load Size' set to 10000. The 'Update' button is visible at the bottom of the dialog. The main window shows a table of items with columns: Item Number, Item Description, Item Master Type, and Company Unit of Measure. The status bar at the bottom indicates 'Record 1 of 500' and '1024 x 768' resolution.

The Record Loading Settings are available in each transactional section of QAD Precision FTZ. This setting allows the user to choose the Initial Record Load Size and the Maximum Record Load size of the grid. This setting is maintained even if the user logs out of QAD Precision FTZ.

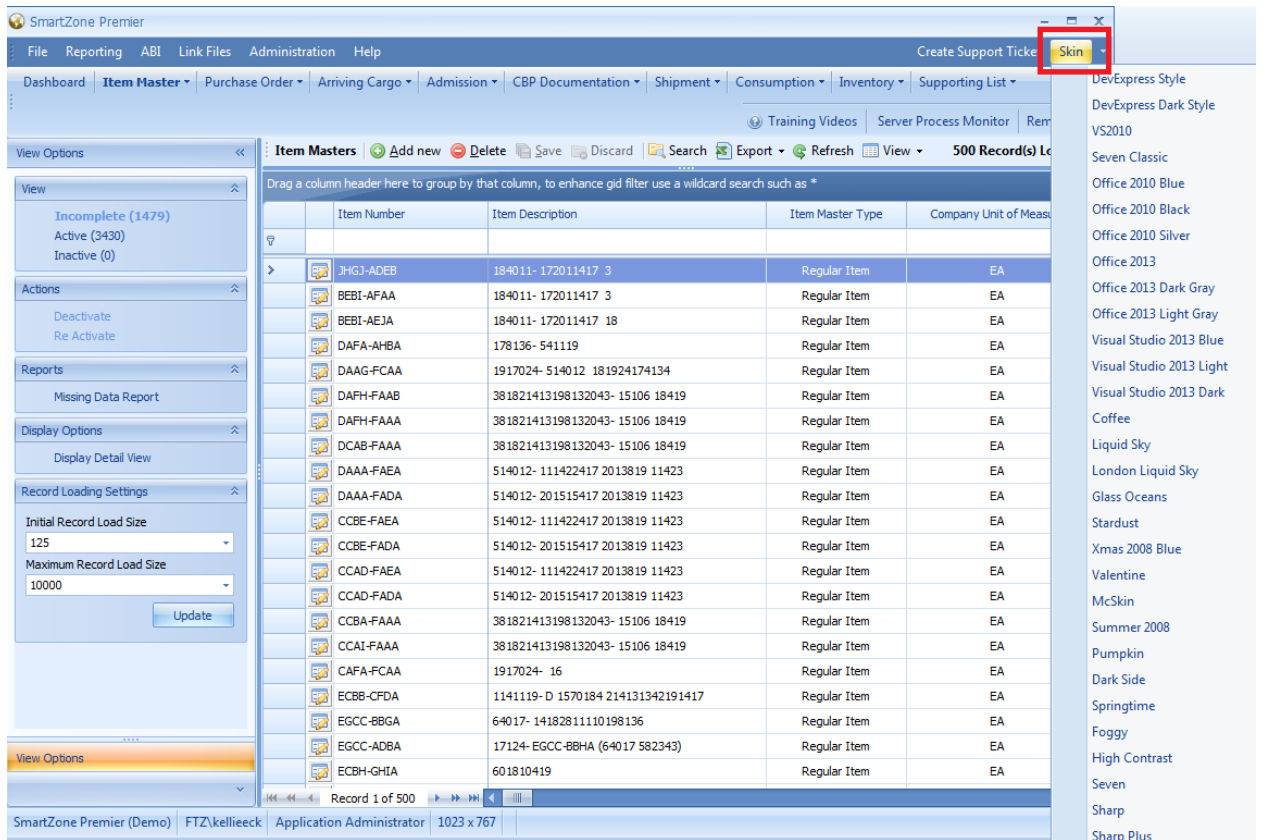
Initial Record Load Size – this is the maximum amount of records that will return on the initial load of the grid.

Maximum Record Load Size – this is the maximum amount of records that will return in the grid.

To change the Record Load Settings, choose an Initial Record Load Size and a Maximum Record Load Size from the dropdowns and then click *Update*.

SKINS





The screenshot shows the SmartZone Premier application window. The 'Item Masters' screen is active, displaying a table of item records. The 'Skin' menu is open in the top right corner, showing a list of available skins. The table has columns for Item Number, Item Description, Item Master Type, and Company Unit of Measure. The status bar at the bottom indicates 'Record 1 of 500' and '1023 x 767'.

Item Number	Item Description	Item Master Type	Company Unit of Measure
JHGJ-ADEB	184011- 172011417 3	Regular Item	EA
BEBI-AFAA	184011- 172011417 3	Regular Item	EA
BEBI-AEJA	184011- 172011417 18	Regular Item	EA
DAFA-AHBA	178136- 541119	Regular Item	EA
DAAG-FAAA	1917024- 514012 181924174134	Regular Item	EA
DAFH-FAAB	381821413198132043- 15106 18419	Regular Item	EA
DAFH-FAAA	381821413198132043- 15106 18419	Regular Item	EA
DCAB-FAAA	381821413198132043- 15106 18419	Regular Item	EA
DAAA-FAEA	514012- 111422417 2013819 11423	Regular Item	EA
DAAA-FADA	514012- 201515417 2013819 11423	Regular Item	EA
CCBE-FAEA	514012- 111422417 2013819 11423	Regular Item	EA
CCBE-FADA	514012- 201515417 2013819 11423	Regular Item	EA
CCAD-FAEA	514012- 111422417 2013819 11423	Regular Item	EA
CCAD-FADA	514012- 201515417 2013819 11423	Regular Item	EA
CCBA-FAAA	381821413198132043- 15106 18419	Regular Item	EA
CCAI-FAAA	381821413198132043- 15106 18419	Regular Item	EA
CAFA-FAAA	1917024- 16	Regular Item	EA
ECBB-CFDA	1141119- D 1570184 214131342191417	Regular Item	EA
EGCC-BBGA	64017- 14182811110198136	Regular Item	EA
EGCC-ADBA	17124- EGCC-BBHA (64017 582343)	Regular Item	EA
ECBH-GHIA	601810419	Regular Item	EA

QAD Precision FTZ allows the user to choose different Skins. The Skin is user specific and is retained when the user logs out of QAD Precision FTZ.

Chapter 2 Supporting Lists

Functional Overview

The various Supporting Lists described in this chapter contain expanded information pertaining to specific repetitive data that is used at different times throughout QAD Precision FTZ. Rather than having the user re-enter this common information each time it is required and maintain it multiple times, it is collected in the Supporting Lists to ensure consistency throughout the system and to simplify maintenance. Once the Supporting Lists are established by the user, they are linked to a number of the transaction lists and are accessed as necessary through pull down buttons in the forms.

Updates to each of these lists are direct updates to the data records themselves so that any changes made will immediately impact linked records. Since these lists are often used as values for pull down menus to eliminate keying, it is recommended that these lists be limited to only those entries actually used by the zone. Excess records will cause confusion. In addition, limiting the number of entries in the lists minimizes the maintenance required for each list.



SUPPORTING LIST PROCESSING

Some supporting lists have preset data that can be selected for use within QAD Precision FTZ. Other lists require the user to manually input data for use within QAD Precision FTZ.

PROCESS FOR SUPPORTING LISTS REQUIRING DATA INPUT BY USER

1. Select Supporting List from the toolbar.
A listing of all the Supporting Lists is displayed.
 2. Select the Supporting List to be updated.
A grid showing all of the records contained in the list is displayed. The records in the grid can be sorted by clicking on any heading in the grid. The data in the grid can also be searched by entering the search criteria in the space beneath the heading or by clicking Search in the grid.
Beneath the grid, the detailed information about the record currently highlighted is displayed in a maintainable form.
 3. Access the record to be modified or displayed by highlighting it in the grid.
To add a new record, click Add New.
To delete a displayed record, click Delete and then Save.
 4. Maintain the list entries as required by completing or changing the information contained in the form.
- Note: Because Supporting Lists are interactively linked to a number of core areas, such as Item Master, Admissions, and Shipments, changes to these lists affect all linked records immediately. Therefore, special care should be used when changing these lists. Only users with a security level of Administrator will be able to delete Supporting List entries in order to ensure data integrity.
5. Click Save to save any new records or changes to an existing record.

PROCESS FOR SUPPORTING LISTS THAT REQUIRE SELECTION OF PRESET DATA

1. Select Supporting List from the toolbar.
A listing of all the Supporting Lists is displayed.
 2. Select the Supporting List to be updated. A grid showing all of the records contained in the List is displayed. The records in the grid can be sorted by clicking on any heading in the grid. The data in the grid can also be filtered by entering the search criteria in the space beneath the heading or by clicking Search in the grid. Beneath the grid, the detailed information about the record currently highlighted is displayed in a maintainable form.
 3. Access the record to be modified or displayed by highlighting it in the grid. To add a record for use within QAD Precision FTZ for your company, select the record by checking the box to the left of the record. To remove a record from being used within QAD Precision FTZ, uncheck the box to the left of the record.
- Note: You will not be able to remove supporting data from your supporting list if the data is linked by records within QAD Precision FTZ.
4. Click Save to save any records.



LIST DESCRIPTIONS
BUYERS



Description:

The Buyers list contains the Buyer Codes established by the zone user. It is simply reference information that is included on Items and Purchase Orders to determine who is responsible for the purchase of the item and where they can be reached, should questions arise.

Like other Supporting Lists, updates to this list are direct updates and are immediately reflected in any linked lists.

Field Name	Usage
BUYERS	
Buyer Code	Company's number for individual buyers. Internal code for analysis use only, may use "default"
Buyer Name	Individual buyers. Internal code for analysis use only, may use "default"
Comments	For user reference

Strategies for Maintaining the Buyer list

Every Item Master record and Purchase Order must be assigned a *Buyer Code*. Even if the company should decide not to use the Purchase Order/Buyer Information within QAD Precision FTZ, a single Buyer record must be established in the Buyer list.



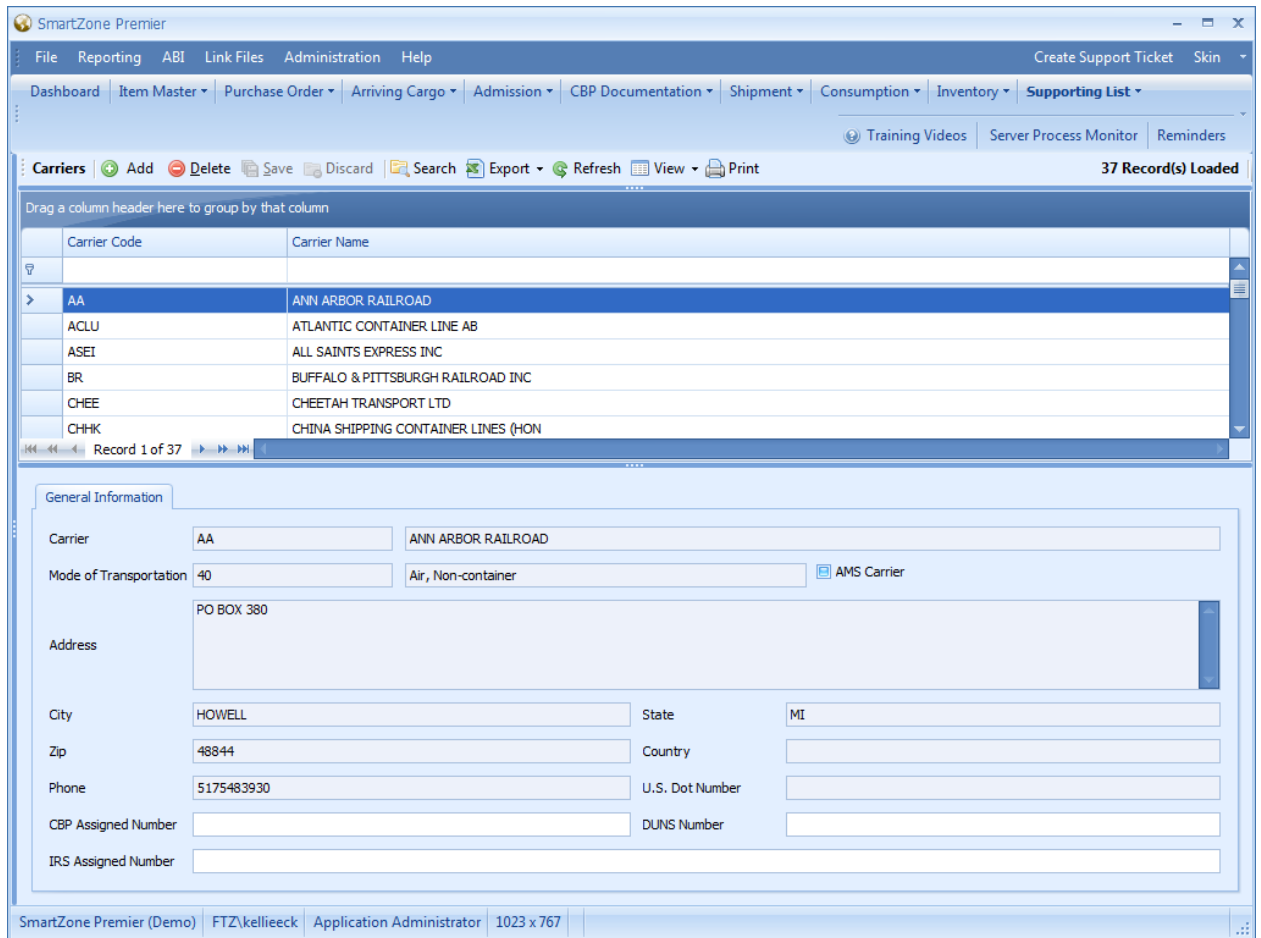
If the company does use the Purchase Order/Buyer function, only those buyers involved in the purchase of foreign-trade zone items should be included in the Buyer list.

Where Used:

Item Master Processing, Purchase Order Processing



CARRIERS



The screenshot displays the SmartZone Premier interface. At the top, there's a menu bar with options like File, Reporting, ABI, Link Files, Administration, and Help. Below this is a sub-menu bar with various functional areas such as Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. A toolbar contains icons for Add, Delete, Save, Discard, Search, Export, Refresh, View, and Print. The main area shows a list of carriers with columns for Carrier Code and Carrier Name. The first record is highlighted: AA (ANN ARBOR RAILROAD). Below the list, the 'General Information' form is visible, showing details for the selected carrier: Carrier Code AA, Carrier Name ANN ARBOR RAILROAD, Mode of Transportation 40 (Air, Non-container), Address PO BOX 380, City HOWELL, State MI, Zip 48844, Phone 5175483930, and checkboxes for AMS Carrier, CBP Assigned Number, DUNS Number, and IRS Assigned Number.

Description:

The Carriers list identifies the different carriers used to transport foreign status merchandise. On the inbound side, the carrier information must be included in the Admissions documents. On the outbound side, the Carrier information must be reported on In-Bond documentation.

Field Name	Usage
CARRIERS	
Carrier	Air Carriers: 2-character alpha code listed in the International Air Carriers Guide or the Bureau of Census. Ocean/Truck: 4-character alpha code listed in SCAC
Carrier Name	Bonded carrier's legal name
Address	Bonded carrier's address
City	Bonded carrier's city
State	Bonded carrier's state
Zip	Bonded carrier's zip
Country	Bonded carrier's country
Phone	Bonded carrier's phone
U.S. Dot Number	Bonded carrier's U.S. dot number
DUNS Number	Dun and Bradstreet DUNS number
IRS Assigned Number	IRS Number Assigned by CBP



	Valid format for electronic filing: NN-NNNNNNXX N = number, X = alphanumeric
CBP Assigned Number	CBP Assigned Number Valid format for electronic filing: YYDDPP-NNNN YY = the last two digits of the calendar year when the number is assigned, DDPP = the district/port code where the number is assigned

CBP Instructions on Carrier Code to be used:

For merchandise arriving in the U.S. by air, enter the IATA code corresponding to the name of the airline which transported the merchandise from the last airport of foreign lading to the first U.S. airport of unloading. Use the two-character alpha code listed in the International Air Carriers Guide. A listing of two-character alpha air carrier codes is also provided by the Bureau of Census, which may be used if a carrier code has not been assigned by the IATA.

Use the standard four-alpha/numeric multimodal carrier and tariff agent codes (SCAC) for sea carriers.

Note: Carrier Codes are subject to change and should be checked periodically. You can use the Carrier Query to search for new carriers or update carrier information.

Where Used:

Arriving Cargo Information, Admission Reporting, In-Bond Documentation Processing

CARRIER QUERY



The screenshot shows the 'SmartZone Premier' application window. The top menu bar includes 'File', 'Reporting', 'ABI', 'Link Files', 'Administration', and 'Help'. Below the menu is a navigation bar with tabs: 'Dashboard', 'Item Master', 'Purchase Order', 'Arriving Cargo', 'Admission', 'CBP Documentation', 'Shipment', 'Consumption', 'Inventory', and 'Supporting List'. The 'Supporting List' tab is active. On the right side of the navigation bar, there are links for 'Training Videos', 'Server Process Monitor', and 'Reminders'. The main content area is titled 'Search By' and has two radio buttons: 'Carrier Code' (selected) and 'Carrier Name'. Below this is a 'Carrier Code' input field and a 'Look Up' button. The form contains several other input fields: 'Carrier Code', 'Carrier Name', 'Carrier Address' (a large text area), 'Mode of Transportation Code', 'CBP Assigned Number', 'Duns Number', and 'IRS Assigned Number'. A 'Save Carrier' button is located at the bottom right of the form. The status bar at the bottom shows 'SmartZone Premier (Demo)', 'FTZ\kellieck', 'Application Administrator', and '1023 x 767'.

Description:

The Carrier Query can be used if there is a carrier that does not show up in the Carrier list or the carrier information in the Carrier list needs to be updated.

Field Name	Usage
CARRIER QUERY	
Search By	Allows you to search by Carrier Code or Carrier Name
Carrier Code	Enter the carrier code or carrier name you need to look up.
Carrier Code	Air Carriers: 2-character alpha code listed in the International Air Carriers Guide or the Bureau of Census. Ocean/Truck: 4-character alpha code listed in SCAC
Carrier Name	Bonded carrier's legal name
Carrier Address	Bonded carrier's address
Mode of Transportation Code	Indicates the mode of transport of the carrier
CBP Assigned Number	9-digit CBP assigned number designating Bonded carrier status
DUNS Number	Dun and Bradstreet DUNS number
IRS Assigned Number	IRS Number assigned by CBP



How to search and save a carrier

To search a carrier you will need to select whether you are searching by the “Carrier Code” or the “Carrier Name”.

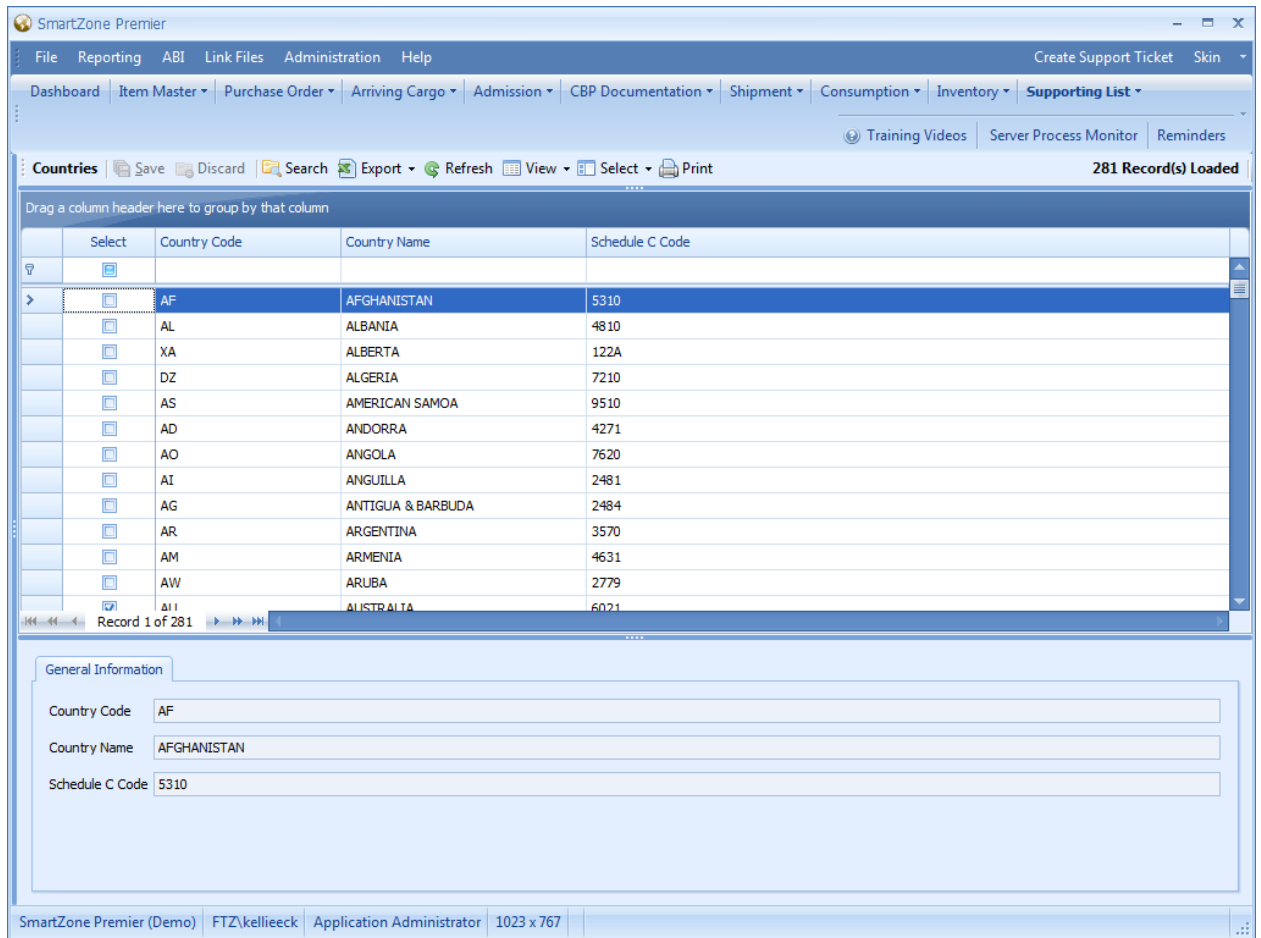
Note: ABI is limited in its ability to return results as it can only return one result from the search. Therefore, if you enter a Carrier Name of “Delta”, it will only return the first match from ABI and not all carriers that have the name “Delta”. It is recommended that you search by Carrier Code since this will return an exact match.

Enter the carrier search criteria next to the “Carrier Code” field and then click *Look Up*. QAD Precision FTZ will query ABI for either the carrier code or the carrier name that you entered. If there is a match, the results will return in the bottom of the page. To save the results to your Carrier list, click *Save Carrier*.

Where Used:

Carrier Supporting List

COUNTRY



Select	Country Code	Country Name	Schedule C Code
☐	AF	AFGHANISTAN	5310
☐	AL	ALBANIA	4810
☐	XA	ALBERTA	122A
☐	DZ	ALGERIA	7210
☐	AS	AMERICAN SAMOA	9510
☐	AD	ANDORRA	4271
☐	AO	ANGOLA	7620
☐	AI	ANGUILLA	2481
☐	AG	ANTIGUA & BARBUDA	2484
☐	AR	ARGENTINA	3570
☐	AM	ARMENIA	4631
☐	AW	ARUBA	2779
☐	AU	AUSTRALIA	6021

General Information

Country Code: AF

Country Name: AFGHANISTAN

Schedule C Code: 5310

Description:

The Countries list identifies the different foreign countries where merchandise intended for admission to the zone originates. The country of origin for merchandise admitted to the zone is determined by very specific rules which should be carefully studied by the zone operator as part of reasonable care efforts. For goods manufactured in the zone, the actual country of origin is normally U.S. However, for CBP reporting for manufactured goods containing foreign status merchandise, the country of origin used for determining applicable duty is the foreign country accounting for the highest percentage of foreign value in the goods.

The codes for each foreign country are assigned by the Census Bureau and found in both Schedule C and the ISO Country list. This list is also used to identify whether the United States considers this country a Normal Trading Relations (NTR) Partner, and therefore eligible for Column One duty rates. In addition, it is used to indicate which special trade agreements to which this country is a signatory, such as U.S.-Israel Free Trade Program or NAFTA, or special trade programs that this country is eligible for such as General System of Preferences (GSP) or Caribbean Basin Initiative (CBI). Participation in these types of programs may entitle the country to "special" Column One duty rates if certain criteria are met.

Field Name	Usage
COUNTRY	



Country Code	2-character alpha ISO code for each country that Company may receive admissions from or ship goods to
Country Name	Each country that Company may receive admissions from or ship goods to
Schedule C Code	4 character numeric code for each country that company may receive admissions from or ship goods to

Where Used:

Item Master Processing, Purchase Orders, Arriving Cargo Information, Admissions Processing, Shipment Processing, and Import and In-Bond Documentation Processing



CURRENCY TYPE

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Currency Types Save Discard Search Export Refresh View Select Print 182 Record(s) Loaded

Drag a column header here to group by that column

Select	Currency Code	Currency Name
☐	AED	UAE Dirham
☒	AFA	Afghani
☒	ALL	Lek
☒	AMR	Ruble
☒	ANG	Netherlands Antillian Guilder
☒	AOK	Kwanza
☒	ARP	Argentine Peso
☒	ATS	Schilling
☒	AUD	Australian Dollar
☒	AZR	Ruble
☒	BBD	Barbados Dollar
☒	BDT	Taka

Record 2 of 182

General Information

Currency Code: AFA

Currency Name: Afghani

SmartZone Premier (Demo) FTZ\kellieck Application Administrator 1023 x 767

Description:

The Currency Type list allows the user to select currencies that will be used to admit goods into QAD Precision FTZ. All users will select US Dollar (USD) and any foreign currencies used for admission receipt.

All currency types must be selected in the Currency Type supporting list before the currency conversion for that currency type can be entered and utilized.

Field Name	Usage
Currency Types	
Currency Code	A three digit code used to reference the currency type
Currency Name	Currency Name

Where Used:



*Admission Reporting***CURRENCY EXCHANGE QUERY**

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Currency: EUR Eurodollar

Country: EU EUROPEAN MONETARY UNION

Exchange Rate Date: 11/1/2016 Query

Query Result

Currency Code: EUR Eurodollar

Country Code: EU EUROPEAN MONETARY UNION

Exchange Rate Date: 11/1/2016

Exchange Rate 1: 1.1042

Rate Indicator 1:

Equivalent Dollar Amount 1: 110.000000

Exchange Rate 2: 0.000000

Rate Indicator 2:

Equivalent Dollar Amount 2: 0.000000

Rate Indicator 3:

Exchange Rate 3: 0.000000

Equivalent Dollar Amount 3: 0.000000

Narrative:

Remarks:

Update Query Result

Sleep Tite Trailers FTZ\kellieck Application Administrator 1024 x 768

Description:

The Currency Exchange Query allows the user to query CBP for the currency conversion factors for foreign currencies. If the user also receives zone material with invoices and payment made in foreign currency, QAD Precision FTZ will automatically convert the foreign currency to U.S. Dollars based off the conversion rate for the date of export. QAD Precision FTZ will automatically download the currency conversion rates daily. This will allow QAD Precision FTZ to convert all foreign value to the correct dutiable value in U.S. Dollars.

All currency types and countries must be selected in their respective supporting list before the currency conversion for that currency type and country can be entered and utilized.

Field Name	Usage
Currency Exchange Query – Inputs	
Currency	The International Organization for Standardization (ISO) country code representing the country of the currency.
Country	The ISO currency code identifying the type of currency.



Exchange Rate Date	Currency Conversion Factor Date
Query Result	
Currency Code	The International Organization for Standardization (ISO) country code representing the country of the currency.
Country Code	The ISO currency code identifying the type of currency.
Exchange Rate Date	A numeric date in MMDDYY (month, day, year) format representing the exchange rate date.
Exchange Rate 1	The first exchange rate for a country and date. Six decimal places are implied. If the rate returned is 0123456, the exchange rate would be .123456.
Rate Indicator 1	A code indicating the type of exchange rate for a country and date. Valid Indicator Codes are: Code Description Q Quarterly D Daily N None (Not available for Federal Reserve Bank for that date) X Reserved for future use If the rate for a given date is not available, the indicator returned is <i>N</i> and the rate returned is 0000000. A record with an indicator of <i>N</i> is a valid record.
Equivalent Dollar Amount 1	The equivalent dollar amount of the foreign currency selected.
Exchange Rate 2	The second exchange rate for a country and date. Six decimal places are implied. If the rate returned is 0123456, the exchange rate would be .123456.
Rate Indicator 2	A code indicating the type of exchange rate for a country and date.
Equivalent Dollar Amount 2	The equivalent dollar amount of the foreign currency selected.
Rate Indicator 3	A code indicating the type of exchange rate for a country and date.
Exchange Rate 3	The third exchange rate for a country and date. Six decimal places are implied. If the rate returned is 0123456, the exchange rate would be .123456.
Equivalent Dollar Amount 3	The equivalent dollar amount of the foreign currency selected.
Narrative	User maintained field. Internal reference.
Remarks	User maintained field. Internal reference.

How to query a currency exchange rate

Select the Currency for which you need to obtain a conversion rate.



The Country will auto-populate in the Country field.

The Exchange Rate date will auto-populate to yesterday's date. You can change the date if necessary to match the export date of the merchandise.

Click *Query* to query ABI for the conversion rate. When the results return, click *Update Query Results*. This will save the conversion rate so that the invoice unit cost of the admission receipts for the merchandise will convert to USD.

Where Used:

Admission Reporting



CUSTOMERS

The screenshot shows the SmartZone Premier interface. At the top, there's a menu bar with options like File, Reporting, ABI, Link Files, Administration, and Help. Below this is a sub-menu bar with various functional areas: Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The main area displays a list of customers under the 'Customers' tab. The list has columns for Customer Number and Customer Name. The first three rows are: TESTADD86 (TEST), C5152T (TEST CUSTOMER 5152), and 123456 (Tire Inc.). Below the list, there's a 'Customer General Information' section with tabs for 'Customer Locations', 'Ultimate Consignee', 'Forwarding Agent', and 'Comments'. The 'Customer Locations' tab is active, showing a table with columns: Customer Name, Customer Number, Street Address, Customer City, and Customer Postal Code. Two locations are listed: 'Tire Inc. - Los Angeles' (123456, 2325 Fairway Dr., Los Angeles, 115646) and 'Tire Inc. - Alabama' (123456). Below this, there's a 'General Information' section with fields for Customer Number (123456), Customer Name (Tire Inc. - Los Angeles), FIRMS Code (FIRMS), Street Address (2325 Fairway Dr.), Postal Code (115646), and City (Los Angeles).

Description:

This list contains all of the Customer Information necessary to complete In-Bond documentation. It is required for In-Bond shipments and individual Imports not applied to an estimate. Also included in the Customers list is the information identifying any Ultimate Consignee or Forwarding Agent used regularly by the customer.

Updates to this list are direct updates and are immediately reflected in any linked areas.

Field Name	Usage
Customer General Information	
Customer Number	Internal reference number assigned to the customer account
Customer Name	Name to appear on shipping documents, etc.
Customer Locations	
Customer Name	Name to appear on shipping documents, etc.



Customer Number	Internal reference number assigned to the customer account
Street Address	Customer's street address
Postal Code	Customer's postal code
Customer City	Customer's city
State	Customer's state
Country	Customer's country
Ultimate Consignee	Ultimate Consignee's name, street address, city, state, postal code and country
Forwarding Agent	Forwarding Agent's name, street address, city, state, postal code, and country
Comments	For user reference

How to manage customers

To add a customer: Click *Add New* above the Customers grid. In the Customer General Information tab, enter the Customer Number and Customer Name. You must enter a location before you can save the customer.

To add a customer location: On the Customer Locations tab, click *Add Location* above the Customer Locations grid. Enter the customer location information and click *Save* when complete. To add additional locations, repeat these steps.

To delete a customer: In the Customers grid, select the customer and click *Delete*, then click *Save*.

To delete a customer location: On the Customer Locations tab, select the location and click *Delete Location*, then click *Save*.

Where Used:

In-Bond Shipment Records, Individual Import Shipment Records, In-Bond Documentation Processing.

HARMONIZED TARIFF SCHEDULE GROUP



Description:

The Harmonized Classification Schedule Group supporting list contains a record for each Harmonized Classification Schedule Group that the user sets up for watch or set processing. In order to utilize HTSUS in the Harmonized Tariff Schedule Group supporting list, the HTSUS must be selected in the Harmonized Tariff Schedule supporting list.

Field Name	Usage
HARMONIZED TARIFF SCHEDULE GROUP	
Harmonized Classification Group Tab	
Harmonized Tariff Group	If set processing is checked, this will be the set group HTS. If set processing is unchecked, this will be the watch group HTS.
Group Description	CBP legal description
Comments	Internal user reference
Set Processing	Check this box if the group is a set group. Leave unchecked if the group is a watch group
Harmonized Classification Group Items tab	
Harmonized Tariff Schedule	CBP classification for all components, raw materials and finished goods. Suggested format 9999.99.9999
Commodity Description	CBP legal description
Column 1 Rate Ad Valorem	The ad valorem rate of duty that appears in the General column of the HTS.



Column 1 Rate Other	The rate of duty that appears in the General column of the HTS that is not an ad valorem rate.
Column 1 Specific Rate	The specific rate of duty that is in the General column in the HTS.
Column 2 Rate Ad Valorem	The ad valorem rate of duty that appears in Column 2 of the HTS.
Column 2 Rate Other	The rate of duty that appears in Column 2 of the HTS that is not an ad valorem rate or a specific rate.
Column 2 Rate Specific	The specific rate of duty that appears in Column 2 of the HTS.
Antidumping Duty Flag	If the HTSUS may be subject to antidumping, this field will be flagged with a 1
Duty Computation Code	A code representing the method of duty computation.
Begin Effective Date	Date the HTS became effective
End Effective Date	Date the HTS expires

How to manage Harmonized Tariff Schedule Groups

To add a new group, click *Add New* above the Harmonized Tariff Schedule Group grid. On the Harmonized Classification Group tab, check *Set Processing* if you are setting up a set group. Keep unchecked if you are setting up a watch group.

Enter the Harmonized Classification Group name and provide a Group Description.

On the Harmonized Classification Group Items tab, click *Add New* to add HTS numbers to the group. Continue to click *Add new* for each HTS in the group. Click *Save* once completed.

To delete HTS numbers from the group, click *Delete* on the Harmonized Classification Group Items tab and then click *Save*.

To delete a Harmonized Classification Group, click *Delete* above the Harmonized Tariff Schedule Group grid and then click *Save*.

Where Used:

Item Master Processing, Admission Processing, Admissions Reporting, CBP Document Preparation, Shipment Processing, Consumption Processing



HARMONIZED TARIFF SCHEDULE

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Harmonized Tariff Schedule Save Discard Search Export View Select Print 100 Record(s) Loaded

Please use search to find the records that meet your specific requirements, you can search data against single or multiple columns.

Tariff Number And

Commodity Description

Advanced Search ☐ Search active records only ☐ Search company records only

Drag a column header here to group by that column Hint: To enhance grid filter use a wildcard search such as *

Select	Tariff Number	Selected	Commodity Description	Begin Effective Date	End Effective Date	Primary Unit Of Measure
☐	7607113000	☒	ALUM FOIL,NT BACK,ROLL/T=<	1/1/2007	12/31/2008	KG
☐	7607113000	☒	ALUM FOIL,NT BACK,ROLL/T=<	1/1/2009	1/31/2009	KG
☐	7607113000	☒	ALUM FOIL,NT BACK,ROLL/T=<	2/1/2009	3/14/2012	KG
☐	7607113000	☒	ALUM FOIL,NT BACK,ROLL/T=<	3/15/2012	5/14/2012	KG
☐	7607113000	☒	ALUM FOIL,NT BACK,ROLL/T=<	5/15/2012	10/30/2012	KG
☐	7607113000	☒	ALUM FOIL,NT BACK,ROLL/T=<	10/31/2012	12/31/2012	KG
☐	7607113000	☒	ALUM FOIL,NT BACK,ROLL/T=<	1/1/2013	12/31/2013	KG
☐	7607113000	☒	ALUM FOIL,NT BACK,ROLL/T=<	1/1/2014	12/31/2014	KG

Record 1 of 100

General Information Duties Other Government Agency Special Programs

Tariff Number

Commodity Description

Begin Effective Date

End Effective Date

Primary Unit Of Measure

Secondary Unit Of Measure

Third Unit Of Measure

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Description:

The Harmonized Classification Schedule supporting list contains a record for each Harmonized Classification number which is provided by CBP. Each record contains the information critical to the use of the HTSUS number, such as *Description*, *Primary Reporting Unit of Measure*, the *Column One* and *Column Two Duty Rates*, and *Effective Date of Classification*.

Note: The U.S. International Trade Commission issues updates to this list as HTSUS numbers and duty rates are re-negotiated.

Because the information contained in QAD Precision FTZ can span a lengthy period of time, the same HTSUS number can occur multiple times in the Harmonized Classification list. This allows QAD Precision FTZ to accommodate the changes in the duty rates as they are implemented. The date parameters *Begin Effective Date* and *End Effective Dates* uniquely identify these multiple occurrences within each record. These parameters are particularly



important when removing foreign status goods from the zone since the applicable duty is determined by a combination of zone status, consumption or admission date, and country of origin.

A Harmonized Classification number with an effective date is assigned to each Item Number added to QAD Precision FTZ. The HTSUS assigned to the Item Number then becomes the default HTSUS assigned to Admissions for that Item. The HTSUS from the Admission then gets passed on to Shipment Records and CBP Entries as needed for Privileged Foreign Status items and items that do not change in condition while in the zone. If either the HTSUS number itself or the duty rates associated with a HTSUS are changed by the U.S. ITC, an Item Master HTS Update Transaction must be applied against the affected Item Master numbers to ensure that they are linked to the correct Harmonized Classification record.

Field Name	Usage
HARMONIZED TARIFF SCHEDULES	
General Information Tab	
Tariff Number	CBP classification for all components, raw materials and finished goods. Suggested format 9999.99.9999
Commodity Description	CBP legal description
Begin Effective Date	Date the HTS became effective
End Effective Date	Date the HTS expires
Primary Unit of Measure	UOM as stated in the HTSUS. UOM must be entered into the Unit of Measure Supporting List
Secondary Unit of Measure	Enter if a second UOM is listed for the HTS number in the HTSUS. Example: primary UOM = m2 and secondary = kg
Duties Tab	
Column 1 Rate Ad Valorem	Primary Duty Rate found in the first column of the HTSUS if applied to the dutiable value of the item. Example: 5% is formatted as .05
Column 1 Duty Assessed on Primary Unit of Measure	List the duty \$ amount here if it is applied to the primary unit of measure. Example: duty = \$0.07 per kg
Column 1 Duty Assessed on Secondary Unit of Measure	List the duty \$ amount here if it is applied to the secondary unit of measure. Example: secondary UOM = m2 Duty = \$0.15 per m2
Classification Effective Date	Official date that CBP releases HTSUS data. Usually 01/01/XX, but may be multiple times per year. This allows historical layering of HTS information. This is a required field
Column 2 Duty Rate	Primary Duty Rate found in the second column of the HTSUS if applied to the dutiable value of the item. For un-favored foreign countries example 50% is formatted as .5
Column 2 Duty Assessed on Primary Unit of Measure	List the duty \$ amount from Column 2 if it is applied to the primary unit of measure. Example: Column 2 duty = \$0.57 per kg
Column 2 Duty Assessed on Secondary Unit of Measure	List the duty \$ amount from Column 2 if it is applied to the secondary unit of measure. Example: Column 2 secondary UOM = m2 Duty = \$0.65 per m2
Classification Termination Date (if applicable)	Last date that the HTSUS data is valid. Usually 12/31/xx, but may be multiple times per year. This allows historical layering of HTS information.
Comments Tab	
Scrap Tab	
Scrap Harmonized Classification	The HTS Classification number for the scrap associated with the primary HTS number. Note: If the <i>Browse</i> button (...) is used, it puts



	the user in the HTS Supporting List which will allow the user to “double click” on the scrap HTS and will automatically populate all fields in this tab. An HTS number cannot be utilized until it is entered into this same list.
Description	The HTS legal description for the chosen scrap HTS
Effective Date	HTS effective date – automatically populated only
Termination Date	HTS end date – automatically populated only

Strategies for Maintenance of the Harmonized Classification list:

Add only the Harmonized Classification numbers to the list that will actually be used to classify end products or imported components. This field is used as a pull down menu in a number of places within QAD Precision FTZ. Having more Harmonized Classification numbers than needed can lead to confusion.

To ensure compliance and accuracy, make sure a copy of the Harmonized Tariff Schedule of the U.S. is at hand when establishing the Harmonized Classification list to ensure completeness and accuracy.

Where Used:

Item Master Processing, Admission Processing, Admissions Reporting, CBP Document Preparation, Shipment Processing, Consumption Processing

ANTIDUMPING/COUNTERVAILING QUERY

Antidumping/Countervailing Query
Use any combination of these fields to query data pertaining to antidumping/countervailing duty cases.

Search Conditions

Tariff Number

Case Number

Case Number Suffix

ISO Country Code

Query Results

Control Identifier	Record Type	ISO Country Code	Tariff Number	Case Number	Case Number Suffix

Description:

The Antidumping/Countervailing Query allows users to query data pertaining to antidumping/countervailing duty cases by a specific country, tariff number or case number. Users can also query antidumping/ countervailing duty data for all countries or a specific country using the antidumping/countervailing duty extract reference file query capability.

Field Name	Usage
Antidumping/Countervailing Query	
Search Conditions	
Tariff Number	An 8-to-10-position code located in the <i>Harmonized Tariff Schedule of the United States Annotated</i> (HTS) representing the tariff number.
Case Number	A code identifying the number assigned to the antidumping/countervailing duty case. An antidumping case number begins with an <i>A</i> and a countervailing duty case number begins with a <i>C</i> . The first seven positions are reported here and the last three in case number suffix.
Case Number Suffix	The last three positions of the case number.
ISO Country Code	The International Organization for Standardization (ISO) country code applicable to the antidumping/countervailing duty case.

Where used:

Admission Processing

MANUFACTURERS



The screenshot shows the SmartZone Premier application interface. At the top, there's a menu bar with options like File, Reporting, ABI, Link Files, Administration, and Help. Below this is a navigation bar with tabs for Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The Supporting List tab is active, showing a list of manufacturers. The list has columns for Manufacturer Code, Manufacturer Mode, Manufacturer Name, Country of Origin, Address, and City. The selected manufacturer is CN101PRI252HUI. Below the list, there's a form for General Information, which includes fields for Manufacturer Code (CN101PRI252HUI), Manufacturer Mode (MID), Manufacturer Name (1010 PRINTING INTERNATIONAL LTD), Country of Origin (CN), Address (252 JIANG), City (HUZHOU), and Comments. There are also buttons for Generate, Transmit to CBP, and Verify.

Manufacturer Code	Manufacturer Mode	Manufacturer Name	Country of Origin	Address	City
EGSAHPRICAI	MID	1	EG		
HKCLEVER734HK	MID	1	HK		
HK101PRIHON	MID	1010 PRINTING INTE	HK		
HK101PRI625HON	MID	1010 PRINTING INTERNATIONAL...	HK		
CN101PRI252HUI	MID	1010 PRINTING INTERNATIONAL...	CN	252 JIANG	HUZHOU
AG12	MID	12	AG		
HK1AMAN201HON	MID	1A MANUFACTURING	HK		

General Information

Manufacturer Code: **CN101PRI252HUI** [Generate] [Transmit to CBP] [Verify]

Manufacturer Mode: MID [X] Manufacturer: [X]

Manufacturer Name: 1010 PRINTING INTERNATIONAL LTD

Country of Origin: CN [X] CHINA(MAINLAND) [X]

Address: 252 JIANG Zip: [X]

City: HUZHOU

Comments: [X]

Description:

For merchandise entered into the United States, it is the responsibility of the importer to identify who the actual manufacturer of the merchandise is, not just the shipper or the supplier of the merchandise. For merchandise manufactured in a foreign-trade zone, the Manufacturer is the zone itself. Once identified, the Manufacturer must be indicated on all entries where that merchandise is imported. Including the Manufacturer's Identification Code (M.I.D.) accomplishes this. It is used to determine whether antidumping or countervailing duties must be assessed. CBP regulations include special requirements for creating an M.I.D. code.

In QAD Precision FTZ, the zone operator creates a Manufacturer list that includes the M.I.D. code and related information for all manufacturers of imported merchandise, including merchandise admitted to the foreign-trade zone. Item Master Records and Vendor Code Records must include the most commonly used M.I.D. code from the Manufacturers list to serve as a default code when CBP entries are produced. For items manufactured in the zone, the zone's own M.I.D. code is used as the default M.I.D. code.

Updates to this list are direct updates and are immediately reflected in any linked areas.

Field Name	Usage
MANUFACTURERS	



Manufacturer Code	Unique Manufacturer Identification Code (“M.I.D.”)
Manufacturer Mode	This can be either the Importer or the Manufacturer
Manufacturer Name	Manufacturer’s legal name from Vendor/Manufacturer. This is the manufacturer, not always the same as the vendor.
Country of Origin	Enter the true country where the goods were manufactured. From Vendor/Manufacturer, it is not always the same as the country of purchase. If true country of manufacturer cannot be determined, then it is allowed that the vendor be used.
Address	Address of Manufacturer
Zip	Zip Code of Manufacturer
City	City of Manufacturer
Comments	For user reference

Strategies for Maintaining the Manufacturers list

Only add Manufacturer records for the manufacturers in foreign countries who ship FTZ merchandise to the zone. This field is used as a pull down in several places within the QAD Precision FTZ inventory system and having more Manufacturers than needed can lead to confusion. Also, the more Manufacturers there are in the list, the more that must be maintained.

Make sure the Manufacturer’s name and address are available, as well as the CBP Regulations that dictate how the M.I.D. code is constructed.

Generate – If you do not know the MID for the manufacturer, QAD Precision FTZ allows you to generate a MID. Once you have entered the manufacturer’s name, country of origin, address, zip and city, click *Generate* and a MID will be generated for you.

Transmit to CBP- Automated Commercial System (ACS) users who are operational for CBP Form 5106 (Notification of Importer’s Number, or Application for Importer’s Number, or Notice of Change of Name or Address) adds also have the capability to add manufacturer name and address data to the ACS database. Users can click on the *Transmit to CBP* button once they have entered the MID and this will send the MID to the ACS database.

Verify – Users can verify if the MID they have entered is on file with CBP. Once you have entered the MID, click the *Verify* button to verify if the manufacturer ID is on file with CBP.

Where Used:

Item Master Records, Item Master Records, Purchase Order Records, Purchase Order Records, Vendor List



SEARCH FOR MID

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Manufacturer Code

Manufacturer Mode

Manufacturer Name

Manufacturer Address

Manufacturer City

Country

Comments

Sleep Tite Trailers FTZ\kellieck Application Administrator 1028 x 822

Description:

Users have the capability to query a manufacturer identification (MID) code to determine whether the manufacturer name and address is on file with CBP. If the MID is not on file, the message MID CODE NOT ON FILE is system generated. If the MID is on file, but without name and address information, the message MID NAME/ADDRESS NOT ON FILE is returned. If the MID is on file, the MID number is returned to the user along with the following data:



- International Organization for Standardization (ISO) country code
- Firm Name
- Street Address
- City
- ZIP or Postal Code

Field Name	Usage
MANUFACTURERS QUERY	
Manufacturer Code	Unique Manufacturer Identification Code (“M.I.D.”)
Manufacturer Mode	This can be either the Importer or the Manufacturer
Manufacturer Name	Manufacturer’s legal name from Vendor/Manufacturer. This is the manufacturer, not always the same as the vendor.
Manufacturer Address	Address of Manufacturer
Manufacturer City	City of Manufacturer
Country	Country of Manufacturer
Comments	For user reference

To query a MID: Enter the Manufacturer Code and then click *Lookup*. If the MID is found, the MID information will return. To save the Manufacturer information to the Manufacturers supporting list, click *Save Manufacturer*.

Where Used:

Manufacturers Supporting List



DOMESTIC PORTS

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Domestic Ports Save Discard Search Export Refresh View Select Print 1331 Record(s) Loaded

Drag a column header here to group by that column

Select	Port Code	Port Name	City	Zip Code	Port Address1	Port Address 2
☒	3371	DENVER INTL AIRPORT(DEN)		802496340	ALTERNATIVE ECCF - AWE	
☒	2971	PORTLAND INTL (PDX)	PORTLAND	97218	ALTERNATE ECCF - AWE	
☒	2787	JOHN WAYNE AIRPORT	SANTA ANA	92707	USER FEE AIRPORT	ORANGE COUNTY
☒	5571	DALLAS-FORT WORTH (DFW)	DALLAS	75261	ALTERNATE ECCF - AWE	
☒	2410	BOQUILLAS, TX	BOQUILLAS	79834	BIG BEND NATIONAL PARK	
☒	2409	FORT HANCOCK TX	FORT HANCOCK		PORT DIRECTOR	
☒	5471	WASHINGTON/DULLES (IAD)	CHANTILLY	20166	ALTERNATE ECCF - AWE	
☒	1771	ATLANTA HARTSFIELD (ATL)	ATLANTA	30354	ALTERNATE ECCF - AWE	

Record 1 of 1331

General Information Terminals

Port Code: 3371

Port Name: DENVER INTL AIRPORT(DEN)

Port Carrier Type Code:

Port City:

Port Zip Code: 802496340

Port Address Line1: ALTERNATIVE ECCF - AWE

Port Address Line2:

Port Address Line3:

☐ Pedimento

Sleep Tite Trailers FTZ\kellieeck Application Administrator 1028 x 822

Description:

The Domestic Ports list identifies the different domestic ports where merchandise is unladen for shipment to the zone or where merchandise is laden for export from the zone. The codes for each Domestic Port are assigned by the Bureau of the Census and can be found in Schedule D. For inbound merchandise, the Domestic Port information must be included in the Admissions document. On the outbound side, the Domestic Port information must be reported on In-Bond documentation. If the company is shipping to Mexico from a port that requires the Pedimento number to be included on the In-Bond documentation the Pedimento box should be checked.

The terminals for the Domestic Ports can also be added by the user in this supporting list.

Field Name	Usage
DOMESTIC PORTS	
General Information tab	



Port Code	4-Digit CBP Code
Port Name	Official CBP Port Names – select all air and ocean ports that the FTZ will use to receive or ship cargo
Port Carrier Type Code	
Port City	Domestic Port's City
Port Zip Code	Domestic Port's Zip Code
Port Address Line 1	Domestic Port's Address
Port Address Line 2	Domestic Port's Address
Port Address Line 3	Domestic Port's Address
Pedimento	Check only if a Pedimento is required to be filed in the port
Terminals tab	
Terminal Name	Terminal name
Terminal FIRMS	Terminal FIRMS code

Terminals

Terminals are used in the Arriving Cargo section of QAD Precision FTZ. In order for the terminal to be available in the Terminal dropdown on the Bill of Lading, you must add the terminals in the Domestic Ports supporting list.

To add a Terminal: Click *Add Terminal* on the Terminals tab. Enter the Terminal Name and Terminal FIRMS. In order for you to save, you must click on another domestic port in the Domestic Ports grid and then click *Save*.

Where Used:

Arriving Cargo Information, Admissions Reporting, In-Bond Documentation Processing



FOREIGN PORTS

The screenshot shows the 'Foreign Ports' window in SmartZone Premier. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. The main menu has options like Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The 'Foreign Ports' section is active, showing a list of 1907 records. The list has columns for 'Select', 'Port Code', and 'Port Name'. The first record is selected, showing details for Port Code 57011 and Port Name FUQING, CHINA. Below the list, the 'General Information' tab is active, showing fields for Port Code (57011), Port Name (FUQING, CHINA), a checkbox for 'Pedimento', and a 'Country' dropdown menu set to 'CN' (CHINA(MAINLAND)).

Select	Port Code	Port Name
☒	57011	FUQING, CHINA
☒	57038	TAICANG, CHINA
☒	57045	DA CHAN BAY, CHINA
☒	57037	YANGSHAN, CHINA
☒	55225	TAN CANG, VIETNAM
☒	35136	NAVEGANTES, BRAZIL
☒	92019	MILITARY-MUSCAT, OMAN
☒	92018	MILITARY-MINA QABOOS, OMAN
☒	92017	MILITARY-ASCHAFENBURG, GERMANY
☒	92016	MILITARY-SFAX, TUNISIA
☒	92015	MILITARY-MYKOLAYIV, UKRAINE
☒	92014	MILITARY-OKTYABRSK, UKRAINE
☒	92013	MILITARY-AL ZUBAIR, IRAQ
☒	92012	MILITARY-KAZANG, MALAYSIA

Record 1 of 1907

General Information

Port Code: 57011

Port Name: FUQING, CHINA

☐ Pedimento

Country: CN | CHINA(MAINLAND)

Description:

The Foreign Ports list identifies the different Foreign Ports where merchandise is laden for shipment to the zone or where merchandise is unladen after export from the zone. The codes for each Foreign Port are assigned by the Maritime Administration and can be found in Schedule K. On the inbound side, the Foreign Port Information must be included in the Admissions documents. On the outbound side, the Foreign Port Information must be reported on In-Bond documentation. Also, if the company is shipping to a Mexican port that requires the Pedimento number to be included on the In-Bond documentation the Pedimento box should be checked.

Field Name	Usage
FOREIGN PORTS	
Port Name	Official CBP Port Name – enter all foreign air and ocean ports that the FTZ will either export from or import into
Port Code	5-digit CBP Code
Country	Foreign Port's country
Pedimento	Check only if a Pedimento is required to be filed in the port

Where Used:



Arriving Cargo Information, Admissions Reporting, In-Bond Documentation Processing



SHIPMENT TYPES

The screenshot displays the SmartZone Premier application interface. At the top, there is a menu bar with options like File, Reporting, ABI, Link Files, Administration, and Help. Below this is a navigation pane with various modules including Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The main window shows the 'Shipment Types' list with 17 records loaded. The list has columns for Treatment Type, Treatment Type Description, Treatment Type Comment, Treatment Transfer Type, Shipment Type, and Description. Below the list, the 'General Information' form is visible, showing details for the selected 'IMP' (Import) shipment type, including its description, treatment type, and comments.

Treatment Type	Treatment Type Description	Treatment Type Comment	Treatment Transfer Type	Shipment Type	Description
IMP	Import	Traditional import	Import	IMP	Import
EXP	Export	Traditional export	Export	EXP	Export
ZTZ	Zone to Zone	Traditional Zone-to-Zone	In Bond	ZTZ	ZTZ with In Bond
ADJ	Adjustment	Inventory count decrease adjustments	Import	ADJ	Inventory Adjust
ANE	Adjustment No Entry	Adjustment - No Entry Required	No Entry Required	DLT	Deplete Invento
DES	Destroyed	Destroyed scrap (No Entry Made)	No Entry Required	SCP	Scrap
SCP	Scrap	Sold for scrap or discounted value (Entry Made)	Import	SC	Scrap
SPL	Sample	Shipments that meet CBP sample requirements	Import	SPL	Sample Test
LCS	Local Control System	Approved local control Zone-to-Zone	No Entry Required	LCS	LCS
IMP	Import	Traditional import	Import	SHF	

General Information

Shipment Treatment: IMP (Import)

Description: Traditional import

Shipment Type: IMP

Shipment Type Comment: Import

Comments:

Description:

Companies have various options for removing merchandise from inventory and may use multiple systems or transaction codes to account for that removal. QAD Precision FTZ requires that the information pertaining to the removal of merchandise be forwarded to it for processing. The Shipment Type list establishes codes for each company system or a transaction code that reports inventory removals. Each Shipment Type is identified as an Import, In-Bond or Export Shipment so that the proper CBP documentation can be prepared.

The Shipment Type Code allows QAD Precision FTZ to accomplish two things: First, each Shipment Transaction received by QAD Precision FTZ carries a code that identifies the nature of the transaction (i.e. Scrap, Transfer); second, the Operator can specify if a Shipment Type does not require CBP approval prior to shipment. CBP allows “incidental shipments” to be reported through the Weekly Entry Process without specific approval.

Field Name	Usage
SHIPMENT TYPE	
Shipment Treatment	Official CBP Shipment Treatment types. Use the dropdown bar to view all CBP Transfer Types.



Description	For user reference
Shipment Type	3 character alpha code created by User to signify the different types of shipments to be made from their zone
Shipment Type Comment	User created description – limited to 20 characters in length
Comments	For user reference

Where Used:

Shipments Processing, Consumption Processing, Import and In-Bond Documentation Processing



U.S. STATES

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

US States Save Discard Search Export Refresh View Select Print 59 Record(s) Loaded

Drag a column header here to group by that column

Select	State Abbreviation	State Name
☒	AL	ALABAMA
☒	AK	ALASKA
☒	AS	AMERICAN SAMOA
☒	AZ	ARIZONA
☒	AR	ARKANSAS
☒	CA	CALIFORNIA
☒	CO	COLORADO
☒	CT	CONNECTICUT
☒	DE	DELAWARE
☒	DC	DISTRICT OF COLUMBIA
☒	FM	FEDERATED STATES OF
☒	FL	FLORIDA
☒	GA	GEORGIA
☒	GU	GUAM
☒	HI	HAWAII
☒	ID	IDAHO

Record 1 of 59

General Information

State Abbreviation AL

State Name ALABAMA

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Description:

The States list maintains the abbreviations for each of the States utilized in QAD Precision FTZ and the full name associated with that abbreviation.

Field Name	Usage
US STATES	
State Abbreviation	2 character alpha code for States or Province. Only need to include those that will be used for FTZ business
State Name	Official state name

Where Used:

In-Bond Documentation Processing, Company Options



CA PROVINCES/MX STATES

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

CA Provinces / MX States Save Discard Search Export Refresh View Select Print 45 Record(s) Loaded

Drag a column header here to group by that column

Selected	Code	Province/ State	Location
☐	80101	Alberta	Canadian
☐	80102	Manitoba	Canadian
☐	80103	Saskatchewan	Canadian
☒	80104	Northwest Territories	Canadian
☐	80105	Yukon	Canadian
☐	80106	British Columbia	Canadian
☐	80107	Ontario	Canadian
☐	80108	Quebec	Canadian
☐	80109	Nova Scotia	Canadian
☐	80110	New Brunswick	Canadian
☐	80111	Prince Edward Island	Canadian
☐	80112	Newfoundland	Canadian
☐	80113	Nunavut	Canadian
☐	97101	Aguascalientes	Mexican
☐	97102	Baja California Norte	Mexican

General Information

Province/State: Alberta

Code: 80101

Location: Canadian

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Description:

The Province list maintains the abbreviations for each of the Provinces utilized in QAD Precision FTZ and the full name associated with that abbreviation.

Field Name	Usage
CA Provinces/MX States	
Code	4 digit code for CA States or MX Province. Only need to include those that will be used for FTZ business
Province/State	Official name
Location	Location of State/Province

Where Used:

In-Bond Documentation Processing, Company Options



COMPANY UNITS OF MEASURE

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Company Units Of Measures Add new Delete Save Discard Search Export Refresh View Print 7 Record(s) Loaded

Drag a column header here to group by that column

Company Unit of Measure	Description	Comments
EA	Eaches	
NO	Number	
YD	Yards	
KG	1,000 Grams	
PCS	Pieces	PCS
T	Metric Ton	test
CAS	CASES	

General Information

CBP Unit of Measure

Company Unit of Measure CAS

Description CASES

Comments

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Description:

The Units of Measure list defines various units of measure used within zone operations and as specified by CBP. The *Company Unit of Measure* and their descriptions included in the Units of Measure list are user-defined. However, because the UOM used by CBP may not be the same UOM used by the Company, it is important to include both. Quantities tracked within QAD Precision FTZ reflect the companies units of measure, not CBP's. The quantities are only converted to the CBP unit of measure when reporting to CBP on CBP documents. Also, when calculating duty owed, there is a specific assessment of duty on a per unit basis by applying a conversion factor specified in the Item Master.

Field Name	Usage
UNITS OF MEASURE	
CBP Unit of Measure	CBP UOM from production system.
Company Unit of Measure Abbreviation	Company's UOM from production system.
Description	Description of UOM for recognition. Example either/or PC or PCS for pieces.
Comments	For user reference



Where Used:

Item Master Processing, Admission Reporting, Import and In-Bond Documentation Processing



VENDORS

The screenshot shows the SmartZone Premier application interface. At the top, there's a menu bar with options like File, Reporting, ABI, Link Files, Administration, and Help. Below this is a sub-menu bar with various functional areas such as Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The main area displays a list of vendors with columns for Vendor Code, Vendor Name, Vendor Address, Country Code, Country Name, Manufacturer Code, and Manufacturer Name. A table of 10 vendors is visible, including ZHRACID, ZHRCCO, FTZCORP, VENDORTTEST, DEFVEN01, DEFVEN02, 17330, AAA51476, AAA51486, and AAA5152. Below the list, the 'General Information' tab for vendor AAA5152 is expanded, showing fields for Vendor Code, Vendor Name, Vendor Address, Vendor Country of Origin, Default Manufacturer, Currency Type, and Comments.

Vendor Code	Vendor Name	Vendor Address	Country Code	Country Name	Manufactu...	Manufacturer Name
ZHRACID	AIR CANADA/IDFS - ZRH	8058 ZURICH AIRPORT	TW	CHINA(TAIWAN)	HKHONKO...	
ZHRCCO	UNITED AIRLINES	VAT#446 099, CATERING BLDG. BORDDIENS...	MY	MALAYSIA	HKHUNHIN...	
FTZCORP	FTZ Corp	Old Shell Rd.	US	USA	KFRHEMIN...	
VENDORTTEST	Vendor Test	test st	VN	VIETNAM	KFRHEMIN...	
DEFVEN01	Default Vendor 01	1 Test Vendor Way	US	USA	USSMA580...	S.M.A.C.
DEFVEN02	Default Vendor 02	2 Test Vendor Way	US	USA	USSMA580...	S.M.A.C.
17330	NISSAN TRADING CORP.,...	325 MASON RD.LAVERGNE,TN 37086	US	USA	USNISTRA...	NISSAN TRADING CORP U.S.A
AAA51476	TEST VENDOR	5421 WINDMILL DRIVE	US	USA	USCONDES...	CONTOUR DESIGN INC
AAA51486	TEST ADD	5421 TEST DRIVE	US	USA	KRSAMELE...	SAMSUNG ELECTRONICS KOR
AAA5152	TEST 5152 ADD	5152 CHINA WAY	CN	CHINA(MAINLAND)	CNTESMAN...	TEST MAN

General Information	
Vendor Code	AAA5152
Vendor Name	TEST 5152 ADD
Vendor Address	5152 CHINA WAY
Vendor Country of Origin	CN CHINA(MAINLAND)
Default Manufacturer	CNTESMAN5152SHA TEST MAN
Currency Type	USD US Dollar
Comments	TEST ADD EDIT

Description:

The Vendors list contains information pertinent to the Vendors who supply items to the zone. This information includes the company-assigned *Vendor Code*, *Vendor Name*, *Vendor Address*, most common *Country of Origin* for merchandise supplied by the Vendor, and the *Manufacturer's Identification Code* for its most common manufacturer of its merchandise (including itself). *Country of Origin* and *Manufacturer's Identification Code* are used as default values for Purchase Orders added to QAD Precision FTZ for this Vendor. However, they can be overridden as necessary by modifying the Purchase Order Records.

Like other Supporting Lists, updates to this list are direct updates and are immediately reflected in any linked areas.

Field Name	Usage
VENDORS	
Vendor Code	Company's vendor reference number, company created. Many companies use this code for purchasing
Vendor Name	Vendor's legal name
Vendor Address	Vendor's full address



Vendor Country of Origin	Vendor's country, use drop down bar, must be entered in the Country list to be able to be utilized here
Default Manufacturer	Using the drop down menu, choose the most common manufacturer of the goods purchased from this vendor. The manufacturer must be entered in the Manufacturer list to be utilized here.
Currency Type	Using the drop down menu, choose the currency type used for this Vendor. The currency must be entered in the Currency list to be utilized here.
Comments	For user reference

Strategies for Maintaining the Vendors list

Only add Vendor Records for the Vendors who actually ship FTZ merchandise to the zone. This field is used as a pull down in several places within QAD Precision FTZ and having more Vendor Codes than needed can lead to confusion. Also, the more Vendor Codes there are in the list, the more that must be maintained.

Where Used:

Purchase Order Records, Purchase Order Records, Admission Processing



ZONE STATUS

The screenshot shows the SmartZone Premier application interface. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. Below the menu is a navigation bar with tabs: Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The Supporting List tab is active, showing a list of Zone Status records. The list has columns for Zone Status Code and Zone Status Description. The records are: PF (Privileged Foreign Merchandise), NPF (Nonprivileged Foreign Merchandise), DOM (Domestic Merchandise), ZR (Zone-Restricted Merchandise), and FDP (Foreign Duty Paid). Below the list is a details section for the selected record (PF). The details section has fields for Zone Status Code (PF), Zone Status Description (Privileged Foreign Merchandise), and Zone Status Comments.

Zone Status Code	Zone Status Description
PF	Privileged Foreign Merchandise
NPF	Nonprivileged Foreign Merchandise
DOM	Domestic Merchandise
ZR	Zone-Restricted Merchandise
FDP	Foreign Duty Paid

General Information

Zone Status Code: PF

Zone Status Description: Privileged Foreign Merchandise

Zone Status Comments:

Description:

This list identifies the different Zone Statuses that have been approved by CBP. The Zone Status determines what duty treatment, if any, will be applied when items are removed from the zone into the commerce of the United States. The Zone Status specified in the Item Master is used as a default when an Admission Transaction for that item is added to QAD Precision FTZ. The default zone status can be overridden on a receipt-by-receipt basis.

Field Name	Usage
ZONE STATUS	
Zone Status Code	CBP Zone Status Code
Zone Status Description	Description of the CBP Zone Status Code
Zone Status Comments	Not used at this time

Where Used:

Item Master Processing, Admission Processing, Import and In-Bond Documentation, Shipment Processing, Consumption Processing



SHIPPING PACKAGE UNITS



SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Shipping Package Units Save Discard Search Export Refresh View Select Print 138 Record(s) Loaded

You have loaded your initial number of records. Please enter a search or click go to load more records.

Code And

Description

Advanced Search Restore Default Conditions Go

Drag a column header here to group by that column

Select	Code	Description
☐	PK	Package
☒	CT	Carton
☒	CS	Case
☒	AE	Aerosol
☐	WB	Wicker bottle
☐	VY	VY Bulk, Solid, Fine Particles ("Powders")
☐	VR	Bulk, Solid, Granular Particles ("Grains")
☐	VO	Bulk, Liquefied Gas (At Normal Temperature)

Record 1 of 138

Shipping Package Unit

Code PK

Description Package

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Description:

This list identifies the different Shipping Package Units that have been approved by CBP. The Shipping Package Units are used in Arriving Cargo information for admissions and when items are removed from the zone using an In-Bond.

Field Name	Usage
Shipping Package Units	
Code	CBP shipping package unit code
Description	Shipping Unit Package description

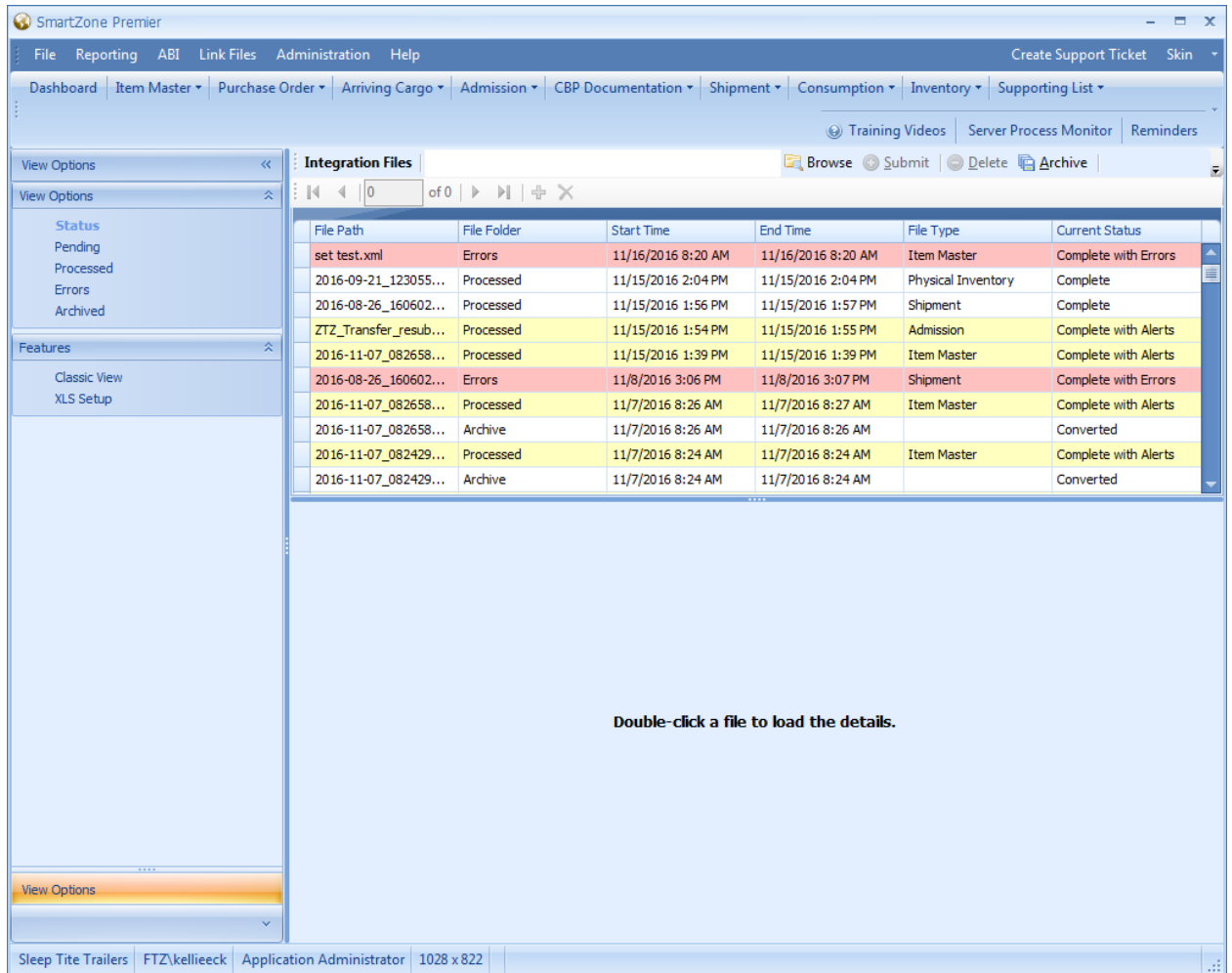
Where Used:

In-Bond Documentation Processing, Arriving Cargo Information

Link File Processing

QAD Precision FTZ allows users to import data from their company ERP system which will minimize the data entry required by the user. Link files can be automatically imported using file transfers or they can be manually uploaded into QAD Precision FTZ. For setting up your company to use link files and obtaining link file specifications, please contact QAD Precision FTZ Support.

To Access Link file processing: Select Link Files from the toolbar or Select Link Files from the Dashboard



SmartZone Premier

File Reporting ABI Link Files Administration Help

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Integration Files

Browse Submit Delete Archive

0 of 0

File Path	File Folder	Start Time	End Time	File Type	Current Status
set test.xml	Errors	11/16/2016 8:20 AM	11/16/2016 8:20 AM	Item Master	Complete with Errors
2016-09-21_123055...	Processed	11/15/2016 2:04 PM	11/15/2016 2:04 PM	Physical Inventory	Complete
2016-08-26_160602...	Processed	11/15/2016 1:56 PM	11/15/2016 1:57 PM	Shipment	Complete
ZTZ_Transfer_resub...	Processed	11/15/2016 1:54 PM	11/15/2016 1:55 PM	Admission	Complete with Alerts
2016-11-07_082658...	Processed	11/15/2016 1:39 PM	11/15/2016 1:39 PM	Item Master	Complete with Alerts
2016-08-26_160602...	Errors	11/8/2016 3:06 PM	11/8/2016 3:07 PM	Shipment	Complete with Errors
2016-11-07_082658...	Processed	11/7/2016 8:26 AM	11/7/2016 8:27 AM	Item Master	Complete with Alerts
2016-11-07_082658...	Archive	11/7/2016 8:26 AM	11/7/2016 8:26 AM		Converted
2016-11-07_082429...	Processed	11/7/2016 8:24 AM	11/7/2016 8:24 AM	Item Master	Complete with Alerts
2016-11-07_082429...	Archive	11/7/2016 8:24 AM	11/7/2016 8:24 AM		Converted

Double-click a file to load the details.

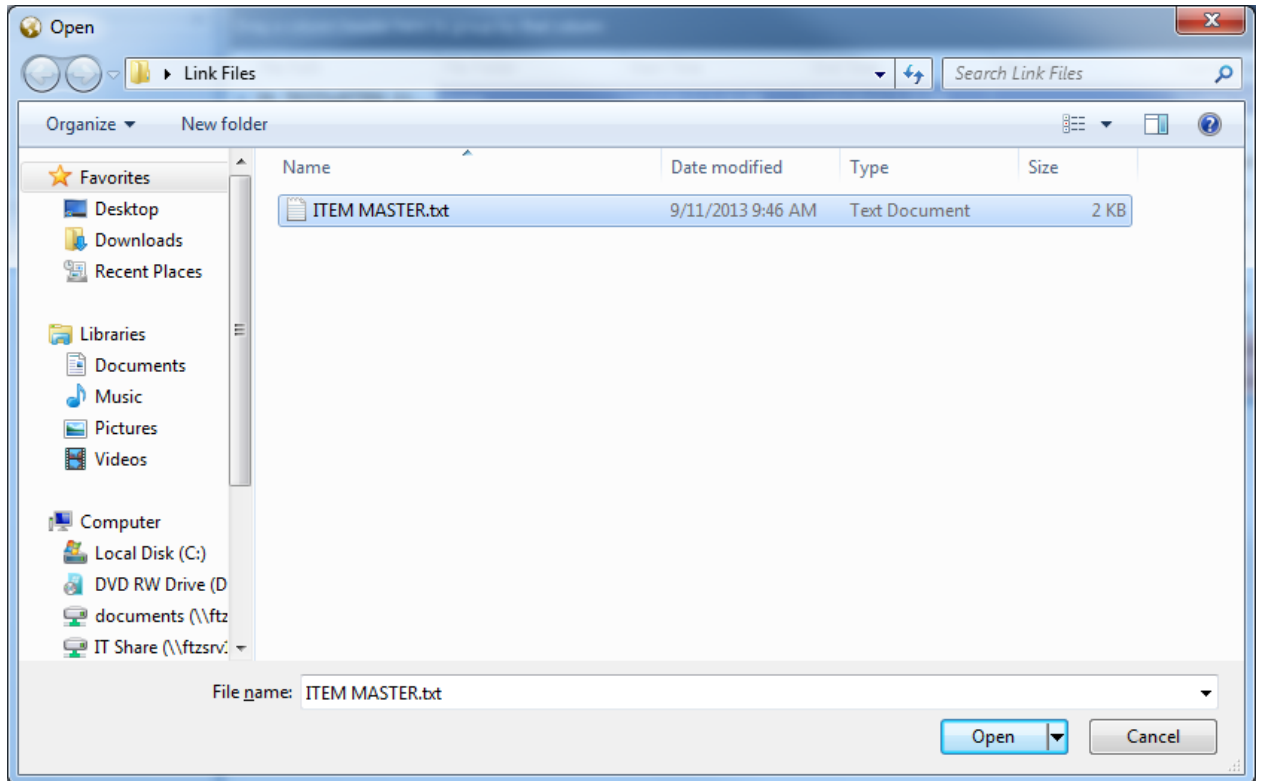
View Options

Sleep Tite Trailers FTZ\kellieeck Application Administrator 1028 x 822

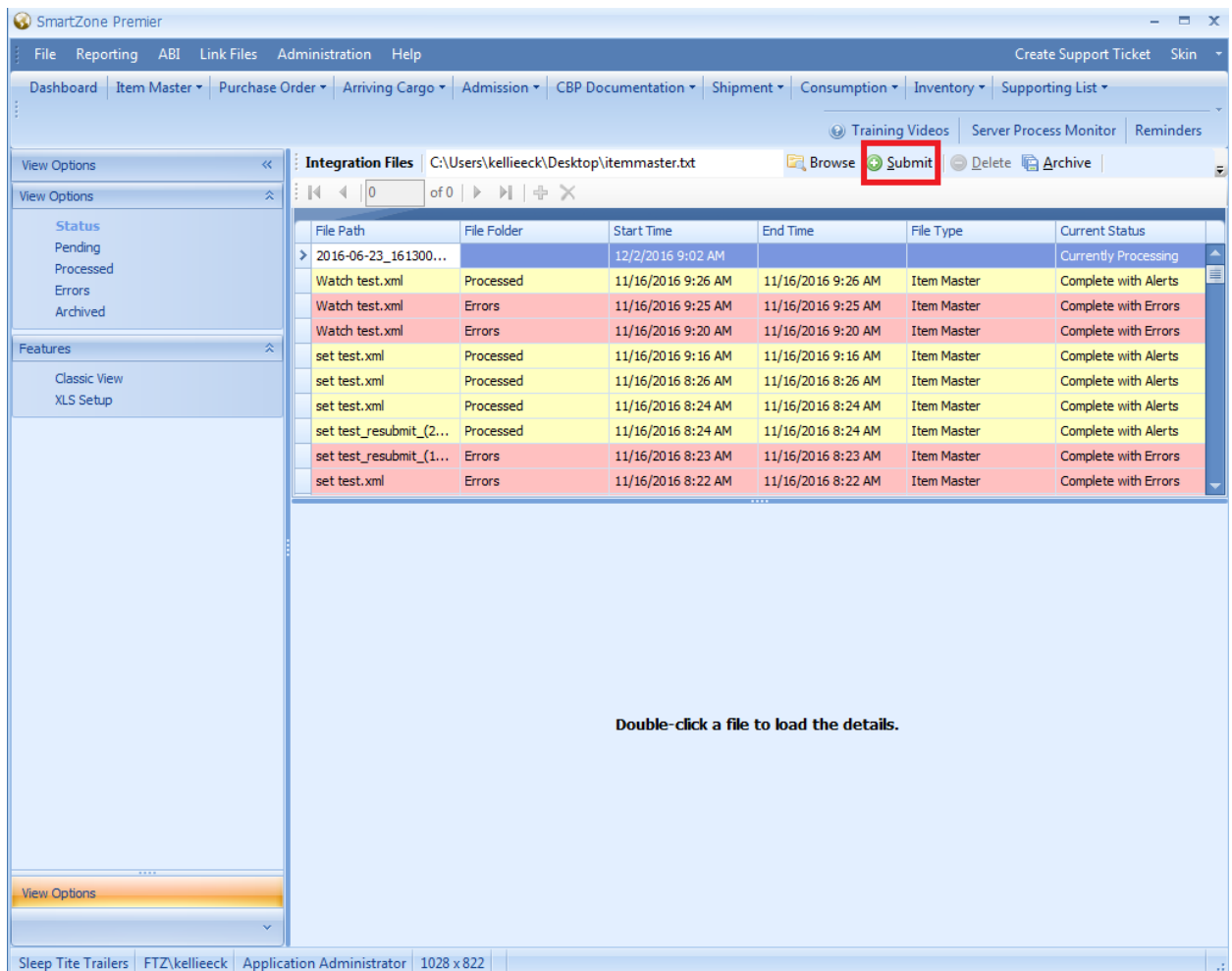
MANUAL IMPORT OF LINK FILES

1. Click Browse and select the link file to be imported.





2. Select the link file and click Open.
You will see the file path show up and then you can click Submit to process the file.



The link file will start to process. If you click Refresh you will get the current status of the link file. The link file will convert to XML first if not already in an XML format. After it converts, the Current Status will be Currently Processing until the link file completes processing. Click Refresh until the link file completes. At that point, you will get one of several statuses based on the outcome of the link file.

Complete – the link file processed and no issues were found. The link file in the top grid and the records in the bottom grid should be white.

Complete with Errors – the link file processed but there were issues with some records. The link file in the top grid should be red. In the bottom grid, the records with errors will be in red and those records did not import because there is missing or invalid data that is necessary for data import. You will need to fix any issues with those records and reprocess the link file. Records that did import will be in white.

Complete with Alerts – the link file processed but there were issues with some records. The link file in the top grid will be yellow. In the bottom grid, the records that have missing or invalid data that is necessary for QAD Precision FTZ processing will be in purple. The records imported and fell to incomplete in whichever grid the link file transaction was for (i.e. Admissions, Shipments, Item Master). You will need to fix any issues, either in the link file or within the appropriate transaction grid.



Failed with Errors – The link file did not process due to server issues. The link file in the top grid will be red. Try to process the file again and if it continues to fail, please contact QAD Precision FTZ support.

Not Converted – The link file could not convert due to format issues. The link file in the top grid will be red. Format issues could be missing delimiters or link file header. Please check the format and correct any errors. Please contact QAD Precision FTZ support for additional assistance.

Error Message-Blank File – The link file could not convert because the file was blank.

Error Message-Invalid Header – The link file could not convert because the header was invalid.

Error Message-No content after header – The link file could not convert because there is no content after the header.

You will need to double click on the link file to see the records in the grid below. The warnings and errors will be in the far right column of the bottom grid. Any warnings will be under the column labeled *Validation_Warning_Description* and any errors will be under the column labeled *Validation_Error_Description*.

The screenshot displays the SmartZone Premier application. The top navigation bar includes menus for File, Reporting, ABI, Link Files, Administration, and Help. Below this is a sub-menu bar with options like Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, Supporting List, and Training Videos. The main window is titled 'Integration Files' and shows a list of files with columns: File Path, File Folder, Start Time, End Time, File Type, and Current Status. The files are color-coded: red for 'Complete with Errors', green for 'Converted', yellow for 'Complete with Alerts', and blue for 'Currently Processing'.

Below the file list, a detailed view of a selected file is shown. It includes a table with columns: Province_Code, Apply GSP, Validation_Error_Level, Validation_Warning_Description, and Validation_Error_Description. The table contains several rows of data, with the last row highlighted in red. A red box is drawn around the last row of the table, indicating the validation details for a specific record.

Province_Code	Apply GSP	Validation_Error_Level	Validation_Warning_Description	Validation_Error_Description
	False	2	Bill Of Lading is required for processing;Conveyance Number is required for processing; ItemNumber is required for processing.;	
	False	2	Bill Of Lading is required for processing;Conveyance Number is required for processing; ItemNumber is required for processing.;	
	False	2	Bill Of Lading is required for processing;Conveyance Number is required for processing; ItemNumber is required for processing.;	
	False	2	Bill Of Lading is required for processing;Conveyance Number is required for processing; ItemNumber is required for processing.;	
	False	2	Bill Of Lading is required for processing;Conveyance Number is required for processing; ItemNumber is required for processing.;	
	False	2	Bill Of Lading is required for processing;Conveyance Number is required for processing; ItemNumber is required for processing.;	
	False	2	Bill Of Lading is required for processing;Conveyance Number is required for processing; ItemNumber is required for processing.;	

Validation warnings and errors should be examined to determine whether the rejected records are required for QAD Precision FTZ. The records may not be pertinent to FTZ processing and can be ignored.



However, if the operator determines that the rejected records are required for FTZ processing, there are two options available:

- Manually add the records
- Correct the errors within the company system or link file grid and re-run the entire link file Process. (Duplicate records will be rejected).

VIEW OPTIONS

There are several options available for viewing your link files and link file history. Most of the link file processing will be done in the *Status* view. However, there may be times that you may want to view link files according to the results of the link file. Below are the different view options and a description of each.

STATUS

This view shows all link files that have been processed, regardless of the results. This view will also show the start and end time, the File Type, and the status (or result) of the link file. Double-clicking on any of the link files in this view will load the records in the bottom grid.

PENDING

This view shows all link files that are pending. It will show the current file that is processing and any other files that have been submitted. Only one link file will process at a time. Therefore, if more than one link file is submitted in a given period, the additional link files will be viewable in this view until the link file has completed processing.

This view will also show any error logs associated with link files.

PROCESSED

This view shows all link files that have completed and did not have any errors. If the link file had alerts, it will still show up under *Processed*.

ERRORS

This view shows all link files that have completed but contained errors.

ARCHIVED

This view shows all link files that have been processed. The link files will be in their original text version. This view is helpful in determining the raw data that was processed by QAD Precision FTZ.

CORRECTING DATA IN THE GRID

In the Link File Processing grid, you are able to make changes to the link file records once the file has been processed. If you double-click the link file in the top of the grid, the link file records will be displayed in the bottom grid. If you need to make any changes or corrections to the records, you can correct the fields by double-clicking in the field. Once changes have been made, click *Resubmit*.



BULK CHANGES

If you need to make bulk changes to any fields in the link file records, you are able to do so using the Replace function.

1. In the top grid, double-click the link file to view records.
The link file records will load in the bottom grid
2. On the toolbar for the bottom grid, enter the value that you need to update in the grid.
The text box next to the replace button is where the updated value should be entered.

The screenshot displays the SmartZone Premier application. The top navigation bar includes menus for File, Reporting, ABI, Link Files, Administration, and Help. Below this is a sub-menu bar with options like Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, Supporting List, and Training Videos. The main content area is divided into two sections. The top section, titled 'Integration Files', contains a table with columns: File Path, File Folder, Start Time, End Time, File Type, and Current Status. The bottom section, titled 'Current File: Errors\2014-04-29_093429_BNRAdmission0319.txt.xml', contains a table with columns: Receipt_Number, Receipt_Suffix, Receipt_Date, Bill_of_Lading_Number, Conveyance_Number, In_Transit_Number, Invoice_Number, and Purchase_Order_Number. A toolbar at the bottom of the bottom grid includes buttons for 'BOLTEST', 'Replace', and 'Filter'. The 'Replace' button is highlighted with a red box.

3. Click on one of the fields that you need to update in the bottom grid.
If you need to change more than one field at a time, hold down the CTRL key on your keyboard and select the fields in the bottom grid you need to update. If you need to update all fields in a particular column, such as all bills of lading, you can select the first field in the column and while holding down the SHIFT key, select the last field in the column.
4. Click Replace
All selected fields will now be updated with the value you typed next to the *Replace* button.

SmartZone Premier

File Reporting ABI Link Files Administration Help

Create Support Ticket Custom Reporting Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List Training Videos

Server Process Monitor Reminders

View Options << Integration Files Browse Submit Delete Archive Refresh Resubmit 1 Record(s) Loaded

View Options >

Drag a column header here to group by that column

File Path	File Folder	Start Time	End Time	File Type	Current Status
2014-04-29_093429_B...	Errors	4/29/2014 9:34 AM	4/29/2014 9:35 AM	Admission	Complete with Errors
BNRAdmission0319.txt	Archive	4/29/2014 9:34 AM	4/29/2014 9:34 AM		Converted
2014-04-29_084509_B...	Errors	4/29/2014 8:45 AM	4/29/2014 8:46 AM	Admission	Complete with Errors
2014-04-29_084343_B...	Errors	4/29/2014 8:43 AM	4/29/2014 8:45 AM	Shipment	Complete with Errors
Backup		4/29/2014 8:43 AM			Currently Processing
BNMShipment.txt	Archive	4/29/2014 8:43 AM	4/29/2014 8:43 AM		Converted
FTZC_20140402093000...	Processed	4/13/2014 11:56 AM	4/13/2014 11:57 AM	ASN	Complete with Alerts
test.txt	Retry (2)	4/13/2014 11:56 AM	4/13/2014 11:56 AM		System.NullReferenceExceptio...
Retry		4/13/2014 11:52 AM			Currently Processing
2014-04-11_085602_SZ...	Processed	4/11/2014 8:56 AM	4/11/2014 8:56 AM	Item Master	Complete with Alerts

Current File: Errors\2014-04-29_093429_BNRAdmission0319.txt.xml Text

7 of 7 BOLTEST Replace Filter

Drag a column header here to group by that column

Receipt_Number	Receipt_Suffix	Receipt_Date	Bill_of_Lading_Number	Conveyance_Number	In_Transit_Number	Invoice_Number	Purchase_Order_Number
TrinketAdmission	2	2014-03-19T00:00:00	BOLTEST	MRKU0887100			
TrinketAdmission	3	2014-03-19T00:00:00	BOLTEST	MRKU0887100			
TrinketAdmission	4	2014-03-19T00:00:00	BOLTEST	MRKU0887100			
TrinketAdmission	5	2014-03-19T00:00:00	BOLTEST	MRKU0887100			
TrinketAdmission	6	2014-03-19T00:00:00	BOLTEST	MRKU0887100			
TrinketAdmission	7	2014-03-19T00:00:00	BOLTEST	MRKU0887100			
TrinketAdmission	8	2014-03-19T00:00:00	BOLTEST	MRKU0887100			

View Options

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- Once you have made all your changes, you can click Resubmit and the link file will reprocess with the new values and the new values will import if correct.

EXPAND LINK FILE RECORDS

If your link file contains multiple tiers, such as a Shipment with Shipment Items, you can view all the tiers for each record by expanding the row. This can be done with each individual record or all records within a link file. The link file records must be loaded in the bottom grid.

Expand Individual Records- to view all tiers for individual records, click the plus sign (+) next to the record. You will then see the additional tiers associated with the record.

Expand All Records - If you would like to view all the tiers for all records in a link file, click on the  icon on the toolbar on the bottom grid and all records will expand.

Note: If you click on either the expand icon or the plus sign (+) and the record does not expand, the record does not have additional tiers to view.

COLLAPSE LINK FILE RECORDS

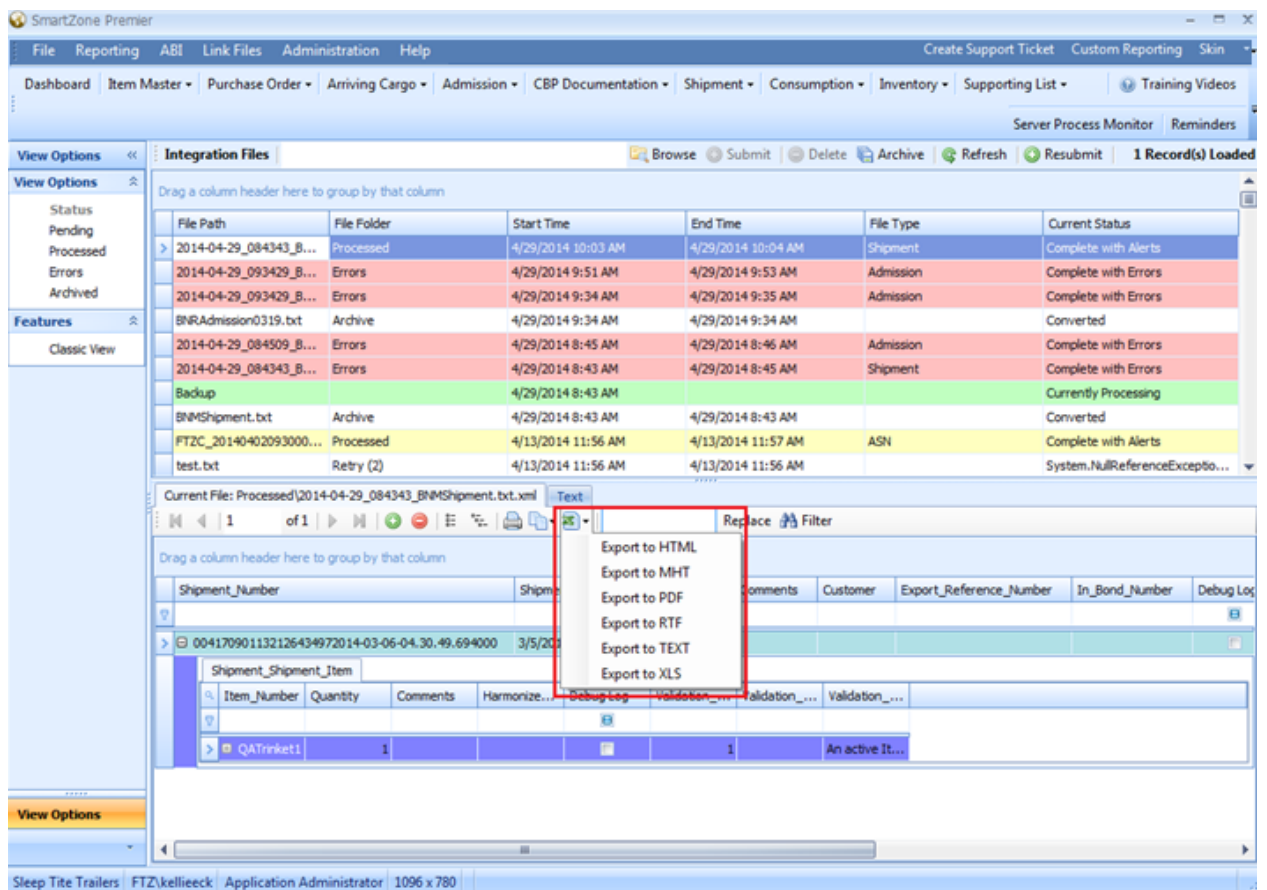
To collapse the records so that all you see is the main tier of data, click the minus sign (-) next to the record or click on the  icon on the toolbar on the bottom grid to collapse all records.



EXPORT LINK FILE RECORDS

If you would like to export the results of the link file, you can click on the  icon on the bottom grid toolbar. You can select different output file formats to export the data.

In order to export the data, the link file records must be loaded in the bottom grid. If you would like to export the data with all tiers viewable for each record, you will need to make sure all records are expanded before exporting.



The screenshot shows the SmartZone Premier application interface. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. Below the menu is a navigation bar with various tabs like Dashboard, Item Master, Purchase Order, etc. The main area displays the 'Integration Files' grid. The grid has columns: File Path, File Folder, Start Time, End Time, File Type, and Current Status. A red box highlights the 'Export' icon (a document with a downward arrow) in the bottom grid toolbar. A context menu is open, showing options: Export to HTML, Export to MHT, Export to PDF, Export to RTF, Export to TEXT, and Export to XLS.

File Path	File Folder	Start Time	End Time	File Type	Current Status
2014-04-29_084343_B...	Processed	4/29/2014 10:03 AM	4/29/2014 10:04 AM	Shipment	Complete with Alerts
2014-04-29_093429_B...	Errors	4/29/2014 9:51 AM	4/29/2014 9:53 AM	Admission	Complete with Errors
2014-04-29_093429_B...	Errors	4/29/2014 9:34 AM	4/29/2014 9:35 AM	Admission	Complete with Errors
BNRAdmission0319.txt	Archive	4/29/2014 9:34 AM	4/29/2014 9:34 AM	Admission	Converted
2014-04-29_084509_B...	Errors	4/29/2014 8:45 AM	4/29/2014 8:46 AM	Admission	Complete with Errors
2014-04-29_084343_B...	Errors	4/29/2014 8:43 AM	4/29/2014 8:45 AM	Shipment	Complete with Errors
Backup		4/29/2014 8:43 AM			Currently Processing
BNRShipment.txt	Archive	4/29/2014 8:43 AM	4/29/2014 8:43 AM		Converted
FTZC_20140402093000...	Processed	4/13/2014 11:56 AM	4/13/2014 11:57 AM	ASN	Complete with Alerts
test.txt	Retry (2)	4/13/2014 11:56 AM	4/13/2014 11:56 AM		System.NullReferenceExceptio...

MISSING DATA REPORTS

You can view reports of the missing data in a link file. These reports will group the missing data by error or by item (or admission or shipment depending on the type of link file). To view the missing data reports for a link file, click the  icon on the toolbar for the bottom grid.

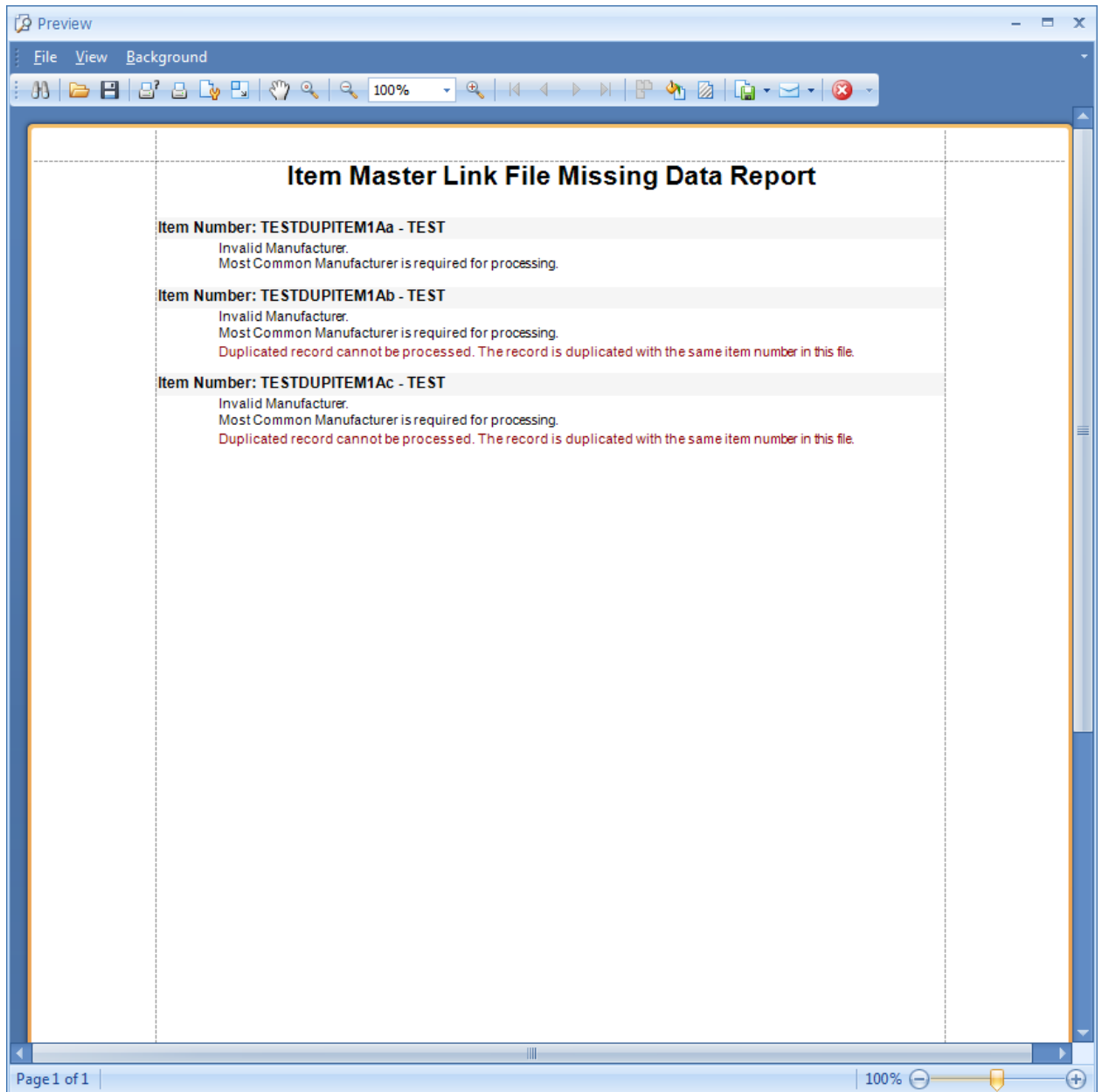
The screenshot shows the SmartZone Premier application window. The 'Integration Files' section is active, displaying a table of file processing records. A context menu is open over the table, showing two options: 'Missing Data Report Group by Item' and 'Missing Data Report Group by Error'. The table below shows various files being processed, including shipment and admission files, with their respective start and end times and current statuses.

File Path	File Folder	Start Time	End Time	File Type	Current Status
2014-04-29_084343_B...	Processed	4/29/2014 10:03 AM	4/29/2014 10:04 AM	Shipment	Complete with Alerts
2014-04-29_093429_B...	Errors	4/29/2014 9:51 AM	4/29/2014 9:53 AM	Admission	Complete with Errors
2014-04-29_093429_B...	Errors	4/29/2014 9:34 AM	4/29/2014 9:35 AM	Admission	Complete with Errors
BNRADmission0319.txt	Archive	4/29/2014 9:34 AM	4/29/2014 9:34 AM		Converted
2014-04-29_084509_B...	Errors	4/29/2014 8:45 AM	4/29/2014 8:46 AM	Admission	Complete with Errors
2014-04-29_084343_B...	Errors	4/29/2014 8:43 AM	4/29/2014 8:45 AM	Shipment	Complete with Errors
Backup		4/29/2014 8:43 AM			Currently Processing
BNMShipment.txt	Archive	4/29/2014 8:43 AM	4/29/2014 8:43 AM		Converted
FTZC_20140402093000...	Processed	4/13/2014 11:56 AM	4/13/2014 11:57 AM	ASN	Complete with Alerts
test.txt	Retry (2)	4/13/2014 11:56 AM	4/13/2014 11:56 AM		System.NullReferenceExceptio...

MISSING DATA REPORT BY ITEM

This report will group the missing data in the link file by item number (or admission or shipment, depending on what link file you are viewing).

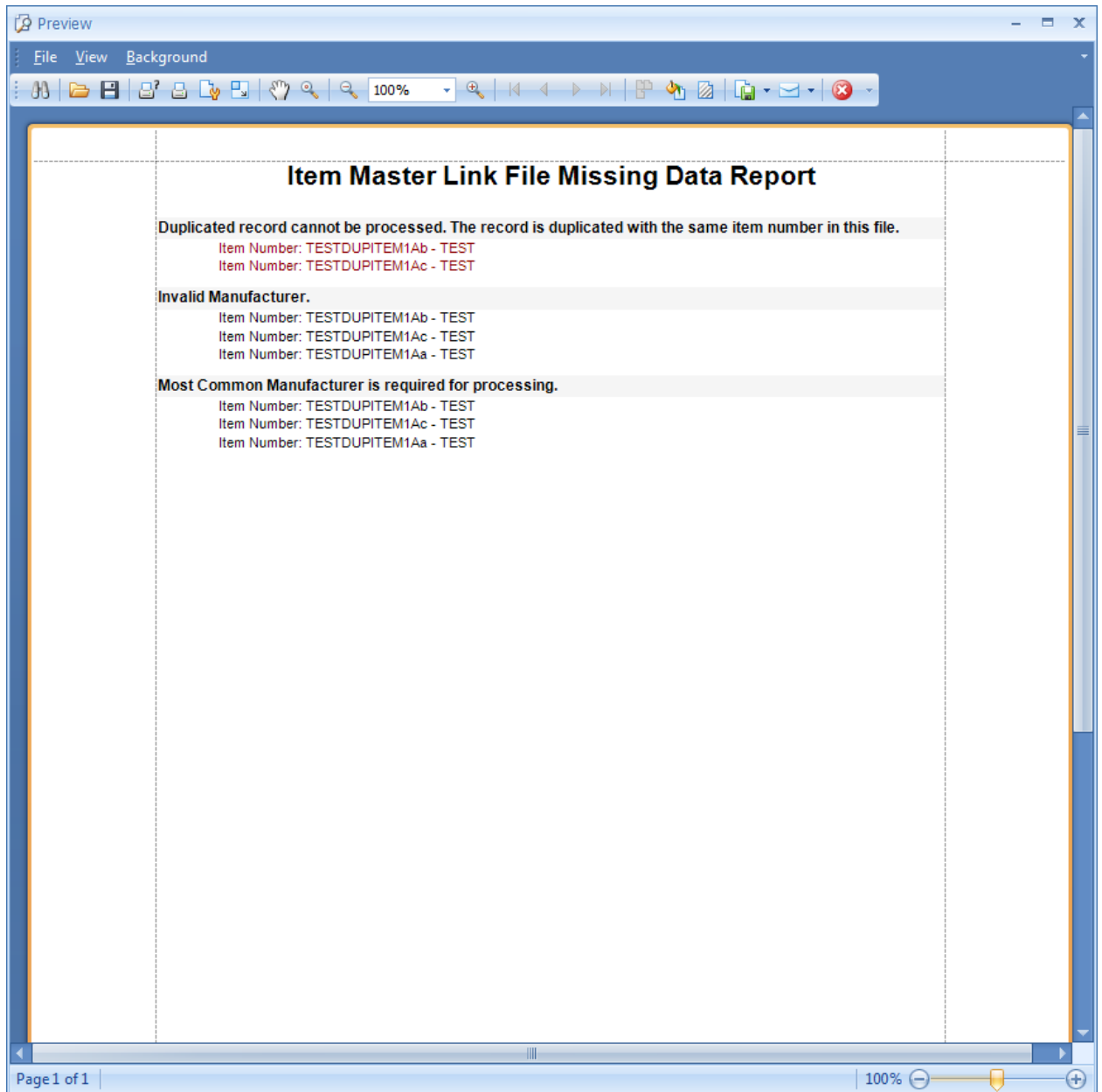
Note: Errors will show up in red and alerts will show up in black.



MISSING DATA REPORT BY ERROR

This report will group the missing data in the link file by the errors or alerts.

Note: Errors will show up in red and alerts will show up in black.

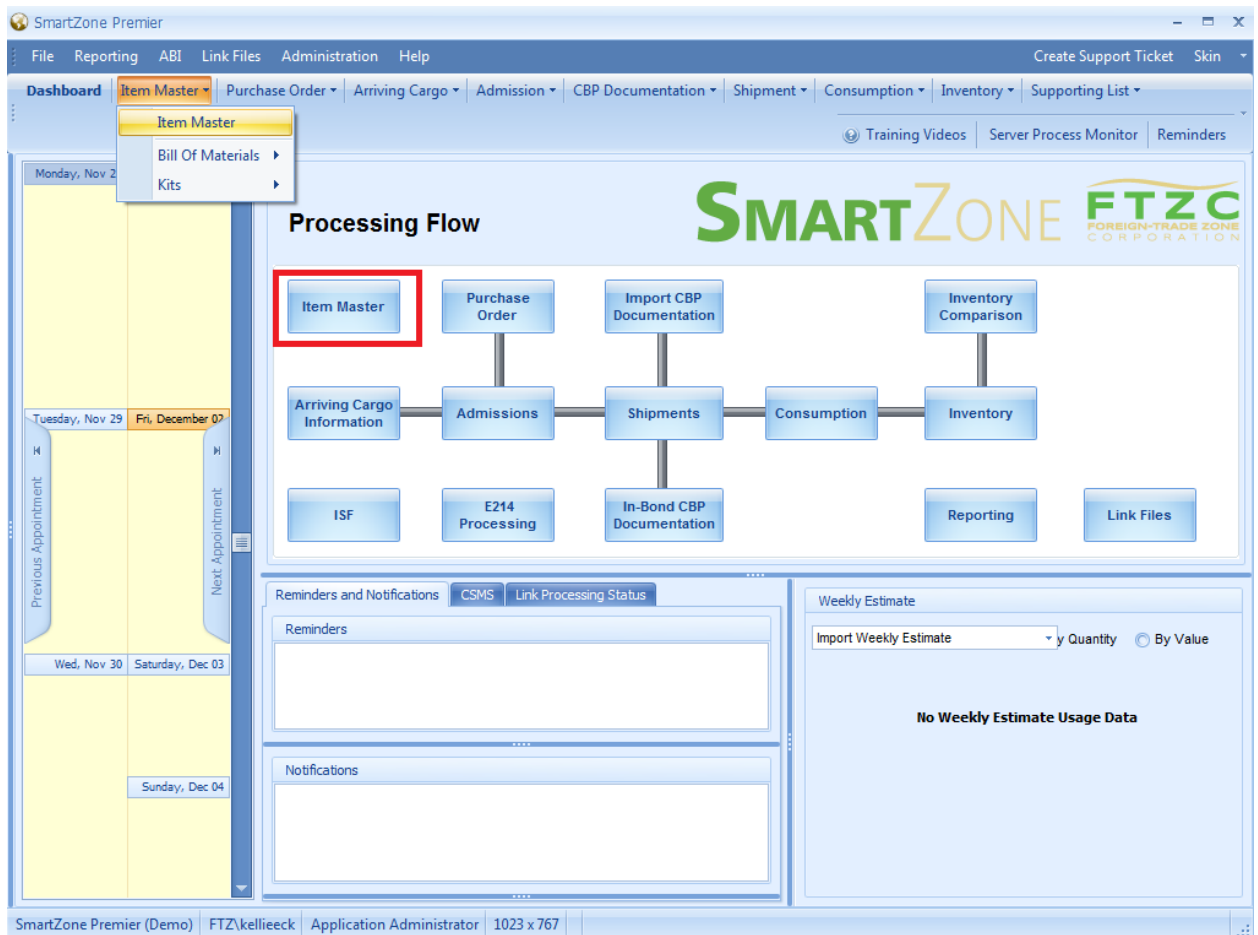


REMOVE OR ADD RECORDS IN LINK FILE

Once you have processed a link file, you can remove or add records if necessary for reprocessing. To remove records from the link file, select the record in the grid and click the  icon on the toolbar for the bottom grid. To add additional records to the link file for processing, click the  icon on the toolbar for the bottom grid. Enter the data as necessary and click *Resubmit*.

Chapter 3 Item Master Processing

Functional Overview



The Item Master contains all the basic company information about the items that are admitted to the zone, as well as the items that are shipped from the zone. The information necessary to determine how the item is to be processed through CBP and the information that identifies how the item is tracked within the zone is also maintained in the Item Master.

FTZ inventory information is accumulated by Item Number (the unique identifier used by the company). All Admissions, Shipments, and Consumption Records must be linked to a valid item in order to be processed.

Unlike the Supporting Lists, which are direct updates, new Item Master records can only be added by creating new records manually or through a download from the company system. This approach allows the zone operator to combine the efficiencies of downloading information from a company system while still having the opportunity to ensure that the information is correct in terms of the “zone world” before making it a permanent part of the zone inventory system.

In addition to downloading new records from the company system, changes to records can also be generated by the download process. This allows the operator to keep the QAD Precision



FTZ Item Master information in sync with the company's production system without having to manually maintain each record. All changed records, whether downloaded or manually added, are then validated against certain validation requirements and any errors or alerts detected are reported on a Validation log. These errors must be corrected or the transaction will not be applied to the Item Master records. The errors may be corrected by changing the information in the Item Master transaction or by correcting the list used to validate the fields (i.e. if the error indicates an "invalid" Harmonized Classification number, the operator can either correct the Harmonized Classification number in the Item Master Transaction or add the Harmonized Classification number to the Harmonized Classification list).

Item Master records, once committed, can also be changed or deleted directly.

There are many Supporting Lists that are closely related to the Item Master process. They are the Harmonized Tariff Schedule list, Company Unit of Measure, Import Treatment, Zone Status, Buyer Code, Manufacturer, and Country. A description of these lists and how to maintain them is contained in *Chapter 4, Supporting Lists*.

Where Used:

Item Master Processing, Admission Processing, Purchase Order Processing, CBP Documentation Processing, Shipment Processing, Consumption Processing, Inventory Processing

Suggested Sequence of Steps for the Item Master Process:

- 1. Create Item Master Records;**
 - a. Import Item Master Records from company system using Link File Processing (see Chapter 5); or,
 - b. Manually add Item Master Records.
- 2. Validate Item Master Records to identify errors.**
- 3. Save the correct and complete records to the Item Master.**
- 4. Successfully committed items are now available for use within QAD Precision FTZ.**
- 5. Perform ongoing direct updates to Item Master records on an as needed basis.**

TO ACCESS THE ITEM MASTER PROCESSES: FROM THE TOOLBAR SELECT ITEM MASTER→ITEM MASTER. OR CLICK ON ITEM MASTER IN THE DASHBOARD PROCESSING FLOW.

ITEM MASTER RECORDS PROCESSING

Item Master records may be added in two different ways: imported or manually created. See *Chapter 5 Link File Processing* for steps on how to import item master records.

MANUALLY CREATE ITEM MASTER RECORDS

- 1. From the Dashboard select Item Master or select Item Master→Item Master from the toolbar**
- 2. Click *Add* on the Item Master grid.**

The Create Item Master Record form will be displayed.



3. Complete the fields on the form as necessary and/or required based on the field specifications outlined below.

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

Note: Some values, such as *Import Treatment* and *Zone Status*, are suggested in a new transaction based on most-likely values in the Company Options. Other fields can be completed by using the pull down menus in the display boxes to see the currently acceptable values for those fields. If the user needs a value that is not currently shown (i.e. a new *Country of Origin*), the user can select the ellipsis next to the field and the appropriate Supporting List form will be displayed to allow the user to add the required value. Once the required value is added to the Supporting List, the user can exit the Supporting List screen and will be taken back to the Create Item Master screen. The added value can then be selected.

Field Name	Usage
ITEM MASTER	
Item Tab:	
Item Number	Unique Identifier within QAD Precision FTZ system; Inventory balances maintained by item number.
Item Master Type	For User reference
Description	User defined description of item number
Standard Net Unit Weight	The weight of the item itself. It may be reported in either pounds or kilograms as indicated in the Company Options.
Company Unit of Measure	Contains the link to the UOM used within the company for this item. It is the tracking unit for quantity within QAD Precision FTZ. The UOM are pulled from the Supporting List.
Standard Unit Cost	The standard cost assigned to this item by the company. It is used primarily to calculate value on the CBP Immediate Release/Entry (CBP3461) for Weekly Estimates.
First Sale	If the standard unit cost is a qualified “first sale” cost, check this box so that any receipts for the item will have the first sale selected by default.
Standard Cost Effective Date	Identifies the last time the company updated standard costs.
Import Treatment	Contains the link to the normal CBP program used to process this item. (i.e. FTZ, NAFTA, CBI). It defaults to the import treatment listed in the Company Options but can still be changed within an item. Note: Only items with an import treatment of FTZ should be maintained within QAD Precision FTZ.
Zone Status	Contains the link to the normal foreign-trade zone status requested for this item upon admission (i.e. NPF, PF). The default value provided from the Company Options can be changed within an item. The Zone Status of an item is then used as the default value for admission records.
Default Buyer	The company may assign the responsibility for purchasing an item to a specific person within their organization. QAD Precision FTZ refers to this as the Buyer Code. This field contains the link to the Buyer list.
Most Common Manufacturer	Contains the link to the most common manufacturer for this item. For manufactured items, it is the zone itself. It is used primarily on the CBP Immediate Release/Entry (CBP3461) when the actual manufacturer is not known until consumption is applied.
Most Common Country of Origin	Indicates the most common country of origin for this item. The default value provided from the Company Options can be changed within an item. It is used primarily on the CBP Immediate Release/Entry (CBP3461) when the actual country of origin is not known until consumption is applied.
Most Common Province	Used if the County of Origin is Mexico or Canada
Related Party	Check this box if the item is purchased from a related party
Related Party Name	If the item is purchased from a related party, enter the related party name.
Harmonized Classification Tab:	
Primary Harmonized Classification Group	Contains the link to the HTSUS group that was defined by the user in the Harmonized Tariff Schedule Group Supporting List. This is used for watches, sets, and kits.
Primary Harmonized Classification	Contains the link to the classification number (HTSUS) assigned to the item for entry purposes. Every item in QAD Precision FTZ must have a Harmonized Classification assigned to it, including manufactured items. Use the drop down menu to select an HTSUS from the Supporting List.



	If the HTSUS is not in this list, it will have to be added to the HTSUS Supporting List before it can be used.
Primary Unit of Measure	Auto populated based on the HTSUS chosen for this item and its corresponding UOM in the Supporting List.
Primary Unit of Measure Conversion Factor	This number is used to convert the quantity of an item from the company's normal UOM to CBP's UOM. Example: if a company tracks bulk material in pounds (lbs) and CBP requires reporting in kilograms (kgs), the conversion factor necessary to convert from lbs. to kgs. is .4536.
Secondary Unit of Measure	Auto-populated based on the HTSUS chosen for this item and its corresponding secondary UOM in the Supporting List.
Secondary Unit of Measure Conversion Factor	If CBP requires reporting using multiple units of measure (i.e. number (No) and kilograms (kgs), this is the conversion factor for the second unit of measure.
Third Unit of Measure	Auto-populated based on the HTSUS chosen for this item and its corresponding UOM in the Supporting List.
Third Unit of Measure Conversion Factor	If CBP requires reporting using multiple units of measure (i.e. number (No) and kilograms (kgs), this is the conversion factor for the third unit of measure.
PN Disclaimer Flag	Check if HTS is disclaimable under FDA rules for prior notice. Any receipts for the item will have the PN Disclaimer flag checked by default.
Secondary Harmonized Classification Group	On rare occasions, CBP requires a secondary HTSUS in order to determine duty. Contains the link to the HTSUS group that was defined by the user in the Harmonized Tariff Schedule Group Supporting List. This is used for watches, sets, and kits.
Secondary Harmonized Classification	On rare occasions, CBP requires a secondary HTSUS in order to determine duty. This field contains a link to the secondary classification number (HTSUS) assigned to the item for entry purposes. Use the drop down menu to select an HTSUS from the Supporting List. If the HTSUS is not on this list, it will have to be added to the HTSUS Supporting List before it can be used.
Primary Unit of Measure	Auto populated based on the secondary HTSUS chosen for this item and its corresponding UOM in the Supporting List.
Secondary Unit of Measure	Auto populated based on the secondary HTSUS chosen for this item and its corresponding secondary UOM in the Supporting List.
Third Unit of Measure	If CBP requires reporting using multiple units of measure (i.e. number (No) and kilograms (kgs), this is the conversion factor for the third unit of measure.
Scrap Unit of Measure	Auto-populated based on the scrap HTSUS chosen for this item and its corresponding UOM in the Supporting List.
Participating Government Agency tab:	
Other Government Agency Code	Codes that indicate special requirements by participating government agencies that may apply.
Antidumping Duty Flag	Code that indicates antidumping may apply.
Countervailing Duty Flag	Code that indicates countervailing may apply.
Company Specific Info Tab:	
Export Overhead Percentage	Deprecated field. Can be used for internal reference.
Export Commodity Code Number	The company may have its own system for identifying and grouping like items. This field may be used to reflect that internal identification.
Estimated Dutiable Value	The estimated dutiable value for the item.
Company Commodity Code	The company may have its own system for identifying and grouping like items. This field may be used to reflect that internal identification.



Alternate Item Number	An alternate item number (such as supplier's number) that the item may be identified by.
Predecessor Item Number	Item number that this number replaced.
Successor Item Number	Item number that replaces this number.
Comments	Comments for user reference.
Hazardous Materials Tab:	
Hazardous Materials Code	A code representing the identification number assigned to the hazardous material.
Hazardous Materials Class	A code representing the hazardous class or division designated for the material in the International Maritime Dangerous Goods (IMDG) code.
Hazardous Materials Code Qualifier	A code which describes the hazardous material class.
Hazardous Material Shipping Name	
Hazardous Material Contact	The name and/or phone number of the person or department to contact in case of an emergency.
Flashpoint Temperature	A code representing the lowest temperature at which the vapor of a hazardous combustible liquid will ignite in the air.
Flashpoint Temp is Negative	A code of N is used when a flashpoint temperature is negative; that is, below 0 (zero) degrees Centigrade/Celsius.
Hazardous Material Description	Material name, special instructions and/or phone number if any.
Hazardous Material Classification	Free form description of hazardous material classification or division of label requirements.
APHIS Tab: this tab only enabled if selected HTS is applicable to APHIS	
Plant Scientific Name Genus	Enter the scientific genus name of the article
Plant Scientific Name Species	Enter the scientific species name of the article
Country of Harvest	The country where the plant was harvested. This is NOT the country of manufacture/origin.
Quantity of Plant Material	How much plant material is in the item
Percent Recycled	% Recycled Material: If the product is paper or paperboard, enter the percentage of recycled material it contains (0 - 100%). If the percentage of recycled material varies, enter the average percentage of recycled material used in the product (example: If the percentage of recycled material used is between 25% and 45%, enter 35%).
Unit of Measure	The Unit of Measure of the Plant Material: kg, m, m2, m3
Aphis Description	A brief description of each article, or component of an article, that is manufactured from plants or plant parts.
Customs Fields Tab:	
1-10	User fields that can be used as desired.
Attachments Tab:	

4. When complete, click *Save and close*.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must input data into the fields or discard the transaction until the information can be completed.

If an error is detected in the new transaction, it can be changed and re-saved.

If the user selects *Close* instead of *Save and Close*, a message will be displayed letting the user know they have pending changes to save.



Note: If the transaction should not be saved, select *Discard and Close*.

5. **If another transaction is required to be added, click *Add New* and repeat the process.**
6. **Click *Save and Close*.**

The Item Master grid is re-displayed, and includes the newly added records.



MAINTAINING ITEM MASTER RECORDS

VIEWING EXISTING ITEM MASTER RECORDS

1. **From the Dashboard select Item Master or select Item Master→Item Master from the toolbar**

The Item Master grid is displayed. The records in the grid can be sorted by clicking in any heading in the grid.

Users can search for data within any grid by clicking *Search* or by typing in data in the white space between the header and data. Users can enter as much of the search criteria as needed to get the results.

2. **Click the Record to be viewed.**

The Item Master *Record* will be displayed in a tabbed, multi-panel form.

Item Master: AGIA-AEIA

Please complete the required fields to save the record.

General Information | Harmonized Tariff Schedule | Partner Government Agency | Company Specific Information | Hazardous Materials | APHIS | PGA Data | Custom Fields | Attachments

Item Number: AGIA-AEIA [Change Item Number](#) ☐ Allow GSP ☐ Aphis

Item Master Type: Regular Item

Item Description: 184011- 178136

Standard Unit Weight: 0.00 kg

Standard Unit Cost: 0.00 lb

Standard Unit Cost: \$0.05 ☐ First Sale Value

Cost Effective Date: 4/1/2012

Company Unit Of Measure: EA Each

Import Treatment: FTZ Foreign Trade Zone Program

Zone Status: NPF Nonprivileged Foreign Merchandise

Default Buyer: BUYER01 BUYER

Most Common Manufacturer: JPSANCOR350GUN SANDEN CORP

Most Common Country of Origin: JP JAPAN

Most Common Province:

☐ Related Party Related Party Name:

3. **When finished viewing the transaction, click *Close*.**

The Item Master grid is re-displayed.

EDIT EXISTING ITEM MASTER RECORDS

1. **From the Dashboard select Item Master or select Item Master→Item Master from the toolbar**



The Item Master grid will be displayed. The records in the grid can be sorted by clicking any heading in the grid.

Users can search for data within any grid by typing in data in the white space between the header and data. Users can enter as much of the search criteria as needed to get the results.

2. **Select the transaction to be changed by clicking it in the grid.**
3. **Change the fields displayed as necessary and/or required based on the field specifications outlined previously in this chapter.**

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

4. **When the fields are correct, click *Save and close*.**

The transaction as corrected will be saved and the Item Master Transaction grid will be returned.

If the user selects *Close* instead of *Save and Close*, a message will be displayed letting the user know they have pending changes to save.

Note: If the transaction should not be saved as displayed, the user should select *Discard and Close*

5. **Repeat the process until all Item Master Records have been corrected.**

DELETE EXISTING ITEM MASTER RECORDS

1. **From the Dashboard select Item Master or select Item Master→Item Master from the toolbar**

The Item Master Records grid is returned.

2. **Single-click the transaction to be deleted; that way it is highlighted in the grid.**

Click *Delete* on the Item Master Options grid and then Click *Save*.

The Item Master grid will be re-displayed, reflecting any changes that were made.

DEACTIVATE EXISTING ITEM MASTER RECORDS

To maintain a compliant audit trail, a user may not delete an item from QAD Precision FTZ. To eliminate obsolete items from the main item master, a user has the option to deactivate an item. There are two ways to deactivate an item:

1. **From the Dashboard select Item Master or select Item Master→Item Master from the toolbar**

The Item Master grid will be displayed showing all current Item Master records that have not been deactivated.

The Item Master records in the grid can be sorted by clicking on any heading in the grid.



Users can search for data within any grid by clicking *Search* or by typing in data in the white space between the header and data. Users can enter as much of the search criteria as needed to get the results.

2. Highlight the record to be deactivated.

Click Deactivate.

Note: When an item is deactivated, it is not physically deleted; it is moved to an *Inactive* status. It can be re-activated at any time. Deactivated items can be displayed by selecting *Inactive* under View on the left of the Item Master grid.

3. When the deactivation is complete, the Item Master grid is updated to reflect the deactivation of the record.

4. To re-activate any item, choose the item to re-activate in the *Inactive* grid and choose *Re-Activate*.

CHANGE ITEM NUMBER

QAD Precision FTZ allows a user to permanently change an item number, and it's associated inventory, to a newly created item number. This functionality should be reserved for cases where the item number is changing to a new item number, all associated inventory will be for the new item number, and the old item number will no longer be used.

1. From the Dashboard select Item Master or select Item Master→Item Master from the toolbar

The Item Master grid will be displayed showing all current Item Master records that have not been deactivated.

The Item Master records in the grid can be sorted by clicking on any heading in the grid.

Users can search for data within any grid by clicking *Search* or by typing in data in the white space between the header and data. Users can enter as much of the search criteria as needed to get the results.

2. Click the record to be changed.

The Item Master *Record* will be displayed in a tabbed, multi-panel form.

3. Click on the “Change Item Number” option next to the Item Number field.



Item Master: AGIA-AEIA

Please complete the required fields to save the record.

General Information | Harmonized Tariff Schedule | Partner Government Agency | Company Specific Information | Hazardous Materials | APHS | PGA Data | Custom Fields | Attachments

Item Number: AGIA-AEIA [Change Item Number](#) ☐ Allow GSP ☐ Aphis

Item Master Type: Regular Item

Item Description: 184011- 178136

Standard Unit Weight: 0.00 kg

Standard Unit Cost: 0.00 lb

Standard Unit Cost: \$0.05 ☐ First Sale Value

Cost Effective Date: 4/1/2012

Company Unit Of Measure: EA Each

Import Treatment: FTZ Foreign Trade Zone Program

4. When the Change Item Number popup appears, enter the new item number in the “New Item Number” field.

Item Master: AGIA-AEIA

Please complete the required fields to save the record.

General Information | Harmonized Tariff Schedule | Partner Government Agency | Company Specific Information | Hazardous Materials | APHS | PGA Data | Custom Fields | Attachments

Item Number: AGIA-AEIA [Change Item Number](#) ☐ Allow GSP ☐ Aphis

Item Master Type: Regular Item

Item Description: 184011-178136

Standard Unit Weight: 1.000 kg

Standard Unit Cost: 2.205 lb

Standard Unit Cost: \$1.550 ☐ First Sale Value

Cost Effective Date: 1/10/

Company Unit Of Measure: LY

Import Treatment: FTZ

Zone Status: NPF

Default Buyer: S

Most Common Manufacturer: USSO

Most Common Country of Origin: CN

Most Common Province:

☐ Related Party Related Party Name:

Change Item Number

Please Enter the Item Number as it should appear.

Old Item Number: AGIA-AEIA

New Item Number:

OK Cancel

5. QAD Precision FTZ will confirm if you want to change the item number. Click *Yes* if you want to change the item number. Click *No* if you want to cancel.
6. If you click *Yes* to change the item number, the Item Number field on the Item Master Record will now show the new item number. Click *Save and Close* to save your changes.

All associated inventory for the old item number will now be associated to the new item number.

BILL OF MATERIALS

The Bill of Materials is an optional feature of QAD Precision FTZ that you can use to manage the bill of materials of a manufactured item. This would be used in the case where the BOM breakout could not be sent in the shipment link file or if you do not want to manually manage the BOMs on the shipment level. However, because the bill of materials will need to be regularly maintained in QAD Precision FTZ, it's usually easier for a company to provide the breakout in the shipment link file, straight from the company's ERP/WMS system based on what actually went into the manufactured item. This section will provide instructions on how to create bills of material that will be maintained in QAD Precision FTZ.

HOW TO ADD A BILL OF MATERIAL ITEM

All bill of material items are added in the Item Master first. Once the items are added there, you will need to add the bill of material in the Bill of Material section of QAD Precision FTZ.

ADD A MANUFACTURED ITEM IN ITEM MASTER

This is the manufactured item (or finished good) for the Bill of Material.

1. **Go to Item Master→Item Master on the toolbar.**
2. **Click *Add New* to create a new Item Master.**

The Create Item Master Record form will be displayed.



3. Complete the fields on the form as necessary and/or required based on the field specifications outlined below.

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

4. For the item master type, choose *Manufactured Item*.

Item Master:

Please complete the required fields to save the record.

General Information | Harmonized Tariff Schedule | Partner Government Agency | Company Specific Information | Hazardous Materials | APHIS | PGA Data | Custom Fields | Attachments

Item Number: CAR500 [Change Item Number](#) ☐ Allow GSP ☐ Aphis

Item Master Type: Regular Item

Item Type	Item Type Comments
Regular Item	Regular Item Type
Manufacture Item	Manufacture Item Type
Kit Item	Kit Item Type

Standard Unit Weight: kg

Standard Unit Cost: lb

Cost Effective Date:

Company Unit Of Measure:

Import Treatment:

Zone Status:

Default Buyer:

Most Common Manufacturer:

Most Common Country of Origin:

Most Common Province:

☐ Related Party

5. Click **Save and Close** once all required data is populated.

ADD A REGULAR (COMPONENT) ITEM TO THE ITEM MASTER

This is the raw component item that is imported and tracked in QAD Precision FTZ. This is only going to be the raw components that are FTZ applicable and make up the BOM explosion of a manufactured item (finished good).

1. Go to **Item Master** → **Item Master** on the toolbar.
2. Click **Add New** to create a new Item Master.

The Create Item Master Record form will be displayed.

- Complete the fields on the form as necessary and/or required based on the field specifications outlined below.

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

- For the item master type, choose *Regular Item*.

5. Click *Save and Close* once all required data is populated.

Once you have created the manufactured item and all the regular component items that make up the bill of material, you are now ready to create the Bill of Material for use in QAD Precision FTZ shipments.

HOW TO CREATE A BILL OF MATERIAL

1. Go to Item Master→Bill of Materials→Bill of Material on the toolbar.

Item Number	Item Description	Version	Effective Date	Termination Date	Comments
MirManufacture	Books	100.25	2/6/2015	2/6/2015	Testing
engine	engine	2	1/6/2015	12/6/2015	
engine	engine	3	1/6/2015	12/6/2015	
car	car	10	1/24/2015		
VersionManufactureTest	MItem	12	5/13/2015	6/13/2015	Test
TESTNEW 1213	Poultry				

General Information

Type: Bill of Material

Version: 3.2

Manufacture Item: HAMEEDTESTKARNA

Default Bill of Material: ☐

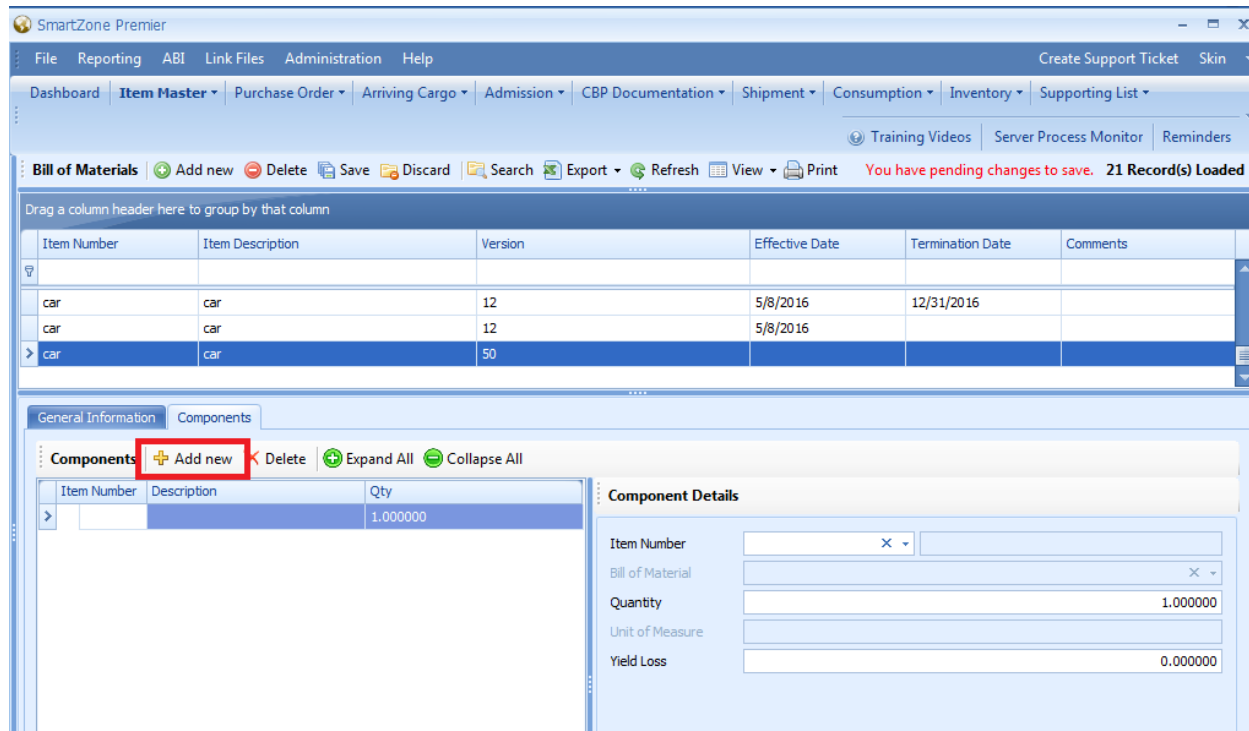
Effective Date: 11/25/2014

Termination Date: 11/25/2014

Comments: 323

2. **Click *Add New* to create a new bill of materials record.**
You will need to enter the bill of material details in the bottom section of the screen.
3. **In the *Version* field, provide a version number for the bill of materials you are creating.**
This allows you to create multiple versions for the same manufactured item. For each version, you will need a different bill of materials record. You will be able to choose a version in the Shipment link file or in the Shipments section when creating a shipment manually.
4. **In the *Manufacture Item* field, choose the manufactured item that you are creating the bill of materials for.**
This item must exist in Item Master as an Item Master Type *Manufactured Item*.
5. **Check *Default Bill of Materials* if this will be the default record for the manufactured item. Otherwise, leave this unchecked.**
6. **Provide an effective date and termination date for the bill of material. *This is optional***
7. **Provide comments for the bill of material. *This is optional***

8. On the Components tab, click *Add New* to add the raw components to the manufactured item.



9. In the *Item Number* drop-down, select the regular component item that is used in the bill of material.
10. Provide the quantity and yield loss (if applicable) of the regular component item.
11. Continue to click *Add New* on the Components tab to add additional regular component items to the bill of material.
12. Once all regular component items have been added, click *Save* at the top of the Bill of Materials grid.

This bill of material is now ready to be used in a Shipment Link File or added manually to a shipment under Shipments.

MANUALLY ADD A BILL OF MATERIAL TO A SHIPMENT

1. Go to Shipment→Shipments on the toolbar.
2. Find the existing shipment or click *Add New* to create a new shipment.
If this is a new shipment, you will need to populate all required fields in the *Shipment Header Information* tab.
3. On the *Shipment Items* tab, click *Add New*.



4. In the *Item Number* field, select the manufactured item which you created a bill of materials for.
5. A *Version* drop-down will now be available for selection.

6. Choose the version of the bill of material you need to add to the shipment.
7. Enter an *Actual Quantity Shipped* for the shipment item.
8. On the *Shipment Item Components* tab, you will see the Bill of Material breakout that you selected for the version of manufactured item you chose.
At this point you can add, delete or edit any components from the Bill of Material if needed.
9. Once you have verified the components, click *Save and Close* to save the shipment item details.
10. Click *Save and Close* again to save the shipment details.
Your bill of materials has now been added to a shipment.

KITS

The Kits feature is an optional feature of QAD Precision FTZ that you can use to manage item numbers that are imported and are kitted together in the zone and shipped out as a different item number. This would be used in the case where the Kit breakout could not be sent in a shipment link file or if you do not want to manage the Kits on the shipment level. However, because the



Kits will need to be regularly maintained in QAD Precision FTZ, it's usually easier for a company to provide the breakout in the shipment link file, straight from the company's ERP/WMS system. This section will provide instructions on how to create Kits that will be maintained in QAD Precision FTZ.

HOW TO ADD A KIT

All Kit items are added in the Item Master first. Once the items are added there, you will need to setup the kit in the Kits section of QAD Precision FTZ.

ADD A KIT ITEM IN ITEM MASTER

The Kit Item is the item number that is shipped from the zone.

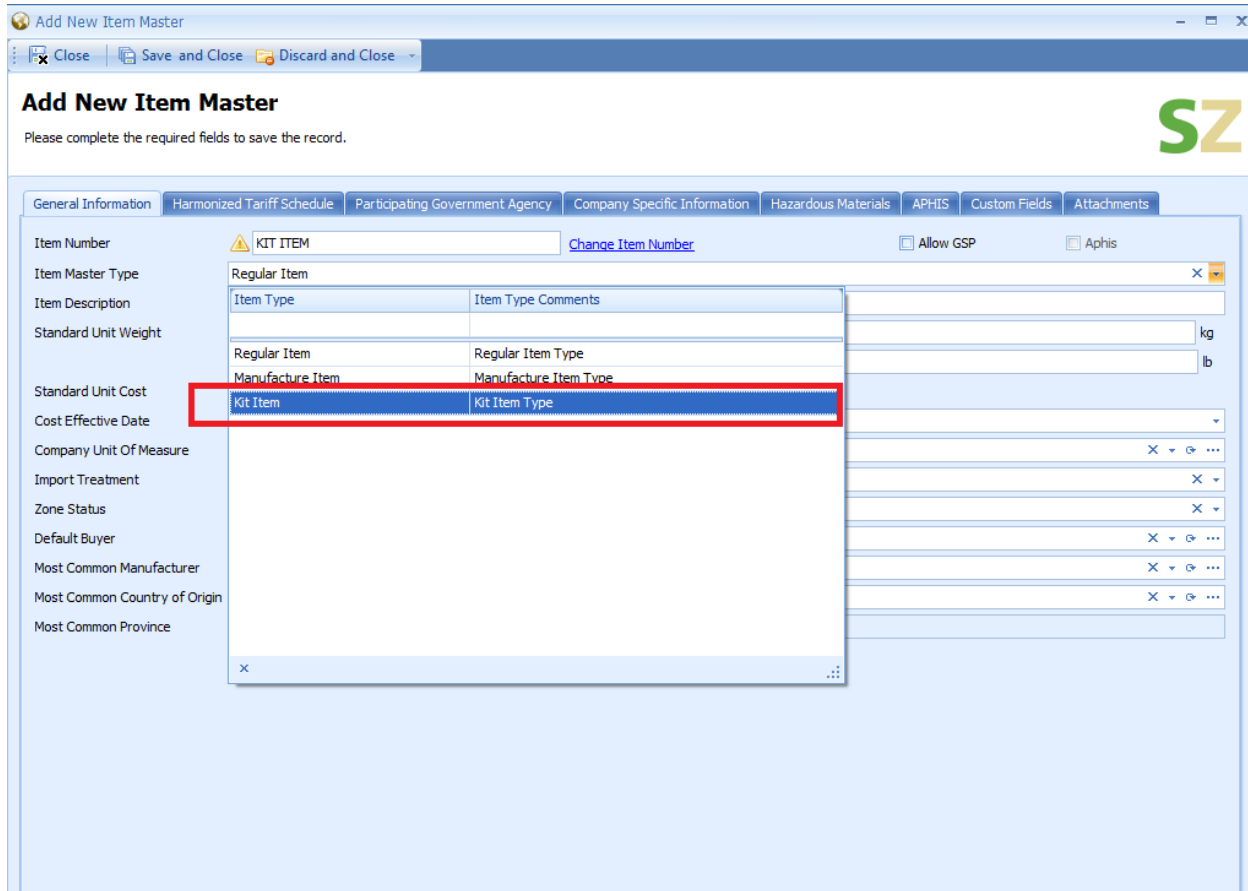
1. **Go to Item Master**→Item Master on the toolbar.
2. **Click *Add New* to create a new Item Master.**
The Create Item Master Record form will be displayed.

3. **Complete the fields on the form as necessary and/or required based on the field specifications outlined below.**

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

- For the item master type, choose *Kit Item*.



- Click *Save and Close* once all required data is populated.

ADD A REGULAR (COMPONENT) ITEM TO THE ITEM MASTER

This is the component item that is imported and tracked in QAD Precision FTZ. This is only going to be the imported components that are FTZ applicable and are kitted together in the zone to make the shipped Kit item.

- Go to Item Master → Item Master on the toolbar.
- Click *Add New* to create a new Item Master.

The Create Item Master Record form will be displayed.

3. Complete the fields on the form as necessary and/or required based on the field specifications outlined below.

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

4. For the item master type, choose *Regular Item*.

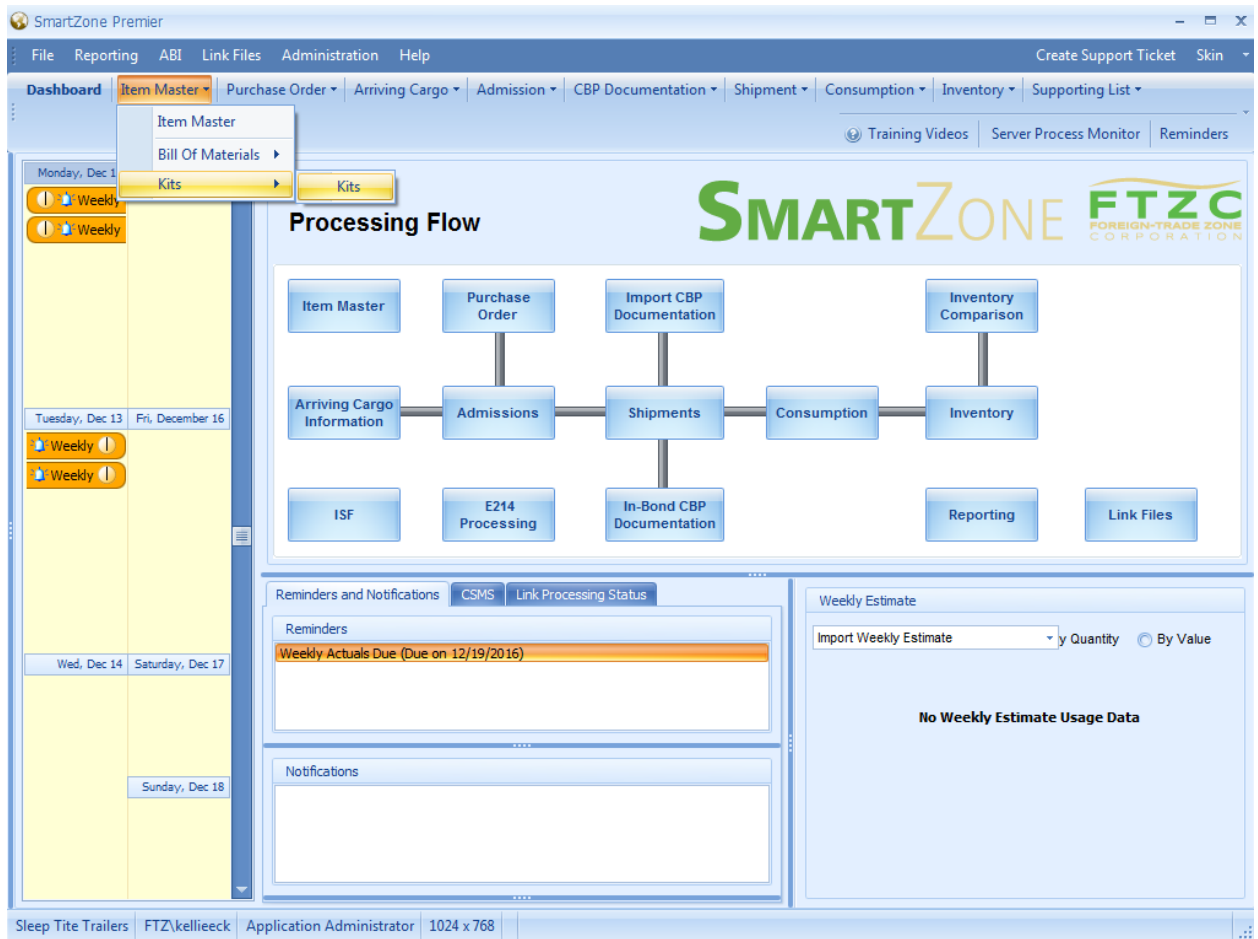
The screenshot shows the 'Item Master' window with the 'General Information' tab selected. The 'Item Number' field contains 'ENGINE500'. The 'Item Master Type' dropdown is open, showing a list of item types: 'Regular Item', 'Manufacture Item', and 'Kit Item'. The 'Regular Item' option is highlighted with a red box. The 'Item Type Comments' field is empty. The 'Standard Unit Weight' field is set to 'kg'. The 'Standard Unit Cost' field is empty. The 'Cost Effective Date' field is empty. The 'Company Unit Of Measure' field is empty. The 'Import Treatment' field is empty. The 'Zone Status' field is empty. The 'Default Buyer' field is empty. The 'Most Common Manufacturer' field is empty. The 'Most Common Country of Origin' field is empty. The 'Most Common Province' field is empty.

5. Click *Save and Close* once all required data is populated.

Once you have created the Kit item and all the imported component items that make up the Kit, you are now ready to create the Kit for use in QAD Precision FTZ shipments.

HOW TO CREATE A KIT

1. Go to Item Master → Kits → Kits on the toolbar.



2. Click Add New to create a new kit record.
You will need to enter the kit details in the bottom section of the screen

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Kits Add new Delete Save Discard Search Export Refresh View Print You have pending changes to save. 2 Record(s) Loaded

Drag a column header here to group by that column

Item Number	Item Description	Version	Effective Date	Termination Date	Comments
TEST_KIT	TEST_KIT	1.3	4/20/2016	12/27/2016	

General Information Components

Type Kit

Version

Kit Item

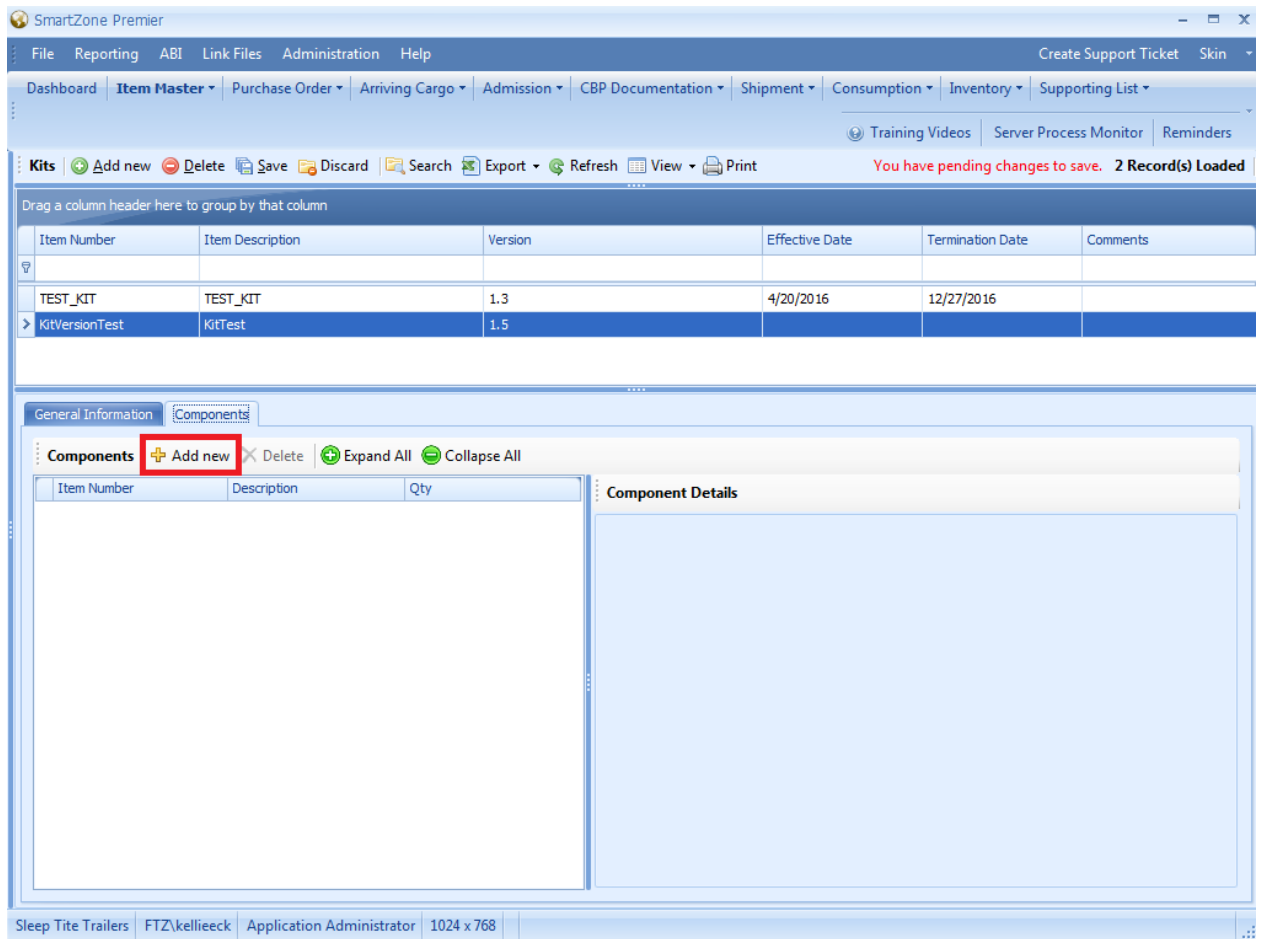
Default Kit ☐

Effective Date

Termination Date

Comments

3. In the Version field, provide a version number for the kit you are creating.
This allows you to create multiple versions for the same kit item. For each version, you will need a different kit record. You will be able to choose a version in the Shipment link file or in the Shipments section when creating a shipment manually.
4. In the Kit Item field, choose the kit item that you are creating the kit record for.
This item must exist in Item Master as an Item Master Type *Kit Item*.
5. Check Default Kit if this will be the default record for the kit item.
Otherwise, leave this unchecked.
6. Provide an effective date and termination date for the kit. This is optional
7. Provide comments for the kit. This is optional
8. On the Components tab, click Add New to add the imported components to the kit item.



9. In the Item Number drop-down, select the regular component item that is **used in the kit.**
10. Provide the quantity and yield loss of the regular component item, if **applicable.**
11. Continue to click Add New on the Components tab to add additional **regular component items to the kit.**
12. Once all regular component items have been added, click Save at the **top of the Kits grid.**
This Kit is now ready to be used in a Shipment Link File or added manually to a shipment under Shipments.

MANUALLY ADD A KIT TO A SHIPMENT

1. **Go to Shipment→Shipments on the toolbar.**
2. **Find the existing shipment or click *Add New* to create a new shipment.**
If this is a new shipment, you will need to populate all required fields in the *Shipment Header Information* tab.



3. On the *Shipment Items* tab, click *Add New*.
4. In the *Item Number* field, select the kit item which you created a kit record for and then click off the *Item Number* field.
5. A *Kit* drop-down will now be available for selection.

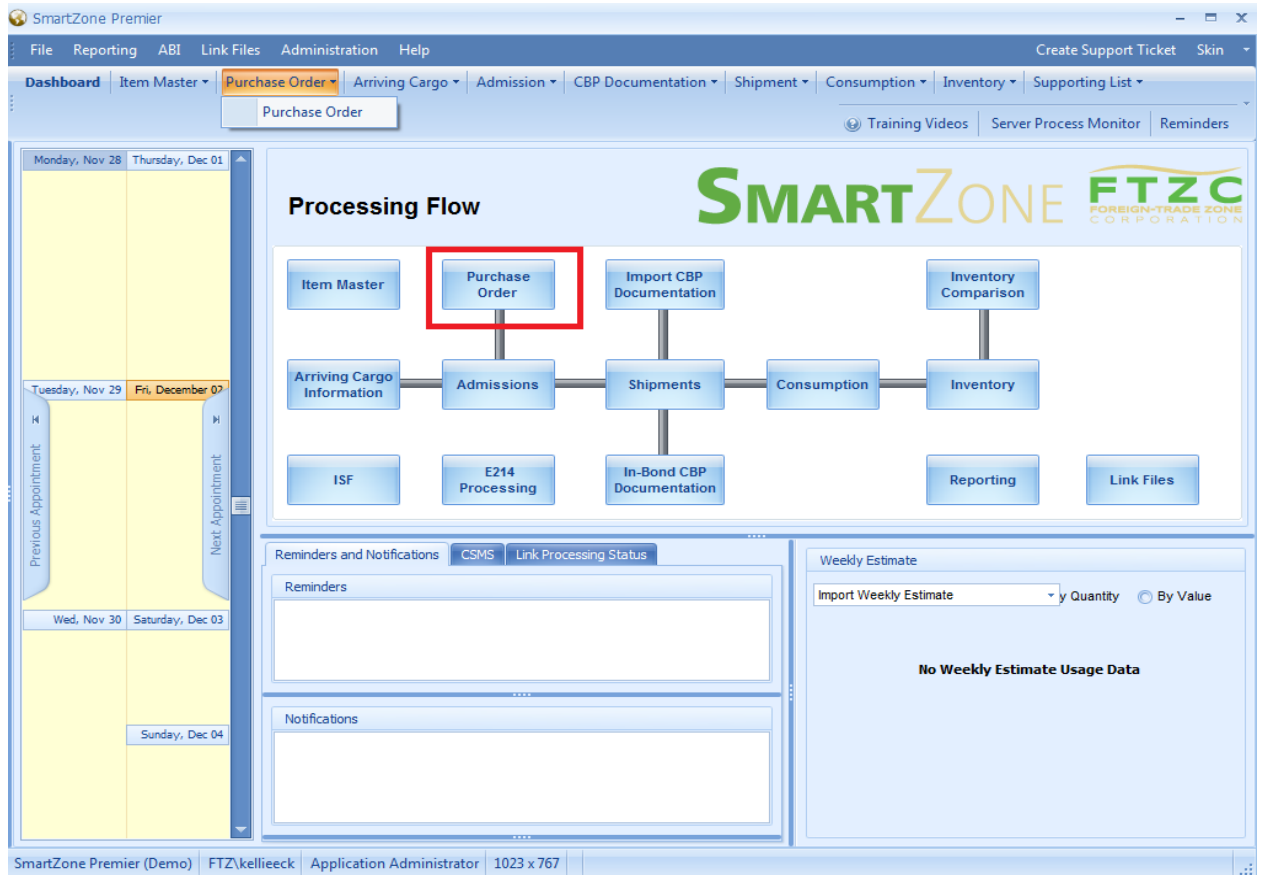
6. Choose the version of the kit you need to add to the shipment.
7. Enter an *Actual Quantity Shipped* for the shipment item and click *Save and Close*.
On the *Shipment Items* tab, you will now see the regular component items that are a part of the kit for the version of Kit item you chose. These will be listed in the *Item Number* column. The *Shipped Item* column will contain the Kit item.

At this point you can add, delete or edit any shipped items on the shipment if needed.
8. Once you have verified the shipped items, click *Save and Close* to save the shipment item details.
9. Click *Save and Close* again to save the shipment details.
10. Your kit has now been added to a shipment.

Chapter 4 Purchase Orders

PURCHASE ORDER PROCESSING

Functional Overview



The Purchase Order section found in QAD Precision FTZ may be used to give the operator the means to identify which vendor a particular receipt of an item comes from, what the negotiated cost for the item was, and what the expected country of origin and manufacturer was. The operator has the option of completing this information within each admission on a receipt-by-receipt basis. However, by making Purchase Order information available within QAD Precision FTZ, the repetitive entry of this information in the individual Admission records is eliminated. QAD Precision FTZ is not intended to be used to track receipts against a Purchase Order or to issue Purchase Orders on behalf of the company.

It is important that the operator verify the information extracted from the Purchase Orders against the vendor's Commercial Documentation for each admission. The operator should be aware that the information contained in the Purchase Order records is only that which is currently planned and expected. The actual information may be different based on the timing of changes to the Purchase Order records and last minute decisions or agreements made between the buyers of a particular item and the vendors. The operator is able to override the item cost and country of origin extracted from the Purchase Orders on an admission-by-admission basis. However, a reason for the override must be included in the Admission record in order to maintain an audit trail of discrepancies between company systems and QAD Precision FTZ.



Like the Item Master, new Purchase Orders can be added only by creating new records manually or through a download from the company system. This approach allows the zone operator to download records from a company system while ensuring that the information is correct in terms of the “zone world” before making it a permanent record. Changes and deletions to Purchase Orders and their associated items can be performed directly to the records themselves.

In addition to downloading records from the company system, changes to records can also be generated through the download process. This allows the user to keep QAD Precision FTZ Purchase Order information up to date with the company without manually maintaining individual Purchase Order's. All records, whether downloaded or manually added, are then validated and any errors detected are reported in the link file grid. These errors must be corrected for the transaction to be applied to the Purchase Orders. The errors may be corrected by changing the information in the Purchase Order link file or by correcting the section that is used to validate the fields (i.e. if the error indicates *Invalid Item Number*, the operator can correct the Item Number in the Purchase Order link file or can add the Item Number to the Item Master).

There are three Supporting Lists that are closely related to the Purchase Order process. They are the Buyers list, the Vendors list, and the Manufacturers list. A description of these lists and how to maintain them is contained in Chapter 4, Supporting Lists.

Where Used:

Purchase Order Records, Admissions Records

Suggested Sequence of Steps for the Purchase Order Process:

1. **Create Purchase Order records;**
 - a. Import Purchase Order records from company system using Link File Processing (see Chapter 5); or,
 - b. Manually add Purchase Order records.
2. **Correct Purchase Order validation errors.**
3. **Repeat steps two and three until all Purchase Order Records have been successfully created.**
4. **Perform ongoing direct updates to Purchase Orders and their associated items on an as needed basis.**

TO ACCESS PURCHASE ORDER PROCESSES: SELECT PURCHASE ORDER FROM THE DASHBOARD OR SELECT PURCHASE ORDER→PURCHASE ORDER FROM THE TOOLBAR.

PURCHASE ORDER RECORD PROCESSING

VIEWING THE PURCHASE ORDER RECORDS

Purchase Order Records may be viewed at any time to verify information.

1. **From the Dashboard select Purchase Order or select Purchase Order→Purchase Order from the toolbar.**



The screenshot displays the SmartZone Premier application interface. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. Below this is a navigation bar with tabs for Dashboard, Item Master, Purchase Order (selected), Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. A toolbar for the Purchase Orders section contains icons for Add new, Delete, Save, Discard, Search, Export, Refresh, View, and Print. The main area shows a grid with one record loaded. The grid has columns for Purchase Order Number, Effective Date, Buyer Code, Buyer Name, Vendor Code, and Vendor Name. The record shown is for Purchase Order Number 'test', Effective Date '7/16/2014', Buyer Code 'BUYER01', Buyer Name 'BUYER', Vendor Code '00002', and Vendor Name 'A&W BEARINGS & SUPPLY CO., INC'. Below the grid is a form for the selected Purchase Order, with fields for Purchase Order Number, Effective Date, Buyer, Vendor, Vendor Country of Origin, Close Date, and Comments.

Purchase Order Number	Effective Date	Buyer Code	Buyer Name	Vendor Code	Vendor Name
test	7/16/2014	BUYER01	BUYER	00002	A&W BEARINGS & SUPPLY CO., INC

Record 1 of 1

Purchase Order Number: test

Effective Date: 7/16/2014

Buyer: BUYER01 | BUYER

Vendor: 00002 | A&W BEARINGS & S...

Vendor Country of Origin: USA

Close Date:

Comments:

CREATE A NEW PURCHASE ORDER RECORD

1. **From the Dashboard select Purchase Order or select Purchase Order→ Purchase Orders from the toolbar.**

The Purchase Order grid will be displayed showing all existing Purchase Orders.

Note: The Purchase Order records displayed may be sorted by clicking any heading in the grid.

Users can search for data within any grid by typing data in the white space between the header and data. Users can enter as much of the search criteria as needed to get the specific results.

2. **Click *Add new***

A blank Purchase Order form will be displayed.

3. **Complete the fields on the Purchase Order form as necessary or required based on the field specifications outlined below.**

Note: Some values, such as *Vendor Country of Origin*, are suggested in new Purchase Orders based on values in other Supporting Lists, such as the Vendor list. Other fields can be completed by using the pull down buttons in the display box to view the currently acceptable values for that field. If the user needs a value that is not currently shown (for example: a new *vendor*), the user must add the value to the corresponding Supporting List. Once the required value is added to the Supporting List, the user can exit the Supporting List screen and go back to the Purchase Order Transaction screen. The added value can then be selected.

Field Name	Usage
PURCHASE ORDER	
Purchase Order Number	Purchase Order number assigned by the company system.
Effective Date	Start Date that the information currently contained in the Purchase Order is valid. If the added Purchase Order is not effective immediately, QAD Precision FTZ will not use the information for any admissions until that date.
Buyer	Buyer responsible for the purchase order.



Vendor	Vendor supplying the items on the Purchase Order to the zone. It is not necessarily the manufacturer of those items.
Vendor Country of Origin	Based on the vendor chosen, this field automatically displays the country of origin listed in the vendor record of the Vendor Supporting List.
Close Date	End Date of the applicable time period for the Purchase Order.
Comments	Contains comments for user reference.

4. When the Purchase Order information is complete, click *Save*.

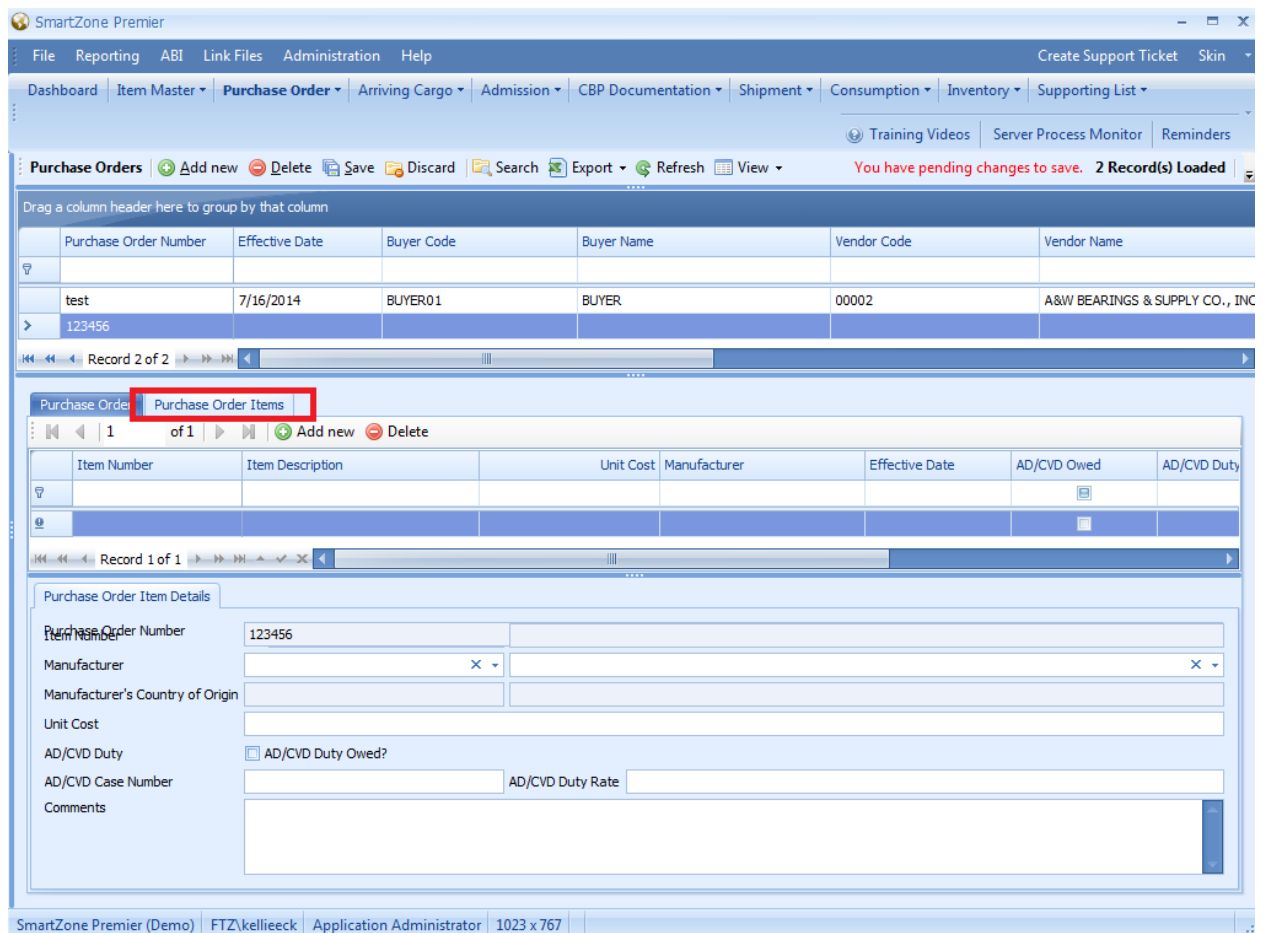
Fields with a red circle  are required for the record to save.

Note: If the transaction should not be saved as displayed, the user should select *Discard*.

ADDING PURCHASE ORDER ITEMS TO PURCHASE ORDER RECORDS

1. On the Purchase Order Item tab of the Purchase Order, click *Add New*.

A blank Purchase Order Item form is displayed.



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File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master **Purchase Order** Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Purchase Orders Add new Delete Save Discard Search Export Refresh View You have pending changes to save. 2 Record(s) Loaded

Drag a column header here to group by that column

Purchase Order Number	Effective Date	Buyer Code	Buyer Name	Vendor Code	Vendor Name
test	7/16/2014	BUYER01	BUYER	00002	A&W BEARINGS & SUPPLY CO., INC
123456					

Record 2 of 2

Purchase Order **Purchase Order Items**

1 of 1 Add new Delete

Item Number	Item Description	Unit Cost	Manufacturer	Effective Date	AD/CVD Owed	AD/CVD Duty

Record 1 of 1

Purchase Order Item Details

Purchase Order Number: 123456

Manufacturer:

Manufacturer's Country of Origin:

Unit Cost:

AD/CVD Duty: ☐ AD/CVD Duty Owed?

AD/CVD Case Number: AD/CVD Duty Rate:

Comments:

SmartZone Premier (Demo) FTZ\kellieck Application Administrator 1023 x 767

2. Complete the fields on the form as necessary and/or required based on the field specifications outlined below.

Fields with a red circle  are required for the record to Save.

Note: Some values can be completed by using the pull down buttons in the display box to see the currently acceptable values for that field. If a value not currently shown (i.e. a *Manufacturer*) is needed, the user can go to the appropriate Supporting List to add the required value. Once the required value is added to the Supporting List, the user can go back to the Purchase Order Item Transaction screen. The added value can then be selected.

Field Name	Usage
PURCHASE ORDER ITEM RECORDS	
Purchase Order Number	Contains the link to the Purchase Order number assigned by the company system that contains this item.
Item Number	Contains the link to the Item Master for the item included in this Purchase Order.
Manufacturer	Contains the link to the entity that manufactured the item being purchased. It is not necessarily the supplier.
Manufacturer's Country of Origin	Based on the manufacturer chosen, this field automatically displays the country of origin listed in the manufacturer record of the Manufacturers Supporting List.
Unit Cost	Actual purchase price negotiated between the Company and the Vendor. It represents the expected dutiable value and is used as the default value on an admission record. If the vendor's invoice reflects a different cost, the Admission Record cost must be corrected. It does not affect the purchase order.
AD/CVD Duty Owed	Antidumping/Countervailing duties are assessed against a specific manufacturer/item combination. If it is subject to an AD/CVD action, this box should be checked. All admissions associated with this Purchase Order Item must have a zone status of PF.
AD/CVD Case Number	When an AD/CVD action is active, a case number is assigned for tracking purposes and must be reported.
AD/CVD Duty Rate	In order to ensure competitive pricing for domestic manufacturers of this item, a punitive duty rate over and above the normal duty rate is assessed during the course of an AD/CVD action. The duty rate is manufacturer/item specific.
Comments	Contains comments for user reference.



3. **When complete, click *Save*.**

The Purchase Order number will be displayed under the Purchase Order Items tab.

If the new item transaction should not be added at all, click *Discard* to return to the Purchase Order transaction.

4. **If there are additional items on the Purchase Order, click *Add New* after saving and repeat steps 2 and 3 until all items have been entered.**

If any changes to the Purchase Order Transaction have not been saved, the operator will see a message stating “you have pending changes to save”.

CHANGE EXISTING PURCHASE ORDER RECORDS

1. **From the toolbar select *Purchase Order*→*Purchase Order* or click *Purchase Order* on the Dashboard.**

The Purchase Order Records grid will be displayed showing all existing Purchase Order Records that have not been Committed.

Note: The Purchase Order Records displayed may be sorted by clicking any heading in the grid.

2. **Click on the transaction to be changed on the Purchase Order grid.**

The Purchase Order form will be displayed.



3. **Change the fields on the *Purchase Order* as necessary and/or required based on the field specifications outlined previously in this chapter.**

Fields with a red circle  are required for the record to Save.

Note: Some values can be completed by using the pull down buttons in the display box to see the currently acceptable values for that field. If a value not currently shown (i.e. a *Manufacturer*) is needed, the user can go to the appropriate Supporting List to add the required value. Once the required value is added to the Supporting List, the user can go back to the Purchase Order Item Transaction screen. The added value can then be selected.

Step-by-step instructions for making changes to items associated with the Purchase Order are included below. A change is anything that adds, changes, or deletes an item from a transaction.

4. **When the Purchase Order changes are complete, click *Save*.**

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are completed. If they are not, the user must either input data into the required fields or discard the changes until the information can be completed.

The transaction as changed will be saved but will continue to be displayed. If an error is detected in the changed transaction, it can be changed again and re-saved.

Note: If the transaction should not be saved, select *Discard*.

CHANGE EXISTING ITEMS ON AN EXISTING PURCHASE ORDER TRANSACTION



1. **In the Purchase Order Item grid, click the item to be changed.**

The detailed information for the selected item is displayed.

2. **Complete the fields on the Purchase Order Item form as necessary or required based on the field specifications outlined previously in this chapter.**

QAD Precision FTZ allows the direct update of any field displayed in white. If the user makes a change to any information displayed, the *Save* button will enable.

Some fields can be updated by using the pull-down menus in the display box. If a value not currently shown (i.e. a *Manufacturer*) is needed, the user can go to the appropriate Supporting List and add the needed value. The value should then be available for selection in the drop down on the Purchase Order Item form.

3. **When all changes are complete, click *Save*.**

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are completed. If they are not, the user must either input data into the fields or discard the change until the information can be completed.

The record will be saved as entered and will continue to be displayed.

If an error is detected in the modified item, it must be changed in order to be saved.

4. **If the changes should not be saved at all, click *Discard* to dismiss any changes.**

DELETE PURCHASE ORDER RECORD

1. **From the Dashboard select Purchase Order or select Purchase Order→Purchase Order**

The Purchase Order Records grid is displayed.

2. **Highlight the PO to be deleted.**
3. **Click *Delete*.**
4. **Click *Save*.**

NOTE: The deletion of the PO is not permanent unless the *Save* button is clicked.

DELETE ITEMS FROM EXISTING PURCHASE ORDER RECORDS

1. **In the Purchase Order Item grid, highlight the item to be deleted by clicking it in the grid.**
2. **Click the *Delete* button in the Purchase Order Items grid.**
3. **Click *Save*.**

Note: The deletion of the item is not permanent unless the *Save* button is clicked.

4. **The Purchase Order Item grid reflecting the deletion of the item is re-displayed.**

If an item is deleted from the Purchase Order in error, it must be re-added.

Note: The Purchase Order grid can be sorted by clicking on any heading within the grid.



Users can search for data within any grid by clicking *Search* or by typing in data in the white space between the header and data. Users can enter as much of the search criteria as needed to get the specific results.

5. In the *Purchase Orders* grid, click the record to be reviewed.

The Purchase Order form is returned displaying the Purchase Order information, including a grid of the items associated with the Purchase Order.

The screenshot displays the SmartZone Premier application window. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. Below the menu is a navigation bar with tabs for Dashboard, Item Master, Purchase Order (selected), Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. A toolbar contains icons for Add new, Delete, Save, Discard, Search, Export, Refresh, View, and Print. The status bar indicates '1 Record(s) Loaded'.

The main area shows a grid of Purchase Orders. The grid has columns for Purchase Order Number, Effective Date, Buyer Code, Buyer Name, Vendor Code, and Vendor Name. The first record is highlighted with a blue background:

Purchase Order Number	Effective Date	Buyer Code	Buyer Name	Vendor Code	Vendor Name
test	7/16/2014	BUYER01	BUYER	00002	A&W BEARINGS & SUPPLY CO., INC

Below the grid, there is a section for the selected Purchase Order. It includes fields for Purchase Order Number (test), Effective Date (7/16/2014), Buyer (BUYER01 | BUYER), Vendor (00002 | A&W BEARINGS & S...), Vendor Country of Origin (USA), Close Date, and Comments.

The bottom status bar shows 'SmartZone Premier (Demo)', 'FTZ\kellieck', 'Application Administrator', and '1023 x 767'.

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master **Purchase Order** Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Purchase Orders Add new Delete Save Discard Search Export Refresh View Print 1 Record(s) Loaded

Drag a column header here to group by that column

Purchase Order Number	Effective Date	Buyer Code	Buyer Name	Vendor Code	Vendor Name
test	7/16/2014	BUYER01	BUYER	00002	A&W BEARINGS & SUPPLY CO., INC

Record 1 of 1

Purchase Order Purchase Order Items

1 of 1 Add new Delete

Item Number	Item Description	Unit Cost	Manufacturer	Effective Date	AD/CVD Owed	AD/CVD Duty
110-AAAB-A-7	17BDE0 1745178641701319	\$100.00	10106			

Record 1 of 1

Purchase Order Item Details

Purchase Order Number: test

Item Number: 110-AAAB-A-7 X 17BDE0 1745178641701319

Manufacturer: 10106 X Grant Industries X

Manufacturer's Country of Origin: US USA

Unit Cost: \$100.00

AD/CVD Duty: ☐ AD/CVD Duty Owed?

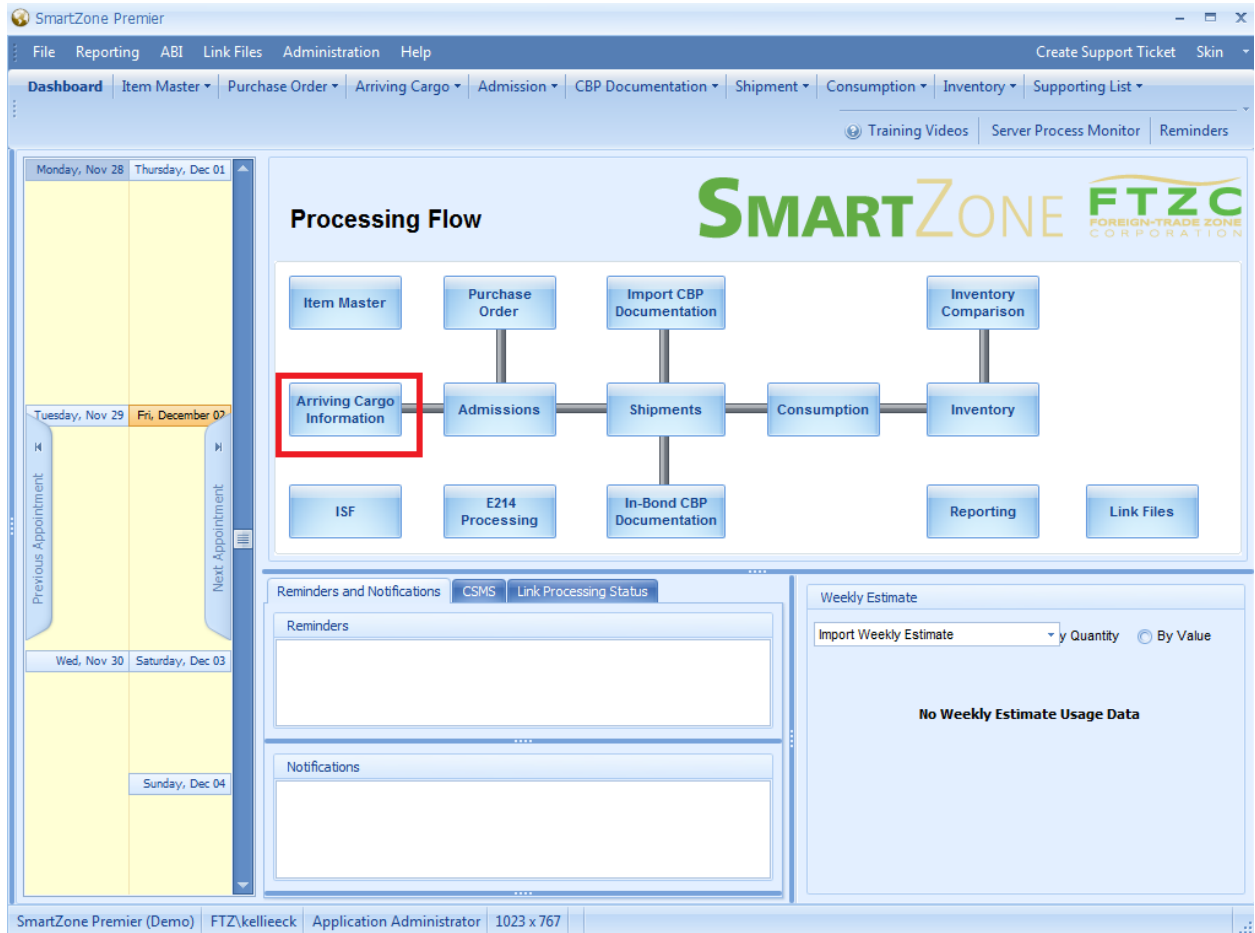
AD/CVD Case Number: AD/CVD Duty Rate:

Comments:

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Chapter 5 Arriving Cargo Information Processing

Functional Overview



The Arriving Cargo Information contains the information necessary to complete the transportation portion of the Admission Record for the Census Bureau and CBP. For each shipment imported into the United States, a documentation packet that typically includes the bill of lading, commercial invoice, and packing list is forwarded to the importer by the shipper. Upon receipt of this documentation, the Arriving Cargo Information is transferred from the bill of lading and packing List to the Arriving Cargo Information. Upon arrival in the United States, additional information pertaining to the movement from the port of unloading to the port where the zone is located is added to the Arriving Cargo Information.

The information related to the Arriving Cargo may include in-transit documentation information if the shipment was moved In-Bond from the port of unloading to the port where the zone is located. This information is stored in the in-transit records that are created through the Arriving Cargo Information. The in-transit records are linked by the bill of lading.

The CBP214 Admission number is assigned within the Arriving Cargo Information once the shipment arrives at the port where the zone is located. If the shipment did not require an in-transit movement, it is assigned to the bill of lading. If an In-Bond movement was required, it is assigned to the In-transit record. The operator can allow QAD Precision FTZ to assign the next sequential CBP214 number or it can be assigned manually.



Once a shipment is admitted to the zone or an entry is made, the Arriving Cargo Information is linked to the receipt by bill of lading and conveyance. One CBP214 Admission/bill of lading may be linked to multiple receipts. QAD Precision FTZ facilitates the assignment of the bill of lading/conveyance to a group of Admissions by the operator.

The information contained in the Arriving Cargo Information and the in-transit section is used principally for the preparation of the CBP214 Admissions form and the quarterly Harbor Maintenance Fee report.

The Arriving Cargo Information, like the Supporting Lists, is a direct update area. Therefore, any updates to the Arriving Cargo Information will immediately impact linked Admission records.

Where Used:

Admissions, Census File, Harbor Maintenance Reporting

Suggested Sequence of Steps for the Arriving Cargo Information

1. **Create Arriving Cargo records.**
 - a. Import Arriving Cargo records from company receiving system using Link File Processing (see Chapter 5); or,
 - b. Manually add the Arriving Cargo records.
2. **Assign the CBP214 admission number.**
3. **Enter IT information as required.**
4. **Relate admission transaction to bill of lading as necessary.**

TO ACCESS THE ARRIVING CARGO INFORMATION PROCESSES: FROM THE DASHBOARD SELECT ARRIVING CARGO INFORMATION OR SELECT ARRIVING CARGO→ARRIVING CARGO INFORMATION FROM THE TOOLBAR.

MAINTAINING THE ARRIVING CARGO RECORDS

ADD ARRIVING CARGO RECORDS

1. **From the Dashboard select Arriving Cargo Information or select Arriving Cargo→ Arriving Cargo Information from the toolbar.**



SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order **Arriving Cargo** Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

View Options << Arriving Cargo Information Add new Delete Save Discard Search Export Refresh 42 Record(s) Loaded

Drag a column header here to group by that column, to enhance grid filter use a wildcard search such as *

	Bill of Lading Number	Status	Bill of Lading Type	Export Date	Estimate Arrival Date
>	AABBAHCDEG	Open	FOR	8/6/2009	8/6/2009
	AAFHAGIIECE	Open	FOR	3/26/2011	3/26/2011
	AAFHAGJDJAG	Open	FOR	4/30/2011	5/1/2011
	AAFHAGJDJGF	Open	FOR	4/7/2011	4/7/2011
	ABGEFIDEGFG	Open	FOR	5/29/2012	5/29/2012
	ACDFIGEAIEC	Open	FOR	1/26/2011	1/26/2011
	BDBFIJCDHB	Open	FOR	5/7/2011	5/7/2011
	CAFHABFGJCF	Open	FOR	4/22/2011	4/23/2011
	DJ2 039 9013 BA CAAI	Open	FOR	1/10/2008	1/10/2008
	021120A0AE 18BJCCEH	Open	FOR	12/6/2010	12/22/2010
	039 01517811 CAAJ	Open	FOR	4/1/2009	4/1/2009
	039 342 CABB	Open	FOR	12/1/2011	12/1/2011
	039 3424121417 CABA	Open	FOR	12/1/2010	12/1/2010
	039 90132001724 CAAJ	Open	FOR	1/1/2009	1/1/2009
	039 9201124 CAAI	Open	FOR	7/1/2008	7/1/2008
	039 12024 CAAJ	Open	FOR	5/1/2009	5/1/2009
	039 131421 CABB	Open	FOR	11/1/2011	11/1/2011
	039 14219141417 CAAJ	Open	FOR	10/1/2009	10/1/2009
	0151120ACBIGHCFC	Open	FOR	10/6/2007	10/20/2007
	0151120ACBIGHDIB	Open	FOR	1/20/2008	2/5/2008

Record 1 of 42

Bill of Lading (AABBAHCDEG) - Messages (0) House Bill of Lading Movement To FTZ

SmartZone Premier (Demo) FTZ\kellieck Application Administrator 1023 x 767

- Click **Add New** in the Arriving Cargo Information page.
- Complete the fields as necessary or required based on the field specifications outlined below. Note: The information for all the fields may not be available at the time that the Arriving Cargo is initiated. The information can be added as it becomes available.

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

Fields with a blue circle  are required for the selected Bill of Lading Type.

Add New Bill of Lading

Please complete the required fields to save the record.

SZ

1. Bill of Lading 2. Master Conveyance 3. Movement To FTZ Attachments Cargo Manifest Info

Bill of Lading Type: FOR Foreign

Export Date: 7/15/2015 Keep Open

Issuer Code of Bill: [Not Specified]

Bill of Lading Number: [Empty] Track Query Status

Estimated Arrival Date: 7/15/2015

Import Date: 7/15/2015

Foreign Port of Lading: [Empty]

Country Of Export: [Empty]

Domestic Port of Unlading: [Empty] Terminal

Mode of Transport: [Empty] Harbor Maintenance Required

Carrier: [Empty] AMS Carrier

Carrier Mode of Transportation: [Empty]

Vessel Name: [Empty] Vessel Flag: [Empty]

Voyage/ Trip/ Flight Number: [Empty]

Last Foreign Port: [Empty]

Previous In-Bond: [Empty]

Comments: [Empty]

Field Name	Usage
ARRIVING CARGO INFORMATION	
Bill of Lading Type	Choose from the pull-down menu the type of Bill of Lading: DOM (Domestic), ENT (Entry), FOR (Foreign), ZTZ (Zone-to-Zone), or ADJ (Adjustment).
Export Date	Date shipment left the exporting country
Keep Open?	Check this box if you would like the BOL to remain open.
Issuer Code of Bill	The issuer of the bill of lading
Bill of Lading Number	Unique bill of lading or Airway Bill assigned by the shipping line or air carrier to the inbound shipment.
Estimated Arrival Date	Expected date that the shipment should arrive at the port of unloading.
Import Date	Date that the shipment arrived at the port of unloading.
Foreign Port of Lading	Indicates the foreign port of lading where the shipment originated. This field is linked to the Ports list in the Supporting Lists.
Country of Export	Indicates the country where the shipment exported.

Domestic Port of Unlading	Indicates the CBP port where the shipment was first unladen in the U.S. This field is linked to the Ports list in the Supporting Lists.
Terminal	The terminal where the goods are located at the port of unlading.
Mode of Transport	Indicates what type of transportation method was used for this shipment. Allowable values are indicated using the pull-down list.
Harbor Maintenance Required?	Indicates whether a Harbor Maintenance Fee is owed on a shipment. If the shipment is an ocean shipment, unladen at a U.S. port, the indicator should be checked. Otherwise, the indicator is blank.
Carrier	Indicates the carrier of the vessel operator that is transporting the container.
Carrier Mode of Transportation	Indicates the mode of transport that imported the goods into the USA.
AMS Carrier?	Indicates whether the carrier was an AMS carrier.
Vessel Name	The name of the vessel that brought the shipment to the U.S. (required for ocean shipments).
Vessel Flag	Indicates the country of the vessel.
Voyage/Trip/Flight Number	Indicates the voyage number if ocean shipment, trip number if truck shipment, or flight number if air shipment.
Last Foreign Port	Indicated the last foreign port where the shipment was exported.
Previous In-Bond	Indicates the In-Transit number associated with the previous movement of the merchandise.
Comments	User maintained field for comments about this arriving cargo.

4. Click *Save and Close*.

ADD CONVEYANCE RECORDS TO ARRIVING CARGO RECORDS

- 1. Click on the bill of lading in which you would like to add conveyance information.**
- 2. Click the on the Master Conveyance tab and the conveyance form is displayed.**



3. Complete the fields as necessary or required based on the field specifications outlined below.

Fields with a red circle  are required for the record to Save.

Fields with a blue circle  are required for the record to be processed in QAD Precision FTZ.

Note: The information for all the fields (including the CBP214) may not be available at the time that the Arriving Cargo is initiated. The information can be added as it becomes available.

Field Name	Usage
Container Type	Check Full Container, Partial or Bulk to describe container type.
Status	Displays current status of conveyance or House Bill
Conveyance Number(s)	If a containerized shipment, the container number is entered in this field. If multiple containers are shipped on a single shipment, additional container numbers can be shown in the <i>Comments</i> field.

Seal Number	A valid exporter/carrier seal number associated with the container. QPWP use only.
Seal Number 2	A valid exporter/carrier seal number associated with the container. QPWP use only.
CBP Admission Number (may be auto generated)	Same as the CBP214 number. The CBP Admission number should not be assigned to a bill of lading or In-transit record until the zone is ready to receive the inbound shipment. It can be automatically generated by establishing a starting number in the Company Options which can be auto-incremented each time the number is assigned to either a bill of lading or in-transit record. If the zone is approved for Direct Delivery, the same CBP214 number should be assigned to every bill of lading or in-transit received that day so that the shipments can be grouped onto a single cumulative CBP214. To choose the next CBP214 number, click the <i>Next</i> button.
Reported Number of Packages	Number of packages indicated on the bill of lading provided by the shipper.
Actual Number of Packages	Number of packages actually received at the zone. This number is printed on the CBP214 and reported to Census. Therefore, it must be completed. Any discrepancies between the reported and actual number must be reported as a Manifest Discrepancy to CBP.
Type of Packages	Indicates the type of packages included in the shipment (i.e. crates, cartons, bulk). It is printed on the CBP214.
Gross Weight	Total gross shipping weight for this shipment. It is allocated among the items included in this shipment on the CBP214 based on the relative weight of the items.
Aggregate Cost	Total shipping charges paid to move the shipment from the Foreign Ports to the U.S. Port of Unlading. It is printed on the CBP214 and reported to Census.
Description of Cargo	General description of merchandise.
Valuation	Estimated value of merchandise included in this shipment.
Previous In-Bond	Indicates the in-transit number associated with the previous movement of the merchandise.
Block 46 Comments	For internal customer use.
Comments	User maintained field for comments about this arriving cargo.

4. When the conveyance record is complete, click *Save and Close*.
5. If another conveyance record is required click *Add New Conveyance (or Add New House Bill of Lading)* and then click *Save and Close* once all conveyances have been added.

ADD IN-TRANSIT MOVEMENT TO ARRIVING CARGO RECORDS

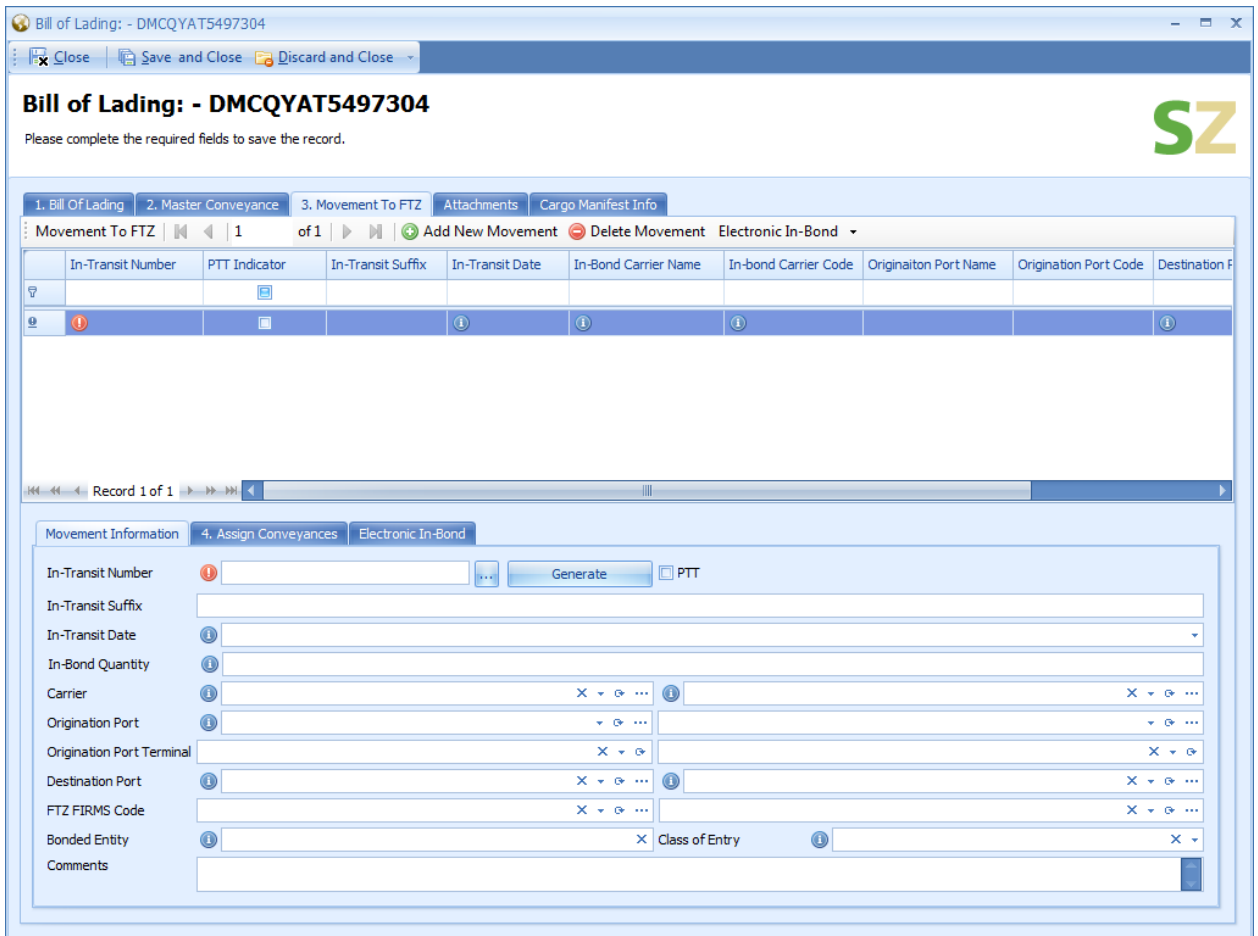
1. Click on the Movement to FTZ tab and the Movement to FTZ form is displayed.
2. Complete the fields as necessary or required based on the field specifications outlined below.

Fields with a red circle  are required for the record to Save.



Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ

Fields with a blue circle  are required for QPWP (Electronic In-Bond) Processing



Field Name	Usage
IN-TRANSIT MOVEMENT TO FTZ	
In-Transit Number	The In-transit number assigned by CBP for the In-Bond movement. If a CBP7512 Transportation Entry and Manifest was prepared, the In-transit number is found on the form. If a paper CBP7512 (IT) was prepared, the documents have to be collected and submitted to CBP in order to relieve the carrier's bond. If the In-transit number begins with a "V" then the In-transit movement was a paperless movement. If PTT, check the PTT box and "PTT" will populate in this field.

PTT	Check if movement is a Permit to Transfer.
In-Transit Suffix	Used if you need to create multiple movements with the same In-Transit number.
In-Transit Date	The date that the In-transit shipment was approved for movement from the initiating Port. A shipment has 30 days to reach its destination port.
In-Bond Quantity	Number of packages actually received at the zone. Because this number is printed on the CBP214 and reported to Census it must be completed. Any discrepancies between the reported and the actual number must be reported as a Manifest Discrepancy to CBP.
Carrier	Contains a link to the Carrier list. It indicates the carrier code of the bonded carrier who transported the shipment from the Port of Unlading to the port where the zone is located. If the Port of Unlading is the port where the zone is located this field will be left blank.
Origination Port	Contains a link to the Domestic Ports supporting list. It indicates the CBP Port where the In-transit shipment originated. For a regular admission, this is usually the Domestic Port of Unlading but could be different. For a zone-to-zone transfer, this would be the port where the transferring zone is located.
Origination Port Terminal	Indicates the terminal where the goods originated at the origination port. Terminals are setup in the Domestic Ports supporting list, under the "Terminals" tab.
Destination Port	Contains a link to the domestic ports list. It indicates the CBP Port where the In-transit shipment is destined.
FTZ FIRMS Code	Indicates the FIRMS code of the facility where the merchandise will move to.
Bonded Entity	Indicates the party bonding the shipment.
Class of Entry	Identifies the In-Bond movement as a transportation & Exportation (T&E), an In-transit (IT) to another port or Bonded facility, or an Immediate Export (IE).
Comments	Used for customer reference only.

3. Once the In-Transit information is completed, click on the *Assign Conveyances* tab and assign the conveyance to the movement by selecting the conveyance and clicking the <<Add button.

You can remove a conveyance from the movement by selecting the conveyance and clicking the *Remove*>> button.

4. When all arriving cargo information is complete, click *Save and Close*.

ATTACHING MANIFEST DOCUMENTS TO ARRIVING CARGO RECORDS

Use of the Attachments feature in QAD Precision FTZ is optional. However, it is helpful to keep the manifest documentation in QAD Precision FTZ, if the documentation is ever needed.

ADD ATTACHMENT FROM COMPUTER

1. Click *Add Attachment*.
2. Browse to the location of the file.
3. Select the file and click *Open*.

Your file should now appear in the FileName grid.

DELETE ATTACHMENT



1. **Select the file name to delete.**
2. **Click *Delete Attachment*.**

The file should now be deleted from the FileName grid.

EXPORT ATTACHMENT

1. **Select the file name to export.**
2. **Provide a file name or leave the default file name and then click Save.**

The file is now saved to the chosen location.

CARGO TRACKING

QAD Precision FTZ allows a user to track cargo via carriers' tracking websites and query Bills of Lading in CBP's ACE (Automated Commercial Environment) system. These features are helpful in validating cargo data, reviewing the current status of cargo, and tracking the cargo.

TRACK BILLS OF LADING

The *Track* feature will use the Bill of Lading Number that is entered in the Arriving Cargo record and it will direct the user to the carrier's tracking website according to the SCAC of the Bill of Lading Number.

To track a Bill of Lading, make sure you have the bill of lading number in the Bill of Lading Number field and then click the *Track* button.

The screenshot shows a web application window titled "Bill of Lading: - OOLU2561443430". The window has a menu bar with "Close", "Save and Close", and "Discard and Close". Below the menu bar, the title "Bill of Lading: - OOLU2561443430" is displayed, followed by a message: "Please complete the required fields to save the record." and a logo "SZ".

The form is divided into several tabs: "1. Bill of Lading", "2. Master Conveyance", "3. Movement To FTZ", "Attachments", and "Cargo Manifest Info". The "1. Bill of Lading" tab is active.

The form contains the following fields and controls:

- Bill of Lading Type: FOR (dropdown)
- Export Date: 6/16/2015 (dropdown)
- Issuer Code of Bill: (dropdown)
- Bill of Lading Number: OOLU2561443430 (text field)
- Estimated Arrival Date: 7/11/2015 (dropdown)
- Import Date: 7/11/2015 (dropdown)
- Foreign Port of Lading: LAEM CHABANG, THAILAND (dropdown)
- Country Of Export: TH (dropdown)
- Domestic Port of Unlading: DALLAS/FT WORTH TX (dropdown)
- Mode of Transport: Ocean (dropdown)
- Carrier: AMAW (dropdown)
- Carrier Mode of Transportation: 10 (dropdown)
- Vessel Name: MOL COURAGE (dropdown)
- Voyage/ Trip/ Flight Number: 019E (text field)
- Last Foreign Port: (dropdown)
- Previous In-Bond: (dropdown)
- Comments: (text area)

Additional controls and checkboxes include:

- Foreign: (dropdown)
- Keep Open: (checkbox)
- 54930: (dropdown)
- THAILAND: (dropdown)
- 5501: (dropdown)
- Terminal: (dropdown)
- Harbor Maintenance Required: (checkbox)
- AMS Carrier: (checkbox)
- APEX SHIPPING CO: (dropdown)
- Vessel Flag: (dropdown)

The "Track" button is highlighted with a red box, and the "Query Status" button is also visible.

You will be redirected to the carrier's tracking website.

← → ↻ moc.oocl.com/party/cargotracking/ct_search_from_other_domain.jsf?sessionId=e12eaf0831a41d4efb8a8e625973724dd73e9c631a8 ⌵



OOCL
We take it personally

Cargo Tracking

Search Result - Bill of Lading Number 2561443430

Summary			
B/L Vessel Voyage:	MOL COURAGE 019E	Customs Reference Number:	V1623619205 (Issued on 19 Jun 2015, 00:00 Local)
Bill of Lading Number:	2561443430 (B/L Ready)	Inbound Customs Clearance Status:	Note Cleared (11 Jul 2015, 16:39 GMT)
Booking Number:	2561443430 (Confirmed)	Payment Status (collect charges):	Note Cleared
Total Containers:	2 x 20' General Purpose Container	Cargo Release Status:	Released
Total Quantity:	26548 Piece	Original B/L Received by Carrier:	N.A. (Under Sea WayBill)

Container Number	Quantity	Gross Weight	Event	Latest Event		Final Destination
				Location	Time	
OOLU168186-3	10948 Piece	9178.000 KGS	Container Returned to Carrier	BNSF - Alliance, Dallas, Dallas, Texas, United States	14 Jul 2015, 13:34 CDT	Dallas, Dallas, Texas, United States 11 Jul 2015, 10:27 CDT (Actual)
OOLU141551-8	15600 Piece	16800.000 KGS	Picked Up for Delivery	BNSF - Alliance, Dallas, Dallas, Texas, United States	14 Jul 2015, 17:02 CDT	Dallas, Dallas, Texas, United States 11 Jul 2015, 10:32 CDT (Actual)

Detail of OOCL Container OOLU168186-3 [Detailed Container Specification Enquiry](#)

Inbound Customs Clearance Status: [Note](#) Released (11 Jul 2015, 11:39 CDT)
 Payment Status (collect charges): [Note](#)
 Linked Reference Number: [Note](#)

Routing							
Origin	Port of Load	Vessel Voyage	Port of Discharge	Final Destination Hub	Destination	Empty Return Location	Haulage
Lat Krabang, Thailand	Laem Chabang, Chon Buri, Thailand 16 Jun 2015, 01:49 THT (Actual)	MOL COURAGE 019E (019E)	Los Angeles, Los Angeles, California, United States 06 Jul 2015, 04:40 PDT (Actual)	BNSF - Alliance 11 Jul 2015, 10:27 CDT (Actual)	Dallas, Dallas, Texas, United States	BNSF - Alliance 28 Jul 2015, 23:59 Local (Subject to Confirmation)	CV/CY



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QUERY BILLS OF LADING IN ACE

QAD Precision FTZ's *Query Status* feature allows users to review important postings to a Bill of Lading in CBP's ACE (Automated Commercial Environment) system. To get this information, you can "query" the Bill of Lading in the Arriving Cargo record by clicking the *Query Status* button.

Bill of Lading: - BOLTEST

Bill of Lading: - OOLU2561443430

Please complete the required fields to save the record.

1. Bill of Lading | 2. Master Conveyance | 3. Movement To FTZ | Attachments | Cargo Manifest Info

Bill of Lading Type: FOR Foreign

Export Date: 6/16/2015 ☐ Keep Open

Issuer Code of Bill:

Bill of Lading Number: BOLTEST

Estimated Arrival Date: 7/11/2015

Import Date: 7/11/2015

Foreign Port of Lading: LAEM CHABANG, THAILAND 54930

Country Of Export: TH THAILAND

Domestic Port of Unloading: DALLAS/FT WORTH TX 5501 Terminal

Mode of Transport: Ocean ☒ Harbor Maintenance Required

Carrier: AMAW APEX SHIPPING CO ☒ AMS Carrier

Carrier Mode of Transportation: 10

Vessel Name: MOL COURAGE Vessel Flag:

Voyage/ Trip/ Flight Number: 019E

Last Foreign Port:

Previous In-Bond:

Comments:

Once QAD Precision FTZ has completed querying the status in ACE, the Cargo Manifest Info tab will be displayed. This tab contains important details regarding the Bill of Lading number provided in the Bill of Lading tab. This data comes from CBP's ACE (Automated Commercial Environment) system.

Bill of Lading: - BOLTEST

Please complete the required fields to save the record.

SZ

1. Bill Of Lading 2. Master Conveyance 3. Movement To FTZ Attachments Cargo Manifest Info

In Bond Number: 123456789 Bill of Lading Type: M
 Master Bill Number: BOLTEST Issuer Code of Master Bill: OOLU
 House Bill Number: Issuer Code of House Bill:
 Date of Arrival: Sub House Bill Number:
 Manifest Quantity: 00001161 Unit: BOX
 Carrier Code: OOLU District Port of Entry:
 Importing Vessel Code: OOCL SOUTHAMPTON VTF/Manifest Number:
 Mode of Transportation: 1
 Entry Number: Entry Filer Code: Entry Type Code:
 Importer of Record Number: FIRMS ISF Indicator: Y

R5 SA

Drag a column header here to group by that column

S...	DateTime	Disposition Action ...	Narrative Message	Release Date	Release Origin	Quantity	Disposition Name	Disposition Message
1	11/26/14 07:33	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
2	11/27/14 01:28	69	BILL ON FILE ...			1161	Bill on File	Generated when a us...
3	11/27/14 02:59	54	CAR AMEND DEL ...			1161	Carrier bill - delete	Generated in respons...
4	11/27/14 02:59	55	CAR AMEND ADD ...			1161	Carrier bill - add	Generated in respons...
5	11/27/14 03:39	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
6	11/27/14 04:21	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
7	11/27/14 04:34	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
8	11/27/14 05:41	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
9	11/27/14 06:30	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
10	11/28/14 08:42	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
11	11/28/14 12:03	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
12	11/28/14 16:03	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
13	11/28/14 17:09	7H	NON INT INSP HD ...			98	Non intrusive ins...	Generated to an AMS...
14	12/01/14 10:06	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...
15	12/16/14 12:26	3Z	SECFIL ON FILE ...				Security Filing O...	This code applies if th...

Field Name	Usage
CARGO MANIFEST INFO	
In Bond Number	A code representing the in-bond number also called IT number.
Master Bill Number	A code representing the master bill number. Left justify. This code is required when querying an ocean, rail or truck bill. This code is mandatory when SCAC is used.
House Bill Number	The house bill number as listed on the manifest. If the number is less than 12 positions, it is left justified
Date of Arrival	A numeric date in MMDDYY (month, day, year) format representing the estimated date of arrival.
Manifest Quantity	The quantity associated with the lowest level of the bill number being reported. It is the smallest exterior packaging unit.
Carrier Code	A code identifying the carrier. This code is returned for air and sea shipments only. If the mode of transportation code is 10 or 11, vessel shipments, CBP will return the appropriate 4-position Standard Carrier Alpha Code (SCAC) as issued by the National Motor Traffic Association Inc. If the mode of transportation code is 40 (air shipments), the AWB record 3-position carrier code, left justified, is returned.



Importing Vessel Code	If the mode of transportation code is <i>10</i> or <i>11</i> (vessel) and the importing vessel code is not provided in this record, the importing vessel name is required. The vessel name is also required if the entry is a paired entry.
Mode of Transportation	A code indicating the method of transportation. Valid codes are: 1 = Ocean 2 = Rail 3 = Truck
Entry Number	The number assigned to the entry.
Importer of Record Number	A code identifying the importer of record.
Bill of Lading Type	A code representing the type of the bill of lading. Valid codes are: 0 = Regular Bill of Lading M = Master Bill of Lading H = House Bill of Lading
Issuer Code of Master Bill	A code representing the Standard Alpha Carrier Code (SCAC) of the party who actually issued the bill of lading. This code is mandatory when the Master Bill Number is used.
Issuer Code of House Bill	A code representing the SCAC of the party who issued the house bill of lading.
Sub House Bill Number	The sub-house bill number as listed on the manifest.
Unit	A code representing the unit of measure.
District Port of Entry	A code representing the district/port of entry.
VTF/Manifest Number	The voyage/flight/trip number of the importing carrier
Mode of Transportation	A code indicating the method of transportation. Valid codes are: 1 = Ocean 2 = Rail 3 = Truck
Entry Number	The number assigned to the entry.
Importer of Record Number	A code identifying the importer of record.
Entry Filer Code	A unique code assigned by CBP to all active entry document preparers.
FIRMS	FIRMS code indicating the location of the air cargo
Entry Type Code	A code representing the entry type.
ISF Indicator	A code representing the receipt of an Importer Security Filing against a Bill of Lading. This is only used in Ocean AMS. Valid codes are: Y = ISF on file N = ISF not on file
R5 Tab Grid Columns	
Sequence	Serial number related to sequence of the transaction.
DateTime	A numeric date in MMDDYY (month, day, year) format representing the disposition action date. The military time in HHMM (hour, minute) format representing the time of the disposition action.
Disposition Action Code	A code representing the disposition action.



	An explanation of each code can be found in Appendix D of this manual.
Narrative Message	The narrative message associated with the disposition code.
Release Date	A numeric date in MMDDYY (month, day, year) format representing the release date. This data element is only returned if the Disposition Action Code is 22.
Release Origin	A code representing the action or date ACS has used to determine the current release date. This data element is only returned if the Disposition Action Code is 22.
Quantity	Quantity of the specific transaction.
Disposition Name	The narrative name associated with the disposition code.
Disposition Message	The narrative message associated with the disposition code.
SA Tab Grid Columns	
In Bond Number	A code representing the in-bond number also called IT number. This code is mandatory when querying an in-bond.
Issuer Code of Master Bill Number	A code representing the Standard Alpha Carrier Code (SCAC) of the party who actually issued the bill of lading. This code is mandatory when the Master Bill Number is used.
Master Bill Number	A code representing the master bill number. Left justify. This code is required when querying an ocean, rail or truck bill. This code is mandatory when SCAC is used.
Error Message Identifier	A code identifying the error message.
Narrative Message	A narrative message indicating the error condition preventing processing of the query

HELPFUL HINT:

QAD Precision FTZ can also provide you with a color code in the Arriving Cargo Information grid to indicate the presence of an In-Bond or Permit to Transfer movement on the Bill of Lading. If you query a valid Bill of Lading and there is an in-bond or PTT requested on the Bill of Lading, the Bill of Lading will be highlighted in green in the Arriving Cargo grid. If you create your own PTTs or in-bonds for movement into your zone, this can help you determine which Bills of Lading need a movement request.

How to Query a Bill Of Lading in ACE

1. **Make sure all the bill of lading information is entered and saved into the Bill of Lading tab under Arriving Cargo.**
2. **Click on the *Query Status* button located to the right of the Bill of Lading Number field.**

You will get a message that states “Please Wait...Contacting CBP for Status”. Once the query results are returned, you will be taken to the *Cargo Manifest Info* tab.

Any important details that have been posted to the Bill of Lading in ACE will be shown in the R5 tab at the bottom of the *Cargo Manifest Info* tab.

If there are any issues with querying the Bill of Lading in ACE, such as invalid Bill of Lading, the errors will be in the SA tab at the bottom of the *Cargo Manifest Info* tab.



3. Click *Close*, *Save and Close*, or *Discard* when complete.

If there are any in-bond or permit to transfer requests posted on the bill of lading in ACE, the bill of lading record will now be highlighted green in the Arriving Cargo Information grid.

CHANGE EXISTING ARRIVING CARGO RECORDS

1. From the Dashboard select Arriving Cargo Information or select Arriving Cargo→ Arriving Information from the toolbar.

The Arriving Cargo Information grid is returned.

2. Highlight the bill of lading to be changed.

The screenshot shows the SmartZone Premier application window. The 'Arriving Cargo' menu is selected in the top navigation bar. The 'Arriving Cargo Information' grid is displayed, showing a list of bills of lading. The record for 'DJ2 039 9013 BA CAAI' is highlighted in blue. The grid columns are: Bill of Lading Number, Status, Bill of Lading Type, Export Date, and Estimate Arrival Date. The status for all records is 'Open'. The bill of lading type is 'FOR'. The export dates range from 1/10/2008 to 12/22/2010. The estimate arrival dates range from 1/10/2008 to 12/22/2010.

Bill of Lading Number	Status	Bill of Lading Type	Export Date	Estimate Arrival Date
AABBAEHCEG	Open	FOR	8/6/2009	8/6/2009
AAFHAGIIECE	Open	FOR	3/26/2011	3/26/2011
AAFHAGJDJAG	Open	FOR	4/30/2011	5/1/2011
AAFHAGJDJGF	Open	FOR	4/7/2011	4/7/2011
ABGEFIDEFG	Open	FOR	5/26/2012	5/29/2012
ACDFIGEAIEC	Open	FOR	1/26/2011	1/26/2011
BDBFIJCDHB	Open	FOR	5/7/2011	5/7/2011
CAFHABFGJCF	Open	FOR	4/22/2011	4/23/2011
DJ2 039 9013 BA CAAI	Open	FOR	1/10/2008	1/10/2008
021120A0AE18BJCGEH	Open	FOR	12/6/2010	12/22/2010
039 01517811 CAAJ	Open	FOR	4/1/2009	4/1/2009
039 342 CABB	Open	FOR	12/1/2011	12/1/2011
039 3424121417 CABA	Open	FOR	12/1/2010	12/1/2010
039 90132001724 CAAJ	Open	FOR	1/1/2009	1/1/2009
039 9201124 CAAI	Open	FOR	7/1/2008	7/1/2008
039 12024 CAAJ	Open	FOR	5/1/2009	5/1/2009
039 131421 CABB	Open	FOR	11/1/2011	11/1/2011
039 14219141417 CAAJ	Open	FOR	10/1/2009	10/1/2009
0151120ACBIGHCFC	Open	FOR	10/6/2007	10/20/2007
0151120ACBIGHDIB	Open	FOR	1/20/2008	2/5/2008

3. Click to enter the desired bill of lading.

4. Change the data displayed on the screen as necessary or required based on the field specifications outlined previously in this chapter.

5. Click *Save and Close* when complete.



CHANGE EXISTING CONVEYANCE OR MOVEMENT RECORDS IN ARRIVING CARGO RECORDS

1. In the Arriving Cargo Information grid, highlight the bill of lading to change.
2. Select either the Master Conveyance tab or Movement to FTZ tab to find the desired record to change.
3. Click the record to be changed.
4. Change the fields displayed on the screen as necessary or required based on the field specifications outlined previously in this chapter.
5. Click *Save and Close*.

CHANGE EXISTING BILL OF LADING RECORDS IN ARRIVING CARGO RECORDS

1. In the grid on the Arriving Cargo Information page, select the bill of lading to change.
2. The bill of lading information is displayed.
3. Change the fields displayed on the screen as necessary or required based on the field specifications outlined previously in this chapter.
4. Click *Save and Close*.

DELETE IT FROM AN ARRIVING CARGO RECORD

1. From the Dashboard select Arriving Cargo Information or select Arriving Cargo→Arriving Cargo Information from the toolbar.
The Arriving Cargo Information grid is displayed.
2. Highlight the record to be deleted.
3. Click *Delete* in the middle of the screen in the Arriving Cargo Information section.

Note: Only Arriving Cargo not linked to an Admission record or Admission transaction can be deleted.

DELETE IN-TRANSIT RECORDS FROM ARRIVING CARGO RECORDS

1. From the Dashboard select Arriving Cargo Information or select Arriving Cargo→Arriving Cargo Information from the toolbar.
Highlight the appropriate Arriving Cargo record from the grid that contains the appropriate In-transit record to be deleted.
Click the Movement to FTZ tab that contains the appropriate record to be deleted.
Select the movement record to be deleted.
Click the *Delete Movement* button located at the top of the screen.
2. Click *Save and Close*.

ASSIGNING THE CBP214 ADMISSION NUMBER

Once a shipment arrives at the port where the zone is located, a CBP214 must be prepared and submitted to CBP. Each CBP214 must be assigned a number that is sequential in nature. The operator can either have QAD Precision FTZ assign the next sequential CBP214 Number from the Company Options or can key in the number manually. In either case, the CBP214 number



should not be assigned until the Arriving Cargo has arrived at the port where the zone is located or at the zone itself if the zone is approved for Direct Delivery.

ESTABLISHING THE AUTOMATIC CBP214 NUMBER SEQUENCE IN THE COMPANY OPTIONS

1. On the toolbar select **Administration**→ **Administrative Information**→ **Company Options**.
2. Click on the **Company Information** section on the left side of the screen.
The Company Information form is displayed.
3. If the operator wants QAD Precision FTZ to automatically generate the next CBP Admission Number:

Initialize the Next CBP Admission Number with the first number desired in the sequence.

The screenshot shows the 'SmartZone Premier' application window. The 'Administration' menu is selected, leading to 'Company Options'. The 'Company Information' section is highlighted in the left sidebar. The main form contains the following fields and values:

Field	Value	Checkbox
Next Auto Incremented CBP Admission Number	00000001	☒ Auto Increment CBP Admission Number
Next Auto Incremented Shipment Number		☐ Auto Increment Shipment Number
Standard Export Overhead Percentage		☐ Use the Export Overhead Percentage
CBP Assigned In Bond Number Begin Range	11111100	
In Bond Number End Range	11111200	
Manufacturing Authorization	☐	
CBP 216 Expiration Date	12/31/2014	

4. Check the **Auto Increment CBP Admission Number** box. QAD Precision FTZ will automatically increase the CBP214 number each time the **Next** button is clicked in the conveyance tab in an Arriving Cargo Record.

Note: Once the CBP214 is established, it is only necessary to repeat this process when the operator wishes to begin a new sequence of numbers.



5. If the operator wants to assign the same CBP214 to all receipts for a single day under Direct Delivery:

Initialize the *Next Auto Incremented CBP Admission Number* with the CBP214 number to be used for the day. Leave the *Auto Increment* box unchecked. Each time the operator assigns the CBP214 Number to an Arriving Cargo record by clicking *Next* in the conveyance tab, the same CBP214 will be retrieved from the Company Options that allows for the preparation of a cumulative CBP214.

Note: CBP approval is required for cumulative CBP214 reporting, if approved make sure the *Cumulative CBP214 Reporting* box has been checked in the Company Options under Reporting Information.

6. If the operator wants to manually assign the CBP214 number to Arriving Cargo:

Leave the Next CBP Admission Number blank.

Leave the *Auto Increment* box unchecked.

7. Click *Save*.

The new menu is returned.

APPLYING THE CBP214 TO THE ARRIVING CARGO RECORD

1. Identify the bill of lading or the conveyance that is awaiting the preparation of a CBP214.

If a zone is not approved for Direct Delivery, the CBP214 must be prepared and submitted in order to obtain CBP release of the shipment to the zone. This occurs when the shipment arrives at the port where the zone is located.

If a zone is approved for Direct Delivery, the CBP214 must be prepared and submitted to CBP within two working days of the arrival, unloading, and receipt of shipment at the zone.

2. From the Dashboard select Arriving Cargo Information or select Arriving Cargo→ Arriving Cargo Information from the toolbar.

The Arriving Cargo Information grid is returned.

3. Select the bill of lading and corresponding conveyance for the shipment that has arrived.

The details for that record are displayed.

Bill of Lading: - BOLTEST123456

Please complete the required fields to save the record.

1. Bill of Lading 2. Master Conveyance 3. Movement To FTZ Attachments Cargo Manifest Info

➕ Add New Conveyance ➖ Delete Conveyance

Conveyance Number	Full Container	Status	CBP Admission Number
CON123456	☒	Open	46545371

Conveyance Detail Information QPWP Items QPWP Package Marks

Container Type ☒ Full Container

Status Open

Conveyance Number CON123456

Seal Number 111111111

Seal Number 2 222222222

Equipment Code G3

CBP Admission Number 00000025

Number of Packages 12.000000

Type of Package BOX

Gross Weight 1,200.000000

Aggregate Cost \$12,000.000000

Description Of Cargo trinkets

Valuation 12000.000000

Previous In-Bond 123456789

Block 46 Comments test

Comments test

Record 1 of 1

4. Update the CBP214 Number as appropriate and click *Save*.

If the CBP214 is to be manually assigned, key in the CBP214 number in the *CBP Admission Number* field.

If the CBP214 number is to be automatically generated, click *Next*. QAD Precision FTZ will retrieve the next available number from the Company Options.

Note: The CBP214 Admission number cannot be changed once the CBP214 is flagged as having been approved by CBP.

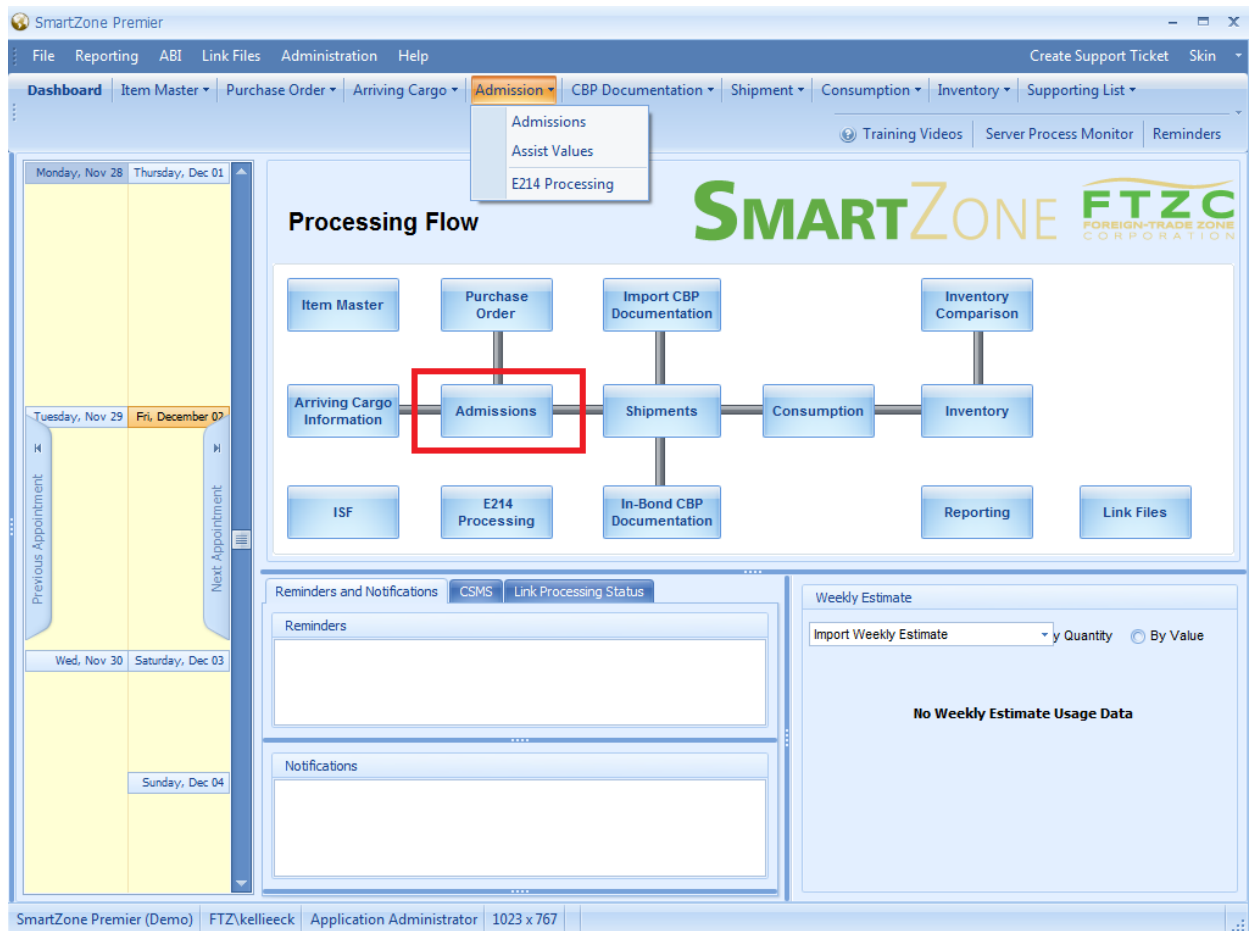
5. Click *Save and Close*.

The record is re-displayed reflecting the changes.

Note: QAD Precision FTZ will not automatically move the Arriving Cargo records into Admissions Records upon assignment of the CBP214 Number. The user must create the Admission for the corresponding Arriving Cargo record.

Chapter 6 Admissions Processing

Functional Overview



The Admissions section retains the information required for each receipt of each item that has been assigned an import treatment of FTZ in the Item Master. Each record is a separate receipt or “layer” of inventory that will be consumed (used up) as FTZ items are removed from the zone. In addition to the quantity of merchandise received, the Admissions Records also detail Zone Status, Harmonized Classification at the time of receipt, Value for CBP purposes, Country of Origin, the Purchase Order the merchandise was received against (if utilizing Purchase Order Processing within QAD Precision FTZ), and the arriving Bill of Lading. It also contains information pertinent to antidumping/ countervailing duties, visas, and assists.

Like the Item Master, Admission Records can be created either by adding new records manually or through an import (via link files) created from the company system. This approach allows the zone operator to download records from company records while ensuring that the information is correct before being reported to CBP and becoming a permanent record. Basic receipt data obtained from the company’s receiving system via the Import Process includes the Receipt Date, unique Receipt Number assigned by the company receiving system, Item Number received, Quantity received, and company Purchase Order (if utilizing Purchase Order Processing within QAD Precision FTZ) that the shipment was received against.



In addition to downloading records from the company system, changes to records can also be generated through the Import process. This allows the operator to keep the Admission information in sync with the company's production system without having to manually maintain each record.

QAD Precision FTZ updates fields in the Admission Record from information contained in the Item Master (Import Treatment, FTZ Status, Harmonized Classification) and Purchase Order if utilized (PO Unit Cost, Country of Origin). QAD Precision FTZ updates the CBP214 Admission Number in the Admission Record once a Bill of Lading is linked to that Admission Transaction.

The information contained in the Admission Record must be compared by the zone operator to the information provided in the supplier's documentation associated with the shipment. If the zone does not operate under Direct Delivery procedures, the information in the Admission Record must also be compared to the CBP214 that was prepared prior to the movement of the merchandise to the zone. If the supplier's information is different from that found in the Admission Record, the zone operator has the ability to override the Import Treatment, Zone Status, Unit Cost, and Country of Origin if necessary. If the zone operator makes a change, a reason for the change should be indicated in the *Comments* box for archive purposes.

All records, whether imported or manually added, are then validated by QAD Precision FTZ according to certain requirements. If the zone has been approved for cumulative reporting to CBP, a cumulative or individual CBP214 is printed. If not, an individual CBP214 must be printed.

It is important that the user update the Approval Dates for the CBP214s on a timely basis in order to have adequate inventory to successfully apply consumption. Domestic Admissions become available for inventory immediately upon commitment of the Admission Record, since no CBP approval is required.

An Admission Record can only be changed or deleted if there has been no consumption applied against it. Once consumption has been applied, the information contained in the Admission has been reported to CBP on an Entry and cannot be altered. Admission errors detected at this point must be addressed as Adjustments.

Where Used:

Admission Records, Admissions Reporting, Consumption Application, Inventory Balances, Inventory Reconciliation

Suggested Sequence of Steps for the Admission Process

- 1. Create Admission Records;**
 - a. Import Admission records from company receiving system using Link File Processing (see *Chapter 5*); or,
 - b. Manually add the Admission records.
- 2. Assign Bill of Lading and conveyance numbers to new Admission records (if not imported).**
- 3. Save complete and correct records to the Admissions section.**
- 4. Correct any remaining errors.**



5. Repeat steps three and four until all Admission records have been successfully created.
6. Print CBP214s as necessary.
7. Submit E214 if using E214 processing (see *Chapter 16 E214 Processing*)
8. Approve CBP214s in Admissions once CBP214/E214 is approved.
9. Successfully committed Admissions are now available for use within QAD Precision FTZ and can be consumed.
10. Perform ongoing direct updates to unconsumed Admission records on an as needed basis.
11. Prepare Harbor Maintenance Fee Report at the end of each quarter (see Chapter 14 Periodic Reporting).

ADMISSIONS PROCESSING

The operator may add Admission records manually or through downloads from the company files. Additionally, Admission records created either manually or through downloads may need to be corrected in order for them to be successfully validated and committed.

Most importantly, the operator should ensure that the Admissions records accurately reflect the company's zone operations, before they become a permanent part of Admissions and are reported to CBP.

VIEWING ADMISSION RECORDS

1. From the Dashboard select Admissions or select Admission→Admissions from the toolbar.

The Admissions grid is displayed.



SmartZone Premier

File Reporting ABI Link Files Administration Help

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

View Options

Admissions Add new Delete Save Discard Search Export Refresh View 500 Record(s) Loaded

Drag a column header here to group by that column, to enhance grid filter use a wildcard search such as *

	Receipt Number	Receipt Suffix	Receipt Date	Admission Date	Item Number	Item
>	1 2008011000001	0	1/10/2008	10/14/2014	AAGI-GDEA	
	6	-1	7/23/2006	7/23/2006	AAHA-GABA	
	00110 20061101...	8	11/1/2006	11/1/2006	AAJE-GABB	
	13	-1	7/23/2006	7/23/2006	AAJF-GCBA	
	00094 20121112...	141	11/12/2012	11/12/2012	ABCE-ACHA	
	00095 20121112...	141	11/12/2012	11/12/2012	ABCE-ACHA	
	00096 20121112...	142	11/12/2012	11/12/2012	ABCE-ACHA	
	00097 20121112...	142	11/12/2012	11/12/2012	ABCE-ACHA	
	00098 20121112...	143	11/12/2012	11/12/2012	ABCE-ACHA	
	00099 20121112...	144	11/12/2012	11/12/2012	ABCE-ACHA	
	214 2009081000...	0	8/10/2009	8/10/2009	ABFC-ACEA	
	288 2010020200...	0	2/2/2010	2/2/2010	ABFC-ACEA	
	187 2010021600...	0	2/16/2010	2/16/2010	ABFC-ACEA	
	227 2011042000...	0	4/20/2011	4/20/2011	ABFC-ACEA	
	29	-1	7/23/2006	7/23/2006	ABFC-GABA	
	00653 20110115...	26	1/15/2011	1/15/2011	ABFC-GAEB	
	00663 20110218...	27	2/18/2011	2/18/2011	ABFC-GAEB	
	00663 20110218...	1027	8/30/2011	8/30/2011	ABFC-GAEB	
	00663 20110218...	1028	4/2/2012	4/2/2012	ABFC-GAEB	
	205 2012051000...	0	5/10/2012	5/10/2012	ABFC-GAEB	
	00092 20110513...	143	5/13/2011	5/13/2011	ABFC-GAJA	

Record 1 of 500

SmartZone Premier (Demo) FTZ\kellieck Application Administrator 1023 x 767

2. **Double-click the transaction to be viewed.**

The transaction will be displayed in a tabbed, multi-panel form.

Admission: TrinketReceipt1 - 1

Add New

Close

Save and Close

Discard and Close

Admission: TrinketReceipt1 - 1

Please complete the required fields to save the record.

SZ

Receipt Information

CBP Information

Item Cost Information

Item Origin Information

Attachments

☐ Do Not Process

☐ Inventory Adjustment

☒ Direct Delivery

☐ Allow GSP(if applicable)

Admission Type

A

Regular Admission

Receipt Date

10/4/2013

Receipt Number

TrinketReceipt1

Receipt Suffix

1

Invoice Number

IV100000

Item Number

QATrinket1

Trinket for testing

Antidumping Duty Flag

Actual Quantity

100.000000

Current Quantity

100.000000

Bill of Lading Number

BOLTEST123456

Conveyance Number

CON123456

Customs Admission Number

00000001

Admission Date

3. After viewing the transaction, click *Close*.
- The Admission transaction grid is re-displayed.



MAINTAINING ADMISSION RECORDS

CREATE A NEW ADMISSION TRANSACTION

1. From the Dashboard select Admissions or select Admission → Admissions from the toolbar.
2. Click *Add New* at the top of the Admissions Records grid.
A blank Admission Transaction form is displayed.

Add New Admission

Please complete the required fields to save the record.

Receipt Information | CBP Information | Item Cost Information | Item Origin Information | Attachments

☐ Do Not Process ☐ Inventory Adjustment ☒ Direct Delivery ☐ Allow GSP(if applicable)

Admission Type: [Dropdown]

Receipt Date: [Dropdown]

Receipt Number: [Text]

Receipt Suffix: [Text]

Invoice Number: [Text]

Item Number: [Dropdown]

Antidumping Duty Flag: [Text]

Actual Quantity: [Text] 0.000000

Current Quantity: [Text] 0.000000

Bill of Lading Number: [Text]

Conveyance Number: [Text]

Customs Admission Number: [Text]

Admission Date: [Dropdown]

3. Complete the fields on the Admission Transaction form as necessary and/or required based on the field specifications outlined below.

Note: Some values, such as *Import Treatment* and *Zone Status*, are suggested in a new Admission Transaction based on values in the Company Options. Other fields can be completed by using the pull-down buttons in the display boxes to see the currently acceptable values for that field. If a value that is not currently shown is needed (i.e. a new *Country of Origin*), the user can select F4 while in the appropriate display box or the ellipsis button next to the display box and the appropriate form will be displayed to allow the user to add the required value. Upon exiting the form, the value can be saved and selected.

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

Field Name	Usage
Add New Admission	
Receipt Information Tab:	
Do Not Process	If checked, the Admission receipt is on-hold or cannot be approved.
Inventory Adjustment	Indicates whether this admission record is an actual receipt of merchandise from a vendor or whether it is an adjustment derived from inventory reconciliation for this item.
Direct Delivery	Customer reference regarding whether the admission was direct delivered.
Allow GSP(if applicable)	If checked, and GSP is applicable, the duty rate will be adjusted on consumption of this receipt. QAD Precision FTZ will determine if the HTS/Country combination is eligible for GSP. If it is not, GSP will not be applied, regardless if this is checked.
Admission Type	A = Regular Admission C = Status Change D = Domestic O = Overage Admission T = Temp Deposit Z = Zone to Zone
Receipt Date	Date that the item was actually received by the company.
Admission Date	Automatically populated with the date keyed in for the CBP Approval Date.
Receipt Number	Unique receipt number assigned to this item receipt by the company system or the operator.
Receipt Suffix	On occasion, a company may receive an item on a single receipt that for CBP purposes needs to be split (i.e. multiple countries of origin for single item). This field allows the zone operator to maintain the original company receipt number but split it in QAD Precision FTZ into multiple receipts.
Invoice Number	Optional. The invoice number associated with this receipt.
Item Number	Contains the link to the item number that was received by the company. The item number is the unique identifier within QAD Precision FTZ; Inventory balances are maintained by item number. An admission increases the inventory balance. Only items included in the Item Master can be chosen.
Antidumping Duty Flag	If the item is assigned to an HTSUS that may be subject to antidumping, this area will be auto populated with a 1.
Actual Quantity	If the quantity is not changed by the operator, this by default becomes the quantity reported received by the company. However, if there is a discrepancy found, the operator should enter the correct quantity. This is the quantity that the inventory balance is increased by and is available for consumption.



Current Quantity		Current Quantity on hand – Calculated by QAD Precision FTZ	
Bill of Lading Number		Contains the link to the inbound Bill of Lading that moved the merchandise from a foreign destination to the zone. If the Bill of Lading is not downloaded by the company, it is added by the operator using a pull-down menu linked to the Arriving Cargo Information.	
Conveyance Number		Contains the link to the conveyance that contained the merchandise. If the conveyance is not downloaded by the company, it is added by the operator using a pull-down menu linked to the Arriving Cargo Information.	
Customs Admission Number		After validation the CBP Admission Number is automatically populated based on the information in the Arriving Cargo Information grid for the selected Bill of Lading and conveyance.	
Admission Date		Automatically populated with the date keyed in for the CBP Approval Date.	
CBP Information Tab:			
	Primary Harmonized Classification	Contains the link to the Harmonized Classification record that contains the HTSUS number for this admission. The HTSUS number may appear multiple times in the Harmonized Classification list if there have been duty rate changes. The correct Harmonized Classification is determined by QAD Precision FTZ by using the Harmonized Classification found in the Item Master plus the receipt date itself. It selects the record that is closest to but not later than the receipt date. This is especially important for admissions with PF status.	
	Secondary Harmonized Classification	This is processed in the same way as the Harmonized Classification. However, it is initiated from the Secondary Harmonized Classification in the Item Master.	
	Secondary Tariff Number Disabled?	Check this box if the secondary tariff number should be disabled.	
	Actual Import Treatment	Contains the link to the Import Treatment record that contains the Import Treatment for this admission. This field is initiated by the Import Treatment assigned to this item in the Item Master. If this is not correct, the operator must correct it. Inventory is maintained only for items that have an Import Treatment of FTZ on the Item Master. The Import Treatment of the Admission itself does not impact the keeping of inventory.	
	Actual Zone Status	The link to the Zone Status record that contains the Zone Status for this admission. This field is initiated by the Zone Status assigned to this item in the Item Master. If this status is not correct, the operator must correct it. The zone status of the admission, not the item determines the duty treatment applied upon removal of the item from the zone.	
	Antidumping Duty Flag	Defaults to checked/unchecked depending on whether HTS in Supporting List is subject to ADD.	
	Actual AD/CVD Duty Owed?	Antidumping/Countervailing duties are assessed against a specific Manufacturer/Item combination. If this particular Manufacturer/Item combination is subject to an AD/CVD	



	action, this box should be checked. All admissions subject to AD/CVD must have a zone status of PF.
Actual AD/CVD Case Number	When an AD/CVD action is active, a case number is assigned for tracking purposes and must be reported.
Search AD/CV Cases	If you would like to search for antidumping/countervailing cases that match the HTS number and Manufacturer of the admission, you can click this button.
Actual AD/CVD Duty Rate	In order to ensure competitive pricing for domestic manufacturers of this item, a punitive duty rate over and above the normal duty rate is assessed during the course of an AD/CVD action. The duty rate is Manufacturer/Item specific.
Quota Item?	If the HTS is subject to a Quota, this will auto populate.
Visa Number	If the item is flagged as a quota item that requires a visa for entry into the U.S., the visa number must be present in the admission record prior to applying consumption against it.
Typical Zone Status	Automatically populated based on the information reported in the Item Master for this item.
Typical Import Treatment	Automatically populated based on the information reported in the Item Master for this item.
FDA Prior Notice Number	Manually entered by the operator for internal references only. Provided by the FDA on approval of merchandise.
FDA Prior Notice Disclaimer?	Manually checked/unchecked by the operator as to whether FDA Prior Notice is necessary on the 214/E214.
Steel License Number	Manually entered by the operator. If the HTS requires a steel import license, the steel number is entered in this field will be sent in E214.
OGA	Other Government Agencies. Pulled from Harmonized Tariff Schedules Supporting List.

Item Cost Information Tab:

Invoice Unit Cost	Enter the unit cost listed on the invoice for this item.
First Sale Value	If the invoice unit cost is a first sale value, then checking this box will ensure that the value on the 7501 will be indicated with a F.
Currency Type	Using the pull-down menu choose the currency the invoice unit cost is listed in. If the currency does not exist in the pull-down menu it must be added to the Currency Types list in the Supporting Lists.
Currency Exchange Rate	The currency exchange rate based on the export date of the Arriving Cargo for the admission.
Actual Unit Cost	Calculated automatically based on the Invoice Unit Cost entered and the currency type. QAD Precision FTZ converts the Invoice Unit Cost to U.S. Dollars based on the currency conversion factor in the Currency Types list. This becomes the dutiable value for consumption applied against this admission.
Purchase Order Unit Cost	Automatically populated based on the information reported in the Purchase Order selected for this item.



Reported Unit Cost	Automatically populated based on the Actual U.S. Unit Cost. Currently not used for any calculations in QAD Precision FTZ.
Comments	User can add comments as necessary.
Item Origin Information Tab:	
Purchase Order Number	Contains the link to the Purchase Order that the receipt was received against within the company system. The Purchase Order record is used to establish the default values for unit cost and country of origin.
Purchase Order Vendor Code	Automatically populated based on the information reported in the Purchase Order grid selected for this item.
Purchase Order Manufacturer Code	Automatically populated based on the information reported in the Purchase Order grid selected for this item.
Purchase Order Country of Origin	Automatically populated based on the information reported in the Purchase Order grid selected for this item.
Actual Vendor Code	Using the pull-down menu choose the actual vendor the item was purchased from. If the vendor is not in the pull-down menu it must be added to the Vendor list in Supporting Lists. Select F4 while in this field and it will take you to the Vendor list to add this new vendor.
Actual Manufacturer Code	Using the pull-down menu choose the actual manufacturer of the item. If the manufacturer is not in the pull-down menu it must be added to the Manufacturer list in Supporting Lists. Select F4 while in this field and it will take you to the Manufacturer list to add the new Manufacturer.
Actual Country of Origin	The Purchase Order country of origin is used to initiate the country of origin ID field in the admission record. However, if after viewing the vendor documentation accompanying the merchandise a discrepancy is noted, the operator must enter the correct country of origin in the actual country of origin field. This field is the link to the country of origin supporting list which contains the detailed information including the duty rate column applicable to this country.
Actual Province	If the country of origin was Canada or Mexico, use the pull down to select the Province.
Mode of Manufacturer	Using the pull down menu choose the mode of the manufacturer for the admission. MID = Manufacturer IMP = Importer
Related Party	If the item was purchased from a related party, check this box.
Related Party Name	If the item was purchased from a related party, enter the related party name.
APHIS Information Tab: this tab only available if item selected uses an HTS that is applicable to APHIS	
Lacey Act Disclaimer Flag	Until Lacey Act data is supported in E214s, filers with shipments subject to the Lacey Act declaration requirement must use CBP's Automated Commercial Environment (ACE) when shipments leave the FTZ and enter U.S. commerce. Recognizing that CBP's e214 system will not be fully functional until 2017, FTZ filers will have one of several interim options



	to submit Lacey Act declaration data on or after November 28, 2016. Select the appropriate declaration for this item's admission.
Plant Scientific Name Genus	Enter the scientific genus name of the article
Plant Scientific Name Species	Enter the scientific species name of the article
Country of Harvest	The country where the plant was harvested. This is NOT the country of manufacture/origin.
Quantity of Plant Material	How much plant material is in the item
Percent Recycled	% Recycled Material: If the product is paper or paperboard, enter the percentage of recycled material it contains (0 - 100%). If the percentage of recycled material varies, enter the average percentage of recycled material used in the product (example: If the percentage of recycled material used is between 25% and 45%, enter 35%).
Unit of Measure	The Unit of Measure of the Plant Material: kg, m, m2, m3
Aphis Description	A brief description of each article, or component of an article, that is manufactured from plants or plant parts.

4. When complete, click *Save and Close*.

The Admissions Records grid displays the new record.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must either input data into the fields or discard the transaction until the information can be completed.

The transaction as entered will be saved but will continue to be displayed. If an error is detected in the new transaction, it can be changed and resaved.

If the user selects *Close* instead of *Save and Close*, a message will be displayed letting the user know they have pending changes to save.

Note: If the transaction should not be saved as displayed, the user should select *Discard and Close* or *Discard*.

If another Admissions Transaction is to be added, click *Add New* within an existing Admission Transaction and repeat the process.

CHANGE EXISTING ADMISSIONS RECORDS

1. From the Dashboard select Admissions or select Admission→Admissions from the toolbar.

The Admissions Records grid is displayed.

The records in the grid can be sorted by clicking in any heading in the grid.

2. Select the transaction to be changed by clicking on that transaction in the grid.

The record is displayed in a tabbed, multi-layer format.

3. Change the fields as necessary based on the field specifications outlined previously in this chapter.

4. When the transaction is correct, click *Save and Close*.

The grid displays the changes.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must either input correct data into the fields or discard the transaction until the information can be completed.

The transaction as corrected will be saved and the Admission Transaction grid will be returned.

If the user selects *Close* instead of *Save and Close*, a message will be displayed letting the user know they have pending changes to save.

Note: If the transaction should not be saved as displayed, the user should select *Discard*.

5. Repeat the process until all records have been corrected.

DELETE EXISTING ADMISSION RECORDS

1. From the Dashboard select Admissions or select Admission→Admissions from the toolbar.

The Admissions Records grid is returned.

2. Highlight the transaction to be deleted by clicking on it in the grid.



3. Click **Delete** at the top of the grid, then click **Save**.

The Admissions Records grid will be re-displayed, reflecting the changes that were made.

Note: Only admissions in Incomplete or Pending Approval can be deleted, unless the Zone Status is FDP.

ADDING BILL OF LADING NUMBERS TO ADMISSION RECORDS

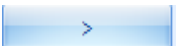
1. From the Dashboard select Admissions or select Admission→Admissions from the toolbar.

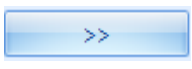
The Admissions grid is displayed showing all Admission records that have not been approved.

2. Click on the **Incomplete View**.
3. Click the **Assign Bills of Lading** button on the left of the grid under Actions.

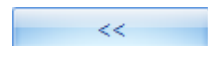
The Assign Bills of Lading to Admission(s) box is displayed.

4. Select the **Bill of Lading** from the pull-down menu at the top of the box.
 5. Select **Conveyance** for that Bill of Lading.
- The Admission records that do not have a Bill of Lading appear in the Admission Records field.
6. In the Admissions field, select records to be assigned to the selected Bill of Lading.

Clicking  will move the selected Admissions to the 'Admissions To Be Assigned' field on the right. Any admissions in the 'Admissions To Be Assigned' field will have the selected Bill of Lading and Conveyances assigned.

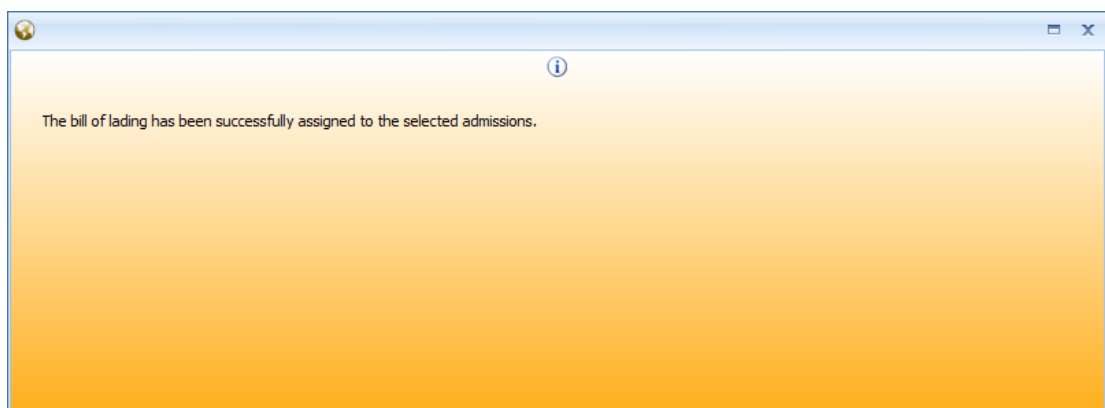
Clicking  will move all admissions in the 'Admissions' field over to the 'Admissions that will be Assigned' field on the right. Any admissions in the 'Admissions To Be Assigned' field will have the selected Bill of Lading and Conveyances assigned.

Clicking  will move the selected admissions in the 'Admissions To Be Assigned' field back to the 'Admissions' field on the left.

Clicking  will move all admissions in the 'Admissions To Be Assigned' field back to the 'Admissions' field on the left.

7. **When all the records to be assigned to this Bill of Lading appear in the 'Admissions To Be Assigned' field, click *Assign Bill of Lading*.**

A message will appear advising the successful assignment of the Bill of Lading to the records.





ADMISSIONS RECORD PROCESSING

VIEWING THE ADMISSION RECORDS

Admission records are divided into records that are *Pending Approval* (i.e. the CBP214 has not been approved by CBP) and records that are considered part of inventory. Admission records that are considered part of inventory also are divided into *Approved* records with no consumption applied against them (and therefore eligible for change), and *Reconciliation* records (with consumption applied).

1. **From the Dashboard select Admissions or select Admission→Admissions from the toolbar.**

The Admissions Pending Approval grid is displayed.

Receipt Number	Receipt Suffix	Receipt Date	Admission Date	Item Number	Item
1 2008011000001	0	1/10/2008	10/14/2014	AAGI-GDEA	
6	-1	7/23/2006	7/23/2006	AAHA-GABA	
00110 20061101...	8	11/1/2006	11/1/2006	AAJE-GABB	
13	-1	7/23/2006	7/23/2006	AAJF-GCBA	
00094 20121112...	141	11/12/2012	11/12/2012	ABCE-ACHA	
00095 20121112...	141	11/12/2012	11/12/2012	ABCE-ACHA	
00096 20121112...	142	11/12/2012	11/12/2012	ABCE-ACHA	
00097 20121112...	142	11/12/2012	11/12/2012	ABCE-ACHA	
00098 20121112...	143	11/12/2012	11/12/2012	ABCE-ACHA	
00099 20121112...	144	11/12/2012	11/12/2012	ABCE-ACHA	
214 2009081000...	0	8/10/2009	8/10/2009	ABFC-ACEA	
288 2010020200...	0	2/2/2010	2/2/2010	ABFC-ACEA	
187 2010021600...	0	2/16/2010	2/16/2010	ABFC-ACEA	
227 2011042000...	0	4/20/2011	4/20/2011	ABFC-ACEA	
29	-1	7/23/2006	7/23/2006	ABFC-GABA	
00653 20110115...	26	1/15/2011	1/15/2011	ABFC-GAEB	
00663 20110218...	27	2/18/2011	2/18/2011	ABFC-GAEB	
00663 20110218...	1027	8/30/2011	8/30/2011	ABFC-GAEB	
00663 20110218...	1028	4/2/2012	4/2/2012	ABFC-GAEB	
205 2012051000...	0	5/10/2012	5/10/2012	ABFC-GAEB	
00092 20110513...	143	5/13/2011	5/13/2011	ABFC-GAJA	

Admissions in other stages of processing can be viewed by clicking on the appropriate view located in the upper left corner of any Admissions grid.

The Admission records in any grid can be sorted by clicking on any heading in the grid.

2. **Select the record to be viewed by clicking on it in the grid.**

The information as it currently appears on the Admission Record will be displayed in a tabbed, multi-panel form.



3. Review the Admissions Record.

Review the information in the record by clicking on the tabs at the top of the displayed form.

Note: QAD Precision FTZ allows direct updates to any data field that is white. If any change is made, the user will be advised that they have pending changes to save. Save the change by clicking *Save and Close* or retain the original information by clicking *Discard and Close*. Records with consumption applied cannot be updated unless the consumption is first unapplied.

4. To end the Admissions Records Viewing Process, click Dashboard on the toolbar.

The Dashboard will be displayed.

ADD NEW ADMISSION RECORDS

Admission records can only be added by first creating a new transaction through the Download process or manually entry.

The user can manually create a new Admission transaction by:

- From the Dashboard select Admissions or select Admission→Admissions from the toolbar, click *Add New* on the Admissions grid; or,
- Clicking *Add New* when an existing Admission record is being displayed.

CHANGE EXISTING ADMISSION RECORDS

Admission records can be changed directly or through the Download Process. For step-by-step instructions to change records through the Download Process see *Admission Transaction Processing*. The following section details the steps required to directly update the Admission records.

1. From the Dashboard select Admissions or select Admission→Admissions from the toolbar.

The Admissions Pending Approval grid is displayed.

To view the records that have been approved by CBP or that have had Consumption applied; click the appropriate view in the upper left corner of any Admissions grid.

The Admission records in the grid can be sorted by clicking on any heading in the grid.

2. Click the record to be changed.

The information on the Admission record will be displayed in a tabbed, multi-panel form.



Admission: TrinketReceipt1 - 1

Please complete the required fields to save the record.

Receipt Information CBP Information Item Cost Information Item Origin Information Attachments

☐ Do Not Process ☐ Inventory Adjustment ☒ Direct Delivery ☐ Allow GSP(if applicable)

Admission Type: A Regular Admission

Receipt Date: 10/4/2013

Receipt Number: TrinketReceipt1

Receipt Suffix: 1

Invoice Number: IV100000

Item Number: QATrinket1 Trinket for testing

Antidumping Duty Flag:

Actual Quantity: 100.000000

Current Quantity: 100.000000

Bill of Lading Number: BOLTEST123456

Conveyance Number: CON123456

Customs Admission Number: 00000001

Admission Date:

Data fields that can be changed are displayed in white.

3. Correct the fields as necessary.

Note: Admission records that have had consumption applied against them cannot be changed since the information from them has already been reported to CBP on a CBP Entry. If a change is required, all consumption that has been applied against the Admission must be unapplied and any Entries reported to CBP must be corrected to reflect the change.

4. When the changes are complete, click *Save and Close*.

If the record should not be saved, select *Discard and Close*.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are completed. If they are not, the user must either correct the data in the fields or discard the change until the information can be completed.

If the user selects *Close* instead of *Save and Close*, a message will be displayed letting the user know that they have pending changes to save.

Note: If a Foreign Status admission that has been approved by CBP needs to be changed, the Admission record must be unapproved so that it can be pushed back to the *Pending Approval* stage. The associated CBP214 must be re-printed to report the change and re-submitted to CBP for approval. Once the revised CBP214 is approved, the associated Admission records must be re-approved in QAD Precision FTZ.

If an error is detected in the updated record by the operator, the change process is repeated until the record is correct.

The Admissions Pending Approval grid is re-displayed, reflecting the changes.

DELETE EXISTING ADMISSION RECORDS

1. **From the Dashboard select Admissions or select Admission→Admissions from the toolbar.**

The Admissions Pending Approval grid will be displayed showing all current Admission records that have not been approved by CBP.

The screenshot shows the SmartZone Premier application window. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. Below the menu is a toolbar with various icons for actions like Add new, Delete, Save, Discard, Search, Export, Refresh, and View. The main area displays the 'Admissions' grid with columns: Receipt Number, Receipt Suffix, Receipt Date, Admission Date, Item Number, and Item. The grid shows a list of records, with the first record highlighted. The status bar at the bottom indicates 'Record 1 of 500' and '1023 x 767'. The left sidebar contains sections for View Options, Actions, Reports, and Display Options.

Note: To view records that have been approved by CBP or that have had consumption applied; click the appropriate view in the upper left corner of any Admissions grid.

The Admission records in the grid can be sorted by clicking on any heading in the grid.

2. **Click the record to be deleted.**

Highlight the transaction to be deleted by clicking on it in the grid. Click *Delete*, then click *Save* at the top of the grid.

Note: Only records in Pending Approval status and Incomplete status can be deleted, unless the Zone Status is FDP. Admission records that have been approved by CBP must be unapproved in QAD Precision FTZ. Admissions with consumption applied against them cannot be deleted since the information from them has already been



reported to CBP on a CBP Entry. In order for the record to be deleted, the consumption that has been applied must be unapplied and the admission must be unapproved. Any associated CBP214s or Entries reported to CBP must be re-submitted to reflect the change.

3. When deletion is complete, the Admissions Pending Approval grid is re-displayed to reflect the deletion.

Note: If a Foreign Status Admission that was approved by CBP is deleted, the associated CBP214 must be re-printed to report the change and re-submitted to CBP for approval. Once the revised CBP214 is approved, the associated Admission records must be re-approved in QAD Precision FTZ.

ADMISSIONS RECORDS REPORTING

Admissions Reporting Overview

Every Foreign Status Admission must be reported to CBP on the Application for Foreign-Trade Zone Admission and/or Status Designation Form (CBP214) or through the E214 ABI. However, depending on how zone operations are structured and what special programs the zone has qualified for will determine exactly how and when this information is provided.

Daily Admissions Reporting

If a zone is not approved for Direct Delivery, then an individual CBP214 must be prepared, submitted to CBP, and approved for each shipment prior to its release and admission to the zone. This type of CBP214 must either be manually prepared outside of QAD Precision FTZ by the operator or a CBP Broker, or be prepared by the operator from manually inputting Admission records since it occurs prior to the receipt of the merchandise at the zone. If approved for electronic 214 submissions, the operator can submit the 214 via QAD Precision FTZ's E214 Processing application (see *Chapter 16 – E214 Processing*). If the CBP214 is prepared in advance, the Admission number indicated on the CBP214 must be added to the Bill of Lading's associated Conveyance in the Arriving Cargo. Sequential CBP214 numbers can be automatically generated by establishing a CBP214 number in the Company Options and checking *Auto Increment*. This process is described in Chapter 8, *Arriving Cargo Information*. No further CBP reporting action by the operator is required.

If the zone is approved for Direct Delivery but not for cumulative 214s, then an individual CBP214 must be prepared for each shipment received at the zone under Direct Delivery Procedures. However, it can be submitted to CBP, either manually or electronically, after the goods arrive at the zone. QAD Precision FTZ can prepare this type of CBP214 based on the Admission records added in conjunction with the Arriving Cargo Information. Prior to printing the CBP214, an Admission number must be added to the Bill of Lading's associated conveyance and Admission records. Sequential CBP214 numbers can be automatically generated by establishing a CBP214 number in the Company Options and checking *Auto Increment*. This process is described in Chapter 8, *Arriving Cargo Information*.

If the zone is approved for Direct Delivery and for cumulative 214s, then a single cumulative CBP214 may be prepared for an entire day's receipts. Each Bill of Lading/In-transit Shipment received that day may be assigned the same CBP214 number in the Arriving Cargo. This can be done by establishing a daily CBP214 in the Company Options and leaving *Auto Increment* blank. The CBP214 is then auto-generated into the Bill of Lading/In-transit records received that day. QAD Precision FTZ extracts a cumulative CBP214 Report of all receipts with the same CBP214 Admission number. A blank CBP214 is attached which indicates *See Attached* for Shipment details. The form itself is used for signature purposes only.



The zone operator decides which daily reporting methodology they are eligible for or chooses to use.

Note: The only time a domestic shipment must be reported on a CBP214 is at the specific request of the Commissioner of CBP. This is extremely rare.

Quarterly Harbor Maintenance Reporting

Approved OMB No. 1651-0055
Exp. 11/30/2011

DEPARTMENT OF HOMELAND SECURITY U.S. Customs and Border Protection HARBOR MAINTENANCE FEE QUARTERLY SUMMARY REPORT 19 CFR 24.24		1. Identifying Number ☒ EIN or IRS Number ☐ CBP Number ☐ SSN 15-78978987		
SEND TO: U.S. Customs and Border Protection Office of Finance, Revenue Division 6650 Telecom Drive Indianapolis, IN 46278		2. Name of Company or Individual Sleep Tite Trailers		
		3. Complete Mailing Address 111 Trinket Way Mobile, AL 36607 ☐ Check here if address has changed since last filing.		
4. REPORTING PERIOD Year <u>2013</u> (One Quarter Only) ☐ 1 Jan. 1 - Mar. 31 ☐ 2 Apr. 1 - Jun. 30 ☒ 3 Jul. 1 - Sep. 30 ☐ 4 Oct. 1 - Dec. 31				
Type of Shipment With Class Code	5. Value of Shipments	6. Value of Exemptions (from corresponding columns A to D of line 15)	7. Net Value (column 5 less column 6)	8. HMF Due (multiply the amounts in column 7 by appropriate rate)
A. Domestic Movements 503				0.00
B. FTZ Admissions 505	\$39,017.668000		\$39,017.668000	\$48.772085
C. Passengers 504				0.00
D. Total Line Value 5, 6, & 7	\$ 39,017.668000	\$	\$ 39,017.668000	
9. Total HMF Due (Total of Lines 8A through 8C)				\$ 48.772085
ITEMIZATION OF EXEMPTIONS	A. Domestics	B. FTZ(s)	C. Passengers	D. Total
10. Exempt Port				
11. Inland Waterway Fuel Tax				
12. Inraport				
13. U.S. Mainland-State/ Possession/Territory				
14. Other				
15. TOTALS (Also enter amounts in 15A thru 15D in 6A thru 6D above).				
16. CERTIFICATION I hereby under penalties provided by law that the above information regarding the Harbor Maintenance Fee is completed and accurate to the best of my knowledge. Please Sign Here _____ Date _____				
17. Type or print name of person who prepared this report (if same as block 2, write "Same")			18. Telephone Number (country code, if applicable)	
PRIVACY ACT NOTICE: The following information is given pursuant to the Privacy Act of 1974 (Pub. L. 93-579). The disclosure of the social security number is mandatory when an Internal Revenue Service number is not disclosed whenever an identification number is requested. Identification numbers are solicited under the authority of Executive Order 9597 and Pub. L. 99-662. The identification number provides unique identification of the party liable for the payment of the Harbor Maintenance Fee. The number will be used to compare on this form with information submitted to the Government on other forms required in the course of shipping, exporting, or importing merchandise, which contain the identification number, e.g., the SED, Vessel Operation Report, to verify that the information submitted is accurate and current. Failure to disclose an identification number may cause a penalty pursuant to 19 CFR 24.24(h). PAPERWORK REDUCTION ACT NOTICE: This request is in accordance with the Paperwork Reduction Act. We ask for the information in order to carry out the Harbor Maintenance Revenue provisions of the Water Resource Development Act of 1998. We need it to ensure that the trade community is complying with this Act, and to allow CBP to determine if the correct amount of Harbor Maintenance Fee (HMF) is collected. It is mandatory. The estimated average burden associated with this collection of information is 30 minutes per respondent plus 10 minutes recordkeeping depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to U.S. Customs and Border Protection, Report Management, Washington, DC 20540, and to the Office of Management and Budget, Paperwork Project (0306-0047), Washington, DC 20503.				

CBP collects a Harbor Maintenance Fee on all imports that are unladen in a U.S. water port. On traditional imports, this fee is declared and collected on the Entry Summary (CBP7501 form). Because zones do not file Entries when shipments are admitted to the zone, the Harbor Maintenance Fee is calculated and collected on a quarterly basis. At the end of each quarter, the operator can use QAD Precision FTZ to prepare a Harbor Maintenance Report that is forwarded to CBP, along with the payment of any fee owed. Step by step instructions on executing this report are located in *Chapter 14, Periodic Reporting*.

Note: Only shipments that arrive via ocean are reported. Air and land shipments are not subject to the HMF.

Admissions Reconciliation

CBP requires that a zone operator account for all zone admissions or identify what CBP documentation was used to remove the merchandise from the zone. QAD Precision FTZ's Consumed Components screen clearly displays exactly which shipments were applied against which Admissions and the documentation used to report it to CBP.

Admission: TrinketReceipt1 - 2

Please complete the required fields to save the record.

Receipt Information | CBP Information | Item Cost Information | Item Origin Information | Consumed Components | Attachments

Shipment Number	Shipment Date	Item Number	Item Description	Quantity
IEWETEST01	12/5/2013	QATrinket1	Trinket for testing	10.000000

Record 1 of 1

Where Used:

Admissions Records, Arriving Cargo Information, Supporting Lists, Company Options

TO ACCESS ALL ADMISSIONS PROCESSING: FROM THE DASHBOARD SELECT ADMISSIONS OR SELECT ADMISSION→ADMISSIONS FROM THE TOOLBAR.



DAILY ADMISSIONS REPORTING**PREPARATION OF CBP214 FOR INDIVIDUAL ADMISSIONS**

1. From the Dashboard select Admissions or select Admission→Admissions from toolbar.

The Admission grid showing all admission records pending approval is displayed.

2. Select a single Admission record in the grid that has the CBP214 Admission number to be printed.

3. Click *Print CBP214* under Actions on the left of the Admissions grid.

The Print CBP214s box is displayed.

The CBP214 associated with the selected admission record is displayed under the heading *Selected CBP214s for Print*. Additional CBP214s are listed under the heading *CBP214s*.

Print CBP214s

Add the CBP214s to the list on the right and click Print CBP214s

CBP214s		
CBP Admission Number	Bill of Lading Number	Vessel or Airline

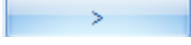
>

>>

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<<

Selected CBP214s for Print		
CBP Admission Number	Bill of Lading Number	Vessel or Airline
00000029	BOL 12012013	APL SCOTLAND

4. Select additional CBP214s to be printed from those listed under the heading *CBP214s* and click  to move the CBP214 number to the section labeled *Selected CBP214s for Print*.

Click *Print CBP214s*.

The selected CBP214 is displayed.

Note: A printed copy of the CBP214 is created by clicking the printer icon in the menu at the top of the screen.

CENSUS USE ONLY		DEPARTMENT OF HOMELAND SECURITY U.S. Customs and Border Protection		OMB No. 1551-0029	
		APPLICATION FOR FOREIGN-TRADE ZONE ADMISSION AND/OR STATUS DESIGNATION		1. ZONE NO. AND LOCATION (Address) ZONE FTZ No.123 00 Mobile Trading Corporation 2062 Old Shell Road AL 12345	
		19 CFR 146.22, 146.32, 146.35-146.37, 146.39-146.41, 146.44, 146.53, 146.56		2. PORT CODE 2833	
3. IMPORTING VESSEL (S FLAG) OTHER CARRIER APL SCOTLAND		4. EXPORT DATE 12/01/2013		5. IMPORT DATE 12/05/2013	
				6. ZONE ADMISSION NO. 12300221400000029	
7. U.S. PORT OF UNLADING COMMISSIONER SIT ROOM		8. FOREIGN PORT OF LADING HAMILTON, ONT, CA		9. BILL OF LADING/AWS NO. BOL12012013	
				10. INWARD M/FEST NO.	

PREPARATION OF CUMULATIVE CBP214

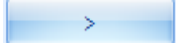
1. From the Dashboard select Admissions or select Admission→Admissions from the toolbar.

The Admissions grid showing all Admission records pending approval is displayed.

2. Click any Admission Record in the grid that has a CBP214 Admission Number to be printed.

3. Click *Print CBP214* under Actions on the left of the Admissions grid.

The Print CBP214s dialogue screen is displayed. The CBP214 associated with the selected admission record is displayed under the heading *Selected CBP214s for Print*. Available CBP214s are listed under the heading *CBP214s*.

4. Select additional CBP214s to be printed from those listed under the heading *CBP214s* and click  to move to CBP214 number to the section labeled *Selected CBP214s for Print*.

5. Click *Print CBP214*.

If a single CBP214 Admission number has been assigned to multiple Bills of Lading/Conveyance records, a cumulative CBP214 report will be generated.

Note: A printed copy of the cumulative CBP214 Report is created by clicking the printer icon in the menu at the top of the screen.

CENSUS USE ONLY		DEPARTMENT OF HOMELAND SECURITY U.S. Customs and Border Protection APPLICATION FOR FOREIGN-TRADE ZONE ADMISSION AND/OR STATUS DESIGNATION		OMB No. 1551-0029 1. ZONE NO. AND LOCATION (Address) ZONE FTZ No.123 00 Mobile Trading Corporation 2062 Old Shell Road , AL 12345	
		19 CFR 146.22, 146.32, 146.35-146.37, 146.39-146.41, 146.44, 146.53, 146.56		2. PORT CODE 2833	
3. IMPORTING VESSEL (& FLAG) OTHER CARRIER APL SCOTLAND		4. EXPORT DATE 12/01/2013		5. IMPORT DATE 12/05/2013	
				6. ZONE ADMISSION NO. 12300221400000029	
7. U.S. PORT OF UNLOADING COMMISSIONER SIT ROOM		8. FOREIGN PORT OF LADING HAMILTON, ONT, CA		9. BILL OF LADING/AWS NO. BOL12012013	
				10. INWARD M/FEST NO.	

DESIGNATE CBP ADMISSIONS AS APPROVED BY CBP

This process is the same regardless of whether the CBP214 was reported as individual or cumulative. The process is also the same if the CBP214 was reported electronically or by paper. The indication of CBP approval of a CBP214 with foreign status merchandise finalizes the admission of the merchandise reported on it, making that inventory eligible for consumption. **Note:** Domestic status merchandise is considered immediately available in inventory since no formal approval by CBP is required.

- If submitting by paper, review the printed CBP214s for errors.**
 If there are errors identified within the data, correct the errors as described in the Admissions Processing section.
 Re-print the CBP214 Admissions Report and repeat the review process until all CBP214s are correct.
- If submitting by paper, submit the printed CBP214s to CBP for approval. If submitting electronically, submit the E214 via E214 Processing in QAD Precision FTZ (see Chapter 16 – E214 Processing). Upon return of the CBP214s with CBP Approval, designate as *Approved* by completing the following steps.**
- From the Dashboard select Admissions or select Admission→Admissions from the toolbar.**
- Select Admissions Pending Approval.**
 The Admissions grid showing all Admissions Pending Approval is displayed.
- Click any Admission record in the grid that has a CBP214 Admission Number to be approved.**
- Click the *Approve CBP214s* button under Actions on the left side of the Admissions grid.**
 The CBP214s Pending Approval by CBP box is displayed.

CBP214s Pending Approval by Customs

Add CBP214s to be approved to the list on the right and click Approve CBP214s

CBP214s Not Approved by CBP

CBP Admission Number	Bill of Lading Number	Vessel or Airline
00000055	CNWYTESTBOL12	APL ARABIA
JJGTEST123	BOLTEST234567	TRUCK

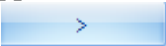
Selected CBP214s for Approval

CBP Admission Number	Bill of Lading Number	Vessel or Airline
00000001	BOLTEST123456	VESSEL TEST

Approve CBP214s Close

7. On the CBP214s Pending Approval by Customs screen, select the CBP214 to be approved.

All unapproved CBP214 Admission numbers except the Admission number associated with the selected Admission record will be listed under the heading *CBP214s Not Approved by CBP*.

8. Select all CBP214s to be approved listed under the heading **CBP214s Not Approved by CBP**, and click  to move the CBP214 Number to the section labeled **Selected CBP214s for Approval**.

9. Click *Approve CBP214s* to mark the CBP214s as *Approved*.

A Confirm Approval Date dialogue box will be displayed.

Confirm Approval/Receipt Date

Approval/Receipt Date 12/9/2013

Ok Cancel

10. Enter the date that the selected CBP214(s) were approved by CBP and click *OK*.

The Approve CBP214 Admission log is displayed.

A permanent copy of the CBP214 Admission Log can be printed by clicking the printer icon in the menu at the top of the report display.

11. Close the report by clicking on the “X” at the top right of the displayed report or click **File→Exit** on the report menu.

UNAPPROVE RECEIPT/UNAPPROVE CBP214

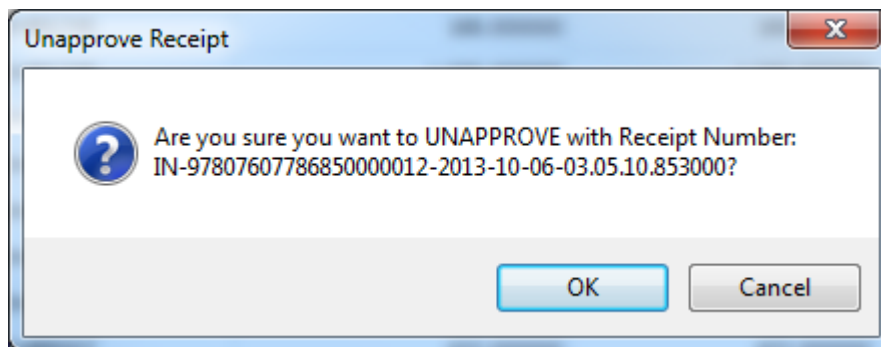
From time to time it may be necessary to unapprove an admission or individual receipts to make changes. You can unapprove a single admission (receipt) or an entire CBP214. Only

items that do not have consumption applied against them can be unapproved. If it is necessary to unapprove an admission that has consumption applied to it, that admission must first be unconsumed. Once an admission has been unapproved its status reverts to pending approval. The CBP214 associated with that admission should be reprinted (if necessary) to reflect the changes, and resubmitted to CBP for approval. Once approval has been received the admission can be re-approved and is ready for consumption.

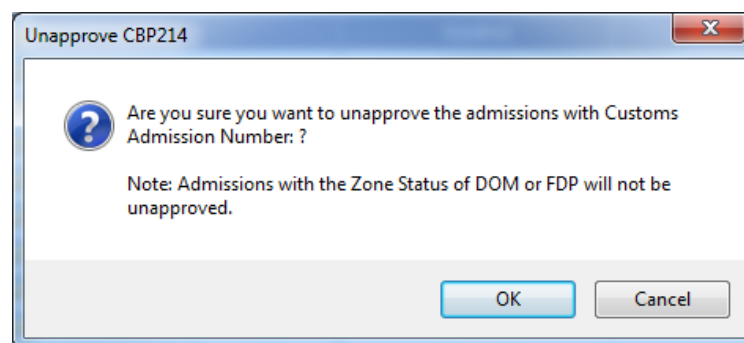
1. **From the Dashboard select Admissions or select Admission→Admissions from the toolbar.**
2. **Find and highlight the receipt in the grid to be unapproved.**

If the entire CBP214 needs to be unapproved choose *Unapprove CBP214s*. If one receipt needs to be unapproved choose *Unapprove Receipt*.

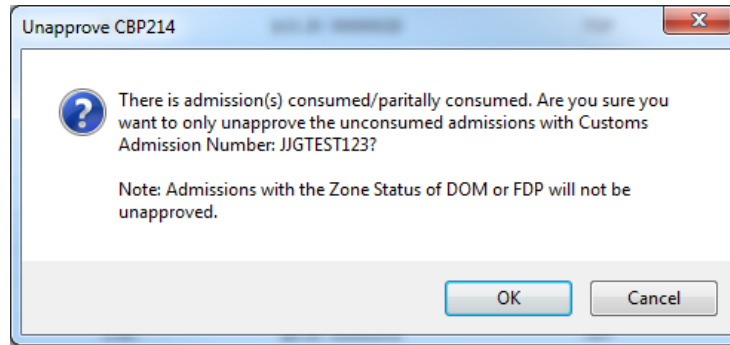
- If *Unapprove Receipt* was selected the following confirmation message will be displayed:



- Choose *OK* if the receipt should be unapproved and *Cancel* if it should not be unapproved. If you choose *OK*, the receipt will be pushed back to Pending Approval status. If you choose *Cancel*, the receipt will remain in Approved status.
- *If Unapprove CBP214s was selected and there has been no consumption applied to any receipts, the following confirmation message will be displayed:*



- *If Unapprove CBP214s was selected and there has been consumption applied to any receipts, the following confirmation message will be displayed:*



- Choose *OK* if the admission should be unapproved and *Cancel* if it should not be unapproved. If you choose *OK*, all unconsumed receipts for that admission will be pushed back to Pending Approval status. If you choose *Cancel*, the receipts will remain in Approved status.

Note: If you choose *Unapprove CBP214s* only items on the CBP214 that do not have consumption applied to them can be unapproved.

3. After the Admissions have been unapproved their status reverts to Pending Approval.

ADMISSIONS RECONCILIATION

- 1. From the Dashboard select Admissions or select Admission→Admissions from the toolbar.**

Only admissions in Reconciliation view will have consumption applied.

- 2. Click the Admission record to be viewed.**

The selected Admission record will be displayed.

The Consumed Components tab will contain all shipments consumed against the admission.

- 3. When finished viewing the Admission record, click *Close* to return to the Admission Reconciliation grid.**

ASSIST PROCESSING

Functional Overview

The Dutiable Value for CBP purposes is usually defined as the price paid or the price payable for imported items. It is assumed that all the costs that were accrued by the foreign seller, plus a reasonable profit, are reflected in the purchase price. On occasion, an importer may provide materials or tooling to a foreign vendor to use that were purchased outside the United States when producing an item. The cost of these assists is usually not reflected in the purchase price of the items. However, CBP considers their value to be part of the dutiable value of the item produced, since the foreign seller would normally have to purchase these assists on their own. The cost of the assist would be reflected in the selling cost of the item. Therefore, importers must include the value of these assists in the dutiable value of the items imported at the time of entry for a normal import or upon admission to the zone. If admitted to a zone, their value is reflected on the CBP Admission Document (CBP214) and duty is paid on the value of the items upon removal from the zone into the CBP territory of the U.S.



This Assist Value can be added to the Admission Value using two different approaches. The first is to add the entire value of the Assist to the first Admission of the item produced using the Assist. The second is to spread the value of the Assist over a specified quantity of the imported item produced using the Assist. Using the Assist section of QAD Precision FTZ allows the operator to choose which approach works best for each Assist tracked within the system. The operator identifies the Item number to which the Assist is to be applied, the vendor who received the Assist, the amount of the Assist, and the number of pieces across which to allocate it. When an admission occurs for that item from that vendor, if the number of pieces to allocate the Assist against is not greater than the number of pieces received, the value of the Assist is spread equally over the entire quantity for that admission. If the number of pieces to be allocated is greater than the number of pieces received, the unit value of the Assist (*value of assist/allocation number*) is added to the admission's purchased unit value. The remainder of the Assist is allocated against subsequent admissions.

When the admissions that have Assist allocations are removed from the zone, the dutiable value is calculated to include the value of the Assist allocation, regardless of whether the shipment is an import or export shipment.

Like other Supporting Lists, updates to this section are immediately reflected in any linked sections.

Where Used:

Admissions Records, Admissions Reporting

Strategies for the Allocation of Assists

The decision to allocate an Assist over a limited number of pieces or over the expected lifetime of the Assist (i.e. the depreciation period for a piece of equipment) is purely a business decision. By allocating the Assist against a limited number of pieces, there is an assurance that the Assist will be accounted for before it is forgotten and it will be relatively easy to produce the required backup documentation. However, by allocating it across a larger reasonable number of pieces, it is possible that some of the allocation will be reported against exports that are not dutiable or that the Assist will fall short of its expected life span, so that the item affected is no longer imported. Either approach results in less duty being paid on the Assist, which is permissible within the CBP Regulations.



MAINTAINING ASSISTS

VIEW ASSISTS

1. **Select Admission→ Assist Values from the toolbar.**

The Assist Values grid is displayed.

2. **Find the assist you would like to view.**

The Assist Value Details will be displayed.

The screenshot displays the SmartZone Premier application window. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. Below the menu is a navigation bar with tabs for Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The 'Assist Values' section is active, showing a toolbar with icons for Add new, Delete, Save, Discard, Search, Export, Refresh, View, and Print. A status bar indicates '8 Record(s) Loaded'.

Item Number	Item Description	Assist Date	Manufacturer Code	Manufacturer Name	US Amount	Number of Pieces	Unallocated Pieces
QATrinket1	Trinket for testing	5/29/2014	ARMINOHY1069BUE	Min ohye Test Co	\$25.00	1.000000	0.000000
QATrinket1	Trinket for testing	2/5/2014	ARMINOHY1069BUE	Min ohye Test Co	\$1,000.00	100.000000	100.000000
0014397115919...	SCRAB FLASH ELECT...	4/23/2014	ARMINOHY1069BUE	Min ohye Test Co	\$525.00	1.000000	0.000000
KEETEST33	Test	5/28/2014	ARMINOHY1069BUE	Min ohye Test Co	\$500.00	1.000000	0.000000
KEETEST33	Test	5/30/2014	HKCHEMIN26HIN	TEST5	\$100.00	100.000000	100.000000
QATrinket03	Test Item	4/7/2015	ARMINOHY1069BUE	Min ohye Test Co	\$500.00	10.000000	8.000000

Below the grid, the 'Assist Value Details' form is displayed. It contains the following fields:

- Item Number: QATrinket1
- Item Description: Trinket for testing
- Assist Date: 5/29/2014
- Manufacturer: ARMINOHY1069BUE
- Manufacturer Name: Min ohye Test Co
- Number of Pieces: 1.000000
- Unallocated Pieces: 0.000000
- U.S. Amount: \$25.00
- Unallocated U.S. Amount: \$0.00
- Comments: (Empty text area)

The bottom status bar shows 'Sleep Tite Trailers', 'FTZ\kellieck', 'Application Administrator', and '1028 x 822'.

ADD ASSISTS FOR ALLOCATION

1. **Select Admission→ Assist Values from the toolbar.**
The Assists Values form is displayed.
2. **Click Add New.**
3. **Complete the fields on the Assists Values form as necessary and/or required based on the field specifications outlined below.**



Field Name	Usage
ASSIST VALUES	
Item Number	Contains the link to the Item Number that the assist applies to.
Assist Date	Auto populated with the current date. The date the assist should begin being allocated.
Manufacturer	Using the pull-down menu choose the manufacturer of the item.
Number of Pieces	Total number of pieces that this assist will be allocated across. To allocate the entire assist to the first receipt of this item, set the quantity to 1.
Unallocated Pieces	Initialized to the total number of pieces that the assist will be allocated across. As the assist is allocated to receipts, QAD Precision FTZ reduces this quantity until the assist has been totally allocated.
US Amount	Calculated automatically based on the Invoice Amount entered and the currency type. QAD Precision FTZ converts the Invoice Amount to U.S. Dollars based on the currency conversion factor in the Currency Types list.
Comments	This is a user-maintained field. It is recommended that some explanation of the assist and a means to relate it to the company's records be entered here.

4. When done, click *Save*.
5. To add additional Assist Records, repeat the process by clicking *Add New*.

VIEW, CHANGE, OR DELETE AN EXISTING ASSIST RECORD

1. Select the Assist Values to be viewed, changed, or deleted by clicking on the Assist in the Assist Values grid.
2. Change the fields displayed on the Assist Value screen as necessary based on the field specifications outlined previously in this chapter.

Notes: You will not be able to change any assists that have been applied to admissions already. All fields will be grayed out.

The Assist Value is linked to the Admissions section. If the selected record is linked to an active record in another section, the record cannot be deleted (an error message will be displayed).

3. When finished, click *Save*.

APPLY AN ASSIST AGAINST AN ADMISSION

The application of an Assist to an Admission does not require Operator action. It is included here for information only.

1. An Admission transaction is created as described in the Admission Processing section of this chapter.
2. The Item number and Manufacturer indicated within the Admission record are checked against Assist Values.



If a match is not found, there is no Assist for this Item/Manufacturer to be allocated.

If a match is found, and the Unallocated Pieces is zero, there is no remaining Assist to be allocated.

If the Unallocated Pieces is greater than zero, the Admission is flagged for a possible Assist.

3. The amount of the Assist to be allocated to the Admission is calculated.

The Receipt Quantity is compared to the Unallocated Pieces.

If the Unallocated Pieces are greater than the Receipt Quantity, the Assist Value to be allocated is calculated for the Admission by dividing the Assist amount by the original number of pieces.

If the Unallocated Pieces are less than or equal to the Receipt Quantity, an Assist Value to be allocated is calculated by determining the amount of the Assist remaining to be allocated and dividing the remaining amount of the Assist by the Receipt Quantity.

4. If the Admission is subsequently deleted, the Assist amount allocated to the Admission is added back into the Assist Value record.

Chapter 7 CBP Documentation Processing

IMPORT DOCUMENTATION PROCESSING

ESTIMATED WEEKLY IMPORT PROCESSING

Weekly Estimated Entry Process Overview

Note: Use of Weekly Estimate Entry Procedures for import of merchandise into the CBP territory is available for all zones at the option of the operator.

The operator also has the option of using a weekly or individual Entry to obtain CBP approval for Entry of merchandise into the CBP territory. The following sections describe the process for initiating either a Weekly Estimate or a specific Individual Entry. Once either type of Entry has been prepared for submission to CBP, the process for submitting the Entry, recording CBP approval of the Entry and reporting the Entry to CBP is identical. Use of Weekly Estimate Entry has some additional reporting requirements to document that the actual Shipments from the zone did not exceed the Estimated Shipments approved by CBP for a given week. These reporting requirements are described at the end of the *Import Documentation Processing* section.

For Shipments entering the CBP territory, an Entry/Immediate Delivery Form (CBP3461) is used to estimate merchandise to be removed from the zone in the upcoming week. This Weekly Estimated Entry replaces the Individual Entry/Immediate Delivery Form (CBP3461) that would otherwise be required in order to receive CBP approval prior to each individual shipment from the zone. With Weekly Estimated Entry, CBP reviews what the zone expects to ship, including Type of Merchandise, Quantity, and Value. Then upon CBP approval, the zone is free to ship that type of merchandise up to the quantity and value that has been approved over the course of the next week. Within ten working days of approval, the zone operator must report exactly what merchandise was shipped on an Entry Summary (CBP7501), accompanied by the payment of duties and fees owed to CBP.

Within QAD Precision FTZ, an Internal Reference Number is used to group the Shipment Records together that will be reported on a single CBP Weekly Entry. This can be an internally generated number or it can be the Broker's Reference Number that is generated by the zone's CBP broker. To initiate the Weekly Estimated Entry Procedure, the zone operator obtains or designates the Internal Reference Number for the week. He may also obtain the actual Entry Number that will be used on the Entry/Immediate Delivery document (CBP3461). If the actual Entry Number is not yet available, it may be added later in the process. This number is entered into QAD Precision FTZ, accompanied by a general description of the merchandise to be shipped from the zone and the starting date for the shipping period.

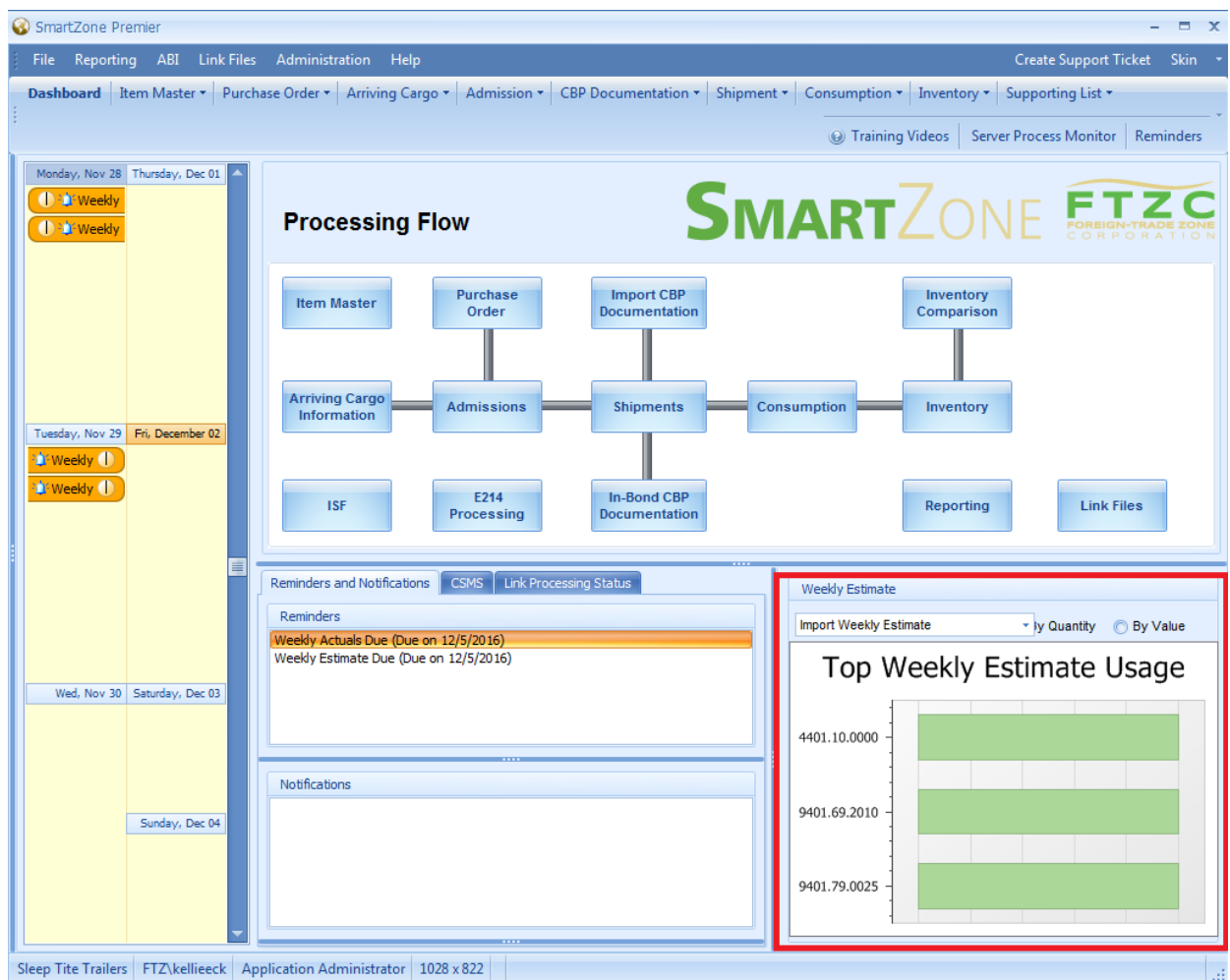
QAD Precision FTZ obtains the Harmonized Classification Numbers, Estimated Unit Cost, default Country of Origin, and default Manufacturer's ID for these representative items from the Item Master. The quantity and value of the items are then summarized by Harmonized Classification Code.

Once the information required for the Weekly Estimated Entry is complete, the zone operator prints and submits a CBP3461 to CBP or the Broker may submit the CBP3461 to CBP on behalf of the zone operator. QAD Precision FTZ stores the Weekly Estimated Entry information in the CBP Documentation Import section so that Shipments can be monitored (applied) against it as they occur. Upon review of the CBP3461, if the information is accurate



and complete, the zone operator indicates that the estimate has been reported to CBP for approval. Once submitted for approval, the estimate cannot be changed, since it is in the hands of CBP. It can only be approved or denied. If approved, the zone operator enters the Approval Date and Entry Number into QAD Precision FTZ and can commence shipping the merchandise indicated on the Weekly Estimated Entry. Note that this is the last chance for the accurate Entry Number to be entered.

The Weekly Estimated Entry cannot be exceeded. To ensure this, every shipment must be validated against an approved Weekly Estimated Entry before Consumption can be applied against Admissions Records. If merchandise in excess of the estimate must be shipped, an additional estimate or a specific Individual Entry must be filed with CBP and approved prior to Shipment. QAD Precision FTZ provides an Import Weekly Estimate Reconciliation Report that can be used to monitor the status of the estimates. There is also a Weekly Estimate Usage Data chart on the Dashboard to show the top HTS usage and the percentage used for those HTS.



At the end of the week, once all Consumption associated with the week's shipments has been applied to Admissions Records, the operator prepares a Proforma Invoice identifying the Items Shipped, the FTZ Components contained in the Items Shipped and their Dutiable Value, Harmonized Classification, and Country of Origin. This information is used to create a CBP7501 Entry Summary, which must be submitted to CBP within 10 working days of approval of the Weekly Estimated Entry, along with payment for any duties or fees owed.

Suggested Sequence of Steps to be taken for Weekly Entry Processing

1. Clone the previous weeks estimate.
2. Prepare Import Entry Estimate information.
3. Verify quantities and values to be included in the Estimate.
4. Print the CBP3461.
5. Review the CBP3461.
6. Submit the CBP3461 to CBP and then click 3461 Reported in QAD Precision FTZ.
7. Receive approval of the CBP3461 and update QAD Precision FTZ by entering the entry number in the weekly estimate and then clicking Approve CBP Documentation.
8. Apply Shipments against the CBP3461 Estimate (see *Chapter 11, Shipments Processing*).
9. Apply Consumption associated with Shipment against Admissions (see *Chapter 12, Consumption Processing*).
10. Monitor Quantity and Value available within the Estimate so the Estimated Quantity and Value Approved is not exceeded.
11. Submit supplemental CBP3461 Estimate as needed.
12. At the end of the week, once all Consumption has been applied, print a Proforma Invoice for the week's Entry.
13. If the Proforma is correct, print a CBP7501 Entry Summary for the week's Entry.
14. If the CBP7501 is correct, submit CBP7501, Proforma, and payment to CBP and flag the Weekly Estimated Entry as Reported to CBP by clicking 7501 Reported in QAD Precision FTZ.
15. At the end of the week, print the Import Weekly Estimate Reconciliation Report to compare Estimated Entries to Actual Entries.

INDIVIDUAL ENTRY PROCESSING FOR IMPORTS**Import Individual Entry Process Overview**

Individual CBP Entries are based on actual shipments rather than on an “estimate” of what is expected to ship. The operator prepares a shipment or shipments for transfer into CBP territory and stages it *Pending Approval*. The staged Shipments are entered into QAD Precision FTZ using the process described in *Chapter 11, Shipment Processing*.

Once the shipments are committed to the QAD Precision FTZ Shipment section, documentation for an Individual Entry specific to those shipments are prepared. First, an Entry/Immediate Delivery Form (CBP3461) is filled out and printed based on the information contained in the shipments. This establishes the Entry in QAD Precision FTZ's CBP Documentation Import section. The entry is then submitted to CBP for approval. The shipment cannot be physically transferred from the zone until the CBP Entry is returned with a CBP signature. This is the CBP “release” of the shipment. The CBP approval of the entry is recorded in QAD Precision FTZ and the Shipment is marked approved.

After the shipment leaves the zone, the FTZ Components contained in the Shipment are applied against the FTZ Admissions (see *Chapter 12, Consumption of FTZ Components*). Once all of



the FTZ inventory processing is complete, a Proforma Invoice identifying the Shipped Items is prepared and printed. The Proforma details the FTZ Components contained in the Shipped Items, the Harmonized Classification of the Shipped Items, the Dutiable Value of the Shipped Items (based on the Admission Value), and the Country of Origin. This information is then used by QAD Precision FTZ to prepare the Entry Summary (CBP7501) which must be submitted to CBP within 10 working days of the Entry/Immediate Delivery (CBP3461) along with the payment of any duty and/or fees owed.

Suggested Sequence of Steps to be taken for Individual Entry Processing

1. **Add the shipments to the Shipment section (See Chapter 11, Shipments Processing).**
2. **Create an Individual Entry based on the shipments.**
3. **Print the Entry/Immediate Delivery (CBP3461).**
4. **Submit the CBP3461 to CBP for approval and click 3461 Reported in QAD Precision FTZ.**
5. **Receive approved CBP3461 from CBP or approval via QAD Precision FTZ's In-Bond Processing Module. Approve 3461 in QAD Precision FTZ by clicking Approve CBP Documentation.**
6. **Shipment is transferred from the zone.**
7. **Apply Consumption contained in Shipment to FTZ Admissions.**
8. **Print Proforma Invoice for the Individual Entry.**
9. **Print Entry Summary (CBP7501) for the Individual Entry.**
10. **If the CBP7501 is correct, submit payment to CBP and flag the individual Entry as Reported to CBP by clicking 7501 Reported in QAD Precision FTZ.**

Strategies for Individual Entries

Since by definition Shipments covered by Individual Entries cannot leave the zone until the shipment's CBP Entry is approved, the company should carefully consider the timing of its Shipment processing.

Where Used:

Import Documentation Processing, Shipments, Application of Consumption, Consumption Reporting

TO ACCESS THE IMPORT DOCUMENTATION ENTRY PROCESS: FROM THE DASHBOARD SELECT IMPORT CBP DOCUMENTATION OR SELECT CBP DOCUMENTATION→IMPORT CBP DOCUMENTATIONS FROM THE TOOLBAR.

PREPARE A WEEKLY ESTIMATED ENTRY FOR SUBMISSION TO CBP

CREATE A WEEKLY ESTIMATE FOR IMPORT

Generating a Weekly Estimate Template will allow QAD Precision FTZ to pull all HTSUS that are currently being used by items in your Item Master. Not only will this pull all HTSUS in use, but it will pull the estimated unit cost to assist in calculating your weekly estimated values for each HTSUS. The estimated unit cost is the cost of the highest valued item in Item Master that



is using the HTSUS. It is recommended that generating a weekly estimate template should be done initially and then each week a clone of the last weeks estimate be created for each subsequent estimate.

GENERATE ESTIMATE TEMPLATE

1. **From the Dashboard select Import CBP Documentation or select CBP Documentation→Import CBP Documentations from the toolbar.**
2. **Click *Generate Estimate Template* from the Actions on the left side of the grid.**

This will generate a template based on all HTSUS being used by items in your item master. It will also estimate the unit value for each HTS.

Note: This weekly estimate will be flagged as a template in the Import CBP Documentations grid.

3. **Once the Weekly Estimate Template is created, click on the estimate in the grid. In the Estimated Harmonized Classifications tab, enter a quantity for each HTS.**

Complete the fields using quantities and values representative of the actual quantities and values expected to be shipped each week.

Shipments are validated by the Harmonized Classification, Value, and Quantity of the Shipped Items within a shipment.

The quantity and value should be overstated in the estimate to allow for unexpected demands for the product.

The estimated value will automatically calculate based on the quantity you provide and it will multiply it by the Estimated Unit Cost from the highest valued item in Item Master. You can increase or decrease this amount by using the Value Adjustment field.



CBP Documentation: Weekly Estimate Template (1/20/2014 12:56:34 PM)

Close Save and Close Discard and Close

CBP Documentation: Weekly Estimate Template (1/20/2014 12:56:34 PM)

Please complete the required fields to save the record.

SZ

General Information Estimated Harmonized Classifications Attachments

Estimated Harmonized Classifications 4 of 307 Add New Delete Refresh

Harmonized Classification	Commodity Description	Zone Status	Country	Estimated Unit Cost	Total Estimated
6912.00.4400	CERM MUGS/STAINS OTH POR/C	NPF, PF	CN	\$7.82	
7013.99.9000	OTHER GLSWR, O/\$5 EACH	NPF, PF	IT	\$18.50	
6809.19.0000	PLASTER,BRD,SIML,N/O,OTHE	NPF, PF	JP	\$238.59	
6912.00.4100	CERM HOUSE ART OTH NOT POR	NPF, PF	CN	\$14.98	
7113.19.2980	OTH NECKLACE/CHAIN OF GOLD	NPF, PF	JP	\$50.65	
7307.29.0090	PIPE FIT,STAINLESS,N/NIPLS	NPF, PF	JP	\$1,434.53	

Record 4 of 307

Harmonized Classification 6912.00.4100 CERM HOUSE ART OTH NOT POR

Harmonized Classification Details

Country of Origin	CN	CHINA(MAINLAND)
Manufacturer	CNVKRCERGU	VIKOM CERAMICS MANUFACTORY CO L
Zone Status	Various	NPF, PF
Estimated Unit Cost	\$14.98	EA

Estimation Details

Estimated Quantity	100,000.00	EA
Quantity Adjustment	0.00	EA
Estimated Value	\$1,498,000.00	USD
Value Adjustment	\$0.00	USD

Estimation Summary

Estimated Quantity	100,000.00	EA
Estimated HTSUS Quantity	100,000.00	DPC
Estimated Value	\$1,498,000.00	USD

Remaining Summary

Remaining Quantity	100,000.00	EA
Remaining HTSUS Quantity	100,000.00	DPC
Remaining Value	\$1,498,000.00	USD

4. Once all quantities and values are correct, click *Save and Close*.

Your estimate template is now in place and can now be cloned for the Weekly Estimate.

CLONING A WEEKLY ESTIMATE OR WEEKLY ESTIMATE TEMPLATE

- From the Dashboard select Import CBP Documentation or select CBP Documentation→Import CBP Documentations from the toolbar.
- Click on the Weekly Estimate Template or the previous weeks estimate and click Clone Weekly Estimate under Actions on the left side of the grid.

You will get a few questions that you will need to answer either 'YES' or 'NO'.

- Click 'Yes' to check for PF Admissions with current balances to add as estimate lines, otherwise click 'No' to clone as is.

Clicking 'Yes' will allow QAD Precision FTZ to check your open admissions for PF zone status lines. Those PF zone status lines will be brought into the estimate, along with the admission date, current quantity, and invoice unit cost of the admission. Clicking 'No' will not bring over PF lines from open admissions.

- Click 'Yes' to check for new tariffs, otherwise click 'No' to clone as is.

Clicking 'Yes' will allow QAD Precision FTZ to check your Item Master for any new HTSUS so they will be brought into your clone. Clicking 'No' will just clone the previous week's entry and will not add any new HTSUS. Choose 'Yes' or 'No' based on your needs. It is



suggested that you always click yes in the case that the new HTSUS will need to be shipped out in the week the estimate is being created for.

C. Click 'Yes' to check for new unit cost, otherwise click 'No' to clone as is.

Clicking 'Yes' will allow QAD Precision FTZ to check your Item Master for unit cost changes per HTS. If the highest unit cost for an HTS has changed, the new estimated unit cost will be brought into the estimate. Clicking 'No' will clone the previous estimate's estimated unit cost per HTS.

3. Click on the newly created clone and complete the fields on the form as necessary based on the field specifications outlined below.

CBP Documentation: WI2012121001

Please complete the required fields to save the record.

General Information | Estimated Harmonized Classifications | Attachments

Entry Number: 333-33333333-1

Internal Reference Number: WI2012121001 | File Number: 12102012

Estimated Start Date: 12/10/2012 | Estimated End Date: 12/16/2012

Description of Merchandise: Books

Report Comments

Field Name	Usage
GENERAL INFORMATION TAB:	
Entry Number	Contains the CBP entry number assigned to a removal from the zone. This field can be populated once received from CBP.
Internal Reference Number	An operator-selected number to initiate the entry process. It is the equivalent of the Broker's reference or file number established as soon as it is known that an entry will occur. It can be the entry number itself but



	most of the time the actual entry number is not available until a document is actually ready to submit to CBP. This number is then used to group multiple shipments together under a single entry.
File Number	For internal use only. Not required.
Estimated Start Date	Contains the entry date. For weekly entries, it is the beginning of a 7-day shipping period.
Estimated End Date	Contains the end of the 7-day shipping period covered on a weekly entry.
Description of Merchandise	Contains a generic description of the class of merchandise that is to be entered. It appears on the CBP3461.
Report Comments	Internal Customer Reference

4. **Click the *Estimated Harmonized Classifications* tab.**

The Estimated Harmonized Classifications form is displayed.



CBP Documentation: Weekly Estimate Template (1/20/2014 12:56:34 PM))

Close Save and Close Discard and Close

CBP Documentation: Weekly Estimate Template (1/20/2014 12:56:34 PM))

Please complete the required fields to save the record.

SZ

General Information Estimated Harmonized Classifications Attachments

Estimated Harmonized Classifications 4 of 307 Add New Delete Refresh

Harmonized Classification	Commodity Description	Zone Status	Country	Estimated Unit Cost	Total Estimated
6912.00.4400	CERM MUGS/STEINS OTH POR/C	NPF, PF	CN	\$7.82	
7013.99.9000	OTHER GLSWR, O/\$5 EACH	NPF, PF	IT	\$18.50	
6809.19.0000	PLASTER, BRD, SIML, N/O, OTHE	NPF, PF	JP	\$238.59	
6912.00.4100	CERM HOUSE ART OTH NOT POR	NPF, PF	CN	\$14.98	
7113.19.2980	OTH NECKLACE/CHAIN OF GOLD	NPF, PF	JP	\$50.65	
7307.29.0090	PIPE FIT, STAINLESS, N/NIPLS	NPF, PF	JP	\$1,434.53	

Record 4 of 307

Harmonized Classification 6912.00.4100 CERM HOUSE ART OTH NOT POR

Harmonized Classification Details

Country of Origin	CN	CHINA(MAINLAND)
Manufacturer	CNVIKCEGUA	VIKOM CERAMICS MANUFACTORY CO L
Zone Status	Various	NPF, PF
Estimated Unit Cost	\$14.98	EA

Estimation Details

Estimated Quantity	100,000.00	EA
Quantity Adjustment	0.00	EA
Estimated Value	\$1,498,000.00	USD
Value Adjustment	\$0.00	USD

Estimation Summary

Estimated Quantity	100,000.00	EA
Estimated HTSUS Quantity	100,000.00	DPC
Estimated Value	\$1,498,000.00	USD

Remaining Summary

Remaining Quantity	100,000.00	EA
Remaining HTSUS Quantity	100,000.00	DPC
Remaining Value	\$1,498,000.00	USD

5. Any new HTSUS will show up in yellow. Complete the fields for the new tariffs using quantities and values representative of the actual quantities and values expected to be shipped in the upcoming week. If there were no new HTSUS added to the estimate, nothing additional is needed on this tab unless you would like to make changes to any quantity or value.

Note: Shipments are validated by the Harmonized Classification, Value, and Quantity of the Shipped Items within a shipment.

The quantity and value should be overstated in the estimate to allow for unexpected demands for product.

Field Name	Usage
ESTIMATED HARMONIZED CLASSIFICATIONS TAB:	
Harmonized Classification Details:	
Harmonized Classification	Choose the HTS that you would like to estimate
Manufacturer	Automatically populated with the manufacturer associated with the highest valued item using the chosen HTS. QAD Precision FTZ pulls the manufacturer from the Item Master.
Country of Origin	Automatically populated with the Country of Origin associated with the highest valued item using the chosen HTS. QAD Precision FTZ pulls the Country of Origin from the Item Master.
Zone Status	Automatically populated with the Zone Status associated with the highest valued item using the chosen HTS. QAD Precision FTZ pulls the Zone Status from the Item Master.
Estimated Unit Cost	Automatically populated with the unit cost associated with the highest valued item using the chosen HTS. QAD Precision FTZ pulls the Unit Cost from the Item Master.
Estimation Summary:	
Estimated Quantity	Automatically populated based on the Estimated Quantity entered into Estimation Details section.
Estimated HTSUS Quantity	Automatically populated based on the Estimated Quantity entered into Estimation Details section.
Estimation Details:	
Estimated Quantity	Enter the estimated quantity expected to be shipped for this HTSUS. The quantity should be overstated in the estimate to allow for unexpected demands for product.
Quantity Adjustment	Enter an adjustment quantity here if you would like to change the Estimated Quantity.
Estimated Value	Automatically populated based on the Estimated Quantity you enter multiplied by the Estimated Unit Cost pulled from the item master.
Value Adjustment	Enter an adjustment value here if you would like to increase or decrease the Estimated Value that was automatically calculated.
Remaining Summary:	
Remaining Quantity	Remaining Quantity for the HTS based on consumption
Remaining HTSUS Quantity	Remaining HTSUS Quantity for the HTS based on consumption
Remaining Value	Remaining Value for the HTS based on consumption
ATTACHMENTS TAB: For Internal customer use	

6. When the *Estimated Harmonized Classifications* form is complete, click *Save and Close*.

The Import Weekly Estimate Pending Submission with the completed Consolidated HTSUS grid is displayed.

The Weekly Estimated Entry is now ready for review and submission to CBP.

SUBMITTING AN IMPORT WEEKLY ESTIMATE TO CBP

1. Submit your estimate to CBP.

You can submit your Import Weekly Estimate for approval via paper or you can export it from QAD Precision FTZ to your broker electronically.



Note: Entry export is only available if your company is configured for entry export integration. Please contact QAD Precision FTZ Support for additional information.

To export the Import Weekly Estimate (CBP3461) to your broker, highlight the estimate and click *Export 3461* on the left of the grid under Actions.

You will get a message that states “Please wait...Preparing 3461 for export”. Once the file successfully exports, you will get another message that states “The electronic 3461 was submitted at: [Date and Time of export]”.

Follow up with your broker to let them know that the estimate has been exported and verify they have received it.

2. **Once the Import Weekly Estimate has been sent to CBP, click *3461 Reported* under Actions on the left side of the grid. This will move the estimate to Pending Approval.**
3. **Once you receive approval back from CBP and you are provided an Entry Number, you can click on the Weekly Estimate in Pending Approval and enter the entry number in the Entry Number field.**

4. **Click *Save and Close***
5. **Click *Approve CBP Documentation* under Actions on the left side of the grid to move the estimate to Approved.**

At this point, the estimate has been approved and is ready to have shipments applied against it.



CORRECTING A WEEKLY ESTIMATE FOR IMPORT

This process is available only if the Weekly Estimate has not been submitted to CBP for approval.

1. From the Dashboard select **Import CBP Documentation** or select **CBP Documentation→Import CBP Documentations** from the toolbar.

The Import CBP Documentations Pending Submission to CBP grid is displayed.

Entries can be sorted by clicking on any grid heading.

2. Click the record to be modified or deleted.

The Weekly Estimate form is displayed. Fields that can be changed are displayed in white.

CBP Documentation: 2012120901

Please complete the required fields to save the record.

General Information | **Estimated Harmonized Classifications** | Attachments

Estimated Harmonized Classifications | 1 of 1 | Add New | Delete | Refresh

Harmonized Classification	Commodity Description	Zone Status	Country	Estimated Unit Cost	Total Estimated Qu
3304.30.0000	BEAUTY/MAKE-UP PREPS,MANI/PEDI	NPF, PF	CN	\$144.640000	500.0

Record 1 of 1

Harmonized Classification 3304.30.0000 BEAUTY/MAKE-UP PREPS,MANI/PEDI

Harmonized Classification Details

Country of Origin	CN	CHINA(MAINLAND)
Manufacturer	HKINTOY3343KOW	KINGSWAY TOY CO LTD
Zone Status	Various	NPF, PF
Estimated Unit Cost	\$144.640000	EA

Estimation Details

Estimated Quantity	500.000000	EA
Quantity Adjustment	0.000000	EA
Estimated Value	\$72,320.000000	USD
Value Adjustment	\$0.000000	USD

Estimation Summary

Estimated Quantity	500.000000	EA
Estimated HTSUS Quantity	500.000000	X
Estimated Value	\$72,320.000000	USD

Remaining Summary

Remaining Quantity	500.000000	EA
Remaining HTSUS Quantity	500.000000	X
Remaining Value	\$72,320.000000	USD

3. If additional Harmonized Classification Codes must be added to the Weekly Estimate, click the *Add New* button and proceed as described in the *Cloning a Weekly Estimate* section of this chapter.
4. To adjust the quantity of an existing Harmonized Code Classification, click the HTSUS displayed in the Estimated Harmonized Classifications grid.

The Estimated Harmonized Classifications form is displayed showing the information summarized by HTSUS Number.



Note: Fields that can be changed are displayed in white.

To delete an HTS, click on the HTS in the Estimated Harmonized Classifications grid and click the *Delete* button then click *Save and Close*.

5. **Any additions, changes or deletions made to the Estimated Harmonized Classifications are immediately updated in the Weekly Estimate Entry.**
6. **When all corrections have been made to the Weekly Estimated Entry, the operator can either exit or continue with the *Submitting an Import Weekly Estimate to CBP Process*.**
7. **To delete an entire Weekly Estimated Entry, highlight the Entry in the Import CBP Documentations Pending Submission view.**

Click the Delete button at the top of the grid and confirm the deletion.

PREPARE AN INDIVIDUAL ENTRY FOR A SPECIFIC SHIPMENT FOR SUBMISSION TO CBP

CREATE AN INDIVIDUAL ENTRY FOR A SHIPMENT

Once a shipment is committed to the Shipment section, it is considered *Pending Documentation*. If a zone does not use Weekly Estimated Entry processing, a shipment cannot physically leave the zone until specific CBP approval is given for it. A zone that uses Individual Entry processing generally stages its shipments prior to departure, and prepares the entry based on the shipped items included in the shipments.

Step-by-step instructions detailing the process to prepare (initiate) an entry for a specific shipment is included in *Chapter 11, Shipment Processing, Approval Of Import Shipments Using Individual CBP Entry Processing*.

CORRECTING INDIVIDUAL ENTRIES FOR SHIPMENTS

An Individual Entry is created for each specific shipment. Therefore, an Individual Entry can only be changed by changing the shipment that the entry is based upon. Once an Individual Entry is created from a shipment, the shipment is considered *Pending Submission/Approval* to CBP and cannot be changed unless the entry itself is deleted prior to submission to CBP.

If the entry is not in *Pending Submission* under Import CBP Documentation then it must be moved back to this point to be deleted.

If the entry is sitting in *Approved*, you must click *Cancel Approval* to move it back to Pending Approval.

When sitting in *Pending Approval*, click *Deny CBP Documentation* to move it back to Pending Submission. At this point, the entry can be deleted.

1. **From the Dashboard select Import CBP Documentation.**

The Pending Submission grid is displayed.

Entries can be sorted by clicking on any grid heading.

2. **Select the Individual Entry to be deleted by highlighting/clicking the entry in the grid.**

Note: If the operator clicks the  icon next to the Entry in the grid, it will display but cannot be changed or deleted. Click Close to return to the Pending Submission grid.

3. **Click *Delete* and click *Ok* to confirm deletion.**

The Import Entries Pending Submission to CBP grid is re-displayed without the deleted Individual Entry.



The shipment that the Entry was based on reverts to the Shipments Pending Documentation stage.

SUBMIT AN ENTRY TO CBP.

This process is only available if an Entry has not yet been submitted to CBP for approval.

1. From the Dashboard select Import CBP Documentation.

The Import CBP Documentations Pending Submission grid is displayed.

Entries can be sorted by clicking on any grid heading.

Click the Entry to be submitted to CBP for approval.

2. Click *Print CBP3461* to display a copy of the Entry/Immediate Delivery form (CBP3461) for submission to CBP.

To obtain a paper copy, click the *Printer* icon in the menu at the top of the report.

To save the CBP3461, click the green disk in the menu at the top of the report.

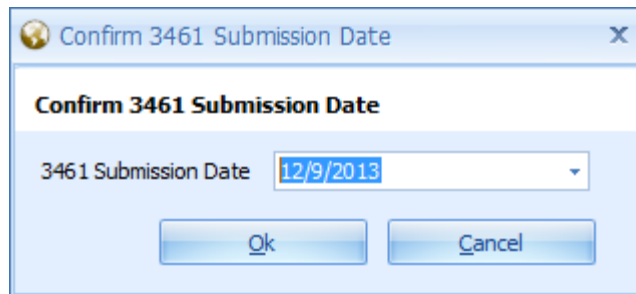
Note: The CBP3461 must be carefully reviewed prior to submission to CBP. Once flagged as *Submitted to CBP* it cannot be changed.

The CBP3461 can also be printed from the Reporting Menu. **Reporting→ CBP Documentation Reports→ CBP3461 Report.**

3. If upon review of the CBP3461 changes are required, refer to the previous sections of this chapter on changing Weekly Estimated Entries and Individual Entries.

4. If the Entry is correct, click *3461 Reported*.

A Confirm 3461 Submission dialogue box is displayed so the operator can review and correct (if necessary) the date the CBP3461 is being submitted to CBP for approval.



The image shows a Windows-style dialog box titled "Confirm 3461 Submission Date". Inside the dialog, there is a label "3461 Submission Date" followed by a dropdown menu showing the date "12/9/2013". At the bottom of the dialog are two buttons: "Ok" and "Cancel".

5. Complete the date that the Entry is being submitted to CBP.

Click *OK* once the date is correct and the Entry should be submitted.

Click *Cancel* if for any reason the Entry should not be submitted to CBP.

Note: The estimate cannot be changed once this button has been clicked unless it is denied or rejected by CBP.

6. Once submitted, the entry will now move to *Pending Approval*.

APPROVE / DENY CBP ENTRIES

This process is only available if an Entry has already been submitted to CBP for approval.

1. From the Dashboard select Import CBP Documentation.



The Import CBP Documentations Pending Submission grid is displayed.

Click the *Pending Approval* view located in the upper left corner of the screen.

The Import CBP Documentations Pending Approval grid is displayed.

Entries can be sorted by clicking on any grid heading.

2. Click the Entry to be *Approved* or *Denied*.

The Import CBP Documentations Pending Approval is displayed including the Consolidated HTSUS grid showing the information related to the HTSUS classifications included on the entry.

Note: The entry can be approved or denied from the Import CBP Documentations Pending Approval screen by highlighting the Entry to be submitted and then clicking the *Approve CBP Documentation* or *Deny CBP Documentations* button on the left of the grid under Actions.

3. If the Entry is approved, click *Approve CBP Documentations*.

A dialogue box is displayed to allow the operator to enter the correct Approval Date and Entry Number. This is the last opportunity in the process to enter the accurate CBP Entry Number.

4. Enter the Approved Date and Entry Number assigned, if different than displayed.

Click *OK*.

The entry is then moved to the Import Entries Approved by CBP grid.

5. If the Entry is denied, click *Deny CBP Documentation*.

The shipment is automatically moved to the Pending Submission grid.

See *Chapter 11, Shipment Processing* For Instructions On How To Apply Shipments Against Weekly Estimated Entries.

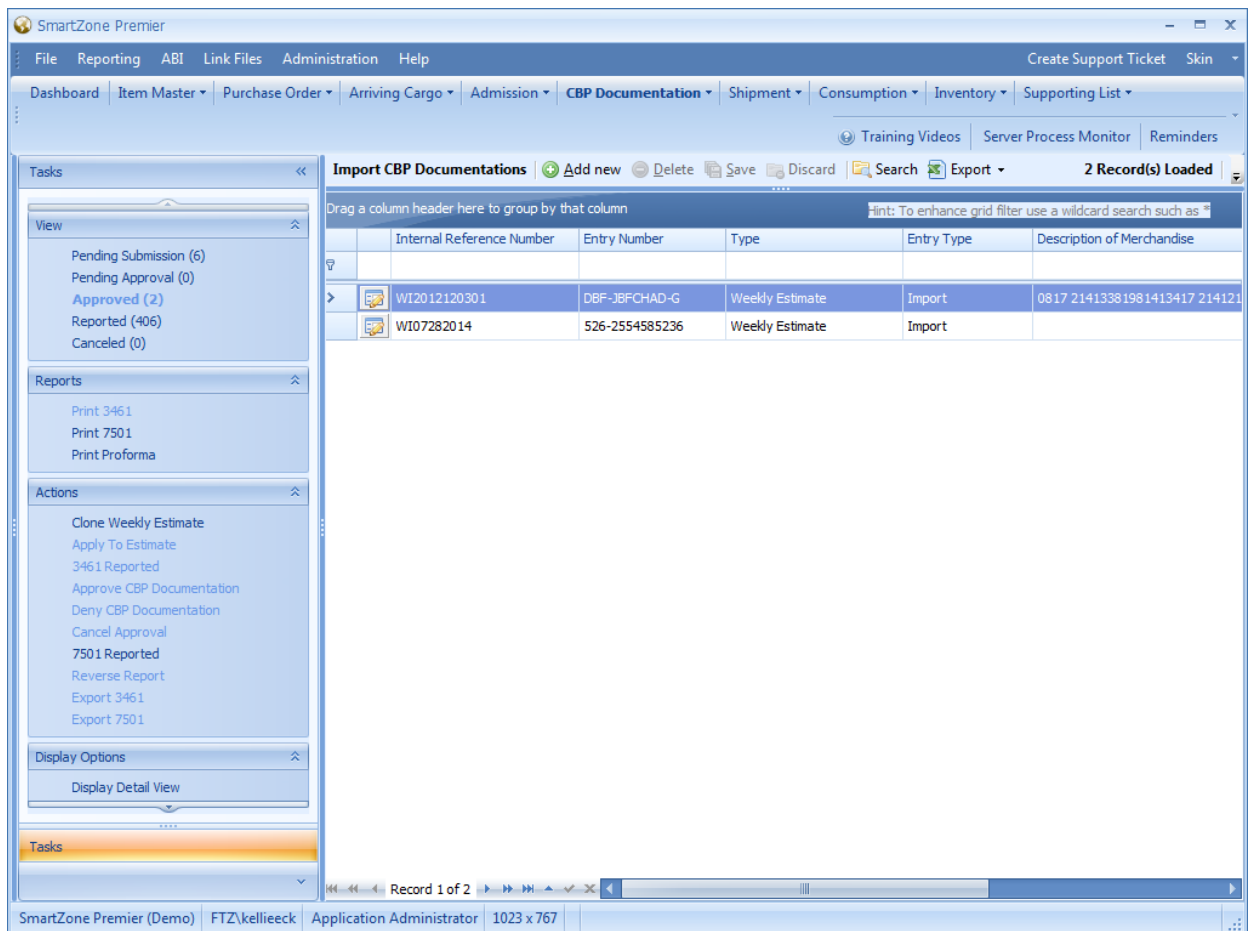
CANCELING AN APPROVED WEEKLY ESTIMATED ENTRY

This process is only available after an Entry has been approved by CBP and is used to make necessary corrections or delete the entry entirely.

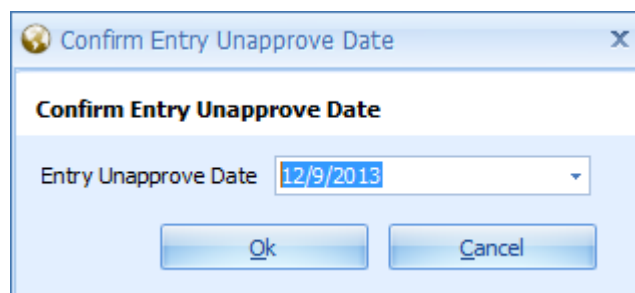
Note: If there are no shipments applied against a Weekly Estimated Entry, you will not be able to print a CBP7501 or a Proforma Invoice.



1. **From the Dashboard select Import CBP Documentation.**
The Import CBP Documentations Pending Submission grid is displayed.
2. **Click the *Approved* view in the left upper corner of the screen.**
The Entries that have been approved by CBP are displayed in the grid.



3. **Click the Entry to be cancelled.**
The approved Entry is displayed.
4. **Click *Cancel Approval*.**
A dialogue box is displayed to allow the operator to enter the *Entry Unapprove Date*.



Click *OK* once the date is correct.

Click *Cancel* to return to the Import Entries Approved by CBP grid without canceling the approval.

Note: Canceled Entries are moved back to the Pending Approval grid.

CLONING A WEEKLY ENTRY

A Weekly Entry can be cloned at any stage in the CBP Documentation grid. This eliminates the need to re-key the same information into a weekly estimate each week. By cloning a Weekly Entry all of your HTSUS and Quantities can be carried from week to week with only your Entry header information changing.

1. From the Dashboard select Import CBP Documentation.

The Import CBP Documentations Pending Submission grid is displayed.

Entries can be sorted by clicking on any grid heading.

2. Highlight the Entry to be cloned and click *Clone Weekly Estimate*.

A message will appear asking if you would like to check for new tariffs. Click 'Yes' or 'No', depending on your needs. You will then get a message stating that a clone of the entry was successfully created. The copy of the entry can be located under Pending Submission.

3. Click the cloned Entry to change the header information.

The Internal Reference Number, 3461 Entry Number, and Estimated Start Date should all be changed to reflect the current week's information.

4. If HTSUS or quantities need to be changed, modify existing HTSUS or click *Add New* or *Delete* as necessary to correct this entry under Estimated Harmonized Classifications tab.

5. Click *Save and Close* and follow the submitting and estimate instructions provided earlier in this chapter.

WEEKLY ESTIMATED ENTRIES REPORTING

MONITORING APPLICATION OF SHIPMENTS AGAINST ESTIMATE

1. From the Dashboard select Reporting. Then select CBP Documentation Reports→Import Weekly Estimate.



SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

CBP Documentation Reports

Import Weekly Estimate

Import Weekly Estimate Reconciliation

Import Proforma Invoice

CBP3461 Report

Weekly Entry Estimate Report

Admission Reports

CBP Documentation Reports

Inventory Reports

Annual Reconciliation Reports

Customized Reports

Compliance Check

Customs Duty Reports

Other Reports

Import Weekly Estimate Report

Click the button to run the report

Run Report

SmartZone

Weekly Estimate Starts: 11/12/2007 Weekly Estimate Ends: 11/19/2007

Internal Reference Number: VM1122007-IMP

3461 Entry Number: 4515454

Harmonized Classification	Description	Estimated Quantity	Estimated Value	Remaining Quantity	Remaining Value	Quantity Remaining (%)	Value Remaining (%)
2842.90.0000	OTHER SALTS OF INORGANIC	3,000.00	\$45,000.00	2,980.00	\$44,700.00	99.33%	99.33%
2844.10.2025	HEXAFLUORIDEURANUM COMPOUNDS	5,000.00	\$25,000,000.00	5,000.00	\$25,000,000.00	100.00%	100.00%
2844.20.0020	URANUMENRICHED IN U235 FLUORIDES	1,000.00	\$41,250.00	1,000.00	\$41,250.00	100.00%	100.00%
2844.30.5010	DEP U235 ALLY DEP, 8 MKTR	1,600.00	\$2,560,000.00	1,600.00	\$2,560,000.00	100.00%	100.00%
2901.10.3000	SAT ACYCLIC HYDROCARBON PENTAN	5,000.00	\$35,000.00	5,000.00	\$35,000.00	100.00%	100.00%
2901.24.1000	UNSATURATED BUTA-1,3-DIENE	800.00	\$4,960.00	800.00	\$4,960.00	100.00%	100.00%
2901.29.5000	OTHER UNSATURATED ACYCLIC HY	800.00	\$2,000.00	800.00	\$2,000.00	100.00%	100.00%
5400.32.3000	FABRYN ARTIFL DTD, 4+30% SL	2,000.00	\$12,400.00	1,980.00	\$12,077.30	99.50%	97.40%
8424.90.1000	PST PUMP/PRVDR BEL SPRAY P	500.00	\$2,625.00	500.00	\$2,625.00	100.00%	100.00%

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SmartZone Premier (Demo) FTZ\kellieck Application Administrator 1023 x 767

- In the CBP Documentation Information grid, highlight the Weekly Estimated Entry to be reported and click *View Report*.

The Weekly Estimate Report is displayed.

Import Weekly Estimate Report

Sleep Tite Trailers

Weekly Estimate Start: 12/09/2013 Weekly Estimate End: 12/15/2013

Internal Reference Number	2012120901						
3461 Entry Number	1234567898						
Harmonized Classification	Description	Estimated Quantity	Estimated Value	Remaining Quantity	Remaining Value	Quantity Remaining (%)	Value Remaining (%)
3304.30.0000	BEAUTY/MAKE-UP PREPS,MANI/PEDI	500.000000	\$72,320.000000	500.000000	\$72,320.000000	100.00%	100.00%

Page 1 of 1 100%

To obtain a paper copy, click the *Printer* icon in the menu at the top of the report.

To save a copy of the report, click the green disk icon in the menu at the top of the report.

Note: The Weekly Estimated Entry Report should be reviewed to determine if there is an adequate quantity and value remaining on the estimate to allow for the approval of shipments scheduled for the rest of the week. If it appears that the Weekly Estimated Entry will not be adequate, another Weekly Estimated Entry should be prepared and submitted to CBP for approval.

3. Exit the report once review is complete by clicking the red X.

The CBP Documentation Reports screen is re-displayed.

SUBMIT WEEKLY IMPORT ENTRY TO CBP

This process is only available if an Entry has been approved by CBP. This process should be followed when all weekly processing for the week has completed.



1. **From the Dashboard select Import CBP Documentation.**

The Import CBP Documentations Pending Submission grid is displayed.

2. **Click the *Approved* view on the left side of the grid.**

Entries that have been approved by CBP are displayed in the grid.

Entries can be sorted by clicking on any grid heading.

3. **Click the Entry to be submitted to CBP.**

The approved Entry is displayed.

4. **Click the *Print Proforma* button located under Reports on the left side of the grid.**

If there are no shipments applied against a Weekly Estimated Entry, a message will be displayed to that effect.

Note: The Proforma can also be printed from the Reporting Menu. **Reporting→ CBP Documentation Reports→ Import Proforma Invoice.**

The Proforma Invoice is displayed.

ReportForm

File View Background

100%

Import Proforma Invoice Report

Internal Reference Number :	WI10072013	Entry Date :	10/07/2013
3461 Entry Number	54512542	Merchandise Processing Fee :	0.3464%

Non-Manufactured

HTSUS Code	Description		Company Quantity	Primary UoM HTSUS Quantity
0407.90.0000	BIRD EGG IN SHL PRESRVD/CO	Total	5.000000 EA	0.416665 DOZ
		Domestic	0.000000 EA	0.000000 DOZ
		Foreign	5.000000 EA	0.416665 DOZ

	Secondary UoM HTSUS Quantity
Total	5.000000
Domestic	0.000000
Foreign	5.000000

Non-Privileged Foreign

Country of Origin Summary			
Country of Origin	Country Name	Total Quantity For FR	Total Value For FR
FR	FRANCE	5.000000	\$5.000000

Manufacturer Summary			
Manufacturer Code	Manufacturer Name	Total Quantity For HKHUNHIN1719NEW	Total Value For HKHUNHIN1719NEW
HKHUNHIN1719NEW	HUNG HING OFF-SET PRINTING CO., LTD. HUNG HING PRINTING CENTRE	5.000000	\$5.000000

Admission Date	Item Number	Item Description	Quantity	Value
10/07/2013	SZP-378	TEST	5.000000	\$5.000000

Total Value For HKHUNHIN1719NEW	\$5.000000

To obtain a paper copy, click the *Printer* icon in the menu at the top of the report.

To save the Proforma, click the green disk icon in the menu at the top of the report.

The Proforma should be carefully examined to ensure that all Consumption is accurately reported.

If there are any errors found, Admissions, Shipments, and the application of Consumption against Admissions should be checked and corrected as necessary.

To exit the report click red X at the top of the screen.

5. If the Proforma is correct, click the *Print 7501* button located under Reports on the left side of the grid.

If there are no shipments applied against a Weekly Estimated Entry, a message will be displayed to that effect.

Note: The CBP7501 can also be printed from the Reporting Menu.

Reporting→CBP Documentation Reports→CBP7501 Report.

The CBP7501 is displayed.

ReportForm

File View Background

100%

Form Approved OMB No. 1651-0022
EXP. 08-31-2014

DEPARTMENT OF HOMELAND SECURITY
U.S. Customs and Border Protection

ENTRY SUMMARY

1. Filer Code/Entry No.		2. Entry Type 06		3. Summary Date	
4. Surety No.		5. Bond Type 08		6. Port Code 2833	
7. Entry Date 10-07-2013		8. Importing Carrier		9. Mode of Transport	
10. Country of Origin Multi		11. Import Date		12. B/L or AWB No.	
13. Manufacturer ID HKHUNHIN1719NEW		14. Exporting Country		15. Export Date	
16. I.T. No.		17. I.T. Date		18. Missing Docs	
19. Foreign Port of Lading		20. U.S. Port of Unlading		21. Location of Goods/G.O. No.	
22. Consignee No.		23. Importer No. 56-525458200		24. Reference No.	
25. Ultimate Consignee Name and Address		26. Importer of Record Name and Address Sleep Tite Trailers 101 Mobile St.			
City		State NV		Zip	
City Mobile		State AL		Zip 56879	
27. 28. Description of Merchandise					
29. A. HTSUS No. B. ADA/CVD No.		30. A. Grossweight B. Manifest Qty.		31. Net Quantity in HTSUS Units	
32. A. Entered Value B. CHGS C. Relationship		33. A. HTSUS Rate B. ADA/CVD Rate C. IRC Rate D. Visa No.		34. Duty and I.R. Tax Dollars Cents	
SEE CONTINUATION SHEET					
Foreign Value:		\$5.00			
Domestic Value:		\$0.00			
TEV:		\$5.00			
Other Fee Summary for Block 39		35. Total Entered Value		CBP USE ONLY	
MPF 499 \$25.00		\$		A. LIQ CODE	
Antidumping Duty 012 \$0.00		Total Other Fees		B. Ascertained Duty	
				37. Duty \$0.01	
				REASON CODE	
				C. Ascertained Tax	
				38. Tax	

To obtain a paper copy, click the *Printer* icon in the menu at the top of the report.

To save the CBP7501, click the green disk icon in the menu at the top of the report.

The CBP7501 should be carefully examined to ensure that all information is accurately reported.

If there are any errors found, Harmonized Classifications, Admissions, Shipments, and the application of Consumption against Admissions should be checked and corrected as necessary.

You can submit your Weekly Entry (CBP7501) via paper or you can export it from QAD Precision FTZ to your broker electronically.

Note: Entry export is only available if your company is configured for entry export integration. Please contact QAD Precision FTZ Support for additional information.

To export the Weekly Entry (CBP7501) to your broker, highlight the weekly entry and click *Export 7501* to the left of the grid under Actions.

You will get a message that states “Please wait...Preparing 7501 for export”. Once the file successfully exports, you will get another message that states “The electronic 7501 was submitted at: [Date and Time of export]”.

Follow up with your broker to let them know that the entry has been exported and verify they have received it.

ARCHIVING AN ENTRY ONCE SUBMITTED TO CBP

This process should only be completed once an Entry has been submitted to and accepted by CBP along with payment of all duties and taxes.

- 1. From the Dashboard select Import CBP Documentation.**

The Import CBP Documentations Pending Submission grid is displayed.

- 2. Click the *Approved* view in the upper left corner of the screen.**

The Entries approved by CBP are displayed in the grid.

Entries can be sorted by clicking on any grid heading.

- 3. Click the Entry to be archived.**

If the Entry has been submitted to CBP along with payment of all duties and taxes and accepted, click *7501 Reported* under Actions on the left side of the grid.

The Entry can now be viewed by clicking the *Reported* view in the upper left corner of the screen.

- 4. If additional copies of either the Proforma or CBP7501 are required once an Entry has been reported, click *Reverse Report* under Actions on the left side of the grid.**

- 5. The Entry can now be viewed by clicking the *Approved* view in the upper left corner of the grid.**

PRINT THE IMPORT WEEKLY ESTIMATE RECONCILIATION REPORT



A final report to reconcile the Harmonized Classifications, Quantities and Values approved on the Estimate to the actual Harmonized Classification, Quantities, and Values of the Items Shipped is required by CBP. This demonstrates to CBP that the Estimate was not exceeded.

1. From the Dashboard select Reporting. Select CBP Documentation Reports→Import Weekly Estimate Reconciliation.

The screenshot displays the SmartZone Premier application interface. On the left, a sidebar menu under 'CBP Documentation Reports' highlights 'Import Weekly Estimate Reconciliation'. The main area shows the 'Import Weekly Estimate Report' for 'Sleep Tite Trailers 84A'. The report includes a table with columns: Harmonized Classification, Description, Estimated Quantity, Estimated Value, Remaining Quantity, Remaining Value, Quantity Remaining (%), and Value Remaining (%). The table lists various items with their respective quantities and values, showing 100% remaining for most items.

Harmonized Classification	Description	Estimated Quantity	Estimated Value	Remaining Quantity	Remaining Value	Quantity Remaining (%)	Value Remaining (%)
2842.90.0000	OTHER SALTS OF INORGANIC ACIDS	3,000.00	\$45,000.00	2,980.00	\$44,700.00	99.33%	99.33%
2844.10.2025	HEXAFLUORIDEURANUM COMPOUNDS	5,000.00	\$25,000,000.00	5,000.00	\$25,000,000.00	100.00%	100.00%
2844.20.0020	URANUMENRICHED IN U235 FLUORIDES	1,000.00	\$41,250.00	1,000.00	\$41,250.00	100.00%	100.00%
2844.30.5010	DEP U235 ALLY DEP, 8 MEYR	1,500.00	\$2,500,000.00	1,500.00	\$2,500,000.00	100.00%	100.00%
2901.10.3000	SAT ACYCLIC HYDROCARBON PENTAN	5,000.00	\$35,000.00	5,000.00	\$35,000.00	100.00%	100.00%
2901.24.1000	UNSATURATED BUTA-1,3-DIENE	800.00	\$4,960.00	800.00	\$4,960.00	100.00%	100.00%
2901.29.5000	OTHER UNSATURATED ACYCLIC HY	800.00	\$2,000.00	800.00	\$2,000.00	100.00%	100.00%
5400.32.3000	FABRIC ARTIFL DTD, 100% SIL	2,000.00	\$12,400.00	1,980.00	\$12,072.00	99.50%	97.40%
8424.90.1000	PIST PUMP/PIST BEL SPRAY P	500.00	\$2,625.00	500.00	\$2,625.00	100.00%	100.00%

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2. In the CBP Entry Information grid, highlight the Weekly Estimated Entry to be reported and click *View Report*.

The Weekly Estimate Reconciliation Report is displayed.

Import Weekly Estimate Reconciliation Report

FileViewBackground

100%

Weekly Estimate Reconciliation Report

SmartZone Premier (Demo) FTZ000 00

Weekly Estimate Of: 07/30/2012

Internal Reference NumberWI2012073001

3461 Entry NumberDBF-JBFBCGE-A

Harmonized Classification	Description	Estimated Quantity	Estimated Value	Remaining Quantity	Remaining Value	Quantity Remaining (%)	Value Remaining (%)
4016.93.1010	CHPT.87 AUTO. GOODS, O RIN	11,000.00	\$3,960.00	7,178.00	\$2,491.49	65.25%	62.92%

Item Number	Description	Actual Quantity	Actual Zone Value
BHAC-GJAA	0181824-4221 31518	16.00	\$139.04
DGFD-ADHA	14-178136- 582343 18217141111	1,362.00	\$531.18
DGFD-AFFA	14-178136- 517141319 71420188136	1,651.00	\$643.89
HIAB-AFAA	14-178136- 61 (7-13117)	115.00	\$13.80
HIEG-AFAA	14-178136- 189 3818	89.00	\$16.91
IAAD-AFAA	14-178136- 2221 18202	589.00	\$123.69
Total :		3,822.00	\$1,468.51

Harmonized Classification	Description	Estimated Quantity	Estimated Value	Remaining Quantity	Remaining Value	Quantity Remaining (%)	Value Remaining (%)
4016.93.1050	OTH CHT.87 AUTO.N/O-RNG/OI	30,000.00	\$2,900.00	15,000.00	\$1,100.00	50.00%	37.93%

Item Number	Description	Actual Quantity	Actual Zone Value
AEBA-AEIB	178136- 184011	15,000.00	\$1,800.00
Total :		15,000.00	\$1,800.00

Harmonized Classification	Description	Estimated Quantity	Estimated Value	Remaining Quantity	Remaining Value	Quantity Remaining (%)	Value Remaining (%)
4811.60.4000	PAPR/P BOARD COATD W/WAX, OIL	10,000.00	\$200.00	9,397.00	\$181.91	93.97%	90.96%

Item Number	Description	Actual Quantity	Actual Zone Value

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100%

To obtain a paper copy, click the *Printer* icon in the menu at the top of the report.

To save the report, click the green disk icon in the menu at the top of the report.

3. Exit the report once review is complete by clicking on the red X.

The CBP Documentation Reports screen is re-displayed.

IN-BOND DOCUMENTATION PROCESSING**WEEKLY IN-BOND PROCESS OVERVIEW**

Note: Use of Weekly Entry Procedures for In-Bond of merchandise from the zone is available for all zones.

If a zone has a high volume of repetitive exports or zone-to-zone transfers, zone operators can prepare and file Weekly Estimated Entries for In-Bond Shipments from the zone.

For Shipments being moved In-Bond, a Transportation Entry and Manifest is used to estimate merchandise to be removed from the zone for export (T&E) or transfer (IT) in the upcoming week. This Weekly Estimated Entry eliminates the requirement to have an individual Transportation Entry and Manifest Form (CBP7512) approved by CBP prior to each individual export or transfer from the zone. Instead, the Port Director reviews what the zone expects to move In-Bond, including type of merchandise, quantity, and value. Then upon approval, the zone is free to move that type of merchandise up to the quantity and value that the Port Director has approved over the course of the next week. An individual CBP7512 is still prepared for each In-Bond but a CBP signature is not required. A copy of the individual CBP7512 is sent to the Port Director, within two working days of the actual Shipment for Entry into the CBP In-Bond system. At the end of the week, the zone operator must submit both a copy of the estimated CBP7512 and copies of the actual CBP7512s for reconciliation purposes.

To initiate the Weekly Estimated Entry Procedure, the zone operator obtains or designates the Internal Reference Number for the week. He will also create an internal Entry Number that will be used for the Weekly Transportation Entry and Manifest Form (CBP7512) prepared for submission to CBP. The Company Reference Number and the CBP7512 Weekly Estimate Entry Number are entered into the system to initiate the Weekly Entry. The starting date of the weekly time period is also entered into QAD Precision FTZ.

In order to identify the type of merchandise, quantities, and values of the merchandise expected to be shipped, the zone operator selects representative quantities and values that are projected to be shipped in the upcoming week. This information is usually obtained from a production report, sales forecast, or advance notices of shipping orders. QAD Precision FTZ then obtains the Harmonized Classification Numbers, Estimated Unit Cost, and default Country of Origin for those items from the Item Master. The quantity and value are then summarized by Harmonized Classification Code.

Once the information required for the Estimated Entry is complete, the zone operator prints a CBP7512 to be submitted to CBP. Upon review of the Weekly Estimated CBP7512, if the information is accurate and complete, the zone operator submits the Weekly Estimated CBP7512 to CBP and indicates in QAD Precision FTZ that the estimate has been submitted to CBP for approval. The entry can only be approved or denied. If approved, the zone operator enters the Approval Date and internally created Entry Number into QAD Precision FTZ and can commence shipping the merchandise indicated on the Weekly Estimated Entry.

The Weekly Estimated Entry cannot be exceeded. If merchandise in excess of the estimate is required, an additional estimate or a specific Entry must be filed with CBP and approved prior to shipment. QAD Precision FTZ provides a Weekly Estimate Report that can be used to monitor the status of the estimates.

Where Used:

In-bond CBP Documentation, Shipments, Application of Consumption, Consumption Reporting



Suggested Sequence of Steps to be taken for Weekly In-Bond Processing

1. Clone previous week's In-Bond estimate.
2. Prepare In-Bond estimate information.
3. Verify quantities and values to be included in the estimate.
4. Print Weekly Estimated CBP7512.
5. Review Weekly Estimated CBP7512.
6. Submit the Weekly Estimated CBP7512 to CBP and update QAD Precision FTZ by clicking Submit 7512 in CBP Documentation Inbond screen.
7. Receive approval for the Weekly Estimated CBP7512 and update in QAD Precision FTZ by clicking Approve CBP Documentation in CBP Documentation Inbond screen.
8. Print individual CBP7512 Entries for each In-Bond shipment that occurs during the week.
9. Apply In-Bond shipments against the Weekly Estimated CBP7512.
10. Apply Consumption associated with each individual CBP7512 printed.
11. Print a Proforma Invoice for each individual CBP7512 printed.
12. Monitor quantity and value available within the Weekly Estimated CBP7512 so as not to exceed estimated quantity and value approved.
13. Submit Supplemental Weekly Estimated CBP7512 as needed; and,
14. At the end of the week, prepare the In-Bond/Export Weekly Estimate Reconciliation report and submit it to CBP within two working days.

INDIVIDUAL IN-BOND ENTRY PROCESS OVERVIEW

Individual In-Bond Entries are based on actual shipments, rather than on an “estimate” of what is expected to ship. The zone physically prepares a shipment or shipments for export or movement In-Bond from the zone and stages them, *Pending Approval*. Shipments destined for the same consignee can be staged together if they are to be consolidated into a single In-Bond transportation document for removal from the zone.

Once the shipments are committed to the QAD Precision FTZ Shipment section, the zone operator creates the Individual In-Bond Entry from the shipments themselves. Company Reference Number, 7512 Entry Number, and description are created and the in-Bond transportation information, such as In-Bond Carrier, is added. The information pertaining to the shipment itself such as Bill of Lading, Vessel or Airline Name, In-Bond Date, Consignee, etc. are completed in the In-Bond Shipment Information. The Individual Entry (and therefore its Shipments) is then associated with an In-Bond. (The process to establish the In-Bond Shipment Information and the Shipment information in QAD Precision FTZ is described in *Chapter 11, Shipment Processing* in the *In-Bond Shipments* section.) This establishes the Entry in QAD Precision FTZ's In-Bond CBP Documentations section.

A Transportation Entry and Manifest (CBP7512) is printed using the In-Bond Entry information supplied by the user and the Shipped Item information contained in the shipment records associated with this Entry. The CBP7512 is then submitted to CBP for approval. The merchandise associated with the Entry cannot be physically transferred from the zone until the CBP Entry is returned with CBP signature or approval is received in In-Bond Processing (see



Chapter 17 – In-Bond Processing) if using electronic in-bonds. This is the CBP release of the In-Bond Entry. The CBP approval of the In-Bond Entry is recorded in QAD Precision FTZ and the transfer of merchandise (and by inference, the shipments that make up the In-Bond Entry) is approved.

After the In-Bond Shipment physically leaves the zone, the FTZ Components contained in the In-Bond Entry are consolidated and applied against the FTZ Admissions (see *Chapter 12, Consumption Processing*). Once all of the FTZ inventory processing is complete, a Proforma Invoice is prepared and printed that identifies the Items Shipped within the In-Bond Entry. The Proforma Invoice details the FTZ Components contained in the Shipped Items, Harmonized Classification of the Shipped Items, Dutiable Value of the Shipped Items (based on the Admission Value), and Country of Origin. Once the Proforma Invoice is prepared, reviewed, and determined to be correct, the Entry is confirmed as *7512 Reported* to CBP.

Suggested Sequence of Steps to be taken for Individual Entry Processing

1. **Add In-Bond shipment(s) to the Shipment section (See Chapter 11 Shipments).**
2. **Create an Individual Entry identifying the In-Bond information and identify and assign the Shipments to be included in the Individual Entry.**
3. **Print the Transportation Entry and Manifest (CBP7512) to give to the carrier and if submitting a paper CBP7512 for CBP approval.**
4. **Submit the CBP7512 to CBP for approval. If using electronic in-bonds, submit the 7512 in the In-Bond Processing module in QAD Precision FTZ (see *Chapter 17 – In-Bond Processing*).**
5. **Receive approved CBP7512 from CBP and enter approval into QAD Precision FTZ by clicking Approve CBP Documentation under In-Bond CBP Documentation.**
6. **Merchandise is transferred from the zone.**
7. **Apply Consumption contained in Shipment to FTZ Admissions.**
8. **Print Proforma Invoice for the In-Bond Entry.**
9. **Confirm Entry as reported to CBP by clicking *7512 Reported* under In-Bond CBP Documentation.**

Strategies for Individual Entries

Since by definition, shipments approved individually cannot ship until the CBP Entry associated with that shipment is approved, the company should carefully consider the timing of its Shipment processing. If time will allow, a number of the In-Bond shipments within a period of time (for example, a single day), should be staged and then combined by consignee and destination. This will allow the zone operator to process one individual Entry per group of shipments rather than one per individual shipment. If there is a shipment that needs to ship out quickly, it should be processed as a stand alone shipment to expedite the CBP approval and processing.

To access the CBP Entry Process for In-Bonds: From the Dashboard select In-Bond CBP Documentation.



PREPARE A WEEKLY ESTIMATED ENTRY FOR SUBMISSION TO CBP

CREATE A WEEKLY ESTIMATED ENTRY FOR IN-BOND

1. From the Dashboard select In-bond CBP Documentation.

The In-Bond CBP Documentations grid is displayed.

2. Click the *Add New* button or *Generate Estimate Template*

Generating an Estimate Template will allow QAD Precision FTZ to pull all currently used HTSUS from the Item Master along with the Estimated Unit Cost from the highest unit cost in Item Master for the HTSUS. Once the Estimate is created, you can Clone the estimate template each week or clone the previous week's entry.

The In-Bond Weekly Estimate form is displayed.

Add New Weekly Estimate

Please complete the required fields to save the record.

General Information | Estimated Harmonized Classifications | Attachments

Entry Number:

Internal Reference Number: File Number:

Estimated Start Date: 11/21/2014 Estimated End Date: 11/27/2014

Description of Merchandise:

Report Comments:

3. Complete the fields on the In-Bond Weekly Estimate form as necessary based on the field specifications outlined below.



Field Name	Usage
GENERAL INFORMATION TAB:	
Internal Reference Number	Operator-selected number used to initiate the entry process. It is the equivalent of the Broker's reference or file number that is established as soon as it is known that an entry will occur. It can be the entry number itself but most of the time the actual entry number is not available until a document is ready to submit to CBP. This number is then used to group multiple shipments together under a single entry.
Entry Number	Contains an internal entry number created for the estimate.
Estimated Start Date	Contains the entry date. For weekly entries, it is the beginning of a 7-day shipping period.
Estimated End Date	Contains the end of the 7-day shipping period covered on a weekly entry.
Description of Merchandise	Contains a generic description of the class of merchandise to be entered. It appears on the CBP3461.
Report Comments	Internal customer reference

Add New Weekly Estimate

Please complete the required fields to save the record.

SZ

General Information | Estimated Harmonized Classifications | Attachments

Estimated Harmonized Classifications 1 of 1 [Add New] [Delete] [Refresh]

Harmonized Classification	Commodity Description	Zone Status	Country	Estimated Unit Cost	Total Estimated Quantity
>		NPF, PF		\$0.000000	0.0

Record 1 of 1

Harmonized Classification Details

Country of Origin:

Manufacturer:

Zone Status: Various NPF, PF

Estimated Unit Cost: \$0.000000

Estimation Details

Estimated Quantity: 0.000000

Quantity Adjustment: 0.000000

Estimated Value: \$0.000000 USD

Value Adjustment: \$0.000000 USD

Estimation Summary

Estimated Quantity: 0.000000

Estimated HTSUS Quantity: 0.000000

Estimated Value: \$0.000000 USD

Remaining Summary

Remaining Quantity: 0.000000

Remaining HTSUS Quantity: 0.000000

Remaining Value: \$0.000000 USD

4. Complete the fields using the quantities and values that are representative of the items expected to be shipped in the upcoming week.

Note: Shipments are validated by the Harmonized Classification, Value, and Quantity of the Shipped Items within a shipment.

The quantity and value should be overstated in the estimate to allow for unexpected demands for the product.

Field Name	Usage
ESTIMATED HARMONIZED CLASSIFICATIONS TAB:	
Harmonized Classification Details:	
Harmonized Classification	Choose the HTS that you would like to estimate
Manufacturer	Automatically populated with the manufacturer associated with the highest valued item using the chosen HTS. QAD Precision FTZ pulls the manufacturer from the Item Master.
Country of Origin	Automatically populated with the Country of Origin associated with the highest valued item using the chosen HTS. QAD Precision FTZ pulls the Country of Origin from the Item Master.
Zone Status	Automatically populated with the Zone Status associated with the highest valued item using the chosen HTS. QAD Precision FTZ pulls the Zone Status from the Item Master.
Estimated Unit Cost	Automatically populated with the unit cost associated with the highest valued item using the chosen HTS. QAD Precision FTZ pulls the Unit Cost from the Item Master.
Estimation Summary	
Estimated Quantity	Enter the estimated quantity expected to be shipped for this HTSUS. The quantity should be overstated in the estimate to allow for unexpected demands for product.
Estimated HTSUS Quantity	Automatically populated based on the Estimated Quantity entered into Estimation Details section.
Estimation Details:	
Estimated Quantity	Enter the estimated quantity expected to be shipped for this HTSUS. The quantity should be overstated in the estimate to allow for unexpected demands for product.
Quantity Adjustment	Enter an adjustment quantity here if you would like to change the Estimated Quantity.
Estimated Value	Automatically populated based on the Estimated Quantity you enter multiplied by the Estimated Unit Cost pulled from the item master.
Value Adjustment	Enter an adjustment value here if you would like to increase or decrease the Estimated Value that was automatically calculated.
Remaining Summary:	
Remaining Quantity	Remaining Quantity for the HTS based on consumption
Remaining HTSUS Quantity	Remaining HTSUS Quantity for the HTS based on consumption
Remaining Value	Remaining Value for the HTS based on consumption
ATTACHMENTS TAB:	

5. **When the Weekly Estimate form is complete, click *Save and Close*.**

Click *Add New* if there are additional Items to be added.



The Weekly Estimated Entry is now ready for review and submission to CBP.

The Pending Submission grid is displayed. All Weekly Estimates and Weekly Estimate Templates are indicated by a check box in the grid.

CORRECTING A WEEKLY ESTIMATE FOR IN-BOND

This process is only available if the Weekly Estimate has not been submitted to CBP for approval.

1. From the Dashboard select In-Bond CBP Documentation.

The In-Bond CBP Documentations grid is displayed.

Entries can be sorted by clicking on any grid heading.

2. Click the Weekly Estimated Entry to be modified or deleted.

The In-Bond Weekly Estimate Entry is displayed, including the Estimated Harmonized Classifications grid showing the information related to the HTSUS Classifications currently included in the entry.

Fields that can be changed are displayed in white.

Note: An individual entry can be displayed by clicking on it in the grid. However, it cannot be changed since it is directly attached to specific shipments.

3. If additional Harmonized Classifications must be added to the Weekly Estimate, click the *Add New* button and proceed as described previously this chapter.

4. If an existing Harmonized Classification requires a modification to adjust the quantity or value, click the HTSUS displayed in the Estimated Harmonized Classifications grid and adjust as necessary. Then click *Save and Close*.

5. If an existing HTSUS number should be removed from the Entry, select the HTSUS to be deleted by clicking it in the Estimated Harmonized Classifications grid, and then click *Delete*. Then click *Save and Close*.

6. When all corrections have been made to the Weekly Estimated Entry, the operator can either Exit or can continue with the *Submit an Entry to CBP* Process described later in this section.



PREPARE AN INDIVIDUAL IN-BOND ENTRY FOR SUBMISSION TO CBP FOR SPECIFIC SHIPMENT(S)

CREATE AN INDIVIDUAL ENTRY FOR A SHIPMENT

Once a shipment is committed to the Shipment section, it is considered a Shipment *Pending Documentation*. If a zone uses Weekly Estimated Entry Processing, an individual In-Bond Entry (CBP7512) must accompany each In-Bond shipment as it moves to either the export port or another zone. The individual CBP7512 does not have to be submitted prior to the shipment leaving the zone, but a copy of the individual CBP7512 must be sent to the Port Director within two working days of the actual shipment.

If a zone does not use Weekly Estimated Entry Processing, a shipment cannot physically leave the zone until specific CBP approval is given for the shipment. A zone that uses only the Individual Entry processing or electronic In-Bonds generally stages its shipments prior to departure, and then prepares the Entry based on the shipped items included in the shipment.

Step-by-step instructions detailing the process to prepare (initiate) an Entry for a specific Shipment is included in *Chapter 11, Shipment Processing, Creating an Individual In-Bond Entry from Shipment(s)*.

CORRECTING AN INDIVIDUAL ENTRY FOR A SHIPMENT

An individual Entry is created from specific shipments. Therefore, an individual Entry can only be changed by changing the shipments that the Entry is based upon. Once an individual Entry is created from a shipment or group of shipments, the shipment is considered *Pending Submission/Approval* to CBP and cannot be changed unless the Entry itself is deleted prior to submission to CBP.

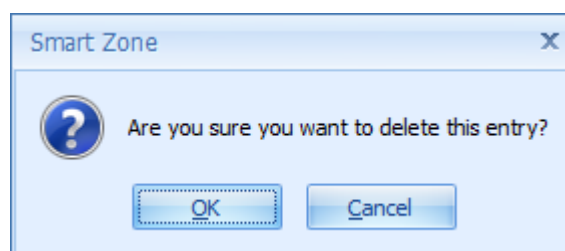
1. From the Dashboard select In-Bond CBP Documentation.

The In-Bond CBP Documentations grid is displayed. Entries can be sorted by clicking any grid heading.

Select the Individual In-Bond Entry to be deleted by highlighting/clicking the Entry in the grid.

Note: If an operator clicks the  icon next to an Entry in the grid, it will be displayed. However, it cannot be changed or deleted. Click *Close* to return to the In-Bond CBP Documentations grid.

2. Click the *Delete* button at the top of the In Bond CBP Documentations screen. A Confirmation pop up will display.



3. Confirm Deletion of the entry by clicking 'OK'



The In-Bond CBP Documentations grid is re-displayed without the deleted Entry.

The shipment that the Entry was based on reverts to the *Pending Documentation* stage.

SUBMIT AN IN-BOND ENTRY TO CBP

This process is only available if an Entry has not yet been submitted to CBP for approval.

Note: An individual In-Bond Entry may also be submitted to CBP at the time of creation as described in *Chapter 11, Shipment Processing*.

1. **From the Dashboard select In-Bond CBP Documentation.**

The In-Bond CBP Documentations grid is displayed.

Entries can be sorted by clicking on any grid heading.

2. **Click the Entry to be submitted to CBP for approval.**

3. **Click the *Print CBP7512* button under Reports to display a copy of the Transportation Entry & Manifest form (CBP7512) for submission to CBP.**

To obtain a paper copy, click on the *Printer* icon in the menu at the top of the report.

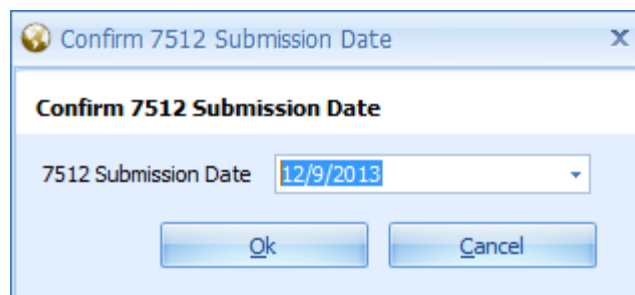
To save a copy, click the green disk icon in the menu at the top of the report.

To exit the report, click the red X at the top of the screen.

4. **If upon review of the CBP7512 changes are required, refer to the previous sections of this chapter on changing Weekly Estimated Entries and Individual Entries.**

5. **If the Entry is correct, click the *Submit Individual Entry* button under Actions.**

A Confirm 7512 Submission dialogue box is displayed so the operator can review and correct (if necessary) the date the CBP7512 is being submitted to CBP for approval.



6. **Complete the date that the Entry is being submitted to CBP.**

Click *OK* once the date is correct and the Entry should be submitted.

Click *Cancel* if the Entry for any reason should not be submitted to CBP.

Note: The estimate cannot be changed once this button has been clicked unless it is denied or rejected by CBP.

7. **To view the Entry, click *Pending Approval* in the upper left corner of the screen.**

8. **Send the CBP7512 to CBP for approval.**

If submitting electronic in-bonds using QAD Precision FTZ's In-Bond Processing Module, please follow the steps outlined in *Chapter 17, In-Bond Processing*.

APPROVE / DENY CBP IN-BOND ENTRIES

This process is only available if an Entry has already been submitted to CBP for approval.

1. **From the Dashboard select In-Bond CBP Documentation.**
2. **The In-Bond CBP Documentations grid is displayed.**
3. **Select the Pending Approval view located in the upper left corner of the screen.**
The Entries Pending Approval grid is displayed.
The entries displayed in the grid can be sorted by clicking on any heading in the grid.
4. **Click the Entry to be approved or denied.**
5. **If the Entry is approved, click *Approve CBP Documentation* under Actions on the left side of the grid.**

A dialogue box is displayed to allow the operator to enter the correct approval date and Entry number.

Enter the approved date and Entry number assigned if different than displayed.

6. **Click *OK*.**
The entry is then moved to the *Approved* view.
7. **If the Entry is denied, click *Deny CBP Documentation* under Actions on the left side of the grid.**

The Entry will move back to Pending Submission view.

CANCELING AN APPROVED WEEKLY ESTIMATED ENTRY

This process is only available after an Entry has been *Approved* by CBP and is used to make necessary corrections to an entry or to delete an entry entirely.

Note: If there are no Shipments applied against a Weekly Estimated Entry, the Entry should still be reported to notify CBP that there were no shipments applied to the entry. This also establishes a good audit trail.

1. **From the Dashboard select In-Bond CBP Documentation.**
The In-Bond CBP Documentations grid is displayed.



2. Click the *Approved* view in the upper left corner of the grid.

The Entries that have been approved by CBP are displayed in the grid.

SmartZone Premier

File Reporting ABI Link Files Administration Help

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Tasks

View

- Pending Submission (1)
- Pending Approval (0)
- Approved (4)
- Reported (2)
- Canceled (0)

Reports

- Print Proforma
- Print 7512

Actions

- Clone Weekly Estimate
- Apply To Estimate
- Submit Individual Entry
- Approve CBP Documentation
- Deny CBP Documentation
- Cancel Approval
- 7512 Reported
- Reverse Report

Display Options

Display Detail View

Record Loading Settings

Initial Record Load Size

Tasks

In Bond CBP Documentations

Add new Delete Save Discard Search Export 4 Record(s) Loaded

Drag a column header here to group by that column

Hint: To enhance grid filter use a wildcard search such as *

	Internal Reference Number	Entry Number	Type	Entry Type	Description of Merchandise
>	082620141	082620141	Individual Entry	Export	test
	082620142	082620142	Individual Entry	Export	test
	082420143	082420143	Individual Entry	Export	test
	01202015	123456789	Individual Entry	Export	test

Record 1 of 4

SmartZone Premier (Demo) FTZ\kellieck Application Administrator 1023 x 767

3. Click the Entry to be cancelled.

4. Click *Cancel Approval* under Actions on the left side of the grid.

Cancelled entries are moved back to the *Pending Approval* view.

CLONING AN ENTRY

A Weekly Estimated Entry can be cloned at any stage in the CBP Documentation grid. This is used to eliminate re-keying the same information into a weekly estimate each week. By cloning an Entry all of your HTSUS, Values, and Quantities can be carried from week to week with only your Entry header information changing.

1. From the Dashboard select **In-Bond CBP Documentation**.

The In-Bond CBP Documentations grid is displayed.

Entries can be sorted by clicking on any grid heading.



2. **Highlight the Entry to be cloned and click *Clone Weekly Estimate*.**

A message will appear asking if you would like to check for new Tariffs. Click 'Yes' or 'No', depending on your needs. We suggest 'Yes' so that all used Tariffs will be on the estimate in the event any items using new Tariffs are shipped in the coming week. The copy of the entry can be located under Pending Submission.

3. **Click the Cloned Entry to change the header information.**

The In-Bond Weekly Estimate will be displayed. Any field in white can be changed. The Company Reference Number, 3461 Entry Number, and Estimated Start Date should all be changed to reflect the current week's information.

4. **If there were any new Tariffs added to the entry, they will show up in the Estimated Harmonized Classifications grid and they will be highlighted yellow until they are given a quantity and value.**

5. **If any other Tariffs or quantities need to be changed, modify or *Delete* in the Estimated Harmonized Classifications tab as necessary to correct this entry.**

6. **Click *Save and Close* and follow the *Submit an Entry to CBP* instructions provided earlier in this chapter.**

WEEKLY ESTIMATED ENTRIES REPORTING

MONITORING APPLICATION OF SHIPMENTS AGAINST ESTIMATE

1. **From the Dashboard select Reporting. Select CBP Documentation Reports→*In-Bond/Export Weekly Estimate Report*.**

The Export Weekly Estimate Reconciliation Report grid is displayed.

2. **Select the Weekly Estimated Entry to be reported. Click the *View Report* button.**

The Weekly Estimate Report is displayed.



Export Weekly Estimate Reconciliation Report

Export Weekly Estimate Reconciliation Report

Select the CBP Documentation and click View Report.

CBP Documentation State

All

	Internal Reference Number	Entry Number	Entry Type	Description of Merchandise	Start Date	End Date	Weekly Estimate
>	WE052720135	05272013	Export		5/27/2013	6/2/2013	✓
	Export Weekly Estimate Clone 01	w20131118	Export	dvds, m	11/18/2013	11/24/2013	✓
	3213900000	IETESTAPP01	Export		12/2/2013	12/8/2013	✓
	WE20140121	12341454687	Export		1/21/2014	1/27/2014	✓
	WE20140203	5245487541684	Export		2/3/2014	2/9/2014	✓

☒ Keep this window open after report loaded

View Report

Close





Export/In Bond Weekly Estimate Report

Sleep Tite Trailers

Weekly Estimate Start: 12/02/2013 Weekly Estimate End: 12/08/2013

Internal Reference Number	3213900000						
7512 Entry Number	IETESTAPP01						
Harmonized Classification	Description	Estimated Quantity	Estimated Value	Remaining Quantity	Remaining Value	Quantity Remaining (%)	Value Remaining (%)
3213.90.0000	PAINT/VARNISH, OTHER SET CO	1,000.000000	\$148,960.000000	990.000000	\$148,735.000000	99.00%	99.85%

To obtain a paper copy, click on the *Printer* icon in the menu at the top of the report.

To save the report, click the green disk icon in the menu at the top of the report.

Note: The In-Bond/Export Weekly Estimate Reconciliation report should be reviewed to determine if there is adequate quantity and value remaining on the estimate to allow for the approval of shipments scheduled for the rest of the week. Also, Harmonized Classification Numbers should be checked to ensure that all items are included. If it appears that the In-Bond/Export Weekly Estimate Reconciliation will not be adequate, another Weekly Estimated Entry should be prepared and submitted to CBP for approval.

3. Close the report once review is complete.

PRINT IN-BOND ENTRY PROFORMA FOR INDIVIDUAL ENTRIES

This process is only available if an Entry has been approved by CBP.

A Weekly Estimated Entry for In-Bond does not require a Proforma invoice since each individual Entry applied against it has already had a Proforma printed for it.

1. From the Dashboard select In-Bond CBP Documentation.



The In-Bond CBP Documentations grid is displayed.

2. **Click on the *Approved* view in the upper left corner of the screen.**

The Entries that have been approved by CBP are displayed in the grid.

Entries can be sorted by clicking on any grid heading.

Individual Entries requiring a Proforma do not have the *Weekly Estimate* box checked.

3. **Highlight the Entry to be completed.**

4. **Click the *Print Proforma* button.**

The Proforma Invoice is displayed.

Export Proforma Invoice Report

File View Background

100%

In-Bond Proforma Invoice Report

Internal Reference Number :	3213900000	Entry Date :	12/02/2013
7512 Entry Number	IETESTAPP01	Merchandise Processing Fee :	0.3464%

Non-Manufactured

HTSUS Code	Description		Company Quantity	Primary UoM HTSUS Quantity
3213.90.0000	PAINT/VARNISH,OTHER SET CO	Total	10.000000 EA	10.000000 PCS
		Domestic	0.000000 EA	0.000000 PCS
		Foreign	10.000000 EA	10.000000 PCS
				Secondary UoM HTSUS Quantity
		Total		10.000000
		Domestic		0.000000
		Foreign		10.000000

Non-Privileged Foreign

Country of Origin Summary			
Country of Origin	Country Name	Total Quantity For CN	Total Value For CN
CN	CHINA(MAINLAND)	10.000000	\$225.000000

Manufacturer Summary			
Manufacturer Code	Manufacturer Name	Total Quantity For CNCOMEXP334HON	Total Value For CNCOMEXP334HON
CNCOMEXP334HON	Computer Express	10.000000	\$225.000000

Admission Date	Item Number	Item Description	Quantity	Value
10/24/2013	QATrinket1	Trinket for testing	10.000000	\$225.000000

Total Value For CNCOMEXP334HON	\$225.000000
Total Value For CN (CHINA(MAINLAND))	\$225.000000

To obtain a paper copy, click the *Printer* icon on the menu at the top of the report.



To save a copy of the report, click the green disk icon on the menu at the top of the report.

The Proforma should be carefully examined to ensure that all Consumption is accurately reported.

If there are any errors found, Admissions, Shipments, and the application of Consumption against Admissions should be checked and corrected as necessary.

ARCHIVING AN ENTRY ONCE SUBMITTED TO CBP

This process should only be completed once an Entry has been submitted to and accepted by CBP.

1. **From the Dashboard select In-Bond CBP Documentation.**
The In-Bond CBP Documentations grid is displayed.
2. **Click the *Approved* view on the left of the grid.**
The Entries that have been approved by CBP are displayed in the grid.
3. **Select the Entry to be archived.**
4. **If the In-Bond Entry has been submitted to CBP, click *7512 Reported* under Actions on the left of the grid.**
The Entry can now be viewed by clicking on the *Reported* view in the upper left corner of the screen.
5. **If an additional copy of the Proforma is required once an Entry has been reported, click *Reverse Report* under Actions on the left of the grid.**
The Entry can now be viewed by clicking on the *Approved* view in the upper left corner of the screen.

PRINT THE WEEKLY ESTIMATE IN-BOND RECONCILIATION REPORT

A final report to reconcile the Harmonized Classifications, Quantities, and Values approved on the Weekly Estimate to the actual Harmonized Classification, Quantities and Values of the items reported on the individual CBP7512 is required by CBP. This demonstrates to CBP that the estimate was not exceeded.

1. **From the Dashboard select Reporting. Select CBP Documentation Reports→ *In-Bond/Export Weekly CBP Estimate Reconciliation*.**
2. **Select the Internal Reference Number of the Weekly Estimate to be reported. Click the *View Report* button.**
The Export Weekly Estimate Reconciliation Report is displayed.



Export Weekly Estimate Reconciliation Report

File View Background

100%

Export/In Bond Estimate Reconciliation Rep

Weekly Estimate Of: 12/02/2013

Internal Reference Number	3213900000
7512 Entry Number	IETESTAPP01

Harmonized Classification	Description	Estimated Quantity	Estimated Value	Remaining Quantity	Remaining Value	Quantity Remaining (%)	Value Remaining (%)
3213.90.0000	PAINT/VARNISH,OTHER SET CO	1,000.000000	\$148,960.000000	990.000000	\$148,735.000000	99.00%	99.85%

Item Number	Description	Actual Quantity	Actual Zone Value
QATrinket1	Trinket for testing	10.000000	\$225.000000
Total :		10.000000	\$225.000000

To obtain a paper copy, click the *Printer* icon on the menu at the top of the report.

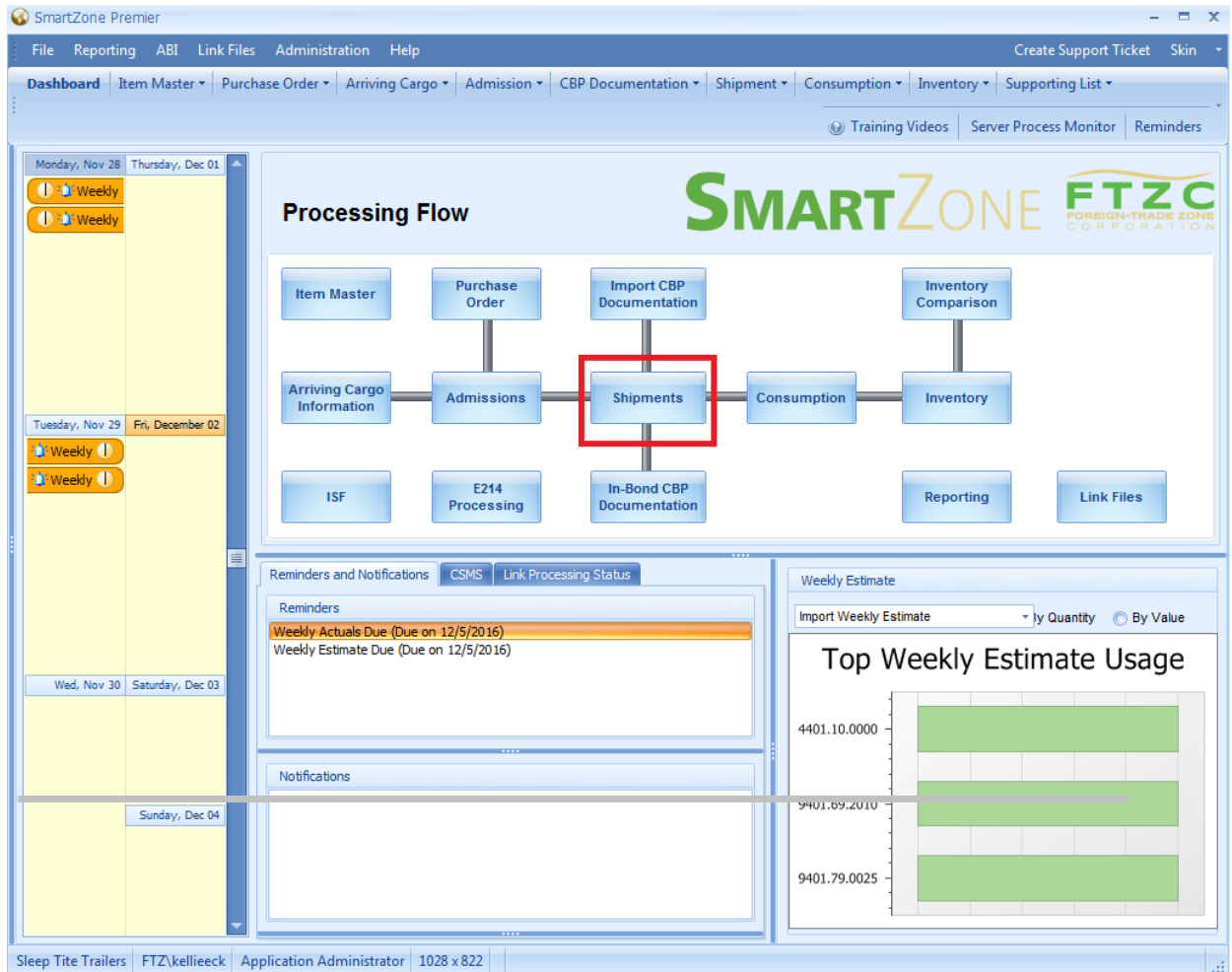
To save the report, click the green disk icon on the menu at the top of the report.

3. **Close the report once review is complete.**



Chapter 8 Shipment Processing

Functional Overview



When defining “Shipments,” QAD Precision FTZ is referring to any action that removes items from the zone. It is not limited to just shipments of finished products to customers. Any movement of merchandise from the activated zone, such as a return of merchandise to a vendor, spare parts shipments, scrapping of merchandise, or removal of samples by engineering for testing is a “shipment.” It is the operator’s responsibility to identify all the different ways that inventory can be removed from the zone and then to report that information in QAD Precision FTZ.

The Shipment section retains the information required to document each shipment from the zone. Within each shipment, the actual items and quantity of items shipped are recorded. The items shipped are not necessarily the FTZ items for which inventory balances are maintained in the QAD Precision FTZ system. In a manufacturing zone, the items shipped are usually the manufactured items that contain the FTZ Items. In a distribution zone, it is more likely that the items shipped will be the items tracked as FTZ items. In either case, it is the items shipped from the zone, not the FTZ Components contained in them that must be approved by CBP prior to removal from the zone. QAD Precision FTZ manages the approval process for both import



and In-Bond shipments by applying the shipped items against CBP Entries that have been submitted to and approved by CBP. If a zone uses Weekly Entry Procedures, multiple shipments can be applied against a Weekly Entry over the course of a week. If a zone does not use Weekly Entry Procedures, QAD Precision FTZ facilitates the creation of individual CBP Entries for submission to CBP based on the shipment information. Once the Entry is approved by CBP, the zone can ship.

To decrease FTZ inventory, the FTZ components contained within the items shipped must also be identified. The FTZ Components, identified through the zone's company bill of material processing, are recorded in QAD Precision FTZ either at the time of shipment or after the shipment has occurred.

Like the Item Master and Admissions sections, the Shipment, Shipped Items, and FTZ Component Items can be added manually or through a download created from the company's inventory relief systems. The records are then validated for correctness and completeness, corrected as necessary, and then committed as shipments with Shipped Items and FTZ Component Items as appropriate. This approach allows the zone operator to benefit from the efficiency of downloading information from the company records while still having the opportunity to ensure that the information is correct in terms of zone operations before being reported to CBP and becoming a permanent part of the zone inventory system. In addition to downloading new records from the company system, changes to records can also be generated by the download process. This allows the operator to keep the QAD Precision FTZ records in sync with the company's production system without having to manually maintain each record.

For both new records and changes to existing records, basic shipment information is generated from the company's various operational inventory relief systems. The information relevant to the shipment itself includes the *Shipment Number*, *Shipment Date*, and the *Shipment Type*. The information relevant to the actual Items Shipped includes the *Item Number* and *Quantity* shipped. The information relevant to the FTZ Components included in the Item Shipped includes the *FTZ Component Item Number* and the *Quantity* of the component included in the total quantity of the Item Shipped. For instance, if Gadget A contains 2 Widgets each, and 100 Gadget A's are shipped, the quantity of Widgets shipped would be 200. At times, particularly in the distribution environment, the shipped item is actually the FTZ component item itself. In that case, there will only be two levels to a shipment – the Shipment and the Shipped Items. The shipped items serve two purposes – they are what CBP approves for removal from the zone either through the Weekly Entry process or on an Individual Entry and they are the items that will be consumed against Admissions.

In addition to downloading information from the company system through link files, the zone operator has the option of creating manual shipment records.

QAD Precision FTZ updates fields in Shipment Records (whether downloaded or manually created) from information contained in the Shipment Type records, such as the type of shipment (Import, In-Bond). QAD Precision FTZ updates fields in Shipped Items from the Item Master (Description). QAD Precision FTZ updates fields in FTZ Components from the Item Master (Description). The zone operator has the opportunity to correct the item shipped, item shipped quantity, FTZ component, and FTZ component quantity.

All Shipment records, whether downloaded or manually added, are validated against certain requirements. Any errors detected are flagged to the user. These errors must be corrected by changing the information in Shipment Records or by correcting the QAD Precision FTZ parameter used to validate the fields (i.e. if the error indicates an "invalid" Item Number, the



operator can correct it in Shipment Transaction or add the item number to the Item Master). Once all errors have been corrected, the zone operator re-submits the Shipment records.

Shipment Records, Shipped Items, and FTZ Component Item records, once committed, can also be changed or deleted directly.

A Shipped Item record can be added to a Shipment transaction, changed, or deleted only if the Shipped Item has not been approved by CBP or applied to an estimate. Once a Shipped Item has been approved by CBP or applied to an estimate, the quantity available in the approved CBP Entry has been decreased by the quantity of the item shipped and cannot be restored. An FTZ Component Record can only be added, changed, or deleted if it has not been consumed. Once the consumption has been applied, it requires a special process that must be carefully managed to reverse the Consumption. Shipment records can only be deleted manually in order to ensure that a Shipment record is not inadvertently deleted through downloads.

Where Used:

Shipment Records, Component Records, Shipment Records, Component Records, CBP Documentation Processing, Consumption Application, Inventory Balances, Inventory Reconciliation

Suggested Sequence of Steps for the Shipment Process

- 1. Add Shipment Records.**
 - a. Download Shipment records with Shipped items and FTZ Component items (as necessary) from company inventory systems in Link File Processing (see *Chapter 5*).
 - b. Manually add Shipment records as necessary.
- 2. Complete the Shipment Record.**
 - a. If a downloaded Shipment Record is an import shipment, the Customer Number can be added at the option of the operator.
 - b. Verify that Shipped Items and FTZ Component Items accurately reflect the merchandise removed from the zone.
- 3. Validate Shipment records to identify errors.**
- 4. Correct Shipment Records that did not validate due to errors.**
- 5. Re-validate records and correct as necessary.**
- 6. Repeat steps four and five until all Shipment records have been committed or deleted.**
- 7. Perform direct updates to Shipment records, Shipped items, and FTZ Component items as needed.**
- 8. Apply the Shipped items against CBP Approved Estimates or create an Individual Entry for the shipments.**
- 9. Perform direct updates to FTZ Component items as needed.**
- 10. Apply FTZ Component items against FTZ receipts (see *Chapter 12, Consumption Processing*).**



11. Report consumption to CBP (see *Chapter 10, CBP Documentation Processing*).

TO ACCESS THE SHIPMENT RECORDS, FROM THE DASHBOARD SELECT SHIPMENTS.

SHIPMENT RECORD STAGES

Shipment records move through a series of states as they progress through the CBP Documentation, Approval, and Reporting stages. A brief description of each state is described below, followed by generic instructions for viewing shipments at each stage.

Shipment records may have up to three levels, the Shipment Header that includes the *Shipment Number*, *Shipment Date*, and *Shipment Type*, then Shipped Items, which documents the actual items and quantity removed from the zone. Finally, the FTZ Component Items of each Shipped Item which documents the total extended quantity of that FTZ Component included in that Shipped item. In the distribution environment, the Shipped item is often the FTZ component itself. In that case, the Shipment transaction will only have two levels – the Shipment and the Shipped Item. The Shipped item becomes the item applied to a Weekly Estimate and is also used in the consumption process in place of a separate FTZ component. To access Shipped items, the shipment itself must first be accessed in the Shipment Records grid. To access FTZ component items within Shipped items, first select the Shipment transaction and then select the Shipped item that contains the FTZ components themselves.

DESCRIPTION OF SHIPMENT RECORD STATES

INCOMPLETE

Shipments in the state are missing data that is required for QAD Precision FTZ processing. There was enough data to save the record to the Shipments section but the Shipment needs more information to be considered a valid shipment.

To move from this state, the shipment needs required data added/corrected.

PENDING DOCUMENTATION

Shipments in this state have only been committed to the Shipments section. There has been no CBP documentation initiated, including approval under Weekly Entry processing. These shipments may or may not be physically located in the zone, depending on whether the zone is utilizing Weekly Entry processing.

All three levels of the record can be changed when in this state.

To move from this state, the shipment must either be applied against a Weekly Estimate (see *Approving Shipments Against Estimates* section of this chapter) or have individual CBP documentation prepared and submitted to CBP for approval (see *Chapter 10, CBP Documentation Processing*).

PENDING SUBMISSION/APPROVAL

Shipments in this state have had CBP documentation specific to this shipment prepared and submitted to CBP for approval. The shipment should still be physically located in the zone since a CBP release has not been given.



The Shipment and the Shipped items of these records cannot be changed since the information pertinent to them has been submitted to CBP. Only the FTZ components contained in the Shipped items may be changed.

If CBP denies the Entry, the shipment will be moved back to Pending Submission. If CBP approves the Entry, the approval of the entry in QAD Precision FTZ (See *Approve/Deny CBP In-Bond Entries* section in Chapter 10) will move the shipment to Pending Consumption.

Note: Zone operators who use Weekly Entry processing will rarely have shipments in this state since Shipments *Pending Documentation* will be approved against the Weekly Estimate without CBP intervention and will move immediately to Shipments *Pending Consumption*.

PENDING CONSUMPTION

Shipments in this state have either been approved against a Weekly Estimate or have received specific approval from CBP as an Individual Entry. These shipments will not be physically located in the zone.

The shipment and shipped items of these records cannot be changed since they have been approved by CBP or applied against the Weekly Estimate. Only the FTZ components contained in the shipped items may be changed.

Shipments must be in this state to be consumed. If there are any components within any shipped item that have not been consumed, the shipment will remain in this state. A Proforma Invoice or CBP7501 cannot be prepared for any entry associated with shipments in this state.

CONSUMED

Shipments in this state have had all of its FTZ components applied against Admissions. A Proforma Invoice or CBP7501 can be prepared for any entry associated with these shipments. Consumption records created can be accessed through the Unapply Consumption screen.

No level of these shipments can be changed unless the consumption is first unapplied. However, once the Entry with which this shipment is associated has been reported to CBP, the consumption cannot be unapplied without submitting an amended entry to CBP as necessary.



2. **Select the Shipment transaction to be viewed by double-clicking it in the grid.**

The Shipment Header information will be displayed.

The screenshot shows a web application window titled "Shipment: 1 BHEIJB CABACCJAACAF". The window has a menu bar with "Close", "Save and Close", and "Discard and Close". Below the title bar, the main heading is "Shipment: 1 BHEIJB CABACCJAACAF" with a subtext "Please complete the required fields to save the record." and a green "SZ" logo. The form is divided into three tabs: "Shipment Header Information" (selected), "Shipment Items", and "Attachments". The "Shipment Header Information" tab contains the following fields and options:

- Shipment Number: 1 BHEIJB CABACCJAACAF
- Shipment Date: 2/29/2012
- Shipment Type: IMP (with a dropdown arrow)
- Shipment Treatment: Import (with a dropdown arrow)
- Customer: (with a dropdown arrow)
- Use Gross Weight Adjustment Ratio: ☒
- Comments: A large text area for notes.

Below the "Shipment Treatment" field, there are four radio button options: "Import" (selected with a green checkmark), "Export", "In Bond", and "No Entry Required".

3. **To view all the shipped items from the shipment (including its FTZ Components), click the *Shipped Items* tab.**

The shipped item information will be displayed.

Shipment: 1 BHEIJB CABCACCJAACAF

Close

Save and Close

Discard and Close

Shipment: 1 BHEIJB CABCACCJAACAF

Please complete the required fields to save the record.

SZ

Shipment Header Information

Shipment Items

Attachments

Shipment Items

Add new

Delete

Drag a column header here to group by that column

	Shipment Item	Shipment Item Description	Type	Item Number	Item Description	Item Harmonized Classification	Quantity
>	EIJA-JJCA	0181824- 584113 214811 (BC21)	Regular Item	EIJA-JJCA	0181824- 584113 214811 (B...	8505.90.8000	20.00

Record 1 of 1

4. To view the detailed information about a shipped item (or FTZ Components), double click on a shipped item in the grid.



Shipment Item

Please complete the required fields to save the record.

Shipment Item Information | **Shipment Item Components**

Shipment Information

Shipment Number: 1 BHEIJB CABCACCJAACAF

Shipment Date: 2/29/2012

Shipment Type: IMP Import

Shipment Item Information

Type: Regular Item

Shipment Item: EIJA-JJCA 0181824- 584113 214811 (BC21)

Shipment Item Details

Item Number: EIJA-JJCA 0181824- 584113 214811 (BC21)

Item Harmonized Classification: 8505.90.8000 ELECTROMAGNETIC ARTICLES,O

Actual Quantity Shipped: 20.00

CBP Documentation:

☐ Additional Tariff Number Disabled

Comments:

5. To view all the FTZ Components for the shipped item, click the *Shipment Item Components* tab.

The shipment item components will be displayed.

Shipment Item

Add New

Close

Save and Close

Discard and Close

Shipment Item

Please complete the required fields to save the record.

SZ

Shipment Item Information

Shipment Item Components

Shipment Item Components

1 of 3

Add new

Delete

	Item Number	Item Description	Actual Quantity	Unconsumed Quantity
>	JBGC-ABCB	10424- 1870519	20.00	20.00
	BBAA-BADA	132019- 1324111413	20.00	20.00
	BCDJ-AGHA	21101215- 20 11403 228174 (111413611110)	20.00	20.00

Record 1 of 3

6. To view the detailed information about a Shipment Item Component, double click a Shipment Item Component within the Shipment Item Components grid.
- The shipped item’s FTZ component information will be displayed.

Shipment Item Component

Please complete the required fields to save the record.

Shipment Information

Shipment Number: 1 BHEIJB CABCAACCJAACAF Shipment Date: 2/29/2012

Shipment Type: IMP Import

Shipment Item Information

Type: Regular Item

Shipment Item: EIJA-JJCA 0181824- 584113 214811 (BC21)

Item Number: EIJA-JJCA 0181824- 584113 214811 (BC21)

Shipped Quantity: 20.00

Shipment Item Component Information

FTZ Component Item Number: JBGC-ABCB JBGC-ABCB

Actual Quantity: 20.00

Unconsumed Quantity: 20.00

☐ Additional Tariff Number Disabled

Comments:

Note: If a shipment has been committed to the Shipment section without any FTZ component items, QAD Precision FTZ assumes that the shipped item itself is an FTZ component. Therefore, QAD Precision FTZ will automatically use the quantity indicated for the shipped item as the FTZ Component Item quantity.

7. Review the Shipment, Shipped Item, or FTZ Component Records as needed.

Fields eligible for change at any level are displayed in white.

Invalid fields are displayed with an  icon.

If any change is made to the transaction, the operator will be prompted to save the change. The operator can save the change by clicking *Save and Close* or retain the original information by clicking *Discard and Close*.

Changes will be reflected in the Shipment, Shipped Item, or FTZ Component Item grid as appropriate.

8. Click *Close* to return to each preceding level.

MAINTAIN EXISTING SHIPMENT RECORDS

Certain changes to Shipment records may not be valid for shipments in various states. These restrictions are outlined in the section of this chapter describing the shipment states within the View process.

Most importantly, the operator should ensure that Shipment records accurately reflect the Items transferred from the zone, especially the FTZ components contained within them. This maintenance process is critical to ensuring that component usage reported through the company's downloads reflects what was actually used, not just what is contained in the company's bill of material which is what is planned to be used. The accuracy of Shipment reporting is crucial to the accuracy of the FTZ inventory and the documentation submitted to CBP.

MANUALLY CREATE A NEW SHIPMENT TRANSACTION

1. From the Dashboard select Shipments.

The Shipments Pending Documentation grid will be displayed showing all existing Shipment records that have not been committed.

Note: The records displayed may be sorted by clicking any heading in the grid.

2. Click *Add new* at the top of the Shipments grid.

The Add New Shipment form will be displayed.

Add New Shipment

Please complete the required fields to save the record.

Shipment Header Information | Shipment Items | Attachments

Shipment Number:

Shipment Date:

Shipment Type:

Shipment Treatment:

Customer:

☒ Use Gross Weight Adjustment Ratio

Comments:

3. **Complete the fields for the Shipment Header information as necessary and/or required based on the field specifications outlined below.**

Note: Some values can be completed by using the pull-down buttons in the display boxes to see the currently acceptable values for that field. If the user needs a value that is not currently shown (i.e. a new *Shipment Type*), the user can select the F4 button while the cursor is in the display box and the Supporting List will be displayed to allow the user to add the required value. Upon exiting the form, the value can be selected.

4. **When the information is complete, click *Save and Close* to save the information as displayed.**

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must input data into the required fields or discard the new transaction until the information can be completed.

Field Name	Usage
SHIPMENT HEADER INFORMATION	
Shipment Number	Shipment number assigned by the company system or auto generated by QAD Precision FTZ to this collection of shipped items. This can reflect a single movement of merchandise from the zone or can represent a consolidation of a group of individual shipments within the company system.
Shipment Date	Date that the shipment was reported as removed from the zone. First in, first out sequencing is determined by this date.
Shipment Type	Use the pull-down menu to select the shipment type from the Shipment Type list. Based on the shipment type, QAD Precision FTZ identifies this shipment as an import, in-Bond or export shipment.
Customer	This field contains the link to the Customer list to identify consignees.
Use Gross Weight Adjustment Ratio?	Mark this if you would like to multiply the weight of an item by the gross weight adjustment ratio to account for packaging. This ratio is configured in Company Options.
Comments	Contains comments, for user reference, forwarded from Shipment Records or manually keyed in.

The transaction as entered will be saved and the Pending Documentation grid will be displayed. If an error is detected in the new transaction, it can be changed and re-saved.

If the user selects *Close* instead of *Save and Close*, a message will be displayed advising the user that they have Pending Changes to Save. The user can save the changes by clicking *Save* or return to the record for review by clicking the Shipment in the grid. If the user would not like to Save the changes, click *Discard* and the shipment information will not be saved.

ADDING SHIPPED ITEMS TO SHIPMENT RECORDS

1. **From the Dashboard select Shipments.**
2. **Click the transaction to which the Shipped Item will be added.**
3. **Click the *Shipment Items* tab and then click *Add new*.**

A blank Shipped Item form is returned.



4. Complete the fields on the form as necessary based on the field specifications outlined below.

Field Name	Usage
SHIPPED ITEM INFORMATION	
Shipment Number	Contains the link to the shipment number assigned in the Shipment Header Information. One Shipment Number can include multiple shipment items.
Shipment Date	Contains the link to the shipment date assigned in the Shipment Header Information.
Shipment Type	Contains the link to the shipment type assigned in the Shipment Header Information.
Item Number	Contains the link to the Item Master of the item reported as shipped. Note: The item shipped is not necessarily an “admitted” FTZ Item. It may be a manufactured item containing FTZ items that must be reported as removed from the zone. However, CBP approval is given for the actual item removed from the zone, not its component parts.
Version	If the item number in the Item Number field is a Manufactured item type, this field is available. The available Bill of Materials for the manufactured item will be displayed in the drop-down for selection.

Item Harmonized Classification	Contains the link to the Harmonized Classification list for this Shipped Item. The Harmonized classification is initiated from the Item Master for the Item Shipped.
Actual Quantity Shipped	If the operator notes a discrepancy between the reported quantity and the actual quantity shipped, the actual quantity must be updated. It is the actual quantity that is applied against the quantity approved for transfer by CBP. Note: If the reported quantity of a shipped item is changed, the FTZ component quantities contained in that shipped item are likely to change as well since the FTZ component quantity = the quantity of the shipped item * the FTZ component quantity contained in each.
CBP Documentation	Automatically populated with the entry number after the shipment has been submitted to CBP.
Additional Tariff Number Disabled?	Mark if you would like to disable additional tariff numbers.
Comments	Contains comments for user reference, forwarded from Shipment Records or manually keyed in.

5. When complete, click *Save and Close*.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must input data into the fields or Discard the shipped item until the information can be completed.

The transaction will be saved and the Shipment Items grid will be displayed.

The shipped item becomes a part of the Shipment Transaction.

6. If the user selects *Close* instead of *Save and Close*, a message will be displayed letting the user know that they have pending changes to save.

If the new shipped item information is acceptable, click *Save*. The Shipments grid will be re-displayed.

If the new shipped item information is not acceptable, click *Discard* and return to the shipped item transaction for further changes.

ADDING FTZ COMPONENT ITEMS TO SHIPPED ITEMS ON SHIPMENT RECORDS

1. From the Dashboard select Shipments.

The Shipments Pending Documentation grid is displayed.

2. Click the shipment that contains the shipped item to which the FTZ component item will be added.

The Shipment Header information is displayed.

3. Click on the *Shipment Items* tab and click on the shipment item to which the FTZ component(s) will be added.

The shipped item information is displayed.

4. Click on the *Shipment Item Components* tab and then click the *Add new* button at the top.

A blank Shipment Item Component form is returned.



Add New Shipment Item Component

Please complete the required fields to save the record.

Shipment Information

Shipment Number: Shipment01 Shipment Date: 11/14/2013

Shipment Type: IMP Import

Shipment Item Information

Type: Regular Item

Shipment Item: QATrinket1 Trinket for testing

Item Number: QATrinket1 Trinket for testing

Shipped Quantity: 12.000000

Shipment Item Component Information

FTZ Component Item Number: [Field]

Actual Quantity: 0.000000

Unconsumed Quantity: 0.000000

☐ Additional Tariff Number Disabled

Comments: [Text Area]

5. **Complete the fields on the form as necessary based on the field specifications outlined below.**

Note: If a shipment is committed to the Shipment Section without any FTZ component items, QAD Precision FTZ assumes that the shipped item itself is an FTZ component. Therefore, QAD Precision FTZ will automatically treat the shipped item as the FTZ component item record using the quantity indicated for the shipped item.

Field Name	Usage
SHIPPED COMPONENT INFORMATION	
Shipment Number	Contains the link to the shipment number assigned in the Shipment Header Information. One Shipment Number can include multiple shipment items.
Shipment Date	Contains the link to the shipment date assigned in the Shipment Header Information.
Shipment Type	Contains the link to the shipment type assigned in the Shipment Header Information.
Shipment Item	Contains the link to the Shipped Item section that has the shipped item record that contains this FTZ component.

Item Number	Contains the link to the Shipped Item section that has the shipped item record that contains this FTZ component.
Shipped Quantity	Contains the link to the quantity for this shipped item.
FTZ Component Item Number	Contains the link to the Item Master of the FTZ component itself. The operator must ensure that the reported FTZ components are an accurate representation of the FTZ components that are contained in the shipped item. Note: This is the item whose FTZ inventory balance will be decreased by this shipment.
Actual Quantity	The operator must ensure that the reported quantity of the FTZ component is an accurate representation of the quantity of components that are contained in the shipped item. Any change in the FTZ component usage due to item shortages, bill of material changes or customization of the shipped item must be reflected in the actual quantity. It is the actual quantity that is applied against the admissions for this item and decreases the available FTZ inventory. Note: If the reported quantity of a shipped item is changed, the FTZ component quantities contained in that shipped item are likely to change as well since the FTZ component quantity = the quantity of the shipped item * the FTZ component quantity contained in each.
Unconsumed Quantity	Once the FTZ components have been applied against admissions through the consumption process, there may at times not be sufficient quantities of admissions to account for all FTZ components shipped. When that occurs, the FTZ component quantity that cannot be applied against an admission is returned and is reported in the unconsumed quantity. A shipment cannot be finalized for CBP purposes until the entire quantity of all FTZ components has been applied against admissions.
Additional Tariff Number Disabled?	Mark if you would like to disable additional tariff numbers.
Comments	Contains comments for user reference, from Shipment Records or manually keyed in.

6. When complete, click *Save and Close*.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must either input data into the fields or discard the FTZ component within Shipped Item until the information can be completed.

The transaction will be saved as entered and will continue to be displayed.

The FTZ component within the Shipped Item becomes a part of the shipped item.

7. If the user selects *Close* instead of *Save and Close*, a message will be displayed advising the user that they have pending changes to save.

If the new FTZ component item within the Shipped Item transaction as displayed is acceptable, click *Save*. The Shipments grid will be re-displayed.

If the new FTZ component item transaction as displayed is not acceptable, click *Discard* and return to the transaction for further changes.

Note: Click *Close*, *Save and Close*, or *Discard and Close* to return to each preceding level – Shipment Item Components to Shipment Items, Shipment Items to Shipments grid.

CHANGE AN EXISTING SHIPMENT TRANSACTION



1. From the Dashboard select Shipments.

The Shipments Pending Documentations grid will be displayed which shows all existing Shipment records that have not been committed.

Note: The Shipment records displayed may be sorted by clicking any heading in the grid.

2. Click the Shipment transaction to be changed.

The Shipment Header information form will be displayed.

Invalid fields are displayed with an  icon.

Fields that may be updated are displayed in white.

3. Change the fields as necessary based on the field specifications outlined previously in this chapter. See sections below for additions, changes and deletions of shipped items and FTZ component items.

Note: Some values can be changed by using the pull-down menus in the display boxes to see the currently acceptable values for that field. If the user needs a value not currently shown (i.e. a new Shipment Type), the user can select the F4 button while the cursor is in the display box and the supporting list will be displayed to allow the user to add the required value. Upon exiting the form, the value can be selected.

4. When all changes to the Shipment Transaction are complete, click *Save and Close*.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must correct the data in the fields or discard the change until the information can be completed.

The Shipment transaction as displayed will be saved and the Shipments grid will be re-displayed reflecting the change.

If an error is detected in the updated transaction by the operator, it can be changed and re-saved.

If the user selects *Close* instead of *Save and Close*, a message will be displayed advising the user that they have pending changes to save.

Note: If the Shipment transaction should not be saved as displayed, select *Discard* and return to the transaction for further changes.

Click *Close*, *Save and Close*, or *Discard and Close* to return to each preceding level until you have reached the Shipments screen.

ADDING, CHANGING, OR DELETING A SHIPPED ITEM WITHIN A SHIPMENT TRANSACTION

Any add, change, or deletion of a shipped item is a direct update to the Shipment transaction itself.

1. From the Dashboard select Shipments.

2. Click the shipment Transaction to which the Shipment Item(s) will be added.

3. In the Shipment Items tab, click the *Add new* button at the top.

A blank Shipment Item form is returned.



Complete the fields on the form as necessary based on the field specifications outlined previously in this chapter. See the section below for instructions on adding a new FTZ component item.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must either input data into the fields or discard the new shipped item until the information can be completed.

The record will be saved as entered and will continue to be displayed.

4. **To change an existing shipped item, double click the shipped item in the Shipped Item(s) grid.**

The current information for the shipped item will be displayed along with a tab of the Shipment Item Components associated with the shipped item.

Fields available for change are displayed in white.

Invalid fields are displayed with an  icon.

Correct the fields on the form as necessary based on the field specifications outlined previously in this chapter. See the section below for instructions on how to change an existing FTZ component item.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must either input data into the fields or discard the change until the information can be completed.

The record will be saved as entered but will continue to be displayed.

If an error is detected in the changed shipped item, it must be changed and re-saved.

5. **To delete an existing shipped item, highlight the item and click *Delete* at the top of the Shipment Items grid.**

If the shipped item was double clicked, the transaction will be displayed along with the tab of the Shipment Item Components associated with the shipped item.

6. **Click *Save and Close* to save changes to the Shipment Items.**

ADD, CHANGE, OR DELETE AN FTZ COMPONENT WITHIN AN EXISTING SHIPPED ITEM ON A SHIPMENT TRANSACTION

Note: Any addition, change or deletion of an FTZ component item is a direct update to the shipped item and shipment itself. This does not create a transaction that must be validated and committed.

If a shipment has been committed to the Shipment Section without any FTZ component items, QAD Precision FTZ assumes that the shipped item itself is an FTZ component. Therefore, QAD Precision FTZ will automatically treat the shipped item as the FTZ Component Item Record using the quantity indicated for the shipped item.

1. To add a new Shipment Item Component (FTZ component item), click *Add new* within the Shipment Item Components tab on the Shipment Item form.

A blank Shipment Item Components form is returned.

Add New Shipment Item Component

Please complete the required fields to save the record.

Shipment Item Component

Shipment Information

Shipment Number: Shipment01 Shipment Date: 11/14/2013
 Shipment Type: IMP Import

Shipment Item Information

Type: Regular Item
 Shipment Item: QATrinket1 Trinket for testing
 Item Number: QATrinket1 Trinket for testing
 Shipped Quantity: 10.000000

Shipment Item Component Information

FTZ Component Item Number:
 Actual Quantity:
 Unconsumed Quantity:
☐ Additional Tariff Number Disabled
 Comments:

Complete the fields on the form as necessary based on the field specifications outlined previously in this chapter.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must either input data into the fields or discard the new FTZ component item until the information can be completed.



The transaction will be saved as entered and will continue to be displayed.

2. **To change an existing Shipment Item Component, double click the Shipment Item Component transaction in the Shipment Item Components grid within Shipped Item.**

The FTZ component item transaction as it currently exists will be displayed.

Fields available for change are displayed in white.

Invalid fields are displayed with an  icon.

Correct the fields on the form as necessary based on the field specifications outlined previously in this chapter.

Some initial verification is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must either input data into the fields, or discard the change until the information can be completed.

The record will be saved as entered and will continue to be displayed.

If an error is detected in the change to the FTZ component item, it must be changed and re-saved.

3. **To delete an existing Shipment Item Component, highlight the Shipment Item Component transaction in the Shipment Item Components tab within the Shipment Item grid.**
4. **Click *Delete*.**
5. **Click *Save and Close*.**
6. **If the operator selects *Close* instead of *Save and Close* for each shipment, shipment item, and shipment item component that has been changed, a message will be displayed on the Shipments screen advising the user that they have pending changes to save.**

If the new transaction as displayed is acceptable, click *Save*.

If the new transaction as displayed is not acceptable, click *Discard* and return to the transaction for further changes.

Note: Click *Close*, *Save and Close*, or *Discard and Close* to return to each preceding level – Shipment Item Components to Shipment Items, Shipment Items to Shipment Records grid.

DELETE A SHIPMENT TRANSACTION

Deleting a shipment transaction can only be done if the shipment record is in Pending Documentation or Incomplete.

1. **From the Dashboard select Shipments.**

The Shipments Pending Documentation grid will be displayed showing all shipment records eligible for deletion

Sort the records by clicking on any heading in the Shipment Records grid.

2. **Click the shipment transaction to be deleted so that it is highlighted in the grid.**
3. **Click *Delete* at the top of the Shipments screen.**

Note: To delete all of the records displayed in the grid, click *Delete All* instead of *Delete*.



When a shipment is deleted, all associated shipped items and FTZ component items are also deleted.

4. Click *Save*.

OBTAINING CBP APPROVAL FOR RELEASE OF SHIPMENTS

CBP must give its approval in order for any shipment to be removed from the zone. This includes not only shipments being entered into CBP territory but also export shipments and some incidental shipments of parts. QAD Precision FTZ provides two methodologies for obtaining CBP approval for the release of shipments – Weekly Estimate Entry and preparation of CBP Documentation for individual shipments which can be done by paper or by electronic in-bond processing.

If the shipment is destined for CBP territory, the steps outlined under *Import Shipments* should be followed.

If the shipment is destined for export or movement In-Bond to another zone, the steps outlined under *Export/In-Bond Shipments* should be followed.

IMPORT SHIPMENTS (MERCHANDISE MOVING INTO CBP TERRITORY)

APPROVAL OF IMPORT SHIPMENTS USING WEEKLY ESTIMATED ENTRY PROCESSING

If a zone does not use Weekly Estimated Entry processing for imports, proceed to the section in this chapter for *Approval of Import Shipments on Individual Entries*.

Once a shipment is committed to the Shipments section, it is considered a Shipment Pending CBP Documentation. If a zone uses Weekly Estimated Entry processing, the shipment will be considered *Approved for Shipment* if CBP has previously approved (released) an Estimated Entry with sufficient Harmonized Classifications, quantity, and value to cover all shipped items included in the shipment. If the Weekly Estimated Entry is not sufficient to include all shipped items within a shipment, a supplemental estimate or an Individual Entry for the shipment must be filed with and approved by CBP.

In the Weekly Estimated Entry environment, to ensure that actual shipments do not exceed the “released” shipments as documented on the Weekly Estimate, each shipment must be applied against a Weekly Estimate. Once the shipment is approved, all FTZ components included in the Shipments Approved against a single Weekly Estimated Entry will be reported on a single Proforma Invoice and a single Entry Summary (CBP7501) after they have been applied against admission records.

APPROVAL OF IMPORT SHIPMENT AGAINST A WEEKLY ESTIMATE

1. From the Dashboard select Shipments.

The Shipments Pending Documentation grid is displayed.

Shipments can be sorted by clicking on any grid heading.

2. Click any record in the Shipments Pending Documentation grid that has a Shipment Type listed as *Import*.

3. Click *Apply to Estimate*.

A Choose Shipments box will be returned that will display all Import Shipments not approved by CBP against a Weekly Estimate or individually.

The shipment record clicked in the Shipments Pending Documentation grid will already be moved into the *Shipments to be Applied to Estimates* section.



Choose Shipments

Choose the shipments need to be applied to the estimates.

Shipments Not Applied to Estimates

Shipment Number	Shipment Type	Shipment Date
Shipment01a	IMP	12/5/2013
Shipment03a	IMP	12/5/2013
Shipment16a2	IMP	12/5/2013

>

>>

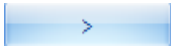
<

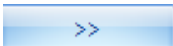
<<

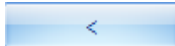
Shipments to be Applied to Estimates

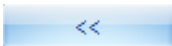
Shipment Number	Shipment Type	Shipment Date
Shipment01	IMP	11/14/2013

Apply To Estimates Cancel

4. Select any additional shipments in the *Shipments Not Applied to Estimate* section that is to be approved against the Weekly Estimate by clicking them and then clicking .

Click  if all the shipments in the *Shipments Not Applied to Estimate(s)* section are to be approved against an estimate.

Shipments that have been moved to the *Shipments to be Applied to Estimate(s)* section in error can be reversed by highlighting them and clicking .

Click  if no shipments should be approved against an estimate.

5. Click *Apply to Estimates* to apply the shipments included in the *Shipments to be Applied to Estimate(s)* section against any available estimate.

The Approve Import Shipments Against Weekly Estimates Log is displayed, identifying those shipments that were successfully applied to an estimate and those that were not.

Approve Import Shipments Against Weekly Estimates Log

Shipment Number	Shipment Date	Shipment Type	Result Message
Shipment01	11/14/2013	Import	Success

HTSUS Code	Item Number	Quantity	Result Message
3213900000	QATrinket1	10.000000	Success

Entry Applied	Quantity Applied	Result Message
TESTAPP	10.000000	Success

A copy of the report may be printed by clicking the *Printer* icon in the menu at the top of the screen.

6. **QAD Precision FTZ decreases the quantity and value of the appropriate HTSUS line item(s) of the Estimate based on the shipped item(s) for each shipment approved.**

Shipments that were successfully approved will move to *Pending Consumption*. The Shipment Record and its associated shipped items cannot be changed once they have been successfully applied to an estimate.

Shipments that could not be approved due to a missing HTSUS number or insufficient quantity or value within an HTSUS number should be entered on an Individual Entry or a supplemental Weekly Estimate should be filed with CBP to obtain the necessary approvals.

7. **The Shipments Grid is returned upon the close of the report.**

APPROVAL OF IMPORT SHIPMENTS USING INDIVIDUAL CBP ENTRY PROCESSING

Once a shipment is committed to the Shipments section, it is considered a *Shipment Pending CBP Documentation*. If a zone does not use Weekly Estimated Entry processing, a shipment cannot physically leave the zone until specific CBP approval is given for the shipment. A zone that uses the Individual Entry processing generally stages its shipments prior to departure and submits the information pertinent to the shipment to CBP. Upon receiving CBP approval (release), it is allowed to be transferred from the zone.

1. **From the Dashboard select Shipments.**

The Shipments Pending Documentation grid is displayed.



Shipments can be sorted by clicking on any grid heading.

2. **Select a Shipment record for which an Individual Entry is required.**
3. **Click *Individual Entry*.**

A Create Individual Import CBP Entry box is displayed with the highlighted Shipment Record selected.

Create Individual Import CBP Entry

Entry Date: 8/7/2014

Company Reference Number:

3461 Entry Number:

Description of Merchandise:

Pending documentation shipments

Shipment Number	Shipment Type	Shipment Date
0041709011348193...	IMP	9/9/2015
0041709011347995...	IMP	9/9/2015
0041709011347924...	IMP	9/9/2015
0041709011163251...	IMP	9/9/2015
0041709011163251...	IMP	9/9/2015
0041709011348177...	IMP	9/9/2015
0041709011163251...	IMP	9/9/2015
0041709011348129	IMP	9/9/2015

Shipments to be included in this entry

Shipment Number	Shipment Type	Shipment Date
TESTIEIMPORT0001	IMP	8/7/2014

Create Exit

4. **Complete the remainder of the information in the Create Individual Import CBP Entry box.**

Field Name	Usage
CREATE INDIVIDUAL IMPORT CBP ENTRY	
Entry Date	Contains the date of shipment and entry
Company Reference Number	An internal reference number selected by the operator.
3461 Entry Number	Contains the actual Entry Number or a temporary number assigned until an actual Entry Number is assigned by a CBP broker or an internal ABI system.
Description of Merchandise	A general description of the merchandise that appears on the CBP3461 Entry/Immediate Delivery.

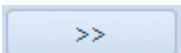
5. **Confirm shipments to be included in the entry.**

If the only shipment leaving on this individual entry is in the lower right-hand box labeled “Shipments to be included in this entry”, then move on to *step 6*. If there are more shipments to be included on this individual entry, they should be moved from the

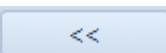


“Pending Documentation Shipments” box to the “Shipments to be included in this entry” box by clicking on the arrows.

Arrow  will move only the highlighted shipment on the left side to the right side to be included in the entry.

Arrow  will move all shipments from the left side to the right side to be included in the entry.

Arrow  will move a highlighted shipment from the right side to the left side to no longer be included in the entry.

Arrow  will move all shipments from the right side to the left side to no longer be included in the entry.

6. Click *Create* to create the actual Individual Entry.

If the user does not want to create an Individual Entry for the shipments, click *Exit*.

Once the individual entry has been created, the user will get a pop up asking if they would like to Proceed to CBP Documentation. Click ‘Yes’ if you would like to go directly to the Import CBP Documentations Pending Submission grid to view the newly created Entry. Click ‘No’ if you would like to stay on the Shipments grid.

The shipments will move to *Pending Submission/Approval*. The Shipment Number information and the Shipment Items can no longer be changed. FTZ Components can still be modified using direct updates to the FTZ Component Items within a shipped item.

7. To process the CBP Documentation required for shipments requiring an individual Entry, see the following sections in Chapter 10 under Individual Entries:

- *Submit an Entry to CBP*
- *Approve/Deny CBP Entries*

Once the Individual Entry associated with the shipments have been submitted to CBP and approved, the shipment itself is moved to *Pending Consumption*.

EXPORT/IN-BOND SHIPMENTS (MERCHANDISE BEING EXPORTED OUTSIDE THE U.S. OR TRANSFERRED TO ANOTHER FTZ)

CREATING AN INDIVIDUAL EXPORT/IN-BOND ENTRY FROM A SHIPMENT

Once a shipment is committed to the Shipments section, it is considered a shipment *Pending Documentation*. An In-Bond shipment cannot physically leave the zone until an individual In-Bond Entry (CBP7512) has been prepared. QAD Precision FTZ allows the operator to



consolidate a group of shipments designated for the same export or In-Bond destination into a single Transportation Entry and Manifest (CBP7512).

1. From the Dashboard select Shipments.

The Shipments Pending Documentation grid is displayed.

The shipments can be sorted by clicking on any grid heading.

2. Click any record in the Shipments Pending Documentation grid that has a Shipment Type listed as *Export*.

3. Click *Individual Entry*.

A CBP Entry for In-Bond/Export box is displayed that shows all fields necessary for creating a 7512.

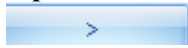
4. Complete the information in the CBP Entry for In-Bond/Export box.

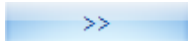
Field Name	Usage
GENERAL INFORMATION	
Company Reference Number	An internal reference number selected by the operator.

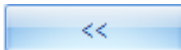
7512 Entry Number	Contains the actual Entry Number or a temporary number assigned until an actual Entry Number is assigned by a CBP broker or an internal ABI system.
Class of Entry	Identifies the In-Bond movement as a transportation & Exportation (T&E), an In-transit (IT) to another port or Bonded facility, or an Immediate Export (IE).
Previous Inbond Number	For non-FTZ withdrawals, if this in-bond is associated with a previous in-bond number, enter the previous in-bond here.
Description of Merchandise	A general description of the merchandise that appears on the CBP7512 Transportation Manifest and Entry.
In-Bond Information:	
Bonded Entity	The Entity responsible for the bonded movement.
In-Bond Date	The date of the actual In-Bond movement
Total Value	If the in-bond is created without shipment or HTS data, enter the value of the in-bond here. Otherwise, leave blank.
Number of Packages	Total number of gross packages contained in the physical shipment that will be removed from the zone.
Type of Packages	Type of packages (i.e. crates, barrels, cartons) that will be removed from the zone.
In-Bond Carrier	The transportation provider who will assume liability for the In-Bond merchandise once it leave the zone.
Gross Weight	Combined Gross Weight (in pounds) of the shipment that will be removed from the zone.
Container/House Bill	Container number of shipment. Required for QPWP (electronic in-bond) processing. Enter NA if not applicable.
Seal Number	Seal Number of container for shipment. Required for QPWP (electronic in-bond) processing. Enter NA if not applicable.
Final Domestic CBP Port	The Final U.S. Port before the shipment is exported. Required for class of entry 61-IT, 62-T&E, and 63-IE.
Destination Terminal	The FIRMS of the terminal at the destination port. Only required for in-bond arrivals.
Foreign Destination Port	The Foreign Destination Port where the merchandise is being shipped. Required for class of entry 62-T&E and 63-IE.
In Bond Comments	Contains comments for user reference.
IN-BOND RELATED INFORMATION: Only required if submitting electronic in-bonds	
Shipment Information	
Export Order Reference Number	Internal reference number
Export Date	Date cargo leaves U.S. export port. From Carrier/Forwarder (Advance Shipping Notification or Shipping Documents). Required for class of entry 62 T&E and 63-IE
Bill of Lading Number	Bill of Lading number for the in-bond movement. Should be your companies FIRMS code plus 8 digit 7512 Entry Number. Required for class of entry 61-IT, 62-T&E, and 63-IE.
Mode of Transport	Mode of transport as it leaves the U.S. For in-bonds created as a result of a withdrawal from an FTZ or bonded warehouse the mode of transport code may be any value.
Vessel Name	For in-bonds created as a result of a withdrawal from an FTZ or bonded warehouse this field may be blank.
Export Carrier	Vessel, Air or Truck Company carrying shipment out of the U.S. – Carrier must be entered in the Carrier Supporting List.



VTF Number	For in-bonds created as a result of a withdrawal from an FTZ or bonded warehouse at least 1 character is required. May put NA.
US Customs Port	Port of Departure from U.S. – Port must be entered in the Ports Supporting List.
Lading Pier	Required for ocean shipments only. From Carrier/Forwarder (Advance Shipping Notification or Shipping Documents)
Foreign Port	Foreign Destination Port – Port must be entered in the Ports Supporting List. Required for class of entry 62 T&E and 63-IE.
Pedimento Number	Required for ports requiring a Pedimento. If the shipment is using both a foreign and domestic port that has the Pedimento box checked in the Ports Supporting List this field will be able to be populated with the Pedimento Number on the Create CBP7512 screen.
Containerized?	Check box if the shipment is containerized but do not check if in container but less than container load (“LCL”)
Consignee	
Customer	Customer Reference Number – Choose from drop down menu. The customer must be entered in the Customer Supporting List.
Customer Name	Customer Name
Street Address	Customer’s street address
Postal Code	Customer’s postal code
City	Customer’s city
State	Customer’s state
Country	Customer’s country
Intermediate Consignee	
Consignee Name	Intermediate Consignee’s name
City	Intermediate Consignee’s city
Street Address	Intermediate Consignee’s street address
Country	Intermediate Consignee’s country
Forwarding Agent	
Agent Name	Forwarding Agent’s name
Address	Forwarding Agent’s street address
City	Forwarding Agent’s city
Country	Forwarding Agent’s country
Packaging Marks	
In Bond Package Mark	For QPWP (electronic in-bond) only – marks or numbers on packaging

5. Select the shipments in the *Pending Documentation Shipments* section that are to be included in this Transportation Manifest and Entry (CBP7512) by clicking on them and then clicking .

Click  if all Export/Zone to Zone shipments in Shipments Pending Documentation are to be included in the Transportation Manifest and Entry (CBP7512). Shipments that have been moved to the *Shipments to be Included on This Entry* section in error can be reversed by being highlighted and clicking .

Click  if no shipments should be included in this Entry.

6. Click *Create 7512* to create the actual individual In-Bond Entry.



If the user does not want to create an Individual Entry for the shipment(s), click *Exit*.

Once the In-Bond movement is captured and stored in In-Bond CBP Documentation, the *Create CBP7512* button is grayed out and the *Print CBP7512* button is displayed.

7. Click *Print 7512* if you would like to save or print a copy of the 7512.

The CBP7512 Transportation and Entry Manifest containing the information consolidated from all the selected shipments and the information contained in CBP Documentation In-Bond is displayed.

19 CFR 10.60, 10.61, 123.41, 123.42

FTZ Entry No. _____

Port: _____

Date: _____

O.M.B. No. 1651-0003

Entry No. 090620132

Class of Entry 61-IT

(I.T./T.E./W.D. 1E) (Drawback, etc)

TRANSPORTATION ENTRY AND MANIFEST
OF GOODS SUBJECT TO CBP
INSPECTION AND PERMIT

U.S. Customs and Border Protection

PORT CODE NO. 2833 FIRST U.S. PORT OF UNLADING

PORT OF _____ DATE 09/06/2013

Entered or imported by _____ Importer/IRS # _____ to be shipped

in bond via _____ consigned to _____

CBP Port Director _____ Final Foreign Destination _____

Consignee _____

Foreign port of lading _____ B/L No. _____ Date of Sailing _____

Imported on the _____ Flag _____ on _____ via _____

Exported from _____ on _____ Goods now at _____

Marks and Numbers of Packages	Description and Quantity of Merchandise Number and Kind of Packages (Describe fully as per shipping papers)	Gross Weight in Pounds	Value (Dollars Only)	Rate	Duty
3.00 BDLs	test	3,333.000000 (K)	\$290,211		
THIS MERCHANDISE IS FOREIGN-TRADE ZONE MERCHANDISE. SEE CONTINUATION SHEET			Estimated		

G.O. No. _____ ☒ Check if withdrawn for Vessel supplies (19 U.S.C. 1309)

8. To print the CBP7512, click the *Printer* icon in the menu at the top of the form.

Once the CBP7512 is printed, close the CBP7512 by clicking the red X at the top of the screen.

The CBP Entry for In-Bond/Export box is re-displayed.

9. The Transportation Entry and Manifest (CBP7512) must be approved by CBP individually by paper or through electronic in-bonds or as part of a Weekly Estimate for Exports.



Once the Transportation Entry and Manifest (CBP7512) is prepared, it must be approved by CBP prior to the movement of the merchandise in the shipment from the zone. If a zone uses Weekly Estimated procedures, this approval can be obtained by applying the Export Entry against a Weekly Estimate for export previously approved by CBP. If a zone does not use the Weekly Estimated Entry processing, the zone operator must submit the specific individual CBP7512 to CBP, either by paper or electronically and must receive CBP approval (release), before allowing the In-Bond shipments to be transferred from the zone.

If you need to submit the CBP7512 electronically for CBP Approval, you can click on *In-bond Processing* button on the CBP Entry for In Bond/Export form and this will take you to In-Bond Processing screen.

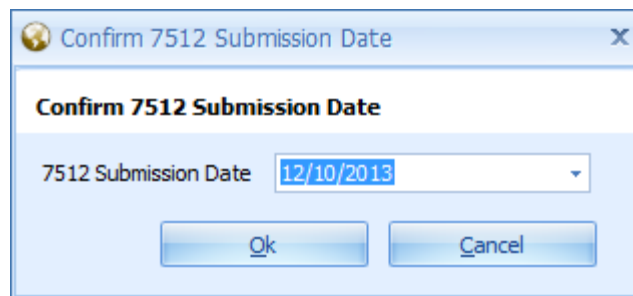
If you need to apply the CBP7512 to a Weekly Export Entry that is already approved, you can click on the *Apply to Estimates* button on the CBP Entry for In Bond/Export form.

OBTAINING CBP SPECIFIC APPROVAL FOR AN INDIVIDUAL CBP7512

1. **From the Dashboard, select In-Bond CBP Documentations.**

The In-Bond CBP Documentations Pending Submission grid is displayed.

2. **Select the In-Bond to submit to CBP and then click *Submit Individual Entry* under Actions on the left of the grid.**
3. **A *Confirm CBP7512 Submission Date* box is displayed.**



4. **Enter the date that the CBP7512 has been submitted to CBP for approval.**
5. **Click OK.**

Once the Individual Entry associated with the shipment has been submitted to CBP for approval, the shipment itself will be in *Pending Submission/Approval*. The Shipment Number information and the Shipped Items can no longer be changed. FTZ Components can still be modified using direct updates to the FTZ Component Items within a shipped item.

Once the individual Entry associated with the shipment is approved by CBP, the shipment itself is moved to *Pending Consumption*.

APPROVAL OF EXPORT/IN-BOND SHIPMENTS USING WEEKLY ESTIMATED ENTRY PROCESSING



If a zone does not use Weekly Estimated Entry processing for In-Bond shipments, proceed to the previous section in this chapter for *Obtaining CBP Specific Approval for an Individual CBP7512*.

1. From the Dashboard select In-Bond CBP Documentations.

The In-Bond CBP Documentations Pending Submissions grid is displayed.

2. Find the in-bond you need to apply to the weekly in-bond estimate and select *Apply to Estimate*.

The consolidated shipments are applied against the Weekly Estimate as if they were a single shipment.

3. The Approve Shipments Against Weekly Estimates Log is displayed.

A copy of the log may be printed by clicking on the *Printer* icon in the menu at the top of the screen.

4. If the Weekly In-Bond Estimate is sufficient to approve all the Shipped Items included on the CBP7512, the Individual Entry is moved to *Approved* in In Bond CBP Documentations and the shipments are moved to *Pending Consumption* in the Shipments section.

The operator can monitor the Weekly Estimate to ensure that there is sufficient quantity remaining on the estimate by printing the In-Bond/Export Weekly Estimate Report under the Reporting section of QAD Precision FTZ.

Note: If approved against the Weekly Estimate, the operator signs the CBP7512 using the authority of the Weekly Estimate and the consolidated shipment can be transferred from the zone via a bonded carrier. A copy of the CBP7512 is submitted to CBP within two days of the departure of the consolidated shipment for Entry into CBP In-Bond system.

5. If the Weekly Estimate is not sufficient to include all the shipped items on the consolidated shipment, the In-Bond Entry remains in *Pending Submission* and the shipments remain in *Pending Submission/Approval* in the Shipments section.

The operator must submit a supplemental estimate, or the specific individual Transportation Entry and Manifest for the consolidated shipments must be submitted to CBP for approval prior to the departure of the shipment.

See *Chapter 10, CBP Documentation*, for step-by-step instructions for these processes.

The shipments associated with this Entry cannot be consumed until the Entry has been approved by CBP as an Individual Entry or as part of the Weekly Estimate.

PREPARING SHIPMENTS FOR CONSUMPTION PROCESSING

Once a shipment has been approved by CBP for removal from the zone, it is extremely important that the operator make every effort to ensure that the FTZ components associated with the shipments are accurate prior to applying them to Admission Records.

1. From the Dashboard select Shipments.

The Shipments Pending Documentation grid is displayed.

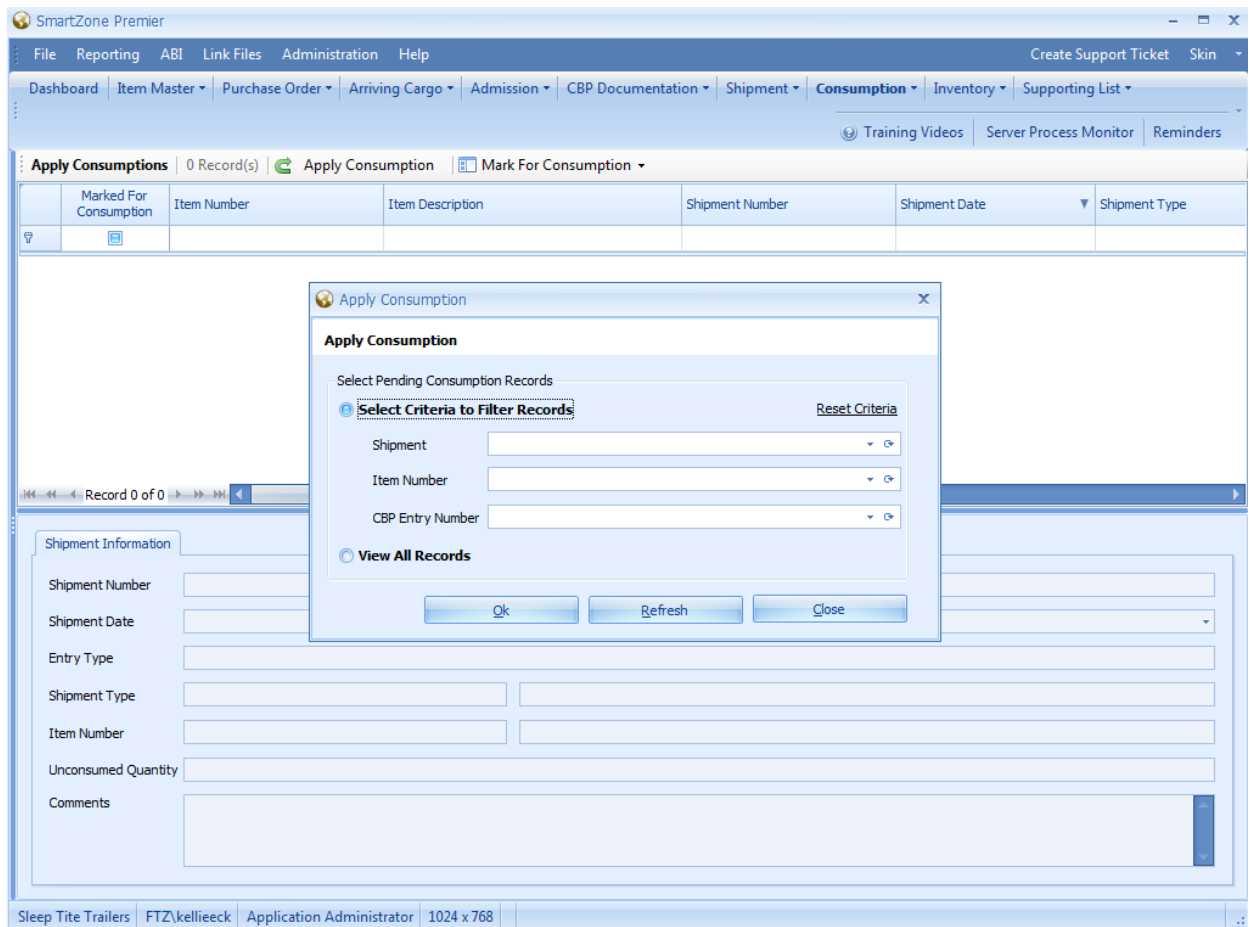
2. Click *Pending Consumption* under Views in the upper left corner of the screen.

The shipments can be sorted by clicking on any grid heading.



3. Click any Shipment Record in the grid.
4. Click *Apply Consumption*.

The Apply Consumption dialogue box is displayed.



5. Select the FTZ Component Records to be consumed.

To select specific records approved by CBP, click *Select Criteria to Filter Records* and use the pull-down boxes in the *Shipment*, *Item Number*, or *CBP Entry Number* display boxes.

To select all records currently eligible for consumption, click *View All Records*.

6. Click *OK*.

The Consumption Records selected are displayed in the Consumption grid.

Marked For Consumption	Item Number	Item Description	Shipment Number	Shipment Date	Shipment Type
☐	KEE01272016	test	KEE09212016	9/21/2016	IMP
☐	KEE01272016	test	KEE02022016	6/20/2016	IMP

Record 1 of 2

Shipment Information

Shipment Number: KEE09212016

Shipment Date: 9/21/2016

Entry Type: Import

Shipment Type: IMP

Item Number: KEE01272016

Unconsumed Quantity: 1.000000

Comments:

Details of the Consumption Records are displayed in the Consumption form below the grid.

Note: If a shipment has been committed to the Shipments section without any FTZ component items, QAD Precision FTZ assumes that the shipped item itself is an FTZ component. Therefore, QAD Precision FTZ will automatically treat the shipped item as the FTZ Component Item Record using the quantity indicated for the shipped item.

7. Apply Consumption as described in *Chapter 12, Consumption Processing*.

The FTZ component items are marked for processing and then applied to Admissions on a first in, first out basis using the Admission Date and the Shipment Date by which to order the processing.

If there is an insufficient quantity of Admissions for the stated shipments of FTZ components, the operator must identify additional Admissions of that item that have not been processed, or identify the current reporting and/or the previous reporting of the shipment if the FTZ component has been recorded accurately. QAD Precision FTZ will never allow a negative quantity for a unique identifier (Item Number).

If the current shipments are in error, the operator can change the FTZ components directly. If previous shipments have recorded consumption in error, consumption may or may not be able to be unapplied. See *Chapter 12, Consumption Processing* for further details.

Chapter 9 Consumption Processing

Consumption Overview

Once shipments have been committed and shipped items are “approved” against a CBP Entry either through Weekly Entry processing or using an Individual Entry, the inventory of FTZ items must be updated to reflect the removal of merchandise from the zone. The items to be consumed are found in the lowest level of a shipment record– the FTZ component items. These are the items that have been admitted to the zone and are being tracked for CBP purposes. In a distribution environment, the FTZ component items will typically be the items shipped from the zone and the quantity will be the quantity of the shipped items. In a manufacturing environment, the FTZ component items will be the components purchased overseas that are manufactured into the items actually shipped from the zone. The quantity may or may not be the same as the shipped items, depending on how many are required to manufacture a shipped item. In a manufacturing environment, the FTZ components may also be shipped as themselves if they are being used to repair previously shipped manufactured items, are being returned to a supplier, or are being scrapped.

It is important that the FTZ component items contained in the shipments be verified before they are applied as consumption to Admissions records. Any changes to items at the FTZ component level should be applied to the FTZ components within individual shipments prior to application of the FTZ components to the admissions. For instance, if an item number substitution has occurred, the item number that was not used should be deleted at the component level and the correct item added. If an FTZ component item has been included in a shipment in error, it should be deleted prior to the consumption process. If an FTZ component item has been omitted from a shipment, it should be added prior to consumption process.

The operator may choose all FTZ component records associated with all shipments that have been released by CBP for application to Admissions or limit the FTZ component records to be applied based on the shipment number, item number, or the CBP entry number. **The first in, first out order for applying the FTZ component items is determined by the shipment date of the shipment and must be complied with.** By selecting a shipment number, all FTZ component items associated with a single shipment will be displayed for consumption processing. This approach may be used when the operator has one outstanding shipment from an Entry that has not been applied and wants to ensure that that shipment is processed by itself to ensure first in, first out processing of shipments. By selecting a single item number, only consumption for a specific item will be applied. The operator may choose this selection if he is encountering a problem with a single item that he wishes to identify quickly. By selecting a CBP Entry all FTZ component items for all shipments associated with an Entry will be selected for consumption. **It is recommended that all FTZ component items for all shipments be selected for consumption and applied to Admissions on a daily basis.** This allows the operator to address any inventory issues as they arise rather than at the end of a week when an Entry must be processed for CBP. This also helps ensure that the operator will apply shipments based on the shipment date on a first in, first out basis. (See Chapter 11 for instructions on correcting FTZ component items within a shipment.)

During the consumption process, the oldest Admission record with a quantity available for an FTZ component item shipped is found. The FTZ component quantity is applied against it. If the quantity of the admission is not sufficient to cover the entire FTZ component quantity, the next oldest Admissions record is found and the balance of the consumption is applied against it. This process continues until all (or as much as possible) of the FTZ component quantity is



applied against Admissions. Shipments that have FTZ component quantities that do not have sufficient Admissions to cover them remain in *Pending Consumption*. **QAD Precision FTZ will not allow the balance of an FTZ item to become negative.** To cover the remaining shipment quantity, the operator must either identify Admission records that have not been successfully added to QAD Precision FTZ or identify other FTZ component records that have previously been applied that may have been overstated. If FTZ component item records that have been applied were overstated, the overstated consumption must be “unapplied” and then the FTZ component items within the shipments corrected and re-processed for consumption. **Note:** Consumption that was associated with an Entry that has been reported to CBP cannot be unapplied. To correct the inventory for “over applied” consumption that can not be unapplied, an Adjustment Transaction will have to be processed in the QAD Precision FTZ system.

Once all FTZ component records associated with all shipments approved under a single CBP Entry have been successfully applied against Admissions, the final CBP documentation can be prepared for submission to CBP. This includes the Proforma invoice and the CBP7501 for Import Entries, and the Proforma for In-Bond Entries. (See *Chapter 10 CBP Documentation Processing* for instructions on Entry reporting).

Suggested Sequence of Steps for Consumption Processing

1. **Review FTZ component items within shipments to identify errors.**
2. **Make changes as necessary.**
3. **Select the FTZ component items to be applied as consumption.**
4. **Apply the consumption to the Admissions records.**
5. **Review the results of the consumption process.**
6. **Identify causes of unapplied consumption by checking Admissions and previously applied consumption.**
7. **Correct FTZ component items within shipments as necessary.**
8. **Once all consumption has been applied, prepare final CBP documentation.**

TO ACCESS THE CONSUMPTION PROCESS: FROM THE DASHBOARD CONSUMPTION OR SELECT CONSUMPTION→APPLY CONSUMPTION FROM THE TOOLBAR.

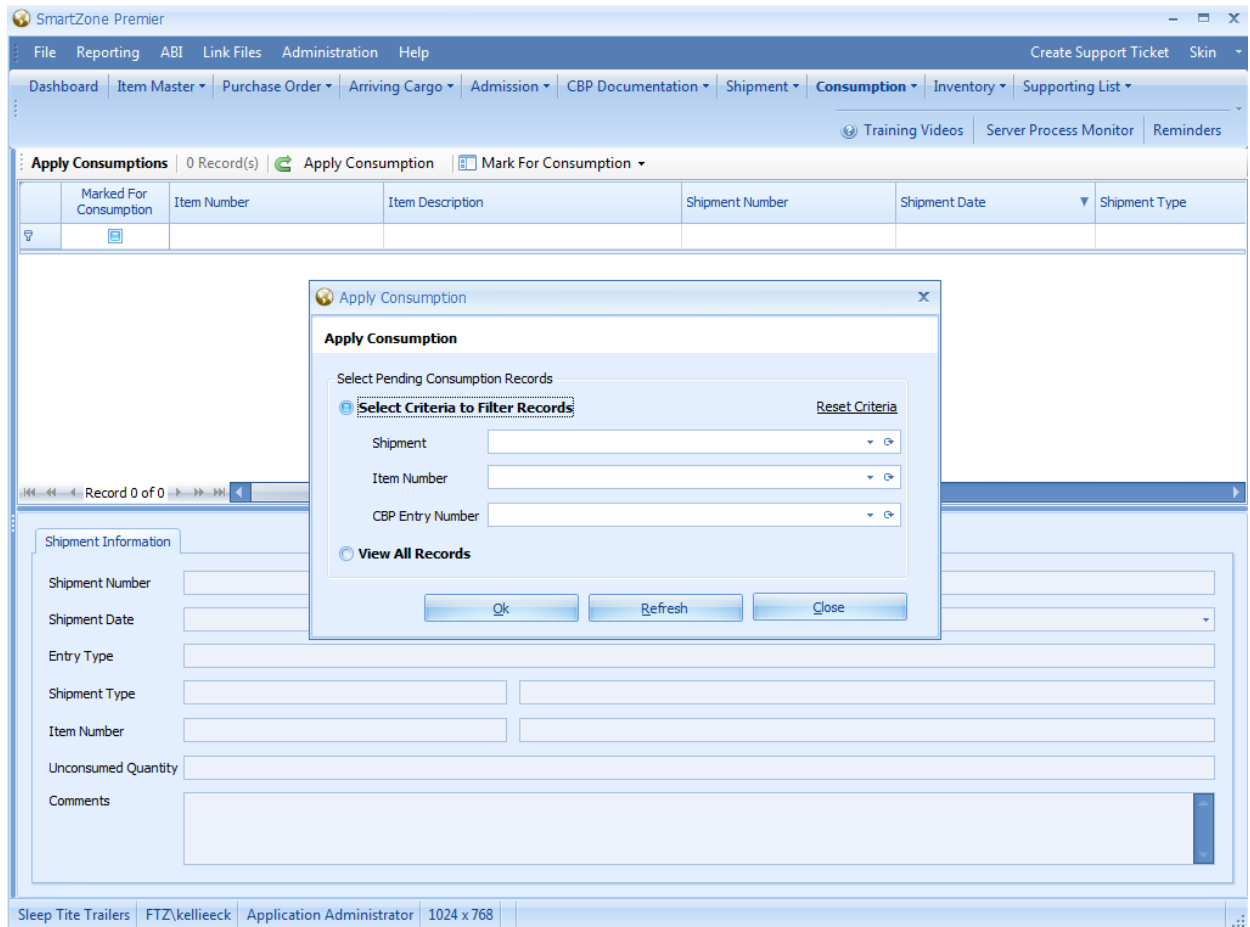


The screenshot displays the SmartZone Premier application window. The top menu bar includes File, Reporting, ABI, Link Files, Administration, and Help. The main navigation bar contains Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The Consumption menu is open, showing options: Apply Consumption, Apply Consumption History, Unapply Consumption, and Unapply Consumption History. The 'Apply Consumption' option is highlighted. Below the menu, the 'Processing Flow' diagram is visible, showing a central flow of boxes: Item Master, Purchase Order, Import CBP Documentation, Inventory Comparison, Arriving Cargo Information, Admissions, Shipments, Consumption (highlighted with a red box), and Inventory. Other boxes include ISF, E24 Processing, In-Bond CBP Documentation, Reporting, and Link Files. The bottom section of the interface includes Reminders and Notifications, CSMS, Link Processing Status, and a Weekly Estimate section with a bar chart titled 'Top Weekly Estimate Usage'.

APPLY FTZ COMPONENT ITEMS SHIPPED AGAINST ADMISSIONS

1. From the Dashboard select Shipments.

The Apply Consumption selection dialogue box is displayed.



2. Click either the *Select Criteria to Filter Records* or the *View All Records* radio button.

If the *Select Criteria to Filter Records* button is clicked, use the pull-down buttons in one of the selection boxes to filter the FTZ component records to be displayed. The “selected” records will be displayed in the *Consumption* grid.

If the *View All Records* button is clicked, all FTZ component records for shipments that have been approved by CBP either through Weekly Estimates or individually will be displayed in the *Consumption* grid.

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Apply Consumptions 2 Record(s) Apply Consumption Mark For Consumption

Marked For Consumption	Item Number	Item Description	Shipment Number	Shipment Date	Shipment Type
☐	KEE01272016	test	KEE09212016	9/21/2016	IMP
☐	KEE01272016	test	KEE02022016	6/20/2016	IMP

Record 1 of 2

Shipment Information

Shipment Number: KEE09212016

Shipment Date: 9/21/2016

Entry Type: Import

Shipment Type: IMP Import

Item Number: KEE01272016 test

Unconsumed Quantity: 1.000000

Comments:

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3. Select the FTZ component items to be applied against Admissions.

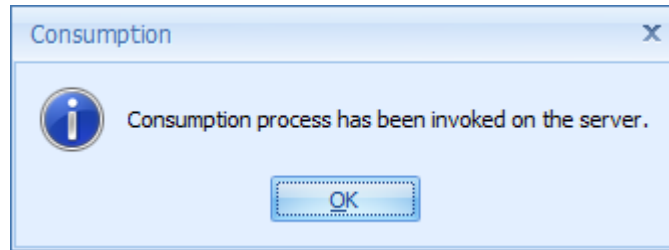
Click *Mark for Consumption* → *Mark All* to have all FTZ component items selected for application to Admissions.

Single click an FTZ component item on the grid and then click *Mark for Consumption* → *Mark Selected* button to select only a limited number of FTZ component items to be applied.

Any FTZ component item that should not be applied at this time can be “unselected” by unchecking the *Marked for Consumption* checkbox to the left of the item in the grid.

4. Click the *Apply Consumption* button.

A processing box appears reflecting the consumption request. Then a box will appear letting the user know that the consumption process has been invoked on the server.



Once you click OK, you can continue to work in other areas of QAD Precision FTZ since the consumption process is ran on the server.

5. **To see the results of the consumption, go to Consumption→Apply Consumption History. Once the Transaction Status is “Transaction Completed”, click on the “Click Here to View” link to view the results.**

The Apply Consumption Report will be displayed.

Consumption records that did not have an adequate quantity available in the Admissions records are at the top of the log under the heading *Unsuccessful* and are displayed in red.

Note: The status of this item must be researched and reconciled before final CBP documentation can be prepared.

Consumption records that were successfully applied to Admissions records are displayed in black under the heading *Successful*. The receipt number that the consumption was applied against is also displayed.

A copy of the log may be printed by clicking the Printer icon at the top of the report.

6. **Close the report to return to the Apply Consumption History grid.**

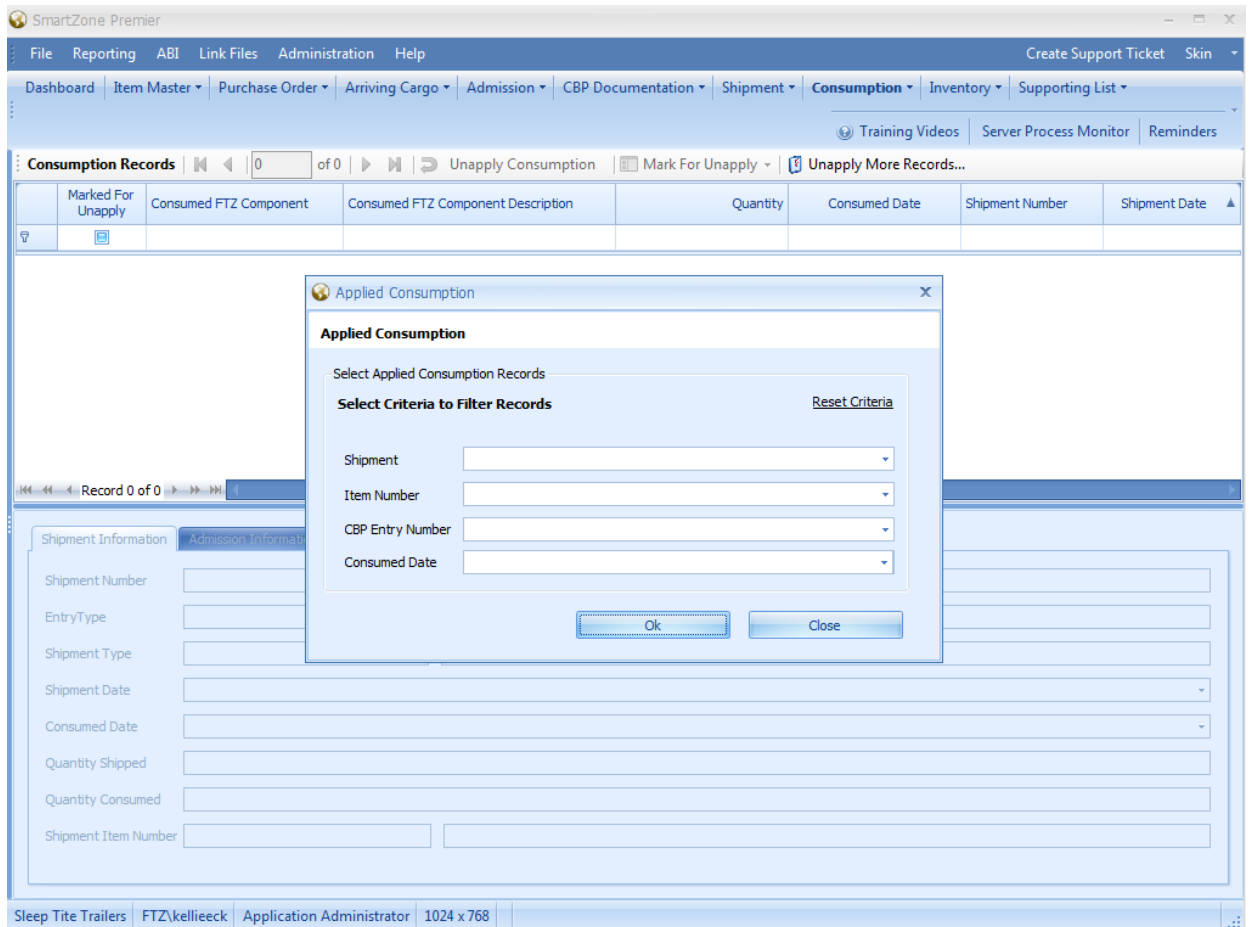
UNAPPLYING CONSUMPTION FROM ADMISSIONS

This process should only be used to correct a situation where consumption has been applied in error creating a shortage in available admissions for outstanding consumption or if consumption has been applied out of order.

Note: Once consumption has been reported to CBP on an Entry it cannot be unapplied.

1. **From the toolbar select Consumption→Unapply Consumption.**

The *Applied Consumption* selection dialogue box is displayed.



2. Filter the FTZ Components to unconsumed in *Select Criteria to Filter Records*

Use the pull-down buttons in one of the selection boxes to filter the FTZ component records to be displayed. The “selected” records will be displayed in the *Consumption* grid.

3. Select the FTZ component item to be unapplied from admissions.

Click *Mark for Unapply*→*Mark All* to select all the Consumption items displayed to be unapplied.

Single click a Consumption record on the grid and then click the *Mark for Unapply*→*Mark Selected* button to select only a limited number of Consumption records to be unapplied.

Any Consumption item that should not be unapplied at this time can be “unselected” by clicking the checkbox to the left of the item in the grid under Mark for Unapply and then clicking the *Unmark Selected* button.

4. Click the *Unapply Consumption* button.

A processing box appears reflecting the unapply consumption request. Then a box will appear letting the user know that the unapply consumption process has been invoked on the server.

Once fully unconsumed, the quantity of the FTZ component item is restored to the admission and the shipment that contains the FTZ component item is moved to *Pending Consumption* instead of *Consumed*.

- At the completion of the process, the Unapply Consumption Report can be viewed under Consumption→Unapply Consumption History. Once the Transaction Status is “Transaction Completed”, click on the “Click Here to View” link to view the results.

Consumption records that could not be unapplied from admissions because they have been reported to CBP are at the top of the log under the heading *Unsuccessful* and are displayed in red.

Consumption records that were successfully unapplied from Admission Records are displayed under the heading *Successful* and are black. The receipt number that the consumption was unapplied from is also displayed.

A copy of the log may be printed by clicking the Printer icon at the top of the report.

- Close the report to return to the Unapplied Consumption History grid.

DISPLAYING APPLIED CONSUMPTION RECORDS

- From the toolbar select Consumption→Unapply Consumption.

The Applied Consumption selection dialogue box is displayed.

- Search for the FTZ components to be viewed by filtering under *Select Criteria to Filter Records*.

FTZ components can be filtered by Shipment Number, Item Number, Entry Number or Consumed Date.



3. Click **OK** to view the consumption records that match the selected filter.

All consumed shipment item component records will be listed in the Applied Consumption grid.

4. Select individual Consumption records in the grid to view additional details.

The FTZ component item information and its shipment information are displayed in the Consumption form below the Consumption grid.

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Consumption Records 1 of 1 Unapply Consumption Mark For Unapply Unapply More Records...

Marked For Unapply	Consumed FTZ Component	Consumed FTZ Component Description	Quantity	Consumed Date	Shipment Number	Shipment Date
	5.1.4.25 SET ITEM	TEST SET ITEM	200.000000	11/30/2016 4:49:12 PM	SHP-11302016	11/18/2016

Record 1 of 1

Shipment Information Admission Information

Shipment Number SHP-11302016

Entry Type Import

Shipment Type IMP Import

Shipment Date 11/18/2016

Consumed Date 11/30/2016

Quantity Shipped 200.000000

Quantity Consumed 200.000000

Shipment Item Number 5.1.4.25 SET ITEM TEST SET ITEM

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5. To view limited admission information from the admission that the Consumption Record was applied against, click the *Admission Information* tab of the Consumption Detail form.

The Admission information is displayed.

Note: Once All Consumption Records for An Entry Have Been Applied To Admissions, Final CBP Documentation Can Be Prepared As Directed In Chapter 10.

Chapter 10 Inventory Processing

Functional Overview

The Inventory processing section of QAD Precision FTZ allows the operator to display current inventory balances as well as compare company inventory balances to the balances calculated by QAD Precision FTZ for FTZ Items. The Inventory section will also allow the operator to automatically generate Admission or Shipment Records for Adjustments indicated by the differences between QAD Precision FTZ balances and company inventory balances as of a specific date.

The Inventory Balances section shows the current balance for all FTZ Items and links to a report for inventory balance details for that item. This report includes Beginning Balance, Cumulative Admissions, Positive Adjustments, Cumulative Consumption, Negative Adjustments, and Ending Balance for each FTZ Item Number.

Company Inventory balances are stored in the Inventory Records section by Item Number and Date. Company Inventory Records are created by either manually adding a transaction or through a download from the company system. This approach allows the zone operator to combine the efficiencies of downloading information from a company system while still having the opportunity to ensure that the information is correct in terms of the “zone world” before making it a permanent part of the zone inventory system.

In addition to downloading records from the company system, changes to records can also be generated by the import process. This allows the operator to utilize updates to a company’s inventory or cycle count results without having to manually maintain each inventory record within QAD Precision FTZ. The operator then validates all new or changed records to ensure that they are correct and complete. Any errors detected in the records are corrected and then the records are committed to the Inventory Records section.

Inventory Records, once committed, can also be changed or deleted directly.

Where Used:

Inventory Records, Inventory Comparison

Sections Used:

Admissions, Consumption, Shipments

Suggested Sequence of Steps for Inventory Adjustment Processing:

1. **Create Inventory Records.**
 - a) Download Inventory Records from company system using Link File Processing (See Chapter 5); or,
 - b) Manually add records as necessary.
2. **Validate Inventory Records to identify errors.**
3. **Save correct and complete records to Inventory Records section.**
4. **Display *Inventory Comparison*.**
5. **For items requiring Adjustments based on the *Inventory Comparison*, review all QAD Precision FTZ Admissions, Shipments, and Consumption Records for**



accuracy and to ensure that all outstanding records have been committed/applied to QAD Precision FTZ.

6. Review company records to ensure that company inventory balances indicated are accurate.
7. Make the necessary corrections to QAD Precision FTZ Admission, Shipment, or Consumption Records or to the company inventory balances based on research.
8. Re-display *Inventory Comparison*.
9. Create and apply remaining Adjustment Records indicated by the Comparison.

Strategies for Inventory Adjustment Processing

Zone operators have the option of either adjusting their FTZ inventory based on periodic cycle counts or on a complete annual physical inventory. This decision should be based on normal company inventory management practices.

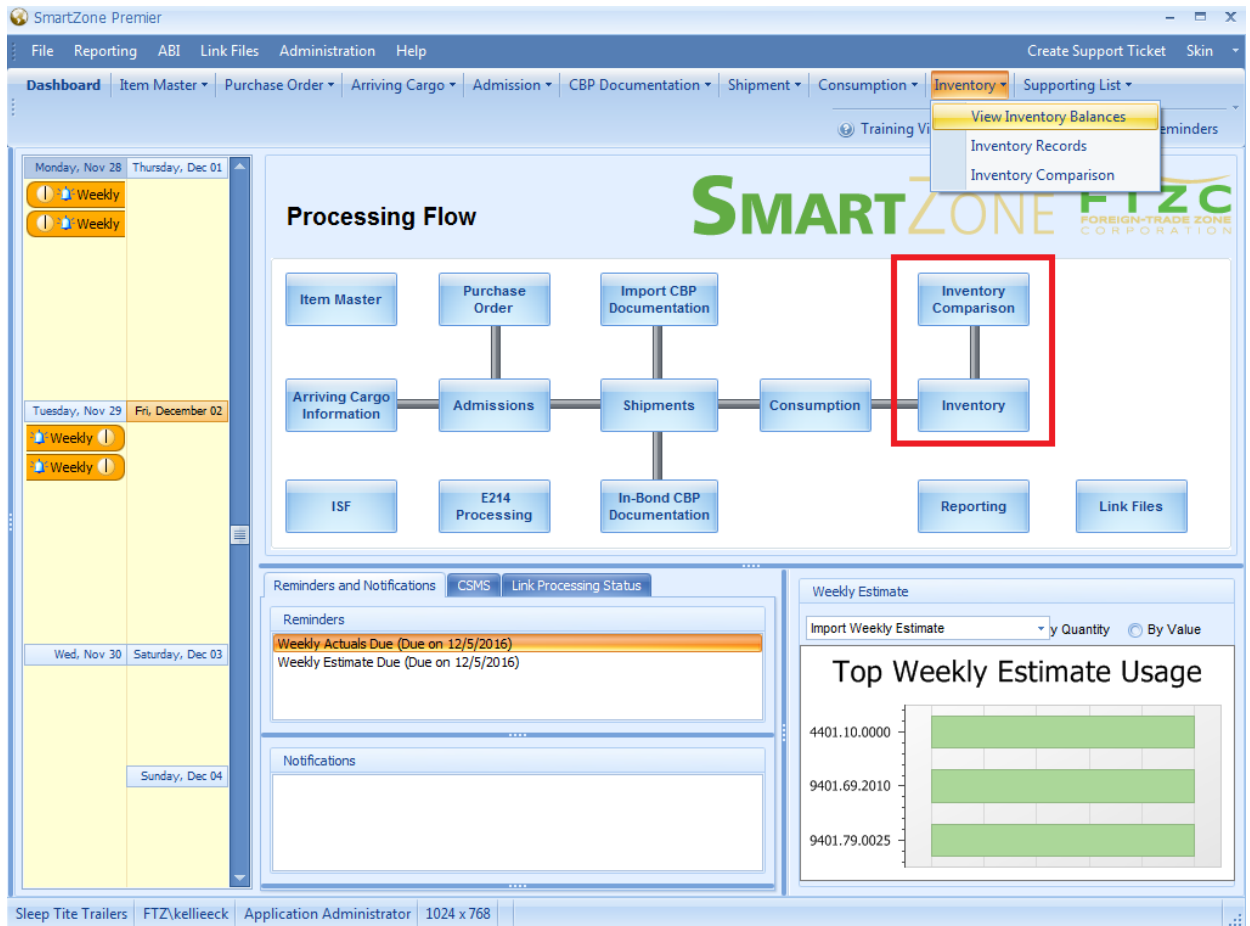
Regardless of the inventory methodology chosen, it is extremely important in a manufacturing environment to identify and count all instances of FTZ merchandise located within the zone, not just that which is still in the condition in which they arrived. For instance, if a component has been included in a subassembly, the subassemblies must be counted and then the number of FTZ components included in those subassemblies added to the total count of the components in the zone. This type of inventory accountability can be accomplished using a bill of material explosion or a formula extraction.

The timing of records frequently leads to inventory errors. For instance, if a shipment has been staged on the loading dock but the paperwork that relieves that shipment from inventory has not been processed, the FTZ components or material included in the Shipment must be included in the Inventory Count. Other areas frequently overlooked in physical inventories are rejected merchandise and merchandise being used in repair areas.

When using cycle counts, special attention must be paid to these types of situations since typically a cycle count only validates a specific location within a company inventory system. An error in one location may be offset by a corresponding error in another section. Within the company system, this will require two corrections, one for each area. However, within QAD Precision FTZ no correction is required at all since within the entire company the total FTZ component inventory is accurate. When developing Inventory Import Records based on adjusted cycle count balances, make sure that all occurrences of the FTZ merchandise are reflected in the download balances.

TO ACCESS THE INVENTORY PROCESS, FROM THE DASHBOARD SELECT INVENTORY COMPARISON OR INVENTORY.





MAINTAINING COMPANY INVENTORY RECORDS

The operator has the option of manually creating Inventory Records to add new items or Inventory Records can be downloaded using Link File Processing.

VIEWING EXISTING INVENTORY RECORDS

1. **From the Dashboard select Inventory.**
The Inventory grid is displayed.
2. **Click the Transaction to be viewed.**
The information is displayed below the grid.

MANUALLY CREATE A NEW INVENTORY TRANSACTION

1. **From the Dashboard select Inventory.**
2. **Click *Add new* above the Inventory grid.**
The Inventory form below the grid will be cleared to allow the addition of the new inventory record.

3. Complete the fields on the form as necessary and/or required based on the field specifications outlined below.

Field Name	Usage
INVENTORY RECORD INFORMATION	
Cycle Count?	Was this inventory count done on a cycle count? For internal reference only.
Item Number	This field contains the link to the Item Master for the item number that was inventoried.
Inventory Date	The date that the item was inventoried.
Inventory Quantity	The quantity of inventory found within the zone for this item. Care should be taken to include all quantities including items still in the same condition as admitted to the zone and items that may have been manufactured into subassemblies, intermediate products or final products.
Comments	User maintained.

4. When complete, click *Save* to save the record.



Some initial validation is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must input data into the fields or discard the transaction until the information can be completed.

5. **If another transaction is required to be added, click *Add New* and repeat the process.**

CHANGE EXISTING INVENTORY RECORDS

1. **From the Dashboard select Inventory.**

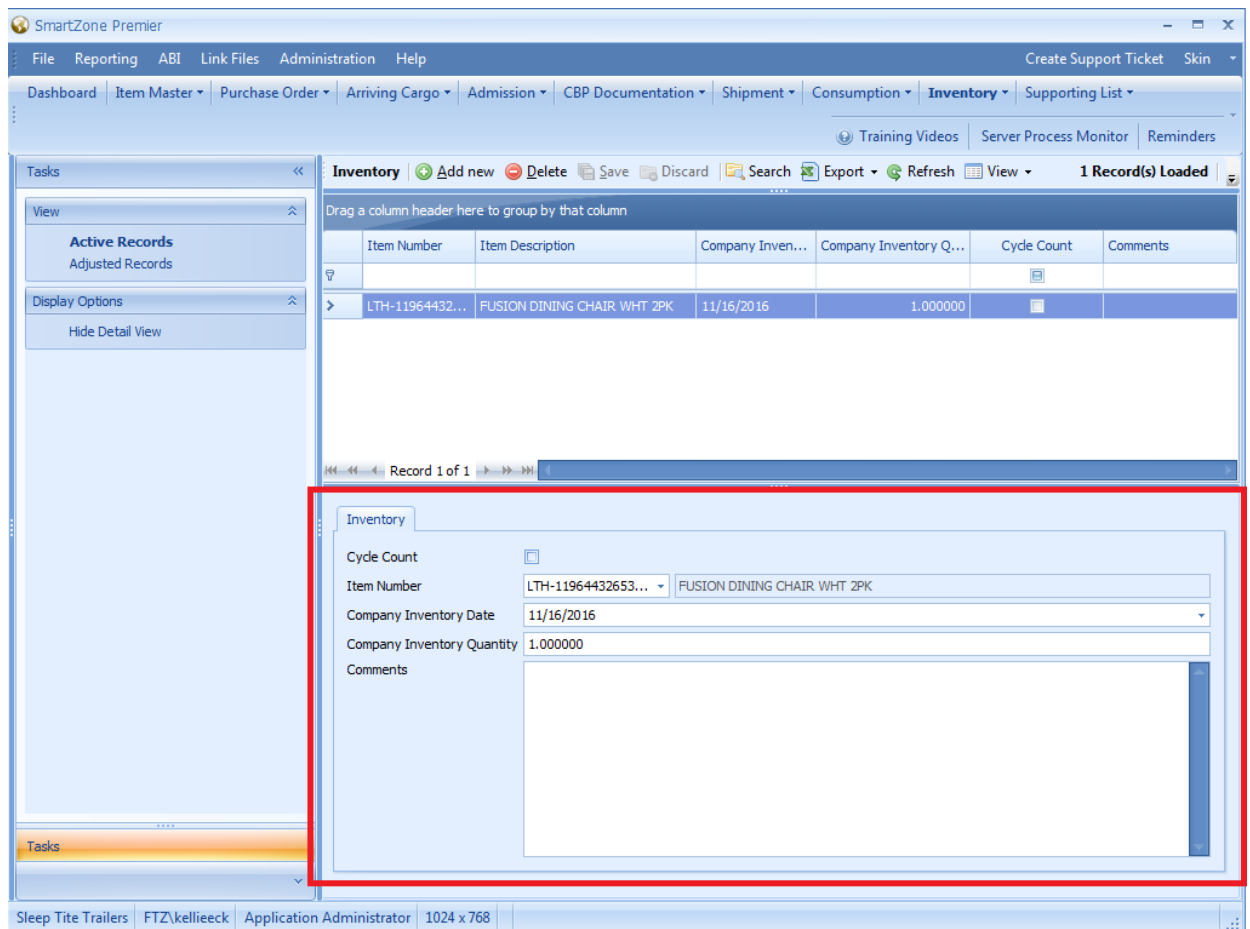
The Inventory grid will be displayed.

The Records in the grid can be sorted by clicking in any heading in the grid.

2. **Select the Transaction to be changed by clicking it in the grid.**
3. **Change the fields displayed on the Inventory form as necessary and/or required based on the field specifications outlined previously in this chapter.**

Fields displayed in white are valid fields available for change.

Fields displayed with  have values that are invalid and must be corrected in the transaction.



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Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption **Inventory** Supporting List

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Tasks View Active Records Adjusted Records Display Options Hide Detail View

Inventory Add new Delete Save Discard Search Export Refresh View 1 Record(s) Loaded

Drag a column header here to group by that column

Item Number	Item Description	Company Inven...	Company Inventory Q...	Cycle Count	Comments
LTH-11964432...	FUSION DINING CHAIR WHT 2PK	11/16/2016	1.000000		

Record 1 of 1

Inventory

Cycle Count ☐

Item Number LTH-11964432653... FUSION DINING CHAIR WHT 2PK

Company Inventory Date 11/16/2016

Company Inventory Quantity 1.000000

Comments

Tasks

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4. **When the transaction is correct, click *Save* to save the changes.**

Some initial validation is done by QAD Precision FTZ to ensure that all required fields are present. If they are not, the user must either input data into the fields or discard the change to the transaction until the information can be completed.

Note: If the transaction should not be saved as displayed, the user should select *Discard* to return to the transaction for further changes.

5. **Repeat the process until all Inventory Records have been corrected.**

DELETE EXISTING INVENTORY RECORDS

1. **From the Dashboard select Inventory.**

The Inventory grid is returned.

2. **Click the transaction to be deleted.**

The record detail is displayed below the grid.

3. **Click *Delete*.**

4. **Click *Save*.**

The *Inventory* grid will be re-displayed reflecting any changes that were made.



CREATING A QAD PRECISION FTZ ADJUSTMENT BASED ON COMPANY INVENTORY

Once an Inventory Record has been added to QAD Precision FTZ, QAD Precision FTZ compares the company inventory balance indicated to the QAD Precision FTZ inventory balance **as of the entered Inventory date**. It displays any discrepancy that it detects between the company inventory balance and the QAD Precision FTZ balance. The operator can then allow QAD Precision FTZ to create either an Admission Adjustment Record if the company balance exceeds the QAD Precision FTZ balance or a Shipment Adjustment Record if the company balance is less than the QAD Precision FTZ balance.

1. From the Dashboard select Inventory Comparison.

The Inventory Comparison page is displayed.

The screenshot shows the 'Inventory Comparison' page in the QAD Precision FTZ application. The interface includes a top menu bar with options like 'File', 'Reporting', 'ABI', 'Link Files', 'Administration', and 'Help'. Below this is a navigation pane with tabs for 'Dashboard', 'Item Master', 'Purchase Order', 'Arriving Cargo', 'Admission', 'CBP Documentation', 'Shipment', 'Consumption', 'Inventory', and 'Supporting List'. The 'Inventory' tab is selected, leading to the 'Inventory Comparison' page. On the left, there is a sidebar with sections for 'Tasks', 'Inventory Comparison Date' (with a date picker showing '10/26/2016' selected), 'Options' (with 'Adjust Selected Inventory'), 'Reports' (with 'Inventory Comparison Report'), and 'Inventory Indicator' (with 'Positive (Admissions)' and 'Negative (Shipments)'). The main area displays a table with columns: 'Ma...', 'Item Number', 'Item Description', 'Inventory ...', 'Company Invento...', 'SmartZone Quantity', 'Quantity Diff...', and 'Variance...'. The table is currently empty, showing '0 Record(s) Loaded'. At the bottom, there is an 'Adjustment Information' section with fields for 'Positive Adjustment' (Conveyance Number) and 'Negative Adjustment' (Shipment Number), along with an 'Edit ...' button.

2. Use the pull down button in the selection box to select the inventory date on which to base the inventory comparison.

The Inventory Comparison records will display in the grid.

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Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption **Inventory** Supporting List

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Tasks

Inventory Comparison Date

Inventory Date: 11/16/2016

View Options

Positive (Admissions)
Negative (Shipments)
All Pending Records
Adjusted Records

Options

Adjust Selected Inventory

Reports

Inventory Comparison Report

Inventory Indicator

Positive (Admissions)
Negative (Shipments)

Tasks

Inventory Comparison

Search Export View Select Print

1 Record(s) Loaded

Drag a column header here to group by that column

Ma...	Item Number	Item Description	Inventory ...	Company Invento...	SmartZone Quantity...	Quantity Diff...	Variance...
	LTH-11964...	FUSION DINING CHAIR W...	11/16/2016	1.000000	0.000000	1.000000	100.0000

Record 1 of 1

Adjustment Information

Positive Adjustment

Negative Adjustment

Conveyance Number

Shipment Number

Edit ...

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This screen displays the company's Inventory Record balance and the calculated QAD Precision FTZ inventory balance as of the date of the inventory for each item.

3. **Review each inventory comparison record or click *Inventory Comparison Report* to review each adjustment in a report.**

Note: Review all QAD Precision FTZ Admissions, Shipments, and Consumption Records for accuracy and to ensure that all outstanding records have been committed/applied to QAD Precision FTZ. Also, review company records to ensure that inventory balances indicated are accurate.

4. **After verifying the inventory comparison, click *Select*→*Check All* and then *Adjust Selected Inventory* to generate an adjustment to the QAD Precision FTZ Inventory Records.**
5. **Click *Cancel* if the suggested adjustment should not be created at this time.**
6. **Enter the requested information pertinent to the adjustment records to be created.**



Positive Adjustments:

Enter the Adjustment Bill of Lading that the adjustment is to be related to. This bill of lading must already exist in QAD Precision FTZ. Use the pull down menu in the selection box to select from the Bill of Ladings and Conveyances already established for adjustment reporting.

Negative Adjustments:

Enter the Shipment Number that the adjustment is to be reported on. You can use the pull down menu in the selection box to select from Adjustment Shipment Numbers already established with the same inventory date or select New Shipment Number and enter a new unique Shipment Number.

7. Click *OK* once the Adjustment Record is complete.

An Inventory Adjustment Report is displayed.

Inventory Records that have errors are displayed at the beginning of the report under the heading *Unsuccessful*. These records must be corrected by changing the record.

Inventory Records that have no errors are displayed after the records with errors under the heading *Successful*.

Note: A permanent copy of the report may be created by clicking the printer icon in the menu.

8. Continue the Inventory Adjustment Process until all adjustments have been applied.

To complete the Adjustment Process for positive adjustments (overages), you will need to verify the data on the auto-created receipts for accuracy and submit those admissions to CBP, either by paper CBP214 or by electronic 214. Once approved, you will need to approve the admissions in QAD Precision FTZ so that they will become part of the inventory.

To complete the Adjustment Process for negative adjustments (shortages), go to Shipments/Import Documentation Processing. The operator must follow all the steps just like any normal import shipment, including receiving CBP approval for the shipment, applying the shipment as consumption to the admissions records, printing a Proforma Invoice and printing and submitting an Entry Summary to CBP with any applicable duty owed.



Chapter 11 Periodic Reporting

QUARTERLY HARBOR MAINTENANCE FEE REPORTING

SmartZone Premier
File
Reporting
ABI
Link Files
Administration
Help
Create Support Ticket
Skin

Dashboard
Item Master
Purchase Order
Arriving Cargo
Admission
CBP Documentation
Shipment
Consumption
Inventory
Supporting List

Training Videos
Server Process Monitor
Reminders

Admission Reports
CBP214 Report
CBP214 Detail Report
Harbor Maintenance Quarterly Report
Harbor Maintenance Recap Report
Open Manifest Report

Admission Reports
CBP Documentation Reports
Inventory Reports
Annual Reconciliation Reports
Customized Reports
Compliance Check
Customs Duty Reports
Other Reports

CBP214 Report

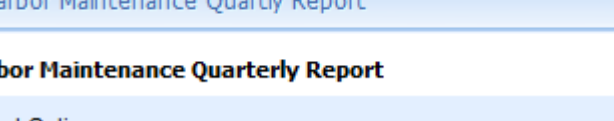
Click the button to run the report

Run Report

CENSUS USE ONLY		U.S. DEPARTMENT OF HOMELAND SECURITY Bureau of Customs and Border Protection		1. CBP USE ONLY (DO NOT WRITE)	
APPLICATION FOR FOREIGN-TRADE ZONE ADMISSION AND/OR STATUS DESIGNATION		2. CBP USE ONLY (DO NOT WRITE)		3. CBP USE ONLY (DO NOT WRITE)	
4. CBP USE ONLY (DO NOT WRITE)		5. CBP USE ONLY (DO NOT WRITE)		6. CBP USE ONLY (DO NOT WRITE)	
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163. CBP USE ONLY (DO NOT WRITE)		164. CBP USE ONLY (DO NOT WRITE)		165. CBP USE ONLY (DO NOT WRITE)	
166. CBP USE ONLY (DO NOT WRITE					

1. **From the Dashboard select Reporting. Then select Harbor Maintenance Quarterly Report under Admission Reports.**

The Harbor Maintenance Quarterly Report selection screen will be displayed.



Harbor Maintenance Quarterly Report

Harbor Maintenance Quarterly Report

Report Options

Year 2013

Quarter 4

Report Cancel

2. Enter the Report *Year* and *Quarter* and select *Report*.

This report is run quarterly so the quarter will be based on the following date ranges, and changing the year as needed:

1/1/XX – 3/31/XX – Quarter 1

4/1/XX – 6/30/XX – Quarter 2

7/1/XX – 9/30/XX – Quarter 3

10/1/XX – 12/31/XX – Quarter 4

The Harbor Maintenance Quarterly Report and the Harbor Maintenance Recap Report will be displayed.

Harbor Maintenance Quarterly Report

File View Background

100%

Approved OMB No. 1651-0055
Exp. 11/30/2011

DEPARTMENT OF HOMELAND SECURITY U.S. Customs and Border Protection HARBOR MAINTENANCE FEE QUARTERLY SUMMARY REPORT 19 CFR 24.24 SEND TO: U.S. Customs and Border Protection Office of Finance, Revenue Division 6650 Telecom Drive Indianapolis, IN 46278		1. Identifying Number ☒ EIN or IRS Number ☐ CBP Number ☐ SSN 2. Name of Company or Individual 3. Complete Mailing Address ☐ Check here if address has changed since last filing.		
4. REPORTING PERIOD Year <u>2013</u> (One Quarter Only) ☐ 1 Jan. 1 - Mar. 31 ☐ 2 Apr. 1 - Jun. 30 ☒ 3 Jul. 1 - Sep. 30 ☐ 4 Oct. 1 - Dec. 31				
Type of Shipment With Class Code	5. Value of Shipments	6. Value of Exemptions (from corresponding columns A to D of line 15)	7. Net Value (column 5 less column 6)	8. HMF Due (multiply the amounts in column 7 by appropriate rate)
A. Domestic Movements 503				0.00
B. FTZ Admissions 505	\$39,017.668000		\$39,017.668000	\$48.772085
C. Passengers 504				0.00
D. Total Line Value 5, 6, & 7	\$ 39,017.668000	\$	\$ 39,017.668000	
9. Total HMF Due (Total of Lines 8A through 8C)				\$ 48.772085
ITEMIZATION OF EXEMPTIONS	A. Domestic	B. FTZ(s)	C. Passengers	D. Total
10. Exempt Port				
11. Inland Waterway Fuel Tax				
12. Intraport				
13. U.S. Mainland-State/ Possession/Territory				
14. Other				
15. TOTALS (Also enter amounts in 15A thru 15D in 6A thru 6D above).				
16. CERTIFICATION I hereby under penalties provided by law that the above information regarding the Harbor Maintenance Fee is completed and accurate to the best of my knowledge.				

Harbor Maintenance Recap Report

File View Background

100%

Harbor Maintenance Recap Report

Company Name: SmartZone Premier (Demo) **Start Date:** 04/01/2012 **End Date:** 06/30/2012

Zone Admission Number	Approved Date	Bill of Lading Number	Invoice Value	HMF Due
39C2012040201	04/02/2012	1010112018813AJDIGF	\$160,310.00	\$200.39
39C2012040201	04/02/2012	10101120915190CGABJH	\$169,221.96	\$211.53
39C2012040201	04/02/2012	731220919221ACFGJJJE	\$36,356.00	\$45.45
39C2012040401	04/04/2012	0151120AFICBDHGE	\$200,517.12	\$250.65
39C2012040401	04/04/2012	2412112022CGCIBFFHI	\$116,428.80	\$145.54
39C2012040401	04/04/2012	79182192414CADCIBGAA	\$209,846.40	\$262.31
39C2012040501	04/05/2012	0151120AFICBDHGE	\$361,526.94	\$451.91
39C2012040501	04/05/2012	12141120BCAAEJBIJFG	\$285,389.70	\$356.74
39C2012040501	04/05/2012	79182192414CADCIBGAA	\$255,566.18	\$319.46
39C2012040701	04/07/2012	10101120915190CGABJI	\$192,807.00	\$241.01
39C2012040901	04/09/2012	1010112018813AJFJJI	\$127,800.00	\$159.75
39C2012040901	04/09/2012	10101120915100AEDEFD	\$91,576.80	\$114.47
39C2012040901	04/09/2012	10101120915190CGABJI	\$275,685.00	\$344.61
39C2012041001	04/10/2012	10101120915190CGABJI	\$356,078.37	\$445.10
39C2012041001	04/10/2012	10101120915190CGABJJ	\$156,940.17	\$194.93
39C2012041101	04/11/2012	10101120915190CGABJJ	\$523,204.24	\$654.01
39C2012041101	04/11/2012	7918211010CAHAEBAA	\$187,418.88	\$234.27
39C2012041101	04/11/2012	7918211010CAHAECDA	\$60,684.00	\$75.86
39C2012041201	04/12/2012	731220919221ACFHCD	\$4,824.00	\$6.03
39C2012041201	04/12/2012	79182192414CADCICHAA	\$333,648.16	\$417.06
39C2012041301	04/13/2012	1010112018813AJFJJJ	\$92,320.00	\$115.40
39C2012041301	04/13/2012	10101120915190CGABJJ	\$202,195.20	\$252.74
39C2012041301	04/13/2012	7918211010CAIFJGEAA	\$215,375.64	\$269.22
39C2012041601	04/16/2012	0151120AFICBDHGF	\$158,320.12	\$197.90
39C2012041701	04/17/2012	12042018257219416GE	\$75,875.00	\$94.84
39C2012041701	04/17/2012	12141120BCAAEJIBBDG	\$236,619.54	\$295.77
39C2012041701	04/17/2012	731220101221BFCFGFI	\$17,832.00	\$22.29
39C2012041801	04/18/2012	12141120BCAAEJIBBDG	\$125,469.04	\$156.84
39C2012041901	04/19/2012	10101120915190CGACCE	\$544,467.81	\$680.58
39C2012042001	04/20/2012	10101120915190CGACCF	\$599,529.88	\$749.41

Page 1 of 4 100%

Note: A printed copy of the reports can be obtained by clicking the printer icon in the menu at the top of the screen.

To save a copy of the report, click the green disk icon on the menu at the top of the screen.

If there are no Admissions within the date range specified, a message to that effect will be displayed.

Return to the Harbor Maintenance Quarterly Report selection screen and correct the *Year* and *Quarter* as needed.

3. **The Harbor Maintenance Fee Report will be generated for all ocean Admissions that have occurred within the quarter(date range) specified provided that the *Harbor Maintenance Required* box in the *Bill of Lading* section of the Arriving Cargo Information section has been left checked.**
4. **Click the red X at the top of the menu to close the report.**
5. **Prepare the submission of the Harbor Maintenance Quarterly Report for CBP.**

Attach the payment of the indicated fee to the CBP349 that printed from QAD Precision FTZ and mail to CBP at the specified address before the end of the month.

or

Send this report to the broker and the broker can submit payment to CBP and bill the user for the fee.

Note: To obtain the detail of the HMF Quarterly Report go to Reporting→ Admission Reports→ Harbor Maintenance Recap Report. This report provides the Admission Number, Approval Date, Bill of Lading Number, Value and HMF Due per Admission reported on the HMF Quarterly Report.



ANNUAL INVENTORY RECONCILIATION REPORTING

The Annual Reconciliation Report is used in the annual inventory reconciliation and audit trail. This report should be maintained but is not required for submission to CBP. This report is also utilized for other inventory analysis for specific items or timeframes as necessary.

SmartZone Premier

File Reporting ABI Link Files Administration Help

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Annual Reconciliation Reports

Annual Reconciliation Report

Annual Reconciliation Detail Report

OFIS FTZ ICRS Report

Admission Reports

CBP Documentation Reports

Inventory Reports

Annual Reconciliation Reports

Customized Reports

Compliance Check

Customs Duty Reports

Other Reports

CBP Annual Reconciliation Summary Report

Click the button to run the report

Run Report

Annual Reconciliation Report

SmartZone - Furniture FTZ002 00

Reporting Period: 1/1/2012 - 12/31/2012

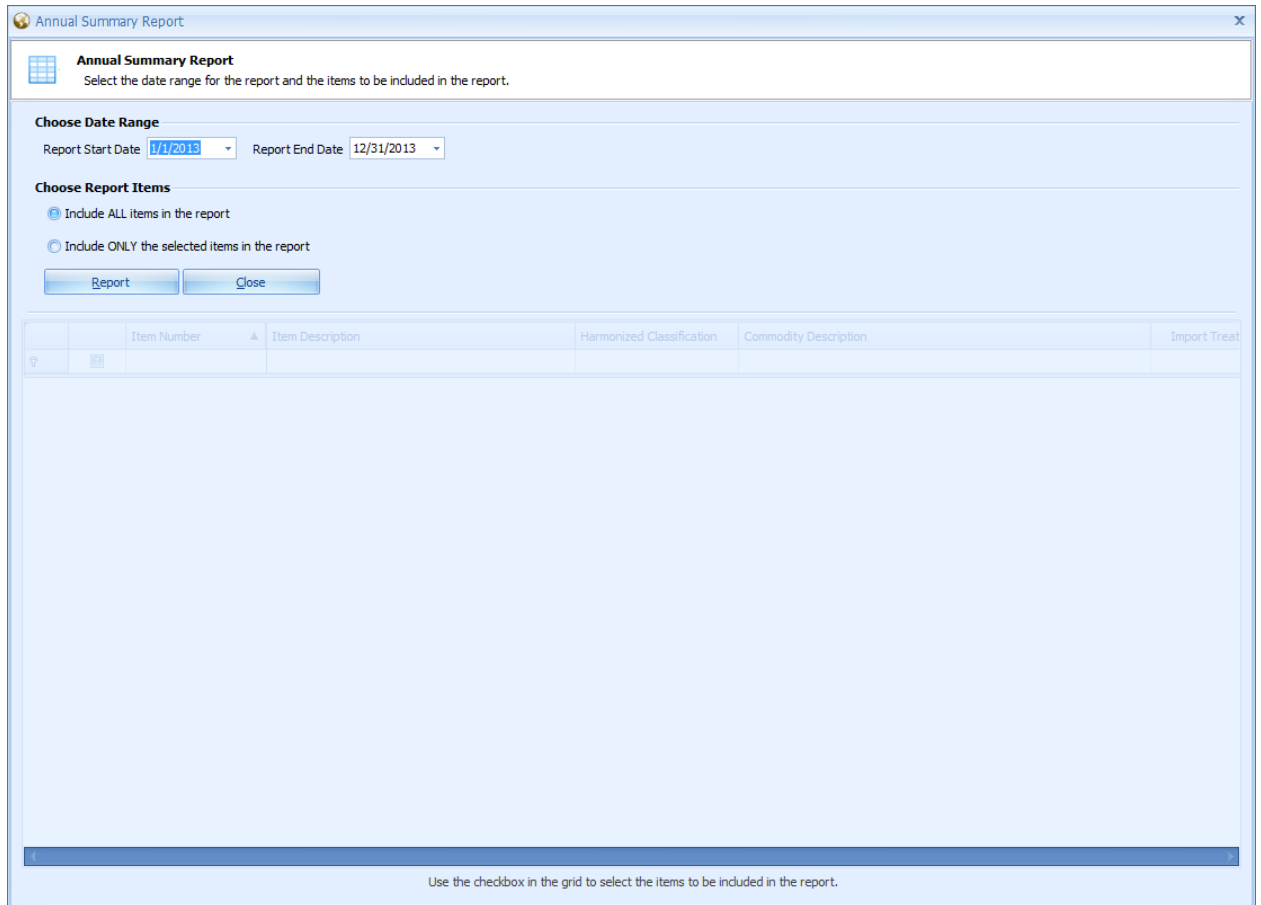
Item Number	Beginning Balance 1/1/2012	Cumulative Admissions	Positive Adjustments	Cumulative Consumption	Negative Adjustments	Ending Balance 12/31/2012
18012AAAAAB Magazine Rack Wardrobe Door Futon Bed	0.00	0.00	0.00	0.00	0.00	0.00
Domestic	0.00	0.00	0.00	0.00	0.00	0.00
Non-Privileged Foreign	0.00	0.00	0.00	0.00	0.00	0.00
Privileged Foreign	0.00	0.00	0.00	0.00	0.00	0.00
Zone Restricted	0.00	0.00	0.00	0.00	0.00	0.00
18012AAAAAC Shelving Rack Continental Mattress Furniture Armchair	0.00	0.00	0.00	0.00	0.00	0.00
Domestic	0.00	0.00	0.00	0.00	0.00	0.00
Non-Privileged Foreign	0.00	0.00	0.00	0.00	0.00	0.00
Privileged Foreign	0.00	0.00	0.00	0.00	0.00	0.00
Zone Restricted	0.00	0.00	0.00	0.00	0.00	0.00
18012AAAAAD Built Wardrobes Sewel Armchairs	0.00	0.00	0.00	0.00	0.00	0.00
Domestic	0.00	0.00	0.00	0.00	0.00	0.00
Non-Privileged Foreign	0.00	0.00	0.00	0.00	0.00	0.00
Privileged Foreign	0.00	0.00	0.00	0.00	0.00	0.00
Zone Restricted	0.00	0.00	0.00	0.00	0.00	0.00
18012AAAAAE Sewel Armchair Bookcases Furniture Nursery Furniture Childrens Novelty Beds Shelves	0.00	0.00	0.00	0.00	0.00	0.00
Domestic	0.00	0.00	0.00	0.00	0.00	0.00
Non-Privileged Foreign	0.00	0.00	0.00	0.00	0.00	0.00
Privileged Foreign	0.00	0.00	0.00	0.00	0.00	0.00

Printed by: admin Page 1 of 2906 SmartZone Premier Version: 0 Wednesday, 18 January 2012 3:14 PM

Sleep Tite Trailers FTZ\kellieck Application Administrator 1024 x 768

1. From the Dashboard select Reporting. Then select Annual Reconciliation Reports→Annual Reconciliation Report.

The Annual Reconciliation Criteria selection screen will be displayed.



Annual Summary Report
Select the date range for the report and the items to be included in the report.

Choose Date Range
Report Start Date: 1/1/2013 Report End Date: 12/31/2013

Choose Report Items
☒ Include ALL items in the report
☐ Include ONLY the selected items in the report

Report Close

Item Number	Item Description	Harmonized Classification	Commodity Description	Import Treat

Use the checkbox in the grid to select the items to be included in the report.

2. **Enter the *Report Start Date* and *Report End Date*. Select *Include ALL Items in the report* or *Include ONLY the selected items in the report*.**

Instead of reporting all items in the Item Master, specific items can be selected by choosing the *Include ONLY the selected items in the report*. By selecting this option, a new field will appear so that you can search for specific item numbers. Enter the complete item number and then click *Search*. You can also search for a sequence of item numbers by only entering the first few digits or letters. Check the item/items to include in the report and then click *Report*.

If all items should be reported the *Include all Items in the Report* should be selected and then click *Report*.

3. **The *Annual Reconciliation Summary Report* will be generated for all items selected.**



Annual Reconciliation Report

Reporting Period: 1/1/2013 ~ 12/11/2013

Item Number	Beginning Balance 1/1/2013	Cumulative Admissions	Positive Adjustments	Cumulative Consumption	Negative Adjustments	Ending Balance 12/11/2013
QATrinket1 Trinket for testing	0.000000	100.000000	0.000000	10.000000	0.000000	90.000000
Domestic	0.000000	0.000000	0.000000	0.000000		0.000000
Non-Privileged Foreign	0.000000	100.000000	0.000000	10.000000		90.000000
Privileged Foreign	0.000000	0.000000	0.000000	0.000000		0.000000
Zone Restricted	0.000000	0.000000	0.000000	0.000000		0.000000

This report provides the beginning balance, cumulative admissions, positive adjustments, cumulative consumption, negative adjustments and ending balance broken down by item and zone status.

Note: A printed copy of the reports can be obtained by clicking the printer icon in the menu at the top of the screen.

To save a copy of the report, click the green disk icon on the menu at the top of the screen.

4. Click the red X at the top of the menu to close the report.

Note: To obtain the details of the Annual Reconciliation Summary Report go to Reporting→ Annual Reconciliation Reports→ Annual Reconciliation Detail Report. This report provides the Admissions and Shipments consumed by item for a specified time period.

ANNUAL OFIS BOARD REPORT

The annual OFIS report is due every year by March 31st. All FTZs are required to complete this report. You will use QAD Precision FTZ's OFIS FTZ ICRS Report to gather QAD Precision FTZ's portion of this report. The data is then entered into the corresponding fields in the online OFIS report located at <http://ita-web.ita.doc.gov/FTZ/OFISLogin.nsf>.



OFIS FTZ ICRS REPORT

SmartZone Premier

File Reporting ABI Link Files Administration Help

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Annual Reconciliation Reports

- Annual Reconciliation Report
- Annual Reconciliation Detail Report
- OFIS FTZ ICRS Report**

OFIS FTZ ICRS Report

Click the button to run the report

Run Report

OFIS FTZ ICRS Report
Mobile Trading Corporation/CP

Reporting Period: 1/1/2015 12:00:00 AM - 12/31/2015 11:59:59 PM

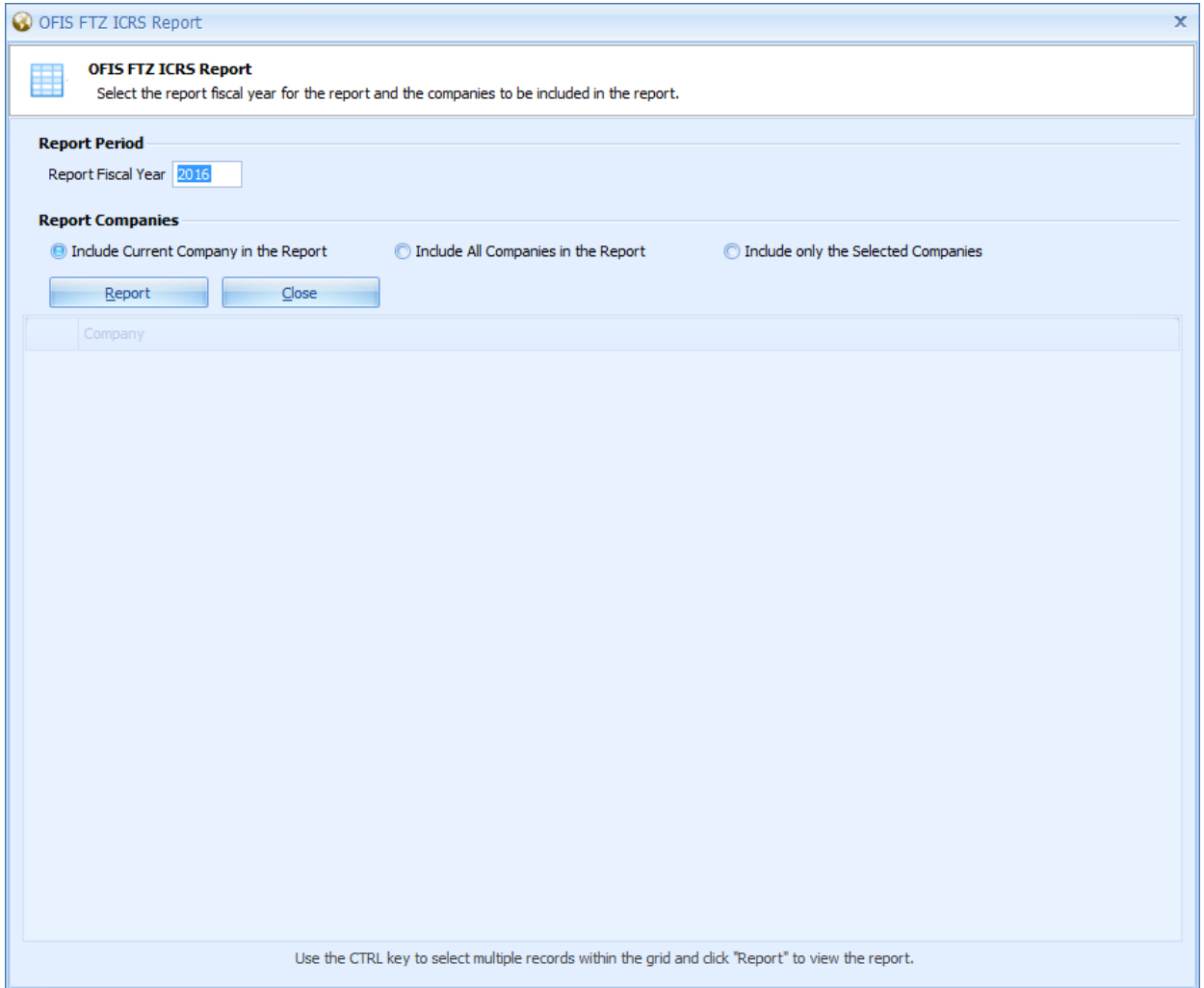
PART II: MOVEMENT OF MERCHANDISE (for Zone No. 2362)

	Beginning Value	Ending Value
Total number of items admitted during reporting year	0	0
Total number of countries of origin being reporting year	0	0
A. Merchandise in the Zone at Beginning and End of Fiscal Year		
Domestic Origin/Duty Paid	\$2,385,611,596	\$2,385,611,546
Foreign Status	\$61,256,251	\$61,272,626
Total	\$3,058,817,847	\$3,058,883,871
B. Movement of Merchandise Received		
Domestic Origin/Duty Paid	1000	\$214
Foreign Status		\$989,549
From Other U.S. FTZ's:		
Domestic Status	\$0	\$0
Foreign Status	\$0	\$0
Total	\$989,549	\$989,549
Forwarded:		
To The U.S. Market	\$788,167	\$121
To Foreign Countries	\$121	\$0
To Other U.S. FTZ's	\$0	\$0
Total	\$788,288	\$121
C. Total Material Destroyed in the Zone		
Material Destroyed in the zone	\$0	\$0

Printed by: FTZ\jphugger Page 1 of 2 Thursday, 02 April 2015 04:38 PM

1. From the Dashboard select Reporting. Then select Annual Reconciliation Reports→OFIS FTZ ICRS Report.

The OFIS FTZ ICRS Report criteria selection screen will be displayed.



OFIS FTZ ICRS Report
Select the report fiscal year for the report and the companies to be included in the report.

Report Period
Report Fiscal Year: 2016

Report Companies
☒ Include Current Company in the Report
☐ Include All Companies in the Report
☐ Include only the Selected Companies

Report Close

Company

Use the CTRL key to select multiple records within the grid and click "Report" to view the report.

2. **Enter the Report Fiscal Year. Select *Include Current Company in the Report*, *Include All Companies in the Report* or *Include only the Selected Companies* and then select *Report*.**

If multiple companies should be included in the Zone report select *Include only the Selected Companies in the Report*. Once the grid appears multiple companies can be selected by holding down the Shift and Ctrl keys.

If only the current company should be reported the *Include Current Company in the Report* should be selected.

If all companies should be reported the *Include All Companies in the Report* should be selected.

Once you have selected the Report Companies, click *Report*.

3. **The OFIS FTZ ICRS Report will be generated.**

You will get a message in the lower portion of QAD Precision FTZ stating that the request was submitted to the server. You can exit the Reporting section and work in other areas of QAD Precision FTZ. When the report is complete, it will automatically load. This can take up to 5 to 10 minutes. Do not close out QAD Precision FTZ since this will cancel the request.



Annual Board Report

File View Background

100%

OFIS FTZ ICRS Report

Mobile Trading CorporationOP

Reporting Period : 1/1/2016 12:00:00 AM - 12/31/2016 11:59:59 PM

PART III. MOVEMENT OF MERCHANDISE (for Zone No. 230D)

Total number of items admitted during reporting year: 3

Total number of countries of origin during reporting year: 3

A. Merchandise in the Zone at Beginning and End of Fiscal Year

	Beginning Value	Ending Value
Domestic Origin/Duty Paid	\$3,034,468,874	\$3,034,468,920
Foreign Status	\$230,497,133	\$230,497,388
Total	\$3,264,966,007	\$3,264,966,308

B. Movement of Merchandise

	Value
Received	
Domestic Origin/ Duty Paid	\$50
Foreign Status	\$255
From Other U.S. FTZ's :	
Domestic Status	\$0
Foreign Status	\$0
Total	\$305
Forwarded	
To The U.S. Market	\$0
To Foreign Countries (Exports)	\$4

Page 1 of 2

100%

This report provides the data required for the Annual Board Report that is submitting through OFIS (Online FTZ Information System) each year.

Note: A printed copy of the reports can be obtained by clicking the printer icon in the menu at the top of the screen.

To save a copy of the report, click the green disk icon on the menu at the top of the screen.

4. Click the red X on the menu at the top of the report to close.

Chapter 12 Scrap Processing

Functional Overview

Scrap processing in QAD Precision FTZ allows the operator to take advantage of scrap savings. When material admitted to a zone is destroyed in the zone, there is no entry reporting requirements since the material never “entered” the commerce of the United States. To satisfy this requirement, destruction must be complete with the result of the destruction having no residual value. On occasion, a zone will destroy material or parts within the zone or render it unusable but will then sell the residual material as scrap to a recycler. If the admitted material or parts that were destroyed and then sold for scrap have NPF status, then the material removed from the zone can often be classified for CBP purposes under a HTSUS scrap provision. Scrap HTSUS classifications are usually at a duty rate of 0%. QAD Precision FTZ is capable of reporting the “scrap” HTSUS for recoverable scrap as applicable.

When items themselves are classified under the same HTSUS, it is logical to expect that those items when scrapped would also be classified under the same HTSUS Scrap Classification. For example, material classified as Aluminum Foil when scrapped would be classified under the HTSUS for scrap aluminum. Parts classified as metal screws when scrapped would be classified as scrap metal. Therefore, a field to enter a “scrap” HTSUS is available for each HTSUS in the HTSUS Supporting List. When an item is assigned an HTSUS, the scrap HTSUS associated with that HTSUS will be suggested for that item. This scrap HTSUS can be changed at the user’s discretion within the Item Master.

To identify items being scrapped and then shipped for resale as recoverable scrap, a scrap shipment type will be utilized to identify the shipment as recoverable scrap. The CBP transfer type associated with the shipment type will indicate the recoverable scrap. When this shipment type is processed, the item being removed from the zone will be associated with either an individual entry or a weekly entry and will be classified using the Scrap HTSUS instead of the normal Shipped Item HTSUS. The Scrap HTSUS will be reported on both the Proforma invoice and the CBP7501.

Scrap Defined:

- Recoverable Scrap (Recyclable Scrap) is waste and spoilage that is of value to a party and is shipped from a zone.
 - Although the dutiable value of recoverable waste or scrap may be the price actually paid or payable to the zone seller in the transaction that caused the recoverable waste or scrap to be transferred from the zone, QAD Precision FTZ takes a more conservative approach in this gray area of valuation. The FTZ dutiable value in QAD Precision FTZ is the value of the depleted CBP214 Admission layer.
 - The duty rate for most scrap HTSUS is “FREE.”
 - In some instances, recyclable scrap may be placed back into production in the zone. Since it is not leaving the zone, then it doesn’t need to be recorded in SZ. It must be monitored through variances and mapped into downloads which are manually entered as it ships out of the zone.
- Irrecoverable Scrap or True Scrap is damage, waste and spoilage that is either destroyed or landfilled and is of no value to any party.

Where Used:



Supporting Lists, Item Master Processing, Admission Processing, Shipment Processing, Consumption, CBP Reporting

Sections Used:

Harmonized Tariff Schedules, Item Master, Admissions, Consumption, Shipments

Suggested Sequence of Steps for Scrap Processing:

1. **Create a Shipment Type in QAD Precision FTZ and assign it to the corresponding CBP Shipment Treatment Type, 'SCP'.**
2. **Select Scrap Harmonized Tariff Schedule Numbers from Harmonized Tariff Schedules Supporting List.**
3. **Assign Scrap HTSUS to Items in Item Master.**
4. **Add Scrap HTSUS to Weekly Estimate.**
5. **Create Scrap Shipments.**
 - a. Download Shipment records
 - b. Manually add Shipment records.
6. **Validate and Commit Shipment Records.**
7. **Create Individual Entries or apply Shipments to Weekly Estimates.**
8. **Apply Consumption to Shipments.**
9. **Report Consumption to CBP.**



SCRAP PROCESSING

QAD Precision FTZ is directed to choose an item's scrap HTSUS through a shipment utilizing a Shipment Treatment Code (STC) of 'SCP' (Devalued Product), 'YLD' (Yield Loss), or 'DES' (Destroyed). Additional item master records are not required to utilize QAD Precision FTZ scrap privileges. Multiple Scrap STCs may be utilized for various types of scrap.

CREATING SHIPMENT TYPES TO UTILIZE SCRAP SHIPMENT TREATMENT CODES

QAD Precision FTZ requires a Shipment Type with a Scrap STC to take advantage of the scrap savings. Users must decide which shipment types require scrap handling and set these up in the Shipment Supporting List.

1. Select Supporting List→ Shipment Types from the toolbar.

The screenshot displays the SmartZone Premier application window. The top menu bar includes 'File', 'Reporting', 'ABI', 'Link Files', 'Administration', and 'Help'. Below this is a toolbar with various functional tabs like 'Dashboard', 'Item Master', 'Purchase Order', 'Arriving Cargo', 'Admission', 'CBP Documentation', 'Shipment', 'Consumption', 'Inventory', and 'Supporting List'. The 'Supporting List' dropdown menu is open, showing a list of categories including Buyers, Carriers, Countries, Currency, Customers, Harmonized Tariff Schedule, Antidumping/Countervailing, Manufacturers, Domestic Ports, Foreign Ports, CA Provinces / MX States, **Shipment Types** (highlighted), U.S. States, Company Units of Measure, Vendors, Zone Status, Related Parties, and Shipping Package Units. The main content area features a 'Processing Flow' diagram with boxes for Item Master, Purchase Order, Import CBP Documentation, Inventory Comparison, Arriving Cargo Information, Admissions, Shipments, Consumption, Inventory, ISF, E214 Processing, In-Bond CBP Documentation, and Reporting. Below the diagram are sections for 'Reminders and Notifications' (showing 'Weekly Actuals Due (Due on 12/5/2016)' and 'Weekly Estimate Due (Due on 12/5/2016)') and 'Notifications'. On the right, there's a 'Weekly Estimate' section with a bar chart titled 'Top Weekly Estimate Usage' showing three bars with values 4401.10.0000, 9401.69.2010, and 9401.79.0025. The bottom status bar shows 'Sleep Tite Trailers', 'FTZ\kellieeck', 'Application Administrator', and '1024 x 768'.

The Shipment Types grid will be returned.

2. Click *Add New* at the top of the Shipment Types grid to add a new Scrap Shipment Type.

Enter in the company internal Shipment Type Code and match it with an appropriate STC from the Shipment Treatment drop-down.

- SCP – Consumed products using this STC will be reported to CBP on an individual/weekly entry using the associated scrap HTS from the item master.



- YLD – Consumed products using this STC will not be reported to CBP on an individual/weekly entry.
- DES – Consumed products using this STC will not be reported to CBP on an individual/weekly entry.

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List

Training Videos Server Process Monitor Reminders

Shipment Types Add new Delete Save Discard Search Export Refresh View Print 17 Record(s) Loaded

Drag a column header here to group by that column

Treatment Type	Treatment Type Description	Treatment Type Comment	Treatment Transfer Type	Shipment Type	Description
IMP	Import	Traditional import	Import	IMP	Import
EXP	Export	Traditional export	Export	EXP	
ZTZ	Zone to Zone	Traditional Zone-to-Zone	In Bond	ZTZ	ZTZ with In Bond
ADJ	Adjustment	Inventory count decrease adjustments	Import	ADJ	Inventory Adjustment
ANE	Adjustment No Entry	Adjustment - No Entry Required	No Entry Required	DLT	Deplete Inventory
DES	Destroyed	Destroyed scrap (No Entry Made)	No Entry Required	SCP	Scrap
SCP	Scrap	Sold for scrap or discounted value (Entry Made)	Import	SC	Scrap
SPI	Sample	Shipments that meet CBP sample requirements	Import	SPI	Sample Test

Record 1 of 17

General Information

Shipment Treatment: IMP Import

Description: Traditional import

Import Export In-Bond No Entry Required

Shipment Type: IMP

Shipment Type Comment: Import

Comments:

Sleep Tite Trailers FTZ\kellieeck Application Administrator 1024 x 768

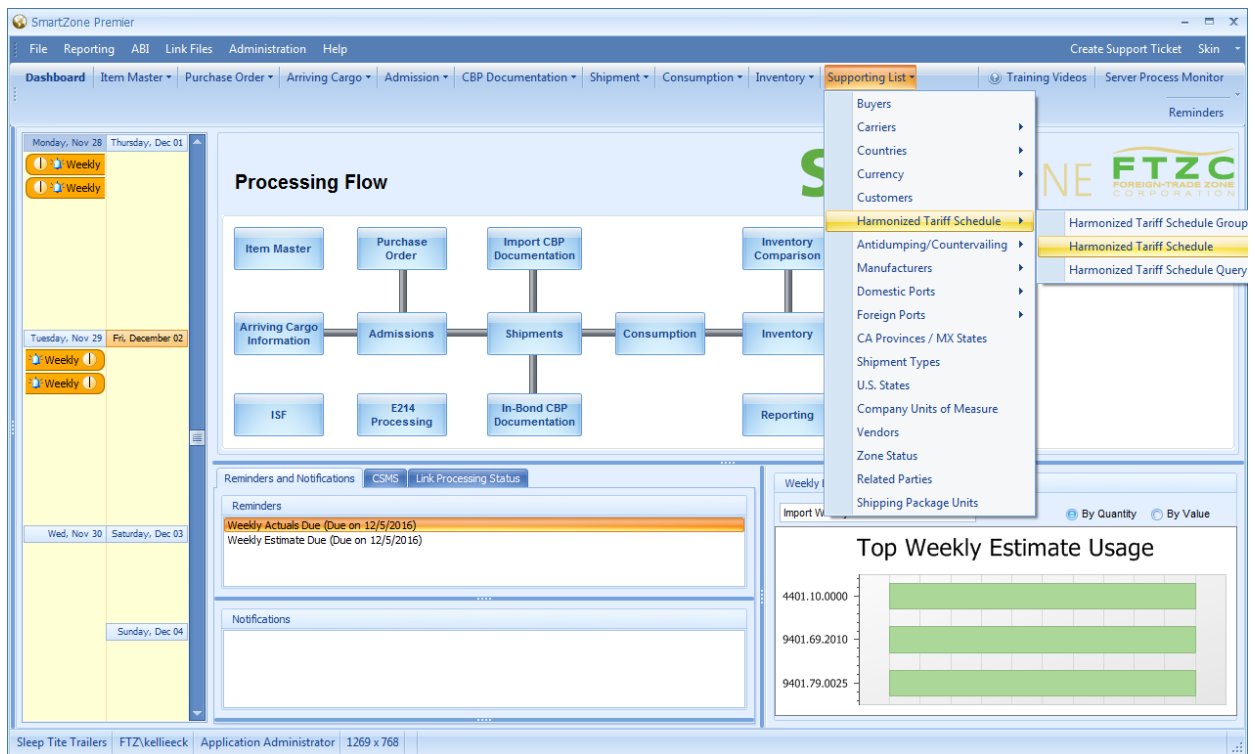
3. Save the new Shipment Types and exit the screen when finished.

CREATING SCRAP HARMONIZED TARIFF SCHEDULE NUMBERS

Before utilizing Scrap Processing the user must first enter HTSUS information into the Harmonized Tariff Schedule supporting list. To find the required HTSUS and its information, go to the Harmonized Tariff Schedule of the United States (“HTSUS”) at http://www.foreign-trade-zone.com/hts_usa.htm. Use the Alphabetical Index found at the end of the Table of Contents to locate the Scrap HTSUS. Go to the appropriate chapter that is the first two digits of the number from the Alphabetical Index. Once in the chapter, scroll to the exact number from the Alphabetical Index and, using the descriptions, determine the exact 10-digit HTSUS classification and description. This HTSUS and its associated information should be selected in the QAD Precision FTZ Harmonized Classification supporting list.

1. Select Supporting List→ Harmonized Tariff Schedules→Harmonized Tariff Schedule from the toolbar.





The Harmonized Classifications grid will be returned.

2. Search for the Tariff Number in the search section.

Note: You may have to uncheck “Search active records only” or “Search company records only” to find your HTSUS.

Example for Scrap Aluminum:

Found in HTSUS Alphabetical Index as ‘Aluminum as waste and scrap’ with HTSUS# 7602.00 in the HTSUS, Chapter 76: Aluminum and articles thereof.

Find 760200 and determine the aluminum scrap is not ‘alum used beverage cont scrap’, thus it is ‘aluminum waste and scrap’. HTSUS 7602.00.0090 with KG as the Primary Unit of Measure on the General Information tab and a zero percent duty rate on the Duties tab.

SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List Training Videos

Server Process Monitor Reminders

Harmonized Tariff Schedule Save Discard Search Export View Select Print You have pending changes to save. 2 Record(s) Loaded

Please use search to find the records that meet your specific requirements, you can search data against single or multiple columns.

Tariff Number 7602 And

Commodity Description

Advanced Search Search active records only Search company records only Restore Default Conditions Go

Drag a column header here to group by that column Hint: To enhance grid filter use a wildcard search such as *

Select	Tariff Number	Selected	Commodity Description	Begin Effective Date	End Effective Date	Primary Unit Of Measure
☐	7602000030	☒	ALUM USED BEVERAGE CONT SCRAP	1/1/1989	12/31/9999	KG
☒	7602000090	☒	ALUMINUM WASTE AND SCRAP	1/1/1989	12/31/9999	KG

Record 2 of 2

General Information Duties Other Government Agency Special Programs

Tariff Number 7602000090

Commodity Description ALUMINUM WASTE AND SCRAP

Begin Effective Date 1/1/1989

End Effective Date 12/31/9999

Primary Unit Of Measure KG

Secondary Unit Of Measure

Third Unit Of Measure

Sleep Tite Trailers FTZ:kellieck Application Administrator 1103 x 809

3. Check this HTSUS and *Save* the new HTSUS and exit the screen when finished.

ADDING SCRAP HTSUS TO INDIVIDUAL ITEMS

1. From the Dashboard select Item Master→ Active to view active Item Master Records.
The Item Master grid will be displayed.
2. Using the search options, locate the specific Item Number to attach the Scrap HTSUS.
The item will be returned in the grid.
3. Double click on the Item to retrieve the Item Detail Information. Choose the Harmonized Tariff Schedule Tab. Using the Scrap Harmonized Classification drop-down locate the correct Scrap HTSUS.

Item Master: QATrinket1

Please complete the required fields to save the record.

SZ

General Information | Harmonized Tariff Schedule | Participating Government Agency | Company Specific Information | Hazardous Materials | Custom Fields | Attachments

Primary Harmonized Classification Group: [X v ...]

Primary Harmonized Classification: 3213.90.0000 [X v ...] PAINT/VARNISH,OTHER SET CO [X v ...]

Primary Unit of Measure: PCS Primary Unit of Measure Conversion Factor: 1.000000

Secondary Unit of Measure: [X]

Third Unit of Measure: [X]

PN Disclaimer Flag: [X]

Tariff Number	Begin Effective Date	End Effective Date	Commodity Description
0101.21.0010	2/3/2012	12/31/9999	MALE HORSES, PUREBRED BREE
0407.90.0000	10/31/2012	12/31/9999	BIRD EGG IN SHL PRESRVD/CO
2208.60.2000	12/12/2003	12/31/9999	VODKA, <=4 L CNT, >\$2.05/LITER
2508.30.0000	1/1/1999	12/31/9999	FIRE-CLAY,WHE OR NOT CALCINED
2508.40.0110	2/3/2007	12/31/9999	COMMON BLUE & OTHER BALL CLAYS
2516.12.0030	10/31/2012	12/31/9999	GRANITE:CLUT,INTO REC SHP, >
2516.90.0060	10/31/2012	12/31/9999	OTH MONUMENT/BUILD STONE, <
2517.10.0055	1/1/1990	12/31/9999	OTHER,BROKEN OR CRUSHED STONE
2710.19.4000	10/31/2012	12/31/9999	OTHER GREASES,WITH/WO ADDI
3213.10.0000	10/31/2012	12/31/9999	PAINTS/VARNISHES,COLORS IN
3213.90.0000	10/31/2012	12/31/9999	PAINT/VARNISH,OTHER SET CO
3215.90.5000	1/1/2013	12/31/2013	WRITING INKS AND OTHER INK
3304.30.0000	4/2/2003	12/31/9999	BEAUTY/MAKE-UP PREPS,MANI/PEDI

Scrap Harmonized Classification: [X v ...]

Primary Unit of Measure: [X] Primary Unit of Measure Conversion Factor: [X]

Secondary Unit of Measure: [X] Secondary Unit of Measure Conversion Factor: [X]

Third Unit of Measure: [X] Third Unit of Measure Conversion Factor: [X]

4. Insert the Scrap HTSUS *Primary Unit of Measure Conversion Factor*.
5. Make sure to add the Scrap HTS to your weekly estimate if it does not currently exist. (See *Chapter 10 CBP Documentation Processing, Correcting a Weekly Estimate for Import* section)

CREATING SCRAP SHIPMENTS

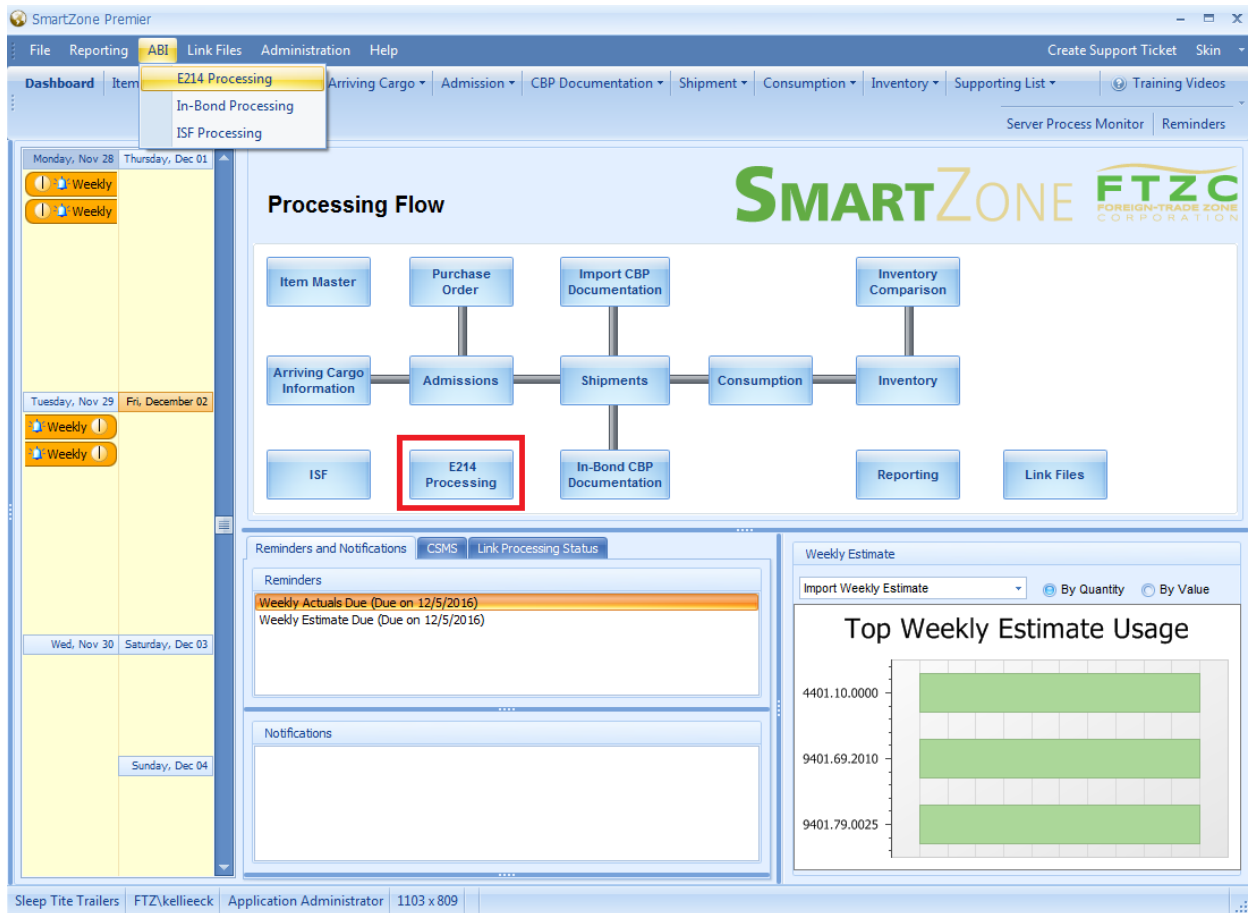
With the scrap information now in place, scrap processing may now occur. As shipments with a SCRAP ('SCP') STC are processed, QAD Precision FTZ will use the scrap HTS associated with the consumed FTZ component.

The user will choose a combination of the Scrap Shipment Types with various Scrap CBP Shipment Treatments to create various compliant scrap records. Some questions the user should ask when creating scrap shipments are:

- Is the shipped scrap true scrap or recoverable scrap?
- Is the shipment going into U.S. commerce, exporting to a foreign country, transferring to a zone, going to a landfill, or to be destroyed in the zone?
- Scrap shipments can be manually entered or downloaded following *Shipment Processing* in Chapter 11.



Chapter 13 E214 Processing



Functional Overview

The Foreign Trade Zone (FTZ) Online (E214) application is a new web-based system that replaces filing of FTZ data via the now expired Census Bureau's Automated Foreign Trade Zone Reporting Program (AFTZRP). The CBP E214 reduces the amount of document handling placed on CBP officers and FTZ operators. Although the FTZRP allowed FTZs to report statistical data directly to the Census Bureau on merchandise being admitted into an FTZ, a hard copy non-statistical CBP Form 214 was also required to be submitted to CBP for processing. The E214 program combines the FTZ data submissions for the Census Bureau and CBP.

This new program offers the following benefits to the trade:

- Provides a paperless transmission of FTZ data to CBP and the Census Bureau simultaneously which reduces the reporting burden on the trade by eliminating dual agency reporting of zone admission data.
- Provides upfront edits to increase reporting accuracy.
- Provides timely transmission of FTZ data.

CBP's E214 data is electronically transmitted by the automated filer/broker through the Automated Broker Interface (ABI) system. If an E214 admission fails the system validations, CBP Officer will not see it until the discrepancies are resolved. The filer/broker must delete, correct, and retransmit the E214 admission record for acceptance. Once the automated E214



admission is accepted by ABI, the admission resides in the E214 database. The Census Bureau cannot extract the data from the ABI system until all errors have been corrected and properly submitted in the E214 database. Therefore, when reporting data via the E214 program, it is very important to ensure that all errors are corrected in a timely manner and that all admissions are “concurrent.”

QAD Precision FTZ has a built-in E214 Processing module that interfaces with ABI. Once you are ready to report the admissions to CBP, you can use the E214 Processing module if you have been approved and setup to use E214s.

SUBMITTING AN E214

Once you are ready to send your 214 to CBP, you will submit the admission data in E214 Processing.

1. Select ABI → E214 Processing from the toolbar or select E214 Processing on the Dashboard.

The E214 Processing screen will be returned.

2. Enter a Start Date and an End Date based on the dates of the receipts that the admission is tied to. Click Load E214s.

All CBP Admission Numbers for the date range provided will return in the grid.

3. Find the admission number that you need to submit for approval.

You can filter the grid by the admission number by entering the data in the top row under the column header.

4. Click Select Action and then click Add E214 in the drop down.



A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the admission or it will return acceptance messages. Please refer to the *CATAIR Appendix P* located at the end of this manual for explanations of the messages received in E214 transmissions. You will need to correct any data and continue to ADD until CBP approves your admission and you receive your acceptance messages.

These are the messages returned for Approval of the E214:

ZONE ADMISSION DATA ACCEPTED
FTZ PAPERLESS ADMISSION
FTZ ADMISSION AUTHORIZED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. An E214 Message Details Box will appear.

E214 Message Details

View the message details for the transaction.

Sent Message

```

A1901SU7FTZ12302121401      1901SU7  FTZ123      PH2S1UDEQUO
B014601000FT
10A000000114000000059999Y111      11-111111111
20A11MAEUCMA CGM BIANCA      969S      2014011220140205460120140205
40MAEU867203991      0000000634SG55976E014
50000014901990070      SG000001582300NO 000000000000
5100000000150000000416140000000000N00005202
60HARDBOUND BOOKS
  
```

0

Received Message

```

A1901SU7      02121401      021214104924      PH2S1UDEQUO
B014601000FT
90A000000114000000059999Y111
91B1 1 0000001140000000595      1402121049
95 083 ZONE ADMISSION DATA ACCEPTED
Y 4601000NF00001
Z1901SU7      02121401      140212104924

A1901SU7      021214      PH2S1UDEQUO
B 4601000GF
90A00000011400000005954601Y
91B4 1 0000001140000000595      1402121051
95 FTZ PAPERLESS ADMISSION
Y 4601000NF00003
  
```

0

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.

DELETING AN E214

If you need to delete an E214 for any reason, you can delete the E214 in E214 Processing. This will cancel the submission of the E214.

- From the Dashboard select E214 Processing.**

The E214 Processing screen will be returned.

- Enter a *Start Date* and an *End Date* based on the dates of the receipts that the admission is tied to. Click *Load E214s*.**

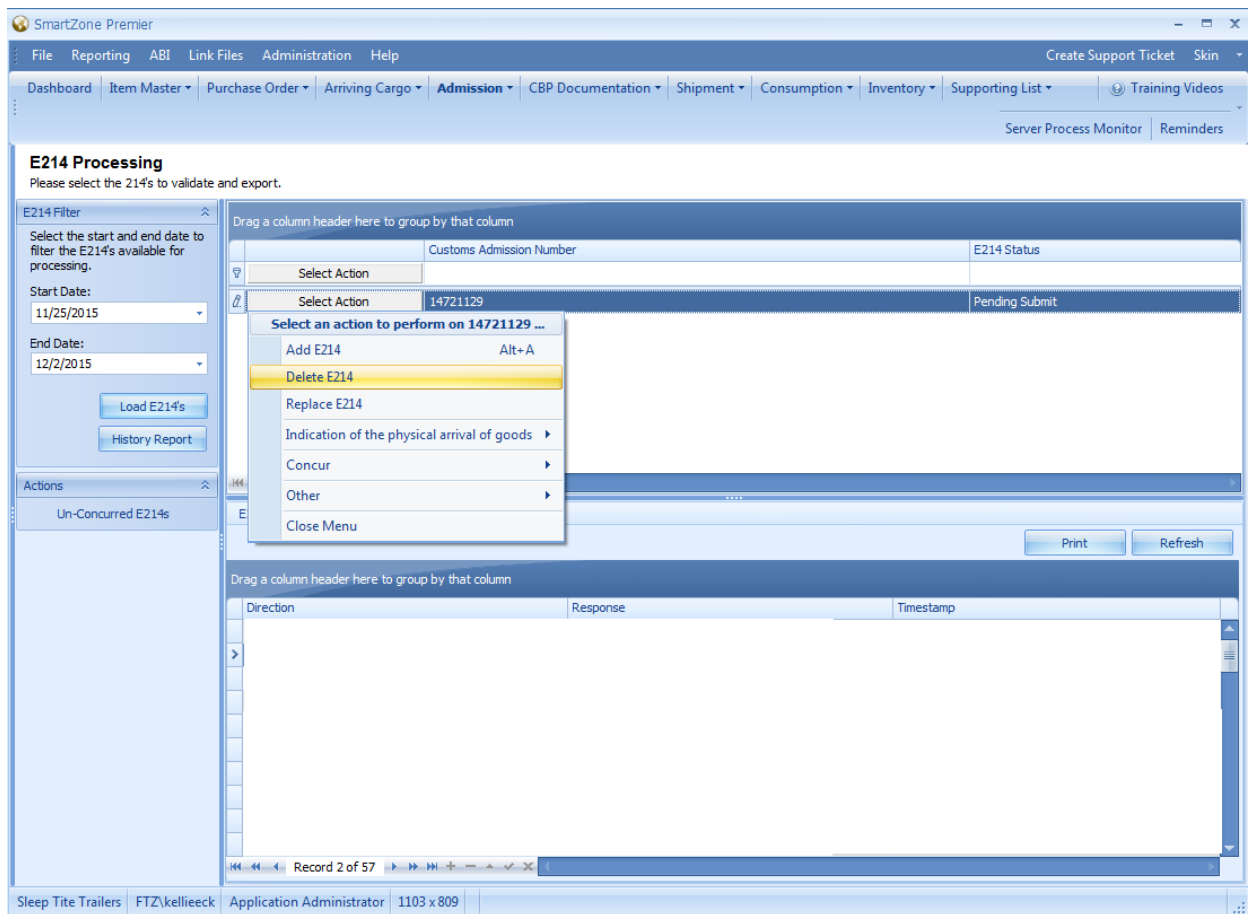
All CBP Admission Numbers for the date range provided will return in the grid.

- Find the admission number that you need to delete.**

You can filter the grid by the admission number by entering the data in the top row under the column header.

- Click *Select Action* and then click *Delete E214* in the drop down.**





A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the deletion or it will return confirmation messages. Please refer to the *CATAIR Appendix P* located at the end of this manual for explanations of the messages received in E214 transmissions.

These are the messages returned for Deletion of the E214:

ZONE ADMISSION DELETED
FTZ ADMISSION DELETED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. An E214 Message Details Box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.

REPLACING AN E214

If you need to replace an E214 because there were data issues in the original submission, you can replace the E214 in E214 Processing. This will update CBP with the correct data.



1. **From the Dashboard select E214 Processing.**

The E214 Processing screen will be returned.

2. **Enter a *Start Date* and an *End Date* based on the dates of the receipts that the admission is tied to. Click *Load E214s*.**

All CBP Admission Numbers for the date range provided will return in the grid.

3. **Find the admission number that you need to replace.**

You can filter the grid by the admission number by entering the data in the top row under the column header.

4. **Click *Select Action* and then click *Replace E214* in the drop down.**

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the replacement of the admission or it will return acceptance messages. Please refer to the

CATAIR Appendix P located at the end of this manual for explanations of the messages received in E214 transmissions.

These are the messages returned for Replacement of the E214:

ZONE ADMISSION DATA ACCEPTED
FTZ ADMISSION AUTHORIZED
FTZ PAPERLESS ADMISSION

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. An E214 Message Details Box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.

ARRIVING AN E214

Once you have received approval from CBP for the admission, you will need to “arrive” the admission. This indicates that the goods have been arrived into the zone.

1. From the Dashboard select E214 Processing.

The E214 Processing screen will be returned.

2. Enter a *Start Date* and an *End Date* based on the dates of the receipts that the admission is tied to. Click *Load E214s*.

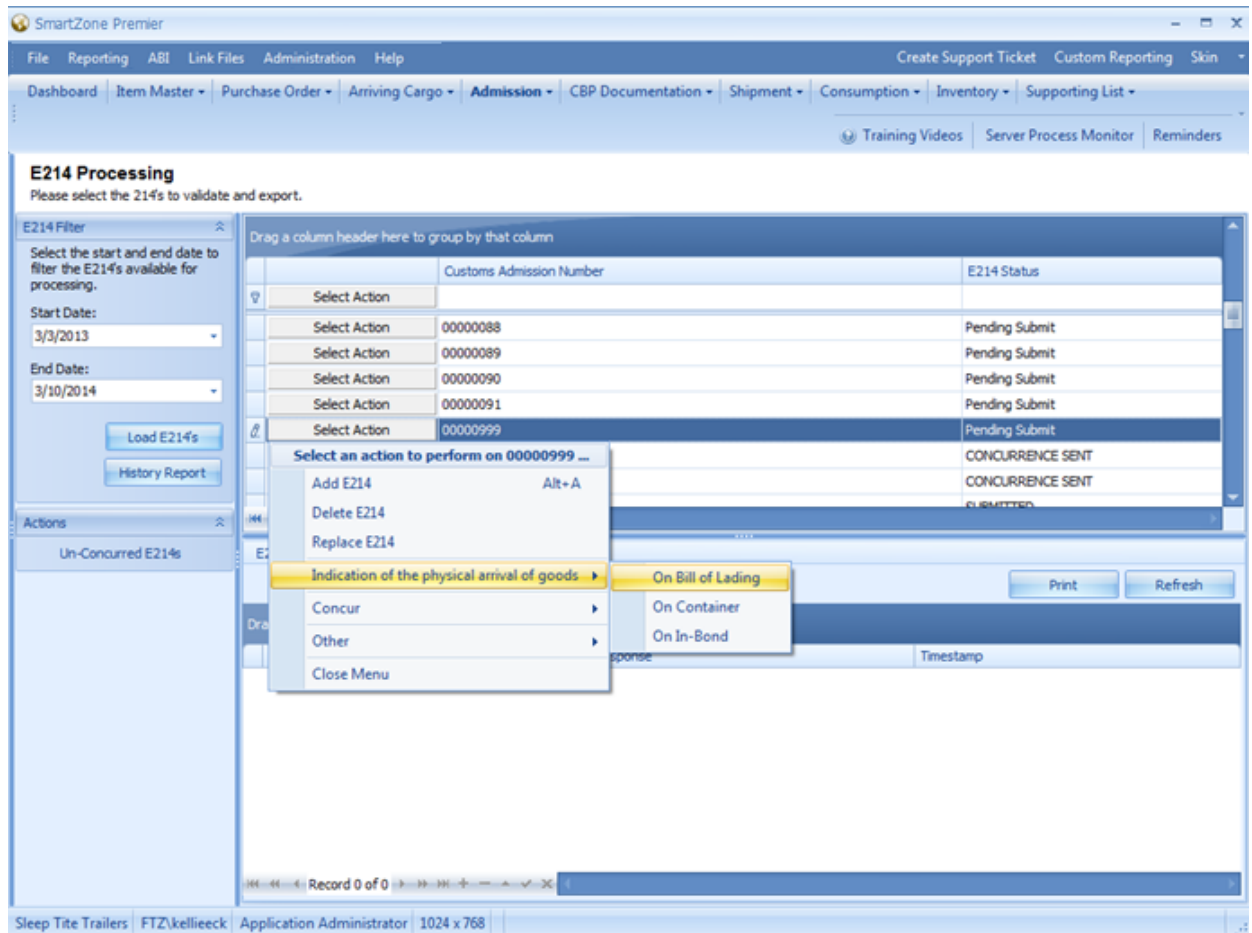
All CBP Admission Numbers for the date range provided will return in the grid.

3. Find the admission number that you need to arrive.

You can filter the grid by the admission number by entering the data in the top row under the column header.

4. Click *Select Action* and then click *Indication of the physical arrival of goods* in the drop down. Then click the level at which you need to arrive the goods.





Choose *On the Bill of Lading* if you have received all goods associated with a Bill of Lading and you need to arrive on the Bill of Lading level. A box will pop up that will display all Bills of Lading that are associated with the admission. Check the Bills of Lading that you wish to arrive. Click *Ok*.

Choose *On Container* if you have received all goods associated with a container and you need to arrive on a container level. A box will pop up that will display all the containers that are associated with the admission. Check the containers that you wish to arrive. Click *Ok*.

OR

Choose *On in-bond* if you have received all goods associated with an In-Bond and you need to arrive on the in-bond level. A box will pop up that will contain all the in-bonds associated with the admission. Choose the in-bond from the drop down that you wish to arrive. Click *Ok*.

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action and arrival level. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the arrival or it will return acceptance messages. Please refer to the *CATAIR Appendix P* located at the end of this manual for explanations of the messages received in E214 transmissions. You will need to correct

any data and continue to “arrive” until CBP approves your arrival data and you receive your acceptance messages.

This is the message returned for the successful arrival of merchandise:

PHYSICAL ARRIVAL DATA ACCEPTED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. An E214 Message Details Box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.

CONCURRING AN E214

Once you have received acceptance from CBP for the arrival of the goods, you will need to “concur” the admission. This indicates that the reported quantities from the admission are correct. This will close out the bill of ladings once the admission is fully concurred.

- 1. From the Dashboard select E214 Processing.**

The E214 Processing screen will be returned.

- 2. Enter a *Start Date* and an *End Date* based on the dates of the receipts that the admission is tied to. Click *Load E214s*.**

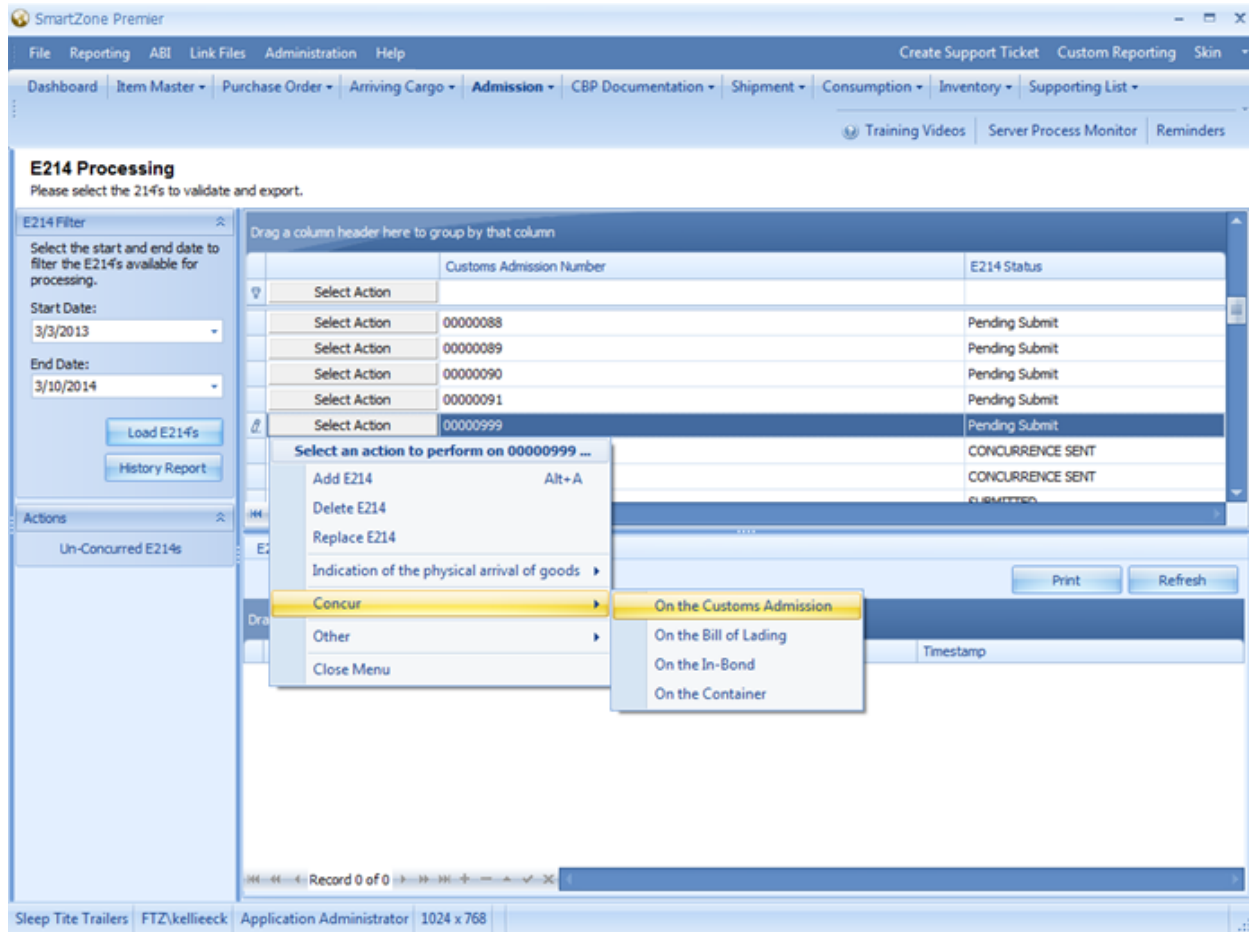
All CBP Admission Numbers for the date range provided will return in the grid. Find the CBP Admission Number that you want to submit to CBP.

- 3. Find the admission number that you need to concur.**

You can filter the grid by the admission number by entering the data in the top row under the column header.

Click *Select Action* and then click Concur in the drop down. Then click the level in which you need to concur on the goods.





Choose *On the Customs Admission* if you have received all goods associated with the customs admission number and you need to concur on the Customs Admission level. A box will pop up that will request the delivery code for the concurrence. Choose the delivery code and then click *Ok*.

OR

Choose *On the Bill of Lading* if you have received all goods associated with a Bill of Lading and you need to concur on the Bill of Lading level. A box will pop up that will display all bills of lading that are associated with the admission. Check the Bills of Lading that you wish to concur. Click *Ok*.

OR

Choose *On the Container* if you have received all goods associated with a container and you need to concur on a container level. A box will pop up that will display all of the containers associated with the admission. Check the containers that you wish to arrive. Click *Ok*.

OR

Choose *On the in-bond* if you have received all goods associated with an In-Bond and you need to concur on the in-bond level. A box will pop up that will contain all of the in-bonds associated with the admission. Choose the in-bond from the drop down that you wish to arrive. Click *Ok*.

Delivery Code *FI-Final Manifest portion reported* – if you need to concur on full shipment, use this option.

Delivery Code *PA-Partial Manifest reported* –if you need to concur on a partial shipment, use this option.

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action and concurrence level. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the concurrence or it will return acceptance messages. Please refer to the *CATAIR Appendix P* located at the end of this manual for explanations of the messages received in E214 transmissions. You will need to correct any data and continue to “concur” until CBP approves your concurrence data and you receive your acceptance messages.

This is the message returned for the successful concurrence of merchandise:

ZONE CONCURRENCE DATA ACCEPTED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. An E214 Message Details Box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.

REQUESTING AN EPTT

A Permit to Transfer is an authorization for within port movements of cargo. The requests and authorization procedures for these have been streamlined through the use of electronic requests. The E214 Processing module allows for the request of an electronic Permit To Transfer for a Bill of Lading or Container.

1. From the Dashboard select E214 Processing.

The E214 Processing screen will be returned.

2. Enter a *Start Date* and an *End Date* based on the dates of the receipts that the admission is tied to. Click *Load E214s*.

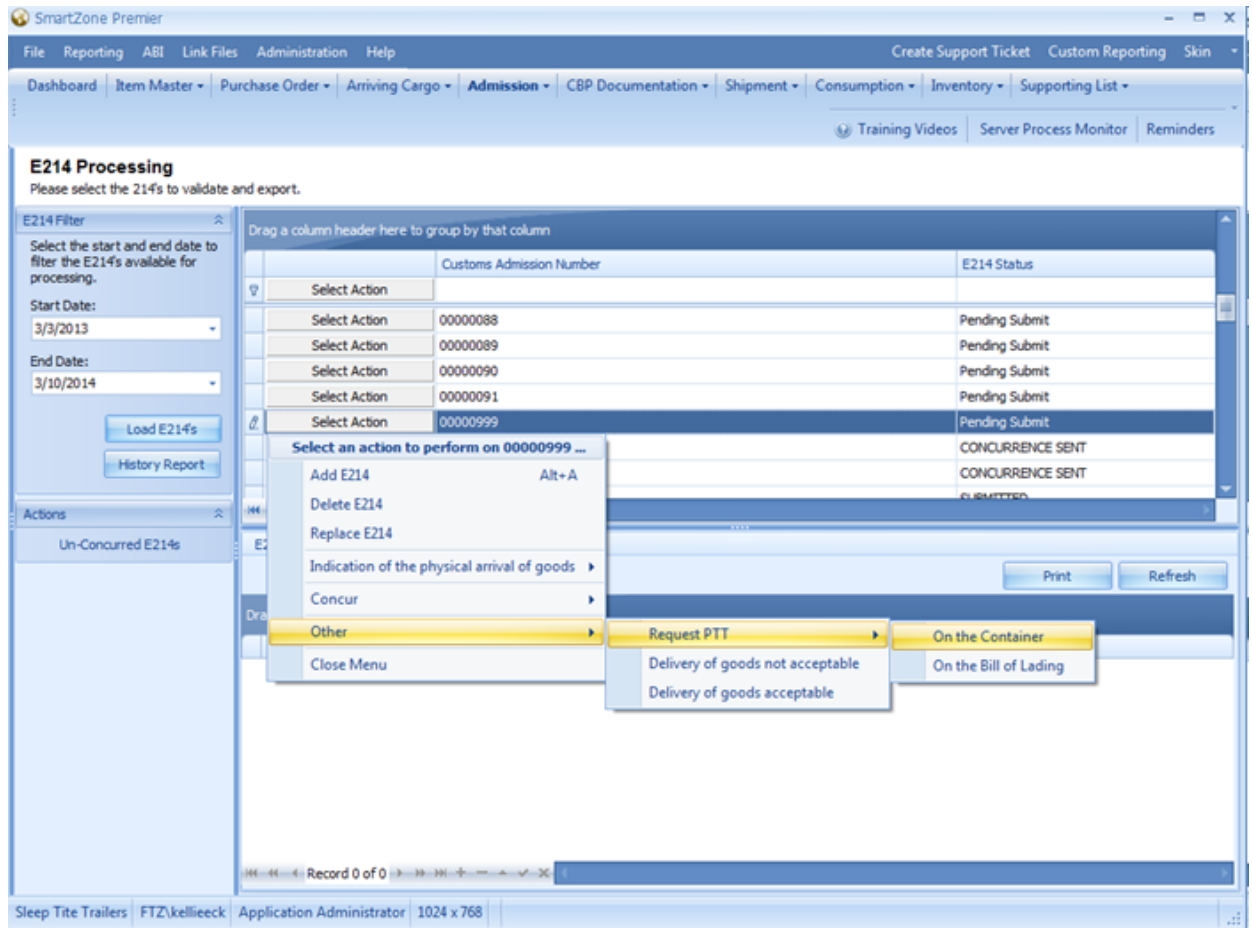
All CBP Admission Numbers for the date range provided will return in the grid. Find the CBP Admission Number that you want to submit to CBP.

3. Find the admission number that you need to request a PTT on.

You can filter the grid by the admission number by entering the data in the top row under the column header.

4. Click *Select Action* and then click *Other→Request PTT*. Then click the level in which you need to request the PTT.





Choose *On the Container* if you need to request a PTT on the container level. A box will pop up that will display all of the containers that are associated with the admission. Check the containers in which you wish to request a PTT. Click *Ok*.

OR

Choose *On the Bill of Lading* if you need to request a PTT on the Bill of Lading level. A box will pop up that will display all Bills of Lading that are associated with the admission. Check the bills of lading in which you wish to request a PTT. Click *Ok*.

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the PTT request level. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with PTT request or it will return acceptance messages. Please refer to the *CATAIR Appendix P* located at the end of this manual for explanations of the messages received in E214 transmissions. You will need to correct any data and continue to “request PTT” until CBP approves your PTT request data and you receive your acceptance messages.

This is the message returned for the successful PTT request of merchandise:

PTT MESSAGE DATA ACCEPTED

Each OUT and IN messages contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. An E214 Message Details Box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.



Chapter 1 In-Bond Processing



QAD Precision FTZ's In-Bond Processing module allows for the electronic submission of inbound and outbound in-bonds. Instead of having to send a CBPF-7512 form to CBP to have them approve it before you can ship the goods from your Zone, In-Bond Processing allows for the immediate approval of in-bonds. This will allow for more real-time outbound shipments from your Zone. It will also allow for real-time approval of inbound admissions that need to move in-bond into your Zone.

Note that the in-bond must be created before it is available in the In-Bond Processing screen. To create the in-bond, please refer to Chapter 8 Arriving Cargo Information Processing for inbound in-bonds or Chapter 10 CBP Documentation Processing for outbound in-bonds.

SUBMITTING AN ELECTRONIC IN-BOND

If you need to submit an in-bond for CBP approval, you will need to *Submit In-Bond*.

Go to ABI→In-Bond Processing.

The In-Bond Processing screen will be displayed.

Enter the in-bond date range for the in-bond that you need to submit for approval.



This is the in-bond date that was entered in the Movement to FTZ section of the Arriving Cargo record (for inbound shipments) or the in-bond date that was entered on the Individual Entry form (for outbound shipments).

Click *Load In-Bonds*.

All in-bonds that exist for the date range you have entered will be returned in the grid.

Click on the appropriate *In-Bond Type* on the left side of the grid.

Outbound Shipments – this is where the in-bond will be located if you are trying to submit a CBPF-7512 for shipments out of the zone. (You created the in-bond in CBP Documentation In-Bond or through the Individual Entry option under Shipments)

Inbound Admissions – this is where the in-bond will be located if you are trying to submit a CBPF-7512 for an admission into your zone. (You created the in-bond in the Movement to FTZ tab on an Arriving Cargo record)

Find the In-Bond (In Transit Number) that you need to submit to CBP for approval.

You can filter the grid by entering the data in the top row under each header.

Click *Select Action*→*Submit In-Bond*.

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the submission of the in-bond data or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “submit” until CBP approves your in-bond data and you receive your acceptance message.

This is the message returned for the successful approval of an in-bond:

BILL ACCEPTED FOR INBOND

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.

DELETING AN IN-BOND APPROVAL

If an in-bond request needs to be deleted because you want to cancel the in-bond request in ACE, you will need to *Delete* the in-bond.



DELETE INBOUND IN-BONDS

1. Go to ABI→In-Bond Processing.

The In-Bond Processing screen will be displayed.

2. Enter the in-bond date range for the in-bond that you need to delete.

This is the in-bond date that was entered in the Movement to FTZ section of the Arriving Cargo record (for inbound shipments).

3. Click *Load In-Bonds*.

All in-bonds that exist for the date range you have entered will be returned in the grid.

4. Click on the *Inbound Admissions In-Bond Type* on the left side of the grid.

Inbound Admissions – this is where the in-bond will be located if you are trying to delete a CBPF-7512 for an admission into your zone. (You created the in-bond in the Movement to FTZ tab on an Arriving Cargo record)

5. Find the In-Bond (In Transit Number) that you need to delete.

You can filter the grid by entering the data in the top row under each header.

6. Click *Select Action→Delete→Delete In-Bond*.

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the deletion of the in-bond or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “delete” until CBP approves your in-bond data and you receive your deletion message.

This is the message returned for the successful deletion of an in-bond:

INBOND DELETED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details Box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.

DELETE OUTBOUND IN-BONDS



1. Go to ABI→In-Bond Processing.

The In-Bond Processing screen will be displayed.

2. Enter the in-bond date range for the in-bond that you need to delete.

This is the in-bond date that was entered on the Individual Entry form (for outbound shipments).

3. Click *Load In-Bonds*.

All in-bonds that exist for the date range you have entered will be returned in the grid.

4. Click on the *Outbound Shipments In-Bond Type* on the left side of the grid.

Outbound Shipments – this is where the in-bond will be located if you are trying to delete a CBPF-7512 for shipments out of the zone. (You created the in-bond in CBP Documentation In-Bond or through the Individual Entry option under Shipments)

5. Find the In-Bond (In Transit Number) that you need to delete.

You can filter the grid by entering the data in the top row under each header.

6. Click *Select Action→Delete→Delete In-bound*

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the deletion of the in-bond or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “delete” until CBP approves your in-bond data and you receive your deletion message.

This is the message returned for the successful deletion of an in-bond:

INBOND DELETED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details Box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.

ARRIVING AN IN-BOND

If an in-bond you created needs to be arrived to close out the In Transit, you will need to *Arrive* the in-bond.



ARRIVE INBOUND IN-BONDS

1. Go to ABI→In-Bond Processing.

The In-Bond Processing screen will be displayed.

2. Enter the in-bond date range for the in-bond that you need to arrive.

This is the in-bond date that was entered in the Movement to FTZ section of the Arriving Cargo record (for inbound shipments).

3. Click *Load In-Bonds*.

All in-bonds that exist for the date range you have entered will be returned in the grid.

4. Click on the *Inbound Admission In-Bond Type* on the left side of the grid.

Inbound Admissions – this is where the in-bond will be located if you are trying to arrive a CBPF-7512 for an admission into your zone. (You created the in-bond in the Movement to FTZ tab on an Arriving Cargo record)

5. Find the In-Bond (In Transit Number) that you need to arrive.

You can filter the grid by entering the data in the top row under each header.

6. Click *Select Action→Arrive→Arrive In-Bond*. (You can also arrive on a Bill of Lading associated with the in-bond or arrive on a Container associated with the in-bond)

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the arrival of the in-bond or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “arrive” until CBP approves your in-bond data and you receive your deletion message.

This is the message returned for the successful arrival of an in-bond:

DATA ADDED AS REQUESTED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.



ARRIVE OUTBOUND IN-BONDS

1. Go to ABI→In-Bond Processing.

The In-Bond Processing screen will be displayed.

2. Enter the in-bond date range for the in-bond that you need to arrive.

This is the in-bond date that was entered on the Individual Entry form (for outbound shipments).

3. Click *Load In-Bonds*.

All in-bonds that exist for the date range you have entered will be returned in the grid.

4. Click on the *Outbound Shipments In-Bond Type* on the left side of the grid.

Outbound Shipments – this is where the in-bond will be located if you are trying to arrive a CBPF-7512 for shipments out of the zone.(You created the in-bond in CBP Documentation In-Bond or through the Individual Entry option under Shipments)

5. Find the In-Bond (In Transit Number) that you need to arrive.

You can filter the grid by entering the data in the top row under each header.

6. Click *Select Action→Arrive→ In-Bond*. (You can also arrive on a Bill of Lading associated with the in-bond or arrive on a Container associated with the in-bond)

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the arrival of the in-bond or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “arrive” until CBP approves your in-bond data and you receive your deletion message.

This is the message returned for the successful arrival of an in-bond:

DATA ADDED AS REQUESTED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.



EXPORT AN IN-BOND

If an in-bond needs to be *exported* from the Destination Port, you have the option to *Export* the in-bond.

EXPORT INBOUND IN-BOND

1. **Go to ABI→In-Bond Processing.**

The In-Bond Processing screen will be displayed.

2. **Enter the in-bond date range for the in-bond that you need to Export.**

This is the in-bond date that was entered in the Movement to FTZ section of the Arriving Cargo record (for inbound shipments).

3. **Click *Load In-Bonds*.**

All in-bonds that exist for the date range you have entered will be returned in the grid.

4. **Click on the Inbound Admissions In-Bond Type on the left side of the grid.**

Inbound Admissions – this is where the in-bond will be located if you are trying to export a CBPF-7512 for an admission into your zone. (You created the in-bond in the Movement to FTZ tab on an Arriving Cargo record)

5. **Find the In-Bond (In Transit Number) that you need to Export.**

You can filter the grid by entering the data in the top row under each header.

6. **Click *Select Action→Export→Export In-Bond*. (You can also export a Bill of Lading associated with the in-bond)**

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the export of the in-bond or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “export” until CBP approves your in-bond data and you receive your data accepted message.

This is the message returned for the successful export of an in-bond:

DATA ADDED AS REQUESTED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent



Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.



DIVERT AN IN-BOND

If an in-bond needs to be diverted from the original final US Port to another final US Port, you will need to *Divert In-Bond* after updating the final US Port on the 7512.

DIVERT AN INBOUND IN-BOND**1. Go to ABI→In-Bond Processing.**

The In-Bond Processing screen will be displayed.

2. Enter the in-bond date range for the in-bond that you need to Divert.

This is the in-bond date that was entered in the Movement to FTZ section of the Arriving Cargo record (for inbound shipments).

3. Click *Load In-Bonds*.

All in-bonds that exist for the date range you have entered will be returned in the grid.

4. Click on the *Inbound Admissions In-Bond Type* on the left side of the grid.

Inbound Admissions – this is where the in-bond will be located if you are trying to divert a CBPF-7512 for an admission into your zone. (You created the in-bond in the Movement to FTZ tab on an Arriving Cargo record)

5. Find the In-Bond (In Transit Number) that you need to divert.

You can filter the grid by entering the data in the top row under each header.

6. Click *Select Action→Divert→Divert In-Bond*.

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the diversion of the in-bond or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “divert” until CBP approves your in-bond data and you receive your data accepted message.

This is the message returned for the successful diversion of an in-bond:

DATA ADDED AS REQUESTED

Each OUT and IN messages contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details Box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.



DIVERT AN OUTBOUND IN-BOND

1. Go to ABI→In-Bond Processing.

The In-Bond Processing screen will be displayed.

2. Enter the in-bond date range for the in-bond that you need to divert.

This is the in-bond date that was entered on the Individual Entry form (for outbound shipments).

3. Click *Load In-Bonds*.

All in-bonds that exist for the date range you have entered will be returned in the grid.

4. Click on the *Outbound Shipments In-Bond Type* on the left side of the grid.

Outbound Shipments – this is where the in-bond will be located if you are trying to divert a CBPF-7512 for shipments out of the zone.(You created the in-bond in CBP Documentation In-Bond or through the Individual Entry option under Shipments)

5. Find the In-Bond (In Transit Number) that you need to divert.

You can filter the grid by entering the data in the top row under each header.

6. Click *Select Action→Divert Inbond→ Divert QPWP*.

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the arrival of the in-bond or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “divert” until CBP approves your in-bond data and you receive your deletion message.

This is the message returned for the successful arrival of an in-bond:

DATA ADDED AS REQUESTED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.



TRANSFER LIABILITY OF AN IN-BOND

If the liability of an in-bond needs to be transferred to another carrier, you can use the *Transfer* option.

TRANSFER LIABILITY OF AN INBOUND IN-BOND**1. Go to ABI→In-Bond Processing.**

The In-Bond Processing screen will be displayed.

2. Enter the in-bond date range for the in-bond that you need to Transfer liability.

This is the in-bond date that was entered in the Movement to FTZ section of the Arriving Cargo record (for inbound shipments).

3. Click *Load In-Bonds*.

All in-bonds that exist for the date range you have entered will be returned in the grid.

4. Click on the *Inbound Admissions In-Bond Type* on the left side of the grid.

Inbound Admissions – this is where the in-bond will be located if you are trying to transfer a CBPF-7512 for an admission into your zone. (You created the in-bond in the Movement to FTZ tab on an Arriving Cargo record)

5. Find the In-Bond (In Transit Number) that you need to Transfer liability.

You can filter the grid by entering the data in the top row under each header.

6. Click *Select Action→Transfer→Transfer In-Bond*.

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the transfer of the in-bond or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “transfer” until CBP approves your in-bond data and you receive your data accepted message.

This is the message returned for the successful transfer of an in-bond:

DATA ADDED AS REQUESTED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details Box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent



Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.

TRANSFER LIABILITY OF AN OUTBOUND INBOND

1. Go to ABI→In-Bond Processing.

The In-Bond Processing screen will be displayed.

2. Enter the in-bond date range for the in-bond that you need to Transfer liability.

This is the in-bond date that was entered on the Individual Entry form (for outbound shipments).

3. Click *Load In-Bonds*.

All in-bonds that exist for the date range you have entered will be returned in the grid.

4. Click on the *Outbound Shipments In-Bond Type* on the left side of the grid.

Outbound Shipments – this is where the in-bond will be located if you are trying to transfer a CBPF-7512 for shipments out of the zone.(You created the in-bond in CBP Documentation In-Bond or through the Individual Entry option under Shipments)

5. Find the In-Bond (In Transit Number) that you need to transfer.

You can filter the grid by entering the data in the top row under each header.

6. Click *Select Action→Transfer→ Transfer In-bond*

A message will appear stating that the data is being verified. Once this completes, an OUT message will appear in the bottom grid that will describe the action. Click *Refresh* until you receive IN messages from ABI. It can take up to 5 minutes for ABI to receive the OUT message and return IN messages. The IN messages will contain either the errors found with the arrival of the in-bond or it will return acceptance messages. Please refer to the *CATAIR Appendix T* located at the end of this manual for explanations of the messages received in electronic in-bond transmissions. You will need to correct any data and continue to “transfer” until CBP approves your in-bond data and you receive your deletion message.

This is the message returned for the successful arrival of an in-bond:

DATA ADDED AS REQUESTED

Each OUT and IN message contains additional detailed information. To access this information, click on the OUT or IN message and then hit F4 on your keyboard. A QPWP Message Details box will appear.

At the top of the Message Details box, the message sent to ABI is displayed. At the bottom of the Message Details box, the message received is displayed. In the Sent Message section, you can click on a line number and then click F4 on your keyboard to view additional details of the sent message.



PRINT CBPF-7512

If you need to print your CBPF-7512 for inbound shipments, you can do this from the In-Bond Processing grid. You can print either the paper submission form or the electronic submission form. The electronic submission form contains the QPWP barcode.

- 1. Go to ABI→In-Bond Processing.**

The In-Bond Processing screen will be displayed.

- 2. Enter the in-bond date range for the in-bond that you need to print.**

This is the in-bond date that was entered in the Movement to FTZ section of the Arriving Cargo record (for inbound shipments).

- 3. Click *Load In-Bonds*.**

All in-bonds that exist for the date range you have entered will be returned in the grid.

- 4. Click on the *Inbound Admissions In-Bond Type* on the left side of the grid.**

Outbound Shipments – the Print 7512 option is not available for outbound shipments. You can print these in-bonds under Reporting→CBP Documentation Reports→In-Bond/Export CBP7512 Report.

Inbound Admissions – this is where the in-bond will be located if you are trying to submit a CBPF-7512 for an admission into your zone. (You created the in-bond in the Movement to FTZ tab on an Arriving Cargo record)

- 5. Find the In-Bond (In Transit Number) that you need to print.**

You can filter the grid by entering the data in the top row under each header.

- 6. Click *Select Action*→*Print 7512* and choose the CBPF-7512 version you need to print.**

Paper Submission – this is a regular CBPF-7512 Form

Electronic Submission – this is a CBPF-7512 Form with “QP In-Bond Authorized” barcode



ISF Processing



QAD Precision FTZ's ISF Processing module allows users to file their own Importer Security Filings (ISF) instead of relying on a broker or freight forwarder. ISFs are necessary for shipments consisting of goods intended to be entered into the United States and goods intended to be delivered to a Foreign Trade Zone. ISF Importers, or their agent, must provide eight data elements, no later than 24 hours before the cargo is laden aboard a vessel destined to the United States. Those data elements include:

- Seller
- Buyer
- Importer of record number/FTZ applicant identification number
- Consignee number(s)
- Manufacturer (or supplier)
- Ship to party
- Country of origin
- Commodity HTSUS number

Two additional data elements must be submitted as early as possible, but no later than 24 hours prior to the ship's arrival at a U.S. port. These data elements are:

- Container stuffing location
- Consolidator

For shipments consisting entirely of FROB (Foreign Cargo Remaining on Board) and shipments consisting entirely of goods intended to be transported in-bond as an IE or T&E, the



Importer Security Filing must consist of five elements. Importer Security Filings for IE and T&E shipments must be submitted no later than 24 hours before the cargo is laden aboard a vessel destined to the United States and Importer Security Filings for FROB must be submitted any time prior to lading. The following five data elements must be submitted for FROB, IE and T&E shipments:

- Booking party
- Foreign port of unloading
- Place of delivery
- Ship to party
- Commodity HTSUS number

To use QAD Precision FTZ to file your ISFs, you must contact QAD Precision FTZ Support.

ISF PARTIES SETUP

Before you can begin using QAD Precision FTZ's ISF Processing, you must setup the ISF Related Parties in the Related Parties Supporting List. The data from the Related Parties Supporting List is available in dropdown menus in ISF Processing.

RELATED PARTIES

To access ISF Parties Supporting List: From the toolbar, select Supporting List→Related Parties.



The screenshot shows the 'Supporting List' window in SmartZone Premier. The table below lists the related parties:

Name	Is Seller	Is Manufacturer	Is Buyer	Is Consignee	Is Ship To	Is Consolidator	Is Stuffing Location
A to Z Cellular	☒	☒	☒	☒	☒	☒	☒
ACME Manufacturing	☐	☒	☐	☐	☐	☒	☒
Mobile Cellphone Inc.	☐	☐	☒	☐	☐	☐	☐

The 'Summary' tab for 'Mobile Cellphone Inc.' shows the following details:

- Name: Mobile Cellphone Inc.
- Name 2:
- Description: Buyer
- Roles: ☐ Seller, ☐ Manufacturer, ☒ Buyer, ☐ Consignee, ☐ Ship To, ☐ Consolidator, ☐ Stuffing Location
- Identification Type:
- Identifier: Mobile Cellphone Inc.
- Default Consolidator:
- Default Stuffing Party:

Description:

This list identifies the different ISF Parties that are required in filing ISFs. The ISF Parties are used in the ISF Processing module.

Field Name	Usage
Related Parties Summary	
Name	Name of ISF Party
Name 2	Additional name if applicable
Description	Description of ISF Party
Seller	If the party is the seller, this will be checked
Manufacturer	If the party is the manufacturer, this will be checked
Buyer	If the party is the buyer, this will be checked
Consignee	If the party is the consignee, this will be checked
Ship to	If the party is the Ship to party, this will be checked
Consolidator	If the party is the consolidator, this will be checked
Stuffing Location	If the party is the stuffing location, this will be checked
Identification Type	
Identifier	
Default Consolidator	You can select a consolidator party to default to when using this party.
Location	Address of the default consolidator
Default Stuffing Party	You can select a stuffing party to default to when using this party.



Location	Address of the default stuffy party
Locations	
Description	Description of location of the related party
Country	Country of the related party
State/Province	State or Province of the related party
City	City of the related party
Street	Street address of the related party
Postal/Zip	Postal or Zip code of the related party

Where Used:*ISF Processing, Arriving Cargo***Strategies for Maintaining the Related Parties Information**

Only add ISF Related Party records and locations for the parties who are required in the filing of the ISFs. The parties and locations are used as pull down options in the ISF Processing module in QAD Precision FTZ® and having more ISF Parties than needed can lead to confusion. Also, the more ISF Parties there are in QAD Precision FTZ, the more data the user must maintain.

HOW TO CREATE ISF RELATED PARTIES

7. **From the toolbar, select Supporting List→Related Parties.**

The Related Parties grid is displayed.

8. **Click *Add new* above the Related Parties grid.**



SmartZone Premier

File Reporting ABI Link Files Administration Help Create Support Ticket Skin

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List Training Videos

Server Process Monitor Reminders

Related Parties Add new Delete Save Discard Search Export Refresh View Print You have pending changes to save. 4 Record(s) Loaded

Drag a column header here to group by that column

Name	Is Seller	Is Manufacturer	Is Buyer	Is Consignee	Is Ship To	Is Consolidator	Is Stuffing Location
A to Z Cellular	☒	☒	☒	☒	☒	☒	☒
ACME Manufacturing	☐	☒	☐	☐	☐	☒	☒
Mobile Cellphone Inc.	☐	☐	☒	☐	☐	☐	☐

Summary Locations

Name

Name 2

Description

☐ Seller ☐ Manufacturer ☐ Buyer ☐ Consignee ☐ Ship To ☐ Consolidator ☐ Stuffing Location

Identification Type

Identifier

Default Consolidator Location

Default Stuffing Party Location

Sleep Tite Trailers FTZ\kellieck Application Administrator 1103 x 809

9. In the *Summary* tab, fill out all relevant fields for the type of party you are adding.
If a party falls under more than one category (i.e. Seller, Manufacturer, Buyer, etc...), you can check all applicable categories for the party.
10. Once all relevant fields are populated under the *Summary* tab, click on the *Locations* tab to create locations for the related party.
Each party must have at least one location. You can add multiple locations for each party.
The locations will be identified by the description field.
11. Click *Add new* at the top of the locations grid.

The screenshot shows the SmartZone Premier application interface. At the top, there is a menu bar with options like File, Reporting, ABI, Link Files, Administration, and Help. Below this is a navigation bar with various modules such as Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The 'Supporting List' module is currently active, displaying a 'Related Parties' grid. The grid has columns for Name, Is Seller, Is Manufacturer, Is Buyer, Is Consignee, Is Ship To, Is Consolidator, and Is Stuffing Location. Below the grid, there is a 'Locations' form with fields for Description, Country, State/Province, City, Street, and Postal/Zip Code. The 'Add new' button is highlighted with a red box. The status bar at the bottom indicates 'Sleep Tite Trailers', 'FTZ/kellieck', 'Application Administrator', and '1103 x 809'.

Name	Is Seller	Is Manufacturer	Is Buyer	Is Consignee	Is Ship To	Is Consolidator	Is Stuffing Location
A to Z Cellular	☒	☒	☒	☒	☒	☒	☒
ACME Manufacturing	☐	☒	☐	☐	☐	☒	☒
Mobile Cellphone Inc.	☐	☐	☒	☐	☐	☐	☐

Drag a column header here to group by that column

Summary Locations

Add new Delete

Drag a column header here to group by that column

Description	Country	Country Name	Street

Description

Country

State/Province

City

Street

Postal/Zip Code

Sleep Tite Trailers FTZ/kellieck Application Administrator 1103 x 809

12. **Populate each relevant field and then click *Save* at the top of the Related Parties grid.**
Add additional locations for the party as necessary.

ISF PROCESSING

To access ISF Processing, select **ISF** on the **Dashboard** or select **ABI**→**ISF Processing**.



The screenshot displays the SmartZone Premier application window. The main menu includes File, Reporting, ABI, Link Files, Administration, and Help. The toolbar contains options like Create Support Ticket, Custom Reporting, and Skin. The left sidebar shows navigation options such as Dashboard, Item Master, Purchase Order, Arriving Cargo, Admission, CBP Documentation, Shipment, Consumption, Inventory, and Supporting List. The main area is titled 'ISF Headers' and shows a table with columns: ISF Header Number, Issue Date, CBP ISF Number, Status, and Comments. A single record is displayed with ISF Header Number 080420151 and Issue Date 8/4/2015. Below the table, a detailed view of the selected record is shown, including fields for ISF Header Number, Issue Date, Type, Shipment Type, Bill of Lading Type, Carrier, Bond Holder, Bond Activity Code, Bond Type, and Comments.

Field Name	Usage
ISF Headers	
ISF Header Number	This is the company specified unique identifier for the ISF record.
Issue Date	This is the date that the ISF transaction was created. Valid format is MMDDYYYY (month, day, year).
CBP ISF Number	Unique transaction identifier assigned by CBP
Status	Status of the ISF transaction
Comments	Internal comments
Summary tab	
ISF Header Number	This is the company specified unique identifier for the ISF record.
Issue Date	Issue date of the ISF. Valid format is MMDDYYYY (month, day, year).

Type	Valid values for ISF Submission Type Codes are: - Importer Security Filing 10 (ISF-10) Submission - Importer Security Filing 5 (ISF-5) Submission
Shipment Type	Code identifying the type of shipment being submitted. • 01 Standard or regular filings • 02 To Order Shipments • 03 Household Goods / Personal Effects (HHG / PE) • 04 Military, Government • 05 Diplomatic Shipment • 06 Carnet • 07 US Goods Returned • 08 FTZ Shipments • 09 International Mail Shipments • 10 Outer Continental Shelf Shipments • 11 Informal
Bill of Lading Type	Valid qualifier codes are: Code Description OB Ocean Bill of Lading – used for Regular Bills BM House Bill of Lading
Carrier	Standard Carrier Alpha Code. The SCAC of the vessel operator that is transporting the container.
Bond Holder	Identification number of the party whose bond is being obligated for the ISF.
Bond Activity Code	CBP code identifying the activity of the bond being used.
Bond Type	CBP code identifying the bond type
Bill of Ladings tab	
Bill of Lading	This is a drop down of all bills of lading from the Arriving Cargo section of QAD Precision FTZ. If the bill of lading doesn't exist in QAD Precision FTZ yet, you can type the bill of lading in the Bill of Lading Number field.
Bill of Lading Number	The bill of lading related to the Code Qualifier. This field must include the SCAC of the bill of lading issuer concatenated with the bill of lading number. Do not include spaces, hyphens, slashes or other special characters.
Carrier Code	The SCAC of the vessel operator that is transporting the container. This is the Standard Carrier Alpha Code from the Carrier Supporting List in QAD Precision FTZ. To add additional carriers, you must do this via the Carrier Supporting List.



Carrier Name	Name of the vessel operator
Importer tab	
Importer Number	ISF Importer Number
Buyer tab	
Name	Name of buyer party
DUNS	DUNS of buyer
Location	Location of buyer
Country	Country of buyer
State/Province	State/Province of buyer
City	City of buyer
Street	Street Address of buyer
Postal/Zip Code	Postal/Zip Code of buyer
Seller tab	
Name	Name of seller
DUNS	DUNS of seller
Location	Location of seller
Country	Country of seller
State/Province	State/Province of seller
City	City of seller
Street	Street Address of seller
Postal/Zip Code	Postal/Zip Code of seller
Ship to tab	



Name	Name of ship to location
DUNS	DUNS of ship to location
Location	Location of ship to location
Country	Country of ship to location
State/Province	State/Province of ship to location
City	City of ship to location
Street	Street Address of ship to location
Postal/Zip Code	Postal/Zip Code of ship to location
Ultimate Consignees tab	
Name	Name of Ultimate Consignee
Consignee Number	CBP Assigned Number of Ultimate Consignee
Items tab	
Item Number	Unique Identifier within QAD Precision FTZ system; Inventory balances maintained by Item Number; Should be company's normal item, part or SKU number
HTSUS	CBP Classification of the item being imported. HTS must exist in Harmonized Tariff Schedule Supporting List in QAD Precision FTZ in order to select it from the drop down
Country	Item Country of Origin. The country must be selected in the Countries Supporting List in QAD Precision FTZ in order to select it from the drop down
Manufacturer	Item manufacturer. The manufacturer must exist in the Manufacturers Supporting List in QAD Precision FTZ in order to select it from the drop down.
DUNS	MID of the manufacturer selected
Location	Location of the manufacturer
Country	Country of the manufacturer. Auto-populated based on location of manufacturer
City	City of the manufacturer. Auto-populated based on location of manufacturer
Street	Street of the manufacturer. Auto-populated based on location of manufacturer



Postal/Zip Code	Postal/Zip code of the manufacturer. Auto-populated based on location of manufacturer
Consolidator tab	
Name	Name of Consolidator
DUNS	DUNS of Consolidator
Location	Location of Consolidator
Country	Country of Consolidator
State/Province	State/Provide of Consolidator
City	City of Consolidator
Street	Street of Consolidator
Postal/Zip Code	Postal/Zip Code of Consolidator
Stuffing Parties tab	
Name	Name of stuffing party
DUNS	DUNS of stuffing party
Location	Location of stuffing party
Country	Country of stuffing party
State/Province	State/Province of stuffing party
City	City of stuffing party
Street	Street Address of stuffing party
Postal/Zip Code	Postal/Zip Code of stuffing party
Port of Loading tab	
Foreign Port	Foreign Port where the merchandise was loaded on the vessel
Departure Time	Date of departure from foreign port



Port of Entry tab	
Domestic Port	US CBP Port where merchandise was unladed
Arrival Time	Date of arrival
Containers tab	
Equipment Description Code	Code that describes the equipment. Valid codes are listed in Appendix B
Equipment Initial	Alpha prefix preceding the equipment serial number
Equipment Number	Serial number of the equipment
Equipment Number Check Digit	Check digit of the equipment number. Not all intermodal equipment have a check digit but if the equipment has a check digit, it must be reported in this location.
Equipment Size Type Code	Code identifying the type of equipment. Valid codes are listed in Appendix B
ISF History tab	
Message	Message detailing the ISF transaction
Remarks	Additional remarks regarding the ISF transaction
Disposition Code	A code that reports the status of the ISF.
Type Code	
Time Stamp	Time stamp of ISF transaction
Attachments tab	

ISF PROCESSING RECORDS ENTRY

ISF Records may be added in two different ways: imported or manually created. See *Chapter 4 Link File Processing* for steps on how to import ISF records.

MANUALLY CREATE ISF RECORDS

1. From the Dashboard select ISF or select ABI→ISF Processing from the toolbar.
2. Click *Add new* above the ISF Headers grid.

If templates are in use, choose the template you would like to use. (Templates will need to be setup before you can use them. See the *Create ISF Records Templates* section below)



The form below is now ready for data input.

SmartZone Premier

File Reporting ABI Link Files Administration Help

Dashboard Item Master Purchase Order Arriving Cargo Admission CBP Documentation Shipment Consumption Inventory Supporting List Training Videos

Server Process Monitor Reminders

View Options

Actions

Add Replace Delete

View

Open ISF Transactions (0)
Expired ISF Transactions (3)
Closed ISF Transactions (0)
Template

Display Options

Display Details View

Key

ISF Submitted and ISF Replaced
ISF ACCEPTED
BILL ON FILE
NO BILL MATCH (NOT ON FILE)

View Options

ISF Headers

Add new Delete Save Discard Search Export

You have pending changes to save. 1 Record(s) Loaded

Drag a column header here to group by that column

ISF Header Number	Issue Date	CBP ISF Number	Status	Comments

Summary Bill of Ladings Importer Buyer Seller Ship To Ultimate Consignees

ISF Header Number
Issue Date
Type
Shipment Type
Bill of Lading Type
Carrier
Bond Holder
Bond Activity Code
Bond Type
Comments

Sleep Tite Trailers FTZ\kellieck Application Administrator 1103 x 809

- Complete the fields on the form as necessary and/or required based on the field specifications outlined below.

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

- When complete, click *Save*.
- If another ISF transaction needs to be added, click *Add New* and repeat the process.

CREATE ISF RECORD TEMPLATES

Using templates will allow for quicker manual creation of ISF records.

1. From the Dashboard select ISF or select ABI→ISF Processing from the toolbar.
2. Under View, click on the *Template* view. This is located on the left side of the ISF Headers grid.
3. Click *Add new* above the ISF Headers grid.

The form below is now ready for data input.

The screenshot displays the SmartZone Premier application interface. The top navigation bar includes menus for File, Reporting, ABI, Link Files, Administration, and Help. A secondary bar contains various functional links like Dashboard, Item Master, Purchase Order, etc. The main workspace is divided into a left sidebar and a central content area. The sidebar contains 'View Options', 'Actions' (Add, Replace, Delete), 'View' (Open ISF Transactions, Expired ISF Transactions, Closed ISF Transactions, Template), and 'Display Options' (Display Details View). The central area shows the 'ISF Headers' grid with columns: ISF Header Number, Issue Date, CBP ISF Number, Status, and Comments. Below the grid is a form for creating a new template, with tabs for Items, Consolidator, Stuffing Parties, Port Of Loading, Port Of Entry, Containers, ISF History, and Attachments. The form includes fields for ISF Header Number, Issue Date, Type, Shipment Type, Bill of Lading Type, Carrier, Bond Holder, Bond Activity Code, Bond Type, and Comments. A status bar at the bottom shows 'Sleep Tite Trailers', 'FTZ\kellieck', 'Application Administrator', and '1024 x 768'.

4. Complete the fields accordingly for the template you are creating.

It is not necessary to fill out all fields on the template. Any fields that remain the same for each ISF transaction can be completed and this will prevent the user from filling in this data each time an ISF record is manually created.

5. Click *Save* once you have filled in the desired fields.

Your template is now ready for use.

CHANGE EXISTING ISF RECORDS

1. From the Dashboard select ISF or select ABI→ISF Processing from the toolbar.



The ISF Headers grid will be displayed. The records in the grid can be sorted by clicking any heading in the grid.

Users can filter for data within any grid by typing in data in the white space between the header and data. Users can enter as much of the search criteria as needed to get the results.

2. Select the ISF transaction to be changed by clicking it in the grid.
3. Change the fields displayed as necessary and/or required based on the field specifications outlined previously in this chapter.

Fields with a red circle  are required for the record to Save.

Fields with a yellow triangle  are required for the record to be processed in QAD Precision FTZ.

4. When the data is correct, click *Save*.

The transaction as corrected will be saved.

Note: If the transaction should not be saved as displayed, the user should select *Discard*.

5. Repeat the process until all ISF Records have been corrected.

DELETE EXISTING ISF RECORDS

1. **From the Dashboard select ISF or select ABI→ISF Processing from the toolbar.**

The ISF Headers grid is returned.

2. Single-click the transaction to be deleted; that way it is highlighted in the grid.
3. Click *Delete* on the ISF Headers Options grid and then Click *Save*.

The ISF Headers grid will reflect any changes that were made.

SUBMIT ISF RECORDS TO CBP

Once you are ready to submit your ISF record to ABI, you will submit the ISF data in ISF Processing.

1. **From the Dashboard select ISF or select ABI→ISF Processing from the toolbar.**

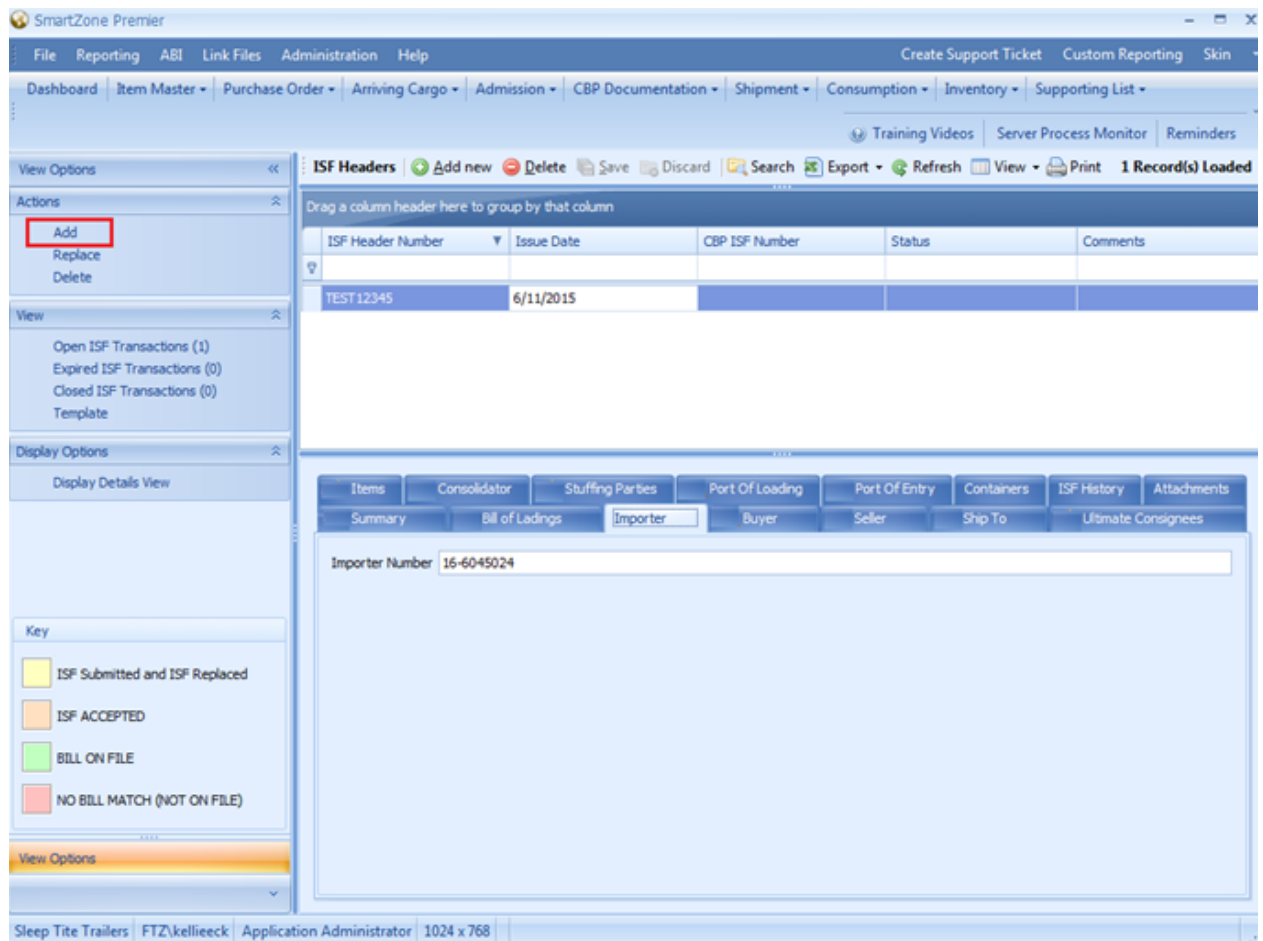
The ISF Processing screen will be returned.

2. **Find the ISF record that you need to submit.**

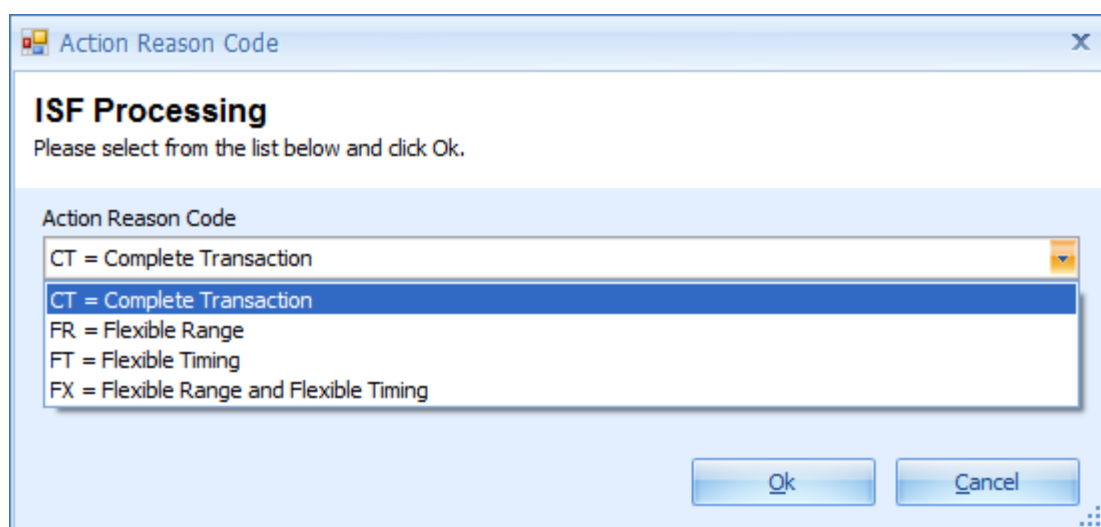
You can filter the grid or *Search* to find the ISF record you need to submit.

3. **Click *Add* under Actions in order to submit the ISF record.**





4. **An *Action Reason Code* box will be displayed. You will need to choose the type of Action Reason Code for the transaction.**



CT = Complete Transaction – All data is present, no special flexibility rules apply. If “CT” is used, the ISF can be updated if necessary, but CBP does not require the ISF to be updated.

FR = Flexible Range – A range of data for the Manufacturer, Ship To party, Country of Origin, or Commodity HTSUS number has been provided. The ISF must be updated as soon as better information becomes available, but never later than 24 hours prior to arrival. If “FR” is used, CBP expects to receive a timely update.

FT = Flexible Timing – The CS (Consolidator) and or LG (Stuffing location) has not been provided. The ISF must be updated as soon as better information becomes available, but never later than 24 hours prior to arrival. If “FT” is used, CBP expects to receive a timely update.

FX = Flexible Range and Flexible Timing - A range of data as described in “FR” has been provided and the CS (Consolidator) and or LG (Stuffing location) has not been provided. The ISF must be updated at a later date as soon as better information becomes available, but in no case later than 24 hours prior to arrival. If “FX” is used, CBP expects to receive a timely update.

5. In the ISF History tab, click *Refresh* to see the latest message regarding the ISF transaction.

You will initially get an “ISF Submitted” message letting you know that the ISF transaction was submitted to ABI. Within a few minutes, and after clicking the *Refresh* button, you will see messages back from ABI regarding the success or failure of the submission.

Processing Results

The processing results notify the user of the status of an ISF and whether an AMS bill of lading has been matched to the ISF, e.g. “On File” or “Not On File”. Problems typically occur if the bill number submitted with the ISF data is incorrect, not on file, or is qualified with a bill type (House or Ocean) that does not match what in AMS. When an ISF is not matched with a bill, the following messages are generated at predetermined intervals:

- Immediately following the filing of an Importer Security Filing
- Five (5) days after the original file date of the an Importer Security Filing
- Twenty (20) days after the original file date of the an Importer Security Filing
- Thirty (30) days after the original file date of the an Importer Security Filing

There are two categories of status messages that are related to a mismatch between the Bill NUMBER submitted in an ISF and that which is in AMS. The first category consisting of the S2, S3, S4, and S5 disposition codes is related to the Bill Number not being on file in AMS. The second category consisting of the S6, SA, SB, and SC disposition codes is related to the Bill TYPE in the ISF being different from that in AMS. The ISF record will remain in the *Open ISF Transactions* until a BILL ON FILE is received.

If an ISF is matched to a bill at any time prior to the 30-day deadline, a status notification of BILL ON FILE with an S1 disposition code will be generated and transmitted to the ISF filer. The ISF record will then fall to the *Closed ISF Transaction* view.



If an ISF is not matched to a bill after Thirty (30) days of the original file date, the ISF record will fall to the *Expired ISF Transactions* view.

DELETING AN ISF TRANSACTION

If for any reason you need to delete an ISF transaction previously submitted to ABI, you can delete the ISF from ISF Processing.

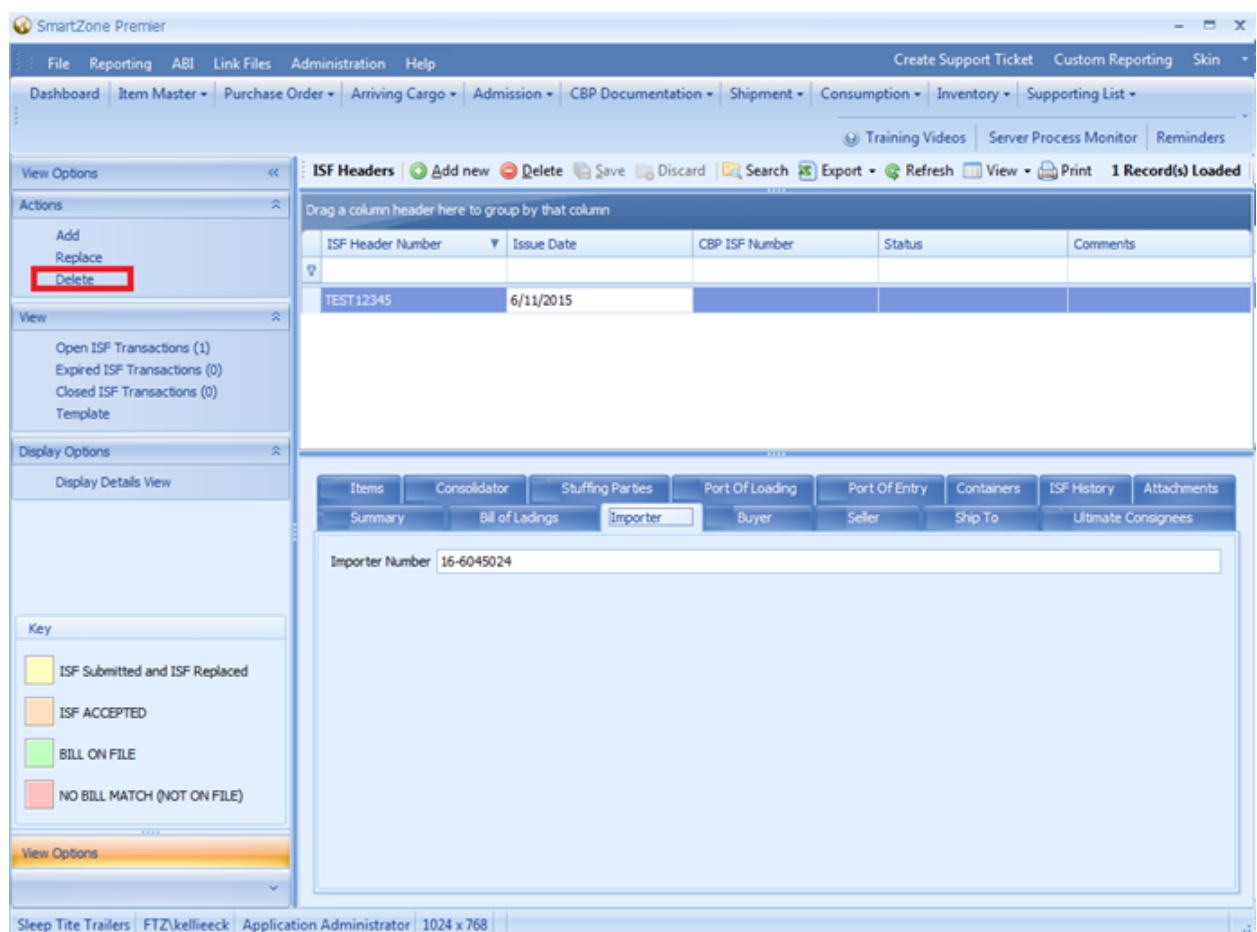
1. **From the Dashboard select ISF or select ABI→ISF Processing from the toolbar.**

The ISF Processing screen will be returned.

2. **Find the ISF record that you need to delete.**

You can filter the grid or *Search* to find the ISF record you need to delete.

3. **Click *Delete* under Actions in order to Delete the ISF record.**



4. **In the ISF History tab, click *Refresh* to see the latest message regarding the ISF transaction.**

You will initially get an “ISF Deleted” message letting you know that the ISF delete request was submitted to ABI. Within a few minutes, and after clicking the *Refresh* button, you should see an “ISF DELETED” message to indicate the deletion was successful.



REPLACING AN ISF TRANSACTION

If you need to replace an ISF transaction previously submitted to ABI, you can replace the ISF transaction in ISF Processing. This will update the ISF with the current data.

1. From the Dashboard select ISF or select ABI→ISF Processing from the toolbar.

The ISF Processing screen will be returned.

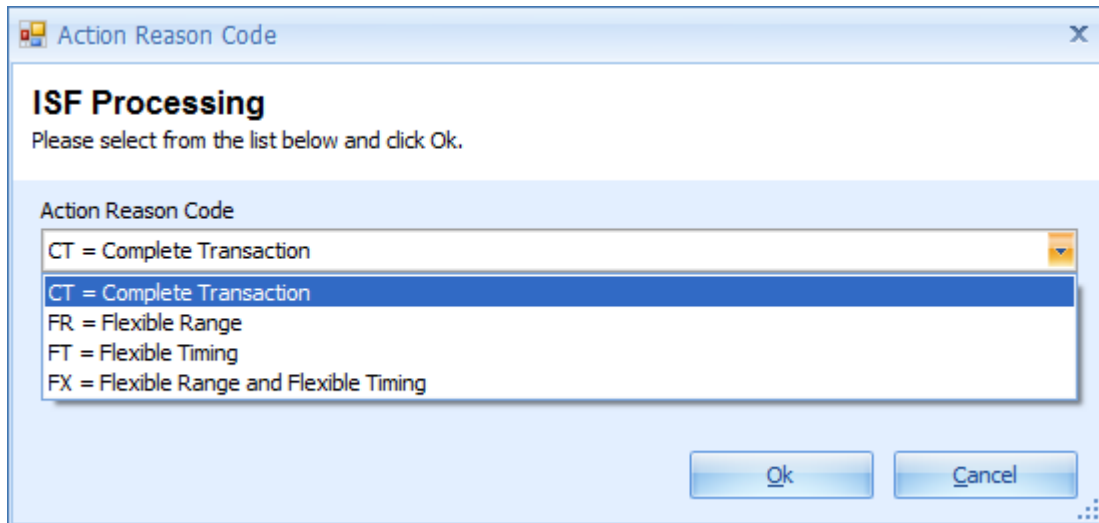
2. Find the ISF record that you need to replace.

You can filter the grid or *Search* to find the ISF record you need to replace.

3. Click *Replace* under Actions in order to Replace the ISF record.

The screenshot displays the 'ISF Headers' screen in SmartZone Premier. On the left, the 'Actions' menu is visible, with the 'Replace' option highlighted by a red rectangle. The main area shows a table with one record: ISF Header Number TEST12345 and Issue Date 6/11/2015. Below the table, there are tabs for 'Items', 'Consolidator', 'Stuffing Parties', 'Port Of Loading', 'Port Of Entry', 'Containers', 'ISF History', and 'Attachments'. The 'Importer' tab is selected, showing the 'Importer Number' as 16-6045024. A 'Key' section on the left provides color-coded status indicators: yellow for 'ISF Submitted and ISF Replaced', orange for 'ISF ACCEPTED', green for 'BILL ON FILE', and red for 'NO BILL MATCH (NOT ON FILE)'.

4. An *Action Reason Code* box will be displayed. You will need to choose the type of Action Reason Code for the transaction.



CT = Complete Transaction – All data is present, no special flexibility rules apply. If “CT” is used, the ISF can be updated if necessary, but CBP does not require the ISF to be updated.

FR = Flexible Range – A range of data for the Manufacturer, Ship To party, Country of Origin, or Commodity HTSUS number has been provided. The ISF must be updated as soon as better information becomes available, but never later than 24 hours prior to arrival. If “FR” is used, CBP expects to receive a timely update.

FT = Flexible Timing – The CS (Consolidator) and or LG (Stuffing location) has not been provided. The ISF must be updated as soon as better information becomes available, but never later than 24 hours prior to arrival. If “FT” is used, CBP expects to receive a timely update.

FX = Flexible Range and Flexible Timing - A range of data as described in “FR” has been provided and the CS (Consolidator) and or LG (Stuffing location) has not been provided. The ISF must be updated at a later date as soon as better information becomes available, but in no case later than 24 hours prior to arrival. If “FX” is used, CBP expects to receive a timely update.

5. In the ISF History tab, click *Refresh* to see the latest message regarding the ISF transaction.

You will initially get an “ISF Replaced” message letting you know that the ISF replace request was submitted to ABI. Within a few minutes, and after clicking the *Refresh* button, you should see an “ISF ACCEPTED” message to indicate the replacement was successful. Otherwise, you may get error codes indicating there was an issue with the submission.

ISF VIEW OPTIONS

OPEN ISF TRANSACTIONS

Any ISF records that have not received a BILL ON FILE message will remain in the *Open ISF Transactions* view.



EXPIRED ISF TRANSACTIONS

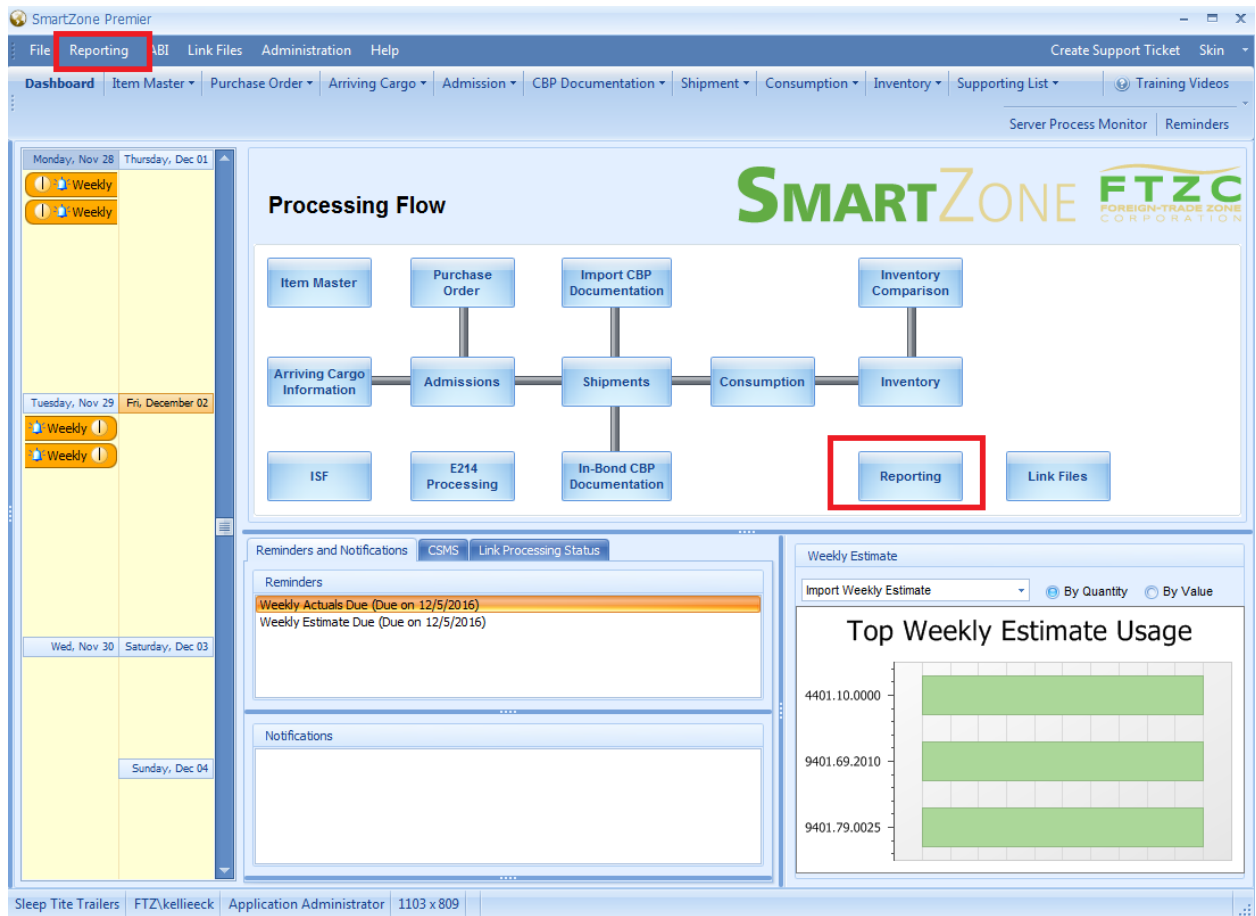
Thirty (30) days after the original file date of an Importer Security Filing, if an AMS bill of lading has not been matched to the ISF bill of lading (NO BILL MATCH (NOT ON FILE)), ISF records will fall to the *Expired ISF Transactions* view.

CLOSED ISF TRANSACTIONS

When an ISF transaction has received a BILL ON FILE message from ABI, the ISF transaction will move to the *Closed ISF Transactions* view.



Chapter 2 Reporting



The QAD Precision FTZ Reporting section provides reports for CBP compliance and inventory control and record keeping.

ADMISSION REPORTS

CBP214 REPORT

When foreign goods are admitted into a FTZ, the zone operator is required to file CBP214, "Application for Foreign Trade Zone Admission and/or Status Designation."

CBP214 DETAIL REPORT



The CBP214 Detail Report is a QAD Precision FTZ report that supplements the CBP214 Report. It provides detailed information about all Bills of Lading on an admission, along with the conveyances and I.T.s.

HARBOR MAINTENANCE QUARTERLY REPORT

The Harbor Maintenance Fee Quarterly Summary Report or CBP-349, reports the Harbor Maintenance Fee payable for ocean imports arriving at ports which are subject to the HMF for a given quarter.

HARBOR MAINTENANCE RECAP REPORT

The Harbor Maintenance Recap Report in a QAD Precision FTZ report that supplements the Harbor Maintenance Quarterly Report. This report provides all Bills of Lading that require an HMF fee for the quarter selected.

OPEN MANIFEST REPORT

The Open Manifest Report is a QAD Precision FTZ report that provides all open conveyances/house airway bills in the Arriving Cargo section. This report can advise what shipments you are still waiting to arrive at your zone.

ADMISSION CONSUMPTION REPORT

The Admission Consumption Report is a QAD Precision FTZ report that will provide all receipts and the shipments consumed against those receipts for a specified CBP Admission Number. This report is helpful in audits from CBP.

PLANT AND PLANT DECLARATION REPORT (PPQ FORM 505)

The Plant and Plant Declaration Report or PPQ Form 505, is a USDA form used to report on APHIS Lacey Act admissions.

CBP DOCUMENTATION REPORTS

IMPORT WEEKLY ESTIMATE

The Import Weekly Estimate report is a QAD Precision FTZ report that supplements the CBP3461 Report. It will provide a detailed breakout of each HTS along with the estimated quantity and estimated value for the estimate selected. It will also provide the remaining quantities and values for each HTS throughout the week.

IMPORT WEEKLY ESTIMATE RECONCILIATION

The Import Weekly Estimate Reconciliation is a QAD Precision FTZ report that provides the items that were applied to a weekly estimate for each HTS, along with the item quantity and value.

IMPORT PROFORMA INVOICE

The Import Proforma Invoice is a QAD Precision FTZ report that is required by CBP as supporting documentation to the CBP7501 entry.

CBP3461 REPORT

The CBP3461, or “Entry/Immediate Delivery”, is a report provided to CBP before the shipping week begins. The report outlines the expected HTS to be shipped along with the quantity and value of the HTS.

WEEKLY ENTRY ESTIMATE REPORT



The Weekly Entry Estimate Report is a QAD Precision FTZ report that supplements the CBP3461 Report. It will provide a detailed breakout of each HTS along with the estimated quantity and estimated value for the estimate selected.

CBP7501 REPORT

The CBP7501, or “Entry Summary”, specifies the imported merchandise into US Commerce. The entry filer has 10 working days after the release of the merchandise to file an Entry Summary and pay the duties, fees, and taxes that are due.

IMPORT ENTRY DETAIL REPORT

The Import Entry Detail Report is a QAD Precision FTZ report that provides detailed information about the entry selected. It will provide all shipments that applied to the entry, along with the shipment items, shipment item components, and the admissions that the shipment item components consumed against. This report can assist in a CBP audit.

IN-BOND/EXPORT WEEKLY ESTIMATE

The In-Bond/Export Weekly Estimate report is a QAD Precision FTZ report that supplements the weekly CBP7512 entry. It will provide a detailed breakout of each HTS along with the estimated quantity and estimated value for the estimate selected. It will also provide the remaining quantities and values for each HTS throughout the week.

IN-BOND/EXPORT PROFORMA INVOICE

The In-Bond/Export Proforma Invoice is a QAD Precision FTZ report that is required by CBP as supporting documentation to the weekly CBP7512 entry.

IN-BOND/EXPORT WEEKLY ESTIMATE RECONCILIATION

The In-Bond/Export Weekly Estimate Reconciliation is a QAD Precision FTZ report that provides the items that were applied to a weekly CBP7512 entry for each HTS, along with the item quantity and value.

IN-BOND/EXPORT CBP7512 REPORT

The In-Bond/Export CBP7512 Report is the “Transportation Entry and Manifest of Goods Subject to CBP Inspection and Permit”. This report is used to move merchandise in-bond from port to port.

IN-BOND/EXPORT ENTRY DETAIL REPORT

The In-Bond/Export Entry Detail Report is a QAD Precision FTZ report that provides detailed information about the CBP7512 selected. It will provide all shipments that applied to the entry, along with the shipment items, shipment item components, and the admissions that the shipment item components consumed against. This report can assist in a CBP audit.

EXPORT RECONCILIATION REPORT

The Export Reconciliation Report is a QAD Precision FTZ report that will show all in-bonds created for shipping foreign merchandise to another zone or to be exported for the date range selected. This report will provide the entry type, IT number, IT date, exit date (from the zone), piece count, value, and destination (if exported).

INVENTORY REPORTS

INVENTORY COMPARISON REPORT

The Inventory Comparison Report is a QAD Precision FTZ report which shows a comparison of the company inventory balance and the QAD Precision FTZ Inventory balance per item for a specific inventory date. There must be company inventory records in QAD Precision FTZ for this report to be available.

INVENTORY BALANCE REPORT



The Inventory Balance Report is a QAD Precision FTZ report which shows the balance of QAD Precision FTZ Inventory balance per item for a specific date and time.

INVENTORY DETAIL REPORT

The Inventory Detail Report is a QAD Precision FTZ report which shows the admission receipts for items and any shipments consumed against those admission receipts during a specific time period. This report can be run for all items or specific items.

ANNUAL RECONCILIATION REPORTS

ANNUAL RECONCILIATION REPORT

The Annual Reconciliation Report is a QAD Precision FTZ report used in the annual inventory reconciliation and audit trail. This report provides a summary of the admissions and consumptions of each item. This report should be maintained and provided to CBP yearly if cycle counts are not completed during the year.

ANNUAL RECONCILIATION DETAIL REPORT

The Annual Reconciliation Detail Report is a QAD Precision FTZ report used in the annual inventory reconciliation and audit trail. This report provides detailed information about the admissions and consumptions of each item. This report should be maintained and provided to CBP yearly if cycle counts are not completed during the year.

This report is also utilized for other inventory analysis for specific items or timeframes as necessary.

OFIS FTZ ICRS REPORT

The OFIS FTZ ICRS Report is a yearly report for FTZs that is used to assist QAD Precision FTZ users with completing the OFIS report. The OFIS report is provided to the FTZ Board on a yearly basis. The FTZ Board uses the report to provide a report to Congress on zone and subzone activity for the previous year.

CUSTOMIZED REPORTS

The Customized Reports section allows a user to build their own ad-hoc reports. This is a powerful tool which requires knowledge of the database tables and their relationships.

COMPLIANCE CHECK

ADMISSION ENTRY SUMMARY

The Admission Entry Summary is a QAD Precision FTZ report that will provide all receipts and the shipments consumed against those receipts for a specified CBP Admission Number. This report is helpful in audits from CBP.

IMPORT ENTRY DETAILS REPORT

The Import Entry Detail Report is a QAD Precision FTZ report that provides detailed information about the entry selected. It will provide all shipments that applied to the entry, along with the shipment items, shipment item components, and the admissions that the shipment item components consumed against. This report can assist in a CBP audit.

INVENTORY DETAILS REPORT



The Inventory Detail Report is a QAD Precision FTZ report which shows the admission receipts for items and any shipments consumed against those admission receipts during a specific time period. This report can be run for all items or specific items.

IN-BOND/EXPORT ENTRY DETAIL REPORT

The In-Bond/Export Entry Detail Report is a QAD Precision FTZ report that provides detailed information about the CBP7512 selected. It will provide all shipments that applied to the entry, along with the shipment items, shipment item components, and the admissions that the shipment item components consumed against. This report can assist in a CBP audit.

CUSTOMS DUTY REPORTS

DUTY LIABILITY REPORT

The Duty Liability Report is a QAD Precision FTZ report that will show the duty that would be owed based on the inventory balance for all items on a specific date chosen by the user.

DUTY BY ITEM NUMBER REPORT

The Duty by Item Number Report is a QAD Precision FTZ report that will provide the duty that was paid by item number for a specified time period.

DUTY DRAWBACK REPORT

The Duty Drawback Report is a QAD Precision FTZ report that will provide all the NPF and PF admissions for items, along with the entry number that the admissions were filed on for a specified time period. This report will help in claiming duty drawback for shipments that qualify.

INTERACTIVE SHIPMENT DUTY REPORT

The Interactive Shipment Duty Report is a QAD Precision FTZ report that allows user to customize the layout of the report for their multi dimensional data analysis requirements. Data can be summarized and represented in an interactive layout that can be sorted, filtered and drill down.

OTHER REPORTS

ANNUAL TRANSACTION REPORT

The Annual Transaction Report is a QAD Precision FTZ report that shows the transactions that have occurred for the specified time period. A transaction is considered any increase or decrease in inventory (i.e. Admissions and Consumptions).

ZONE TO ZONE TRANSFER REPORT

The Zone to Zone Transfer Report is a report that is required by CBP when transferring merchandise from your zone to another zone. This requirement can be found in the Code of Federal Regulations in part 146.66 section (3) and (4). This report is sent to the receiving zone.

CBP FORM 216

The CBP216 form is an “Application for Foreign-Trade Zone Activity Permit” for the manipulation, manufacturing, exhibition, destruction or temporary removal of merchandise. This form is required to be renewed each year and provided to CBP.



MONTHLY ACTIVITY REPORT

The Monthly Activity Report is a QAD Precision FTZ report that shows the admissions and entries for a specified month and year.

COST SAVINGS REPORT

The Cost Savings Report is a QAD Precision FTZ report that provides the savings your company is receiving by being apart of the FTZ program. The savings can be provided based on a specified time period.

ABI TRANSACTION REPORT

The ABI Transaction Report is a QAD Precision FTZ report that shows the ABI transactions that have been performed for the specified time period.

FOREIGN-ORIGIN FABRIC USAGE REPORT

The Foreign-Origin Fabric Usage Report is a yearly report for FTZs which are fabric companies that import micro denier and leather fabrics. This report is provided to the grantee and reports the activity of the micro denier and leather fabrics for the previous year.



Appendix D

CARGO MANIFEST QUERY DISPOSITION CODES

This appendix provides a complete listing of valid disposition codes.

DISPOSITION CODES		
Code	Name	Description
02	Entry Advisory	Generated to a rail carrier as a result of an entry being filed against a bill either through selectivity or manually
03	Port of Entry Change	Generated when a rail carrier changes a port of entry - port of entry is different in consist from original bill
04	Add Second Notify Party	Generated to a rail carrier when a new second notify party SCAC code is assigned to a bill in an amendment to the consist record during train consisting
11	Arrival of in-bond - complete movement	Advisory generated when a paperless or conventional in-bond has been arrived at destination as a complete movement by the ACE M1 participant or by U.S. Customs and Border Protection (CBP): ENT/REL quantities unaffected
12	Arrival of in-bond - bill of lading	Advisory generated when a paperless or conventional in-bond has been arrived at destination by the bill of lading by the ACE M1 participant or by CBP: ENT/REL quantities unaffected
13	Arrival of in-bond - container	Advisory generated when a paperless or conventional in-bond has been arrived at destination by the container/seal by the ACE M1 participant or by CBP: ENT/REL quantities unaffected
14	Delete entered quantity (transaction delete)	Generated as a result of CBP action through the transaction delete function: Subtracts the ENT quantity
15	Delete released quantity (transaction delete)	Generated as a result of CBP action through the transaction delete function: Subtracts the REL quantity
16	Delete entered/released quantity (transaction delete)	Generated as a result of CBP action through the transaction delete function: Subtracts the ENT/REL quantities
18	Master in-bond advisory	Advisory generated to participant when an entry has been filed in the port of destination against an in-bond bill that is enroute: Not a release: ENT/REL quantities unaffected
19	Actual conveyance arrival	Advisory generated when a conveyance is arrived in ACE M1 by the ACE M1 participant or by CBP
20	Delete Arrival of In-bond at Intermediate	Generated by CBP or ACE M1 participant action after paperless or conventional in-bond has been arrived at northern border intermediate port: Deletes all arrivals in all bills associated with the in-bond number: ENT/REL quantities unaffected



	Port-Complete Movement	
21	Delete Arrival of In-bond at Intermediate Port-Bill of Lading	Generated by CBP or ACE M1 participant action after paperless or conventional in-bond has been arrived at northern border intermediate port: Does not affect other bills associated with the in-bond number: ENT/REL quantities unaffected
22	Delete Arrival of In-bond at Intermediate Port-Container	Generated by CBP or ACE M1 participant action after paperless or conventional in-bond has been arrived at northern border intermediate port by container-seal: Deletes arrival from each bill associated with the container: ENT/REL quantities unaffected
23	Delete Departure of In-bond at Intermediate Port-Complete Movement	Generated by CBP or ACE M1 participant action after paperless or conventional in-bond has been departed from northern border intermediate port: Deletes all departures from all bills associated with the in-bond: ENT/REL quantities unaffected
24	Delete Departure of In-bond at Intermediate Port-Bill of Lading	Generated by CBP or ACE M1 participant action after paperless or conventional in-bond has been departed from northern border intermediate port by bill of lading: Does not affect other bills associated with the in-bond number: ENT/REL quantities unaffected
25	Delete Departure of In-bond at Intermediate Port-Container	Generated by CBP or ACE M1 participant action after paperless or conventional in-bond has been departed from northern border port by container-seal: Deletes departures from each bill associated with the container: ENT/REL quantities unaffected
26	Delete Transfer of Liability for In-bond	Generated by CBP or ACE M1 participant action after transferring custodial liability for an in-bond movement from one bonded carrier to another by the complete movement: Transferred liability is deleted from all bills associated with the in-bond number: ENT/REL quantities unaffected
27	Delete Transfer of Liability for Bill of Lading	Generated by CBP or ACE M1 participant action after transferring custodial liability for an in-bond movement from one bonded carrier to another by the bill of lading: Does not affect other bills associated with the in-bond number: ENT/REL quantities unaffected
28	Delete Transfer of Liability for Container	Generated by CBP or ACE M1 participant action after transferring custodial liability for an in-bond movement from one bonded carrier to another by the container-seal: Transferred liability is deleted from all other bills associated with the container-seal: ENT/REL quantities unaffected
50	Export of in-bond - complete movement	Generated when paperless or conventional in-bond is exported from the destination port as a complete movement by the ACE M1 participant or by CBP: Writes ENT/REL quantities in all bills associated with the in-bond number. Do not EXPORT if any HOLDS are in force against the bill.
51	Export of in-bond - bill of lading	Generated when paperless or conventional in-bond is exported from the destination port as a bill of lading by the ACE M1 participant or by CBP: Writes ENT/REL quantities in all bills: Does not affect other bills associated with this in-bond number. Do not EXPORT if any HOLDS are in force against the bill.
52	Export of in-bond - container	Generated when paperless or conventional in-bond is exported by the container from the destination port by the ACE M1 participant or by CBP: Writes ENT/REL quantities for the container in each bill associated with the container. Do not EXPORT if any holds are in force against the bill.

53	Overdue export	Advisory generated to ACE M1 participant when paperless or conventional TE or IE in-bond movement is not exported from the destination port within 30 days after the in-bond arrival: ENT/REL quantities in the bills are unaffected
54	Carrier bill - delete	Generated in response to an amendment (A01) transmission from the ACE M1 participant deleting a bill from the manifest
55	Carrier bill - add	Generated in response to an amendment (A01) transmission from the ACE M1 participant adding a bill from the manifest. The '55' will Only be generated if 'delete' and 'add' messages are sent together And the bill is on-file in ACE. If an 'add' of the bill message is sent alone a '55' will not be sent.
56	Carrier bill - change	Generated in response to an amendment (A01) transmission from the ACE M1 participant changing the quantity in a bill of lading without deleting it from the manifest
57	Change arrival of in-bond - complete movement	Generated when a paperless or conventional in-bond is arrived at destination as a complete movement by the ACE M1 participant or by CBP, subsequent to the original arrival: ENT/REL quantities unaffected
58	Change arrival of in-bond - bill of lading	Generated when a paperless or conventional in-bond is arrived at destination by the bill of lading by the ACE M1 participant or by CBP, subsequent to the original arrival: ENT/REL quantities unaffected
59	Change arrival of in-bond - container	Generated when a paperless or conventional in-bond is arrived at destination by the container/seal by the ACE M1 participant or by CBP, subsequent to the original arrival: ENT/REL quantities unaffected
60	Change export of in-bond - complete movement	Generated when a paperless or conventional in-bond is exported from the destination port as a complete movement by the ACE M1 participant or by CBP, subsequent to the original export: New export date written to all bills associated with the in-bond number: ENT/REL quantities unaffected
61	Change export of in-bond - bill of lading	Generated when a paperless or conventional in-bond is exported from the destination port as a bill of lading by the ACE M1 participant or by CBP, subsequent to the original export of the bill: Does not affect other bills associated with the in-bond number
62	Change export of in-bond - container	Generated when paperless or conventional in-bond is exported from the destination port by container/seal by the ACE M1 participant or by CBP, subsequent to the original export of the container: A new export date is written to all bills associated with the container
63	Delete arrival of in-bond - complete movement	Generated as a result of CBP action through the supervisory update function: ENT/REL quantities unaffected
64	Deleted arrival of in-bond - bill of lading	Generated as a result of CBP action through the supervisory update function: ENT/REL quantities unaffected
65	Delete arrival of in-bond - container	Generated as a result of CBP action through the supervisory update function: ENT/REL quantities unaffected
66	Delete export of in-bond - complete movement	Generated as a result of CBP action through the supervisory update function: Subtracts ENT/REL quantities in all bills associated with the in-bond number
67	Delete export of in-bond - bill of lading	Generated as a result of CBP action through the transaction delete function: Subtracts ENT/REL quantities

68	Delete export of in-bond - container	Generated as a result of CBP action through the transaction delete function: Subtracts ENT/REL quantities in all bills associated with the container
69	Bill on File	Generated when a user is nominated as a SNP by a rail, carrier or NVO participant.
70	Penalty	Generated as a result of CBP action: Indicates a CF5955A, Notice of Penalty, has been issued regarding the bill: Not a seizure: ENT/REL quantities unaffected
71	Intensive hold for CBPA placed at port of discharge	Generated as a result of a manually posted CBPA intensive hold effective in the port of discharge (conveyance arrival): Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A CBP HOLD has been placed against the bill at the port of discharge. Cargo cannot be released until the HOLD is removed by CBP.
72	Inspection/document review hold for CBPA placed at port of discharge	Generated as a result of a manually posted CBPA inspection/document review hold effective in the port of discharge (conveyance arrival): Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A CBP HOLD has been placed against the bill at the port of discharge. Cargo cannot be released until the HOLD is removed by CBP.
73	Fumigation hold for CBPA placed at port of discharge	Generated as a result of a manually posted CBPA fumigation hold effective in the port of discharge (conveyance arrival): Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A CBP HOLD has been placed against the bill at the port of discharge. Cargo cannot be released until the HOLD is removed by CBP.
74	Intensive hold for CBPA removed at port of discharge	Generated as a result of a manually posted removal of a CBPA intensive hold effective in the port of discharge (conveyance arrival): Bill status returns to previous status: ENT/REL quantities unaffected. If there has been a RELEASE of the cargo by CBP, and there are no other HOLDS in force, the cargo may be released.
75	Inspection/document review hold for CBPA removed at port of discharge	Generated as a result of a manually posted removal of a CBPA inspection/document review hold effective in the port of discharge (conveyance arrival): Bill status returns to previous status: ENT/REL quantities unaffected. If there has been a RELEASE of the cargo by CBP, and there are no other HOLDS in force, the cargo may be released.
76	Fumigation hold for CBPA removed at port of discharge	Generated as a result of a manually posted removal of a CBPA fumigation hold effective in the port of discharge (conveyance arrival): Bill status returns to previous status: ENT/REL quantities unaffected. If there has been a RELEASE of the cargo by CBP, and there are no other HOLDS in force, the cargo may be released.
77	Intensive hold for CBPA placed at port of in-bond destination	Generated as a result of a manually posted CBPA intensive hold effective in the port of in-bond destination: Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A HOLD has been placed against the bill at the in-bond destination port. If there are no other HOLDS in force at the port of discharge the cargo may be moved on an in-bond entry to the destination port. Cargo cannot be released to the consignee at the destination port until RELEASED by CBP, and all destination HOLDS have been removed.
78	Inspection/document review hold for CBPA placed at	Generated as a result of a manually posted CBPA inspection/document review hold effective in the port of in-bond destination: Release is denied: Bill status changes to "HELD": ENT/REL quantities

	port of in-bond destination	unaffected. A HOLD has been placed against the bill at the in-bond destination port. If there are no other HOLDS in force at the port of discharge the cargo may be moved on an in-bond entry to the destination port. Cargo cannot be released to the consignee at the destination port until RELEASED by CBP, and all destination HOLDS have been removed.
79	Fumigation hold for CBPA placed at port of in-bond destination	Generated as a result of a manually posted CBPA fumigation hold effective in the port of in-bond destination: Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A HOLD has been placed against the bill at the in-bond destination port. If there are no other HOLDS in force at the port of discharge the cargo may be moved on an in-bond entry to the destination port. Cargo cannot be released to the consignee at the destination port until RELEASED by CBP, and all destination HOLDS have been removed.
80	Intensive hold for CBPA removed at port of in-bond destination	Generated as a result of a manually posted removal of a CBPA intensive hold effective in the port of in-bond destination: Bill status returns to previous status: ENT/REL quantities unaffected. A HOLD at the in-bond destination has been removed. If there are no other HOLDS in force against the bill, the cargo has received a RELEASE message from CBP, cargo may be RELEASED to the importer/consignee.
81	Inspection/document review hold for CBPA removed at port of in-bond destination	Generated as a result of a manually posted removal of a CBPA inspection/document review hold effective in the port of in-bond destination: Bill status returns to previous status: ENT/REL quantities unaffected. A HOLD at the in-bond destination has been removed. If there are no other HOLDS in force against the bill, the cargo has received a RELEASE message from CBP, cargo may be RELEASED to the importer/consignee.
82	Fumigation hold for CBPA removed at port of in-bond destination	Generated as a result of a manually posted removal of a CBPA fumigation hold effective in the port of in-bond destination: Bill status returns to previous status: ENT/REL quantities unaffected. A HOLD at the in-bond destination has been removed. If there are no other HOLDS in force against the bill, the cargo has received a RELEASE message from CBP, cargo may be RELEASED to the importer/consignee.
83	PTT cancelled	Generated as a result of CBP action through the transaction delete function: ENT/REL quantities unaffected
84	Transfer for exam cancelled	Generated as a result of on-line input by CBP
85	Arrive in-bond at intermediate port	Generated when a paperless or conventional in-bond has been arrived at a northern border intermediate port as a complete movement, either by the ACE M1 participant or by CBP: Arrives all bills associated with the in-bond number: ENT/REL quantities unaffected
86	Arrive bill of lading at intermediate port	Generated when a paperless or conventional in-bond has been arrived at a northern border intermediate port by the bill of lading, either by the ACE M1 participant or by CBP: Does not affect other bills associated with this in-bond number: ENT/REL quantities unaffected
87	Arrive container at intermediate port	Generated when a paperless or conventional in-bond has been arrived at a northern border intermediate port by the container/seal, either by the ACE M1 participant or by CBP: Arrives each bill associated with the container: ENT/REL quantities unaffected

88	Depart in-bond from intermediate port	Generated when a paperless or conventional in-bond has departed from a northern border intermediate port as a complete movement, either by the ACE M1 participant or by CBP: Departs all bills associated with the in-bond number: ENT/REL quantities unaffected
89	Depart bill of lading from intermediate port	Generated when a paperless or conventional in-bond has departed from a northern border intermediate port as a bill of lading, either by the ACE M1 participant or by CBP: Does not affect other bills associated with the in-bond number: ENT/REL quantities unaffected
90	Depart container from intermediate port	Generated when a paperless or conventional in-bond has departed from a northern border intermediate port by container/seal, either by carrier or by CBP: Departs each bill associated with the container: ENT/REL quantities unaffected
91	Transfer of liability for in-bond	Generated in response to action either by the ACE M1 participant or by CBP in transferring custodial liability for an in-bond movement from one bonded carrier to another by the complete movement: Liability is transferred in all bills associated with the in-bond number: ENT/REL quantities unaffected
92	Transfer of liability for bill of lading	Generated in response to action either by the ACE M1 participant or by CBP in transferring custodial liability for an in-bond movement from one bonded carrier to another by the bill of lading: Does not affect other bills associated with the in-bond number: ENT/REL quantities unaffected.
93	Transfer of liability for container	Generated in response to action either by the ACE M1 participant or by CBP in transferring custodial liability for an in-bond movement from one bonded carrier to another by the container/seal: Liability is transferred in all bills associated with the container/seal: ENT/REL quantities unaffected.
95	In-bond deleted	Generated in response to action by CBP: An in-bond created by CBP on-line has been deleted via on-line function: All non-automated bills associated with the in-bond are also deleted.
96	Manifest Consist	This will be used in the ABI NS Application, Record Identifier 30. It indicates that Consist data is being transmitted in the ABI NS Application set.
97	Conveyance Departure (In-bond)	Generated when a truck manifest conveyance carrying an in-bond is allowed to leave the port of first arrival. This message indicates that the in-bond is enroute to its destination and can be arrived at the destination port.
98	Release Conveyance	Generated as a result of on-line input by CBP. Indicates that a level hold has been removed. This notice is transmitted to a filer only.
99	Train Consist Deleted	This will be used in the ABI NS Application, Record Identifier 30. It indicates that the bills are no longer associated with that particular train.
1A	Entered: Intensive examination required	Generated as a result of selectivity processing or CBP manual posting: Writes ENT quantity to the bill. An entry has been filed against the cargo, and it is pending examination by CBP. Cargo is not RELEASED.
1B	Released: Intensive examination completed	Generated as a result of selectivity processing or CBP manual posting: Writes REL quantity to the bill. The cargo examination by CBP has been completed and the cargo has been released. Cargo, however, must be held intact and not released if there are any HOLDS in place against the bill. Do not RELEASE until all HOLDS have been removed.

1C	Entered and released: General examination	Generated as a result of selectivity processing or CBP manual posting: Writes ENT/REL quantity to the bill. Entry has been filed and the cargo has been release; however, the cargo must be held intact if there are any HOLDS in place against the bill. Do not RELEASE the cargo until all HOLDS have been removed.
1F	CBP hold removed at port of in-bond destination	Generated as a result of a CBP hold removal posting affecting the port of in-bond destination: The bill returns to previous status from "HELD": ENT/REL quantities unaffected. A HOLD at the in-bond destination has been removed. If there are no other HOLDS in force against the bill, the cargo has received a RELEASE message from CBP, cargo may be RELEASED to the importer/consignee.
1G	CBP hold placed at port of in-bond destination	Generated as a result of a manually posted CBP hold effective in the port of in-bond destination: Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A HOLD has been placed against the bill at the in-bond destination port. If there are no other HOLDS in force at the port of discharge the cargo may be moved on an in-bond entry to the destination port. Cargo cannot be released to the consignee at the destination port until RELEASED by CBP, and all destination HOLDS have been removed.
1H	CBP hold placed at port of discharge	Generated as a result of a manually posted CBP hold effective in the port of discharge (conveyance arrival): Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A CBP HOLD has been placed against the bill at the port of discharge. Cargo cannot be released until the HOLD is removed by CBP.
1I	CBP hold removed at port of discharge	Generated as a result of a manually posted removal of a CBP hold effective in the port of discharge (conveyance arrival): Bill status returns to previous status: ENT/REL quantities unaffected. If there has been a RELEASE of the cargo by CBP, and there are no other HOLDS in force, the cargo may be released.
1J	In-bond movement authorized: Bill of lading open	Generated in response to IT, TE, and IE bill data input by ACE M1 participants or CBP: ENT/REL quantities unaffected. If there are no HOLDS in force at the port of discharge, the cargo may move in-bond to the destination port.
1K	Bill of lading late in 5 days	Advisory generated for IT, TE, and IE in-bond bills created by ACE M1 participants that have not been arrived at destination 5 days before the expiration of the transit period: ENT/REL quantities unaffected
1L	Bill of lading late	Advisory generated for IT, TE, and IE in-bond bills created by ACE M1 participants that have not been arrived at destination by the expiration of the transit period: ENT/REL quantities unaffected
1M	Bill of lading message transmission	Generated as a result of CBP action: Free form message regarding the bill
1N	Overage	Advisory generated when ENT/REL quantity exceeds AMEND quantity as a result of entry posting through selectivity or manual posting: This code is also generated as a result of landed quantity verification by CBP: Actual count greater than manifested amount: Amended quantity affected and carrier response (A01) expected
1O	Shortage	Generated as a result of landed quantity verification by CBP: Actual count less than manifested amount: Amended quantity affected and carrier response (A01) expected
1P	Within case shortage, goods	Generated as a result of landed quantity verification by CBP: Actual count of merchandise within cases is less than manifested amount: Amended quantity affected and carrier response (A01) expected

	specifically manifested	
1R	Pending eligible General Order	Advisory generated 2 days before the expiration of lay order: Indicates ENT/REL quantities on the bill are less than AMEND quantity
1S	Ordered to General Order	Advisory generated at expiration of lay order: Indicates discrepancy in ENT/REL quantities and AMEND quantity on the bill have not been resolved by end of lay order
1T	Seized	Generated as a result of manual posting by CBP indicating that the manifested or partial quantity of the bill has been seized for violations: The seized quantity amount is written in the ENT/REL quantity fields
1U	Sent to General Order	Generated as a result of manual posting by CBP or G01, G03 records indicating that the manifested or partial quantity of the bill has been removed to a general order facility: The quantity sent to GO is written in the ENT/REL quantity fields
1W	Within port transfer authorized: Bill of lading remains open	Generated as a result of a participant request for PTT (Permit to Transfer), T01, or by manual posting of a PTT by CBP: ENT/REL quantities unaffected: Cargo cannot be transferred until all HOLDS are removed by CBP. Transfer with active 1A or 4A is authorized.
1X	Transfer for Exam	Generated as a result of on-line input by CBP to designate a transfer to a CES (container examination station) for examination. CBP will post any examination site or transfer carrier restrictions in the public remarks field (e.g., "Transfer to XYZ CES for examination" and/or "Must be transported by driver for XYZ carrier"). Transfer with active hold is authorized.
1Y	MVOC-NVOC Bill of Lading Match	Generated to NVO when the SCAC and bill number transmitted by the NVO in the B04 record matches that of the contract carrier (MVOC).
2F	CBPA miscellaneous hold removed at port of in-bond destination	Generated as a result of an CBPA miscellaneous hold removal posting affecting the port of in-bond destination: The bill returns to the previous status from "HELD": ENT/REL quantities unaffected. A HOLD at the in-bond destination has been removed. If there are no other HOLDS in force against the bill, the cargo has received a RELEASE message from CBP, cargo may be RELEASED to the importer/consignee.
2G	CBPA miscellaneous hold placed at port of in-bond destination	Generated as a result of a manually posted CBPA miscellaneous hold effective in the port of in-bond destination: Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A HOLD has been placed against the bill at the in-bond destination port. If there are no other HOLDS in force at the port of discharge the cargo may be moved on an in-bond entry to the destination port. Cargo cannot be released to the consignee at the destination port until RELEASED by CBP, and all destination HOLDS have been removed.
2H	CBPA miscellaneous hold placed at port of discharge	Generated as a result of a manually posted CBPA miscellaneous hold effective in the port of discharge (conveyance arrival): Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A CBP HOLD has been placed against the bill at the port of discharge. Cargo cannot be released until the HOLD is removed by CBP.

2I	CBPA miscellaneous hold removed at port of discharge	Generated as a result of a manually posted removal of a CBPA miscellaneous hold effective in the port of discharge (conveyance arrival): Bill status returns to previous status: ENT/REL quantities unaffected. If there has been a RELEASE of the cargo by CBP, and there are no other HOLDS in force, the cargo may be released.
2O	ISF Hold- no ISF on file	Generated when a Bill is received without ISF on file as part of the ISF Compliance program
2P	ISF Hold - ISF Compliance Issue	Generated on a Bill when ISF compliance issue is identified as part of the ISF Compliance Program.
2Q	Do Not Load – No ISF On File	Generated when a Bill is received without ISF on file as part of the ISF Compliance program
2R	Do Not Load – ISF Compliance Issue	Generated on a Bill when ISF compliance issue is identified as part of the ISF Compliance Program.
2Z	Master/House Container Mis-Match	Generated as a result of a comparison between the Master and House reported containers. Those containers that exist against either the Master or House that do not have a corresponding match will receive this message. The container in error will be reported in the R02 Container Number field.
3F	Other Government Agency hold removed at port of in-bond destination	Generated as a result of a manually posted removal of an Other Government Agency hold effective in the port of in-bond destination: Bill status returns to previous status: ENT/REL quantities unaffected. A HOLD at the in-bond destination has been removed. If there are no other HOLDS in force against the bill, the cargo has received a RELEASE message from CBP, cargo may be RELEASED to the importer/consignee.
3G	Other Government Agency hold placed at port of in-bond destination	Generated as a result of a manually posted Other Government Agency hold effective in the port of in-bond destination: Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A HOLD has been placed against the bill at the in-bond destination port. If there are no other HOLDS in force at the port of discharge the cargo may be moved on an in-bond entry to the destination port. Cargo cannot be released to the consignee at the destination port until RELEASED by CBP, and all destination HOLDS have been removed.
3H	Other Government Agency hold placed at port of discharge	Generated as a result of a manually posted Other Government Agency hold effective in the port of discharge (conveyance arrival): Release is denied: Bill status changes to "HELD": ENT/REL quantities unaffected. A CBP HOLD has been placed against the bill at the port of discharge. Cargo cannot be released until the HOLD is removed by CBP.
3I	Other Government Agency hold removed at port of discharge	Generated as a result of a manually posted removal of an Other Government Agency hold effective in the port of discharge (conveyance arrival): Bill status returns to previous status: ENT/REL quantities unaffected. If there has been a RELEASE of the cargo by CBP, and there are no other HOLDS in force, the cargo may be released.
3M	Unauthorized Attempt to use Bond	Generated for the Custodial Bond owner when an unauthorized party tries to use a bond.

3P	Unauthorized Port of Origin for In-bond	Generated for the Custodial Bond owner when a party is not authorized to use a bond for the given in-bond port of origin.
3Q	Unauthorized Port of Destination for In-bond	Generated for the Custodial Bond owner when a party is not authorized to use a bond for the given in-bond port of destination.
3R	Attempt to Use Bond Outside Specified Date Range	Generated for the Custodial Bond owner when a party's authorization to use a bond is not active based on the begin/end date range.
3U	Importer Security Filing Removed	Generated as a result of the deleting of an Importer Security Filing.
3W	Request for In-bond Diversion Granted	Generated as a result of an accepted request to divert an in-bond to a new destination.
3Z	Importer Security Filing on File	Generated as a result of an accepted Importer Security Filing. An advisory message indicating that the Import Security Filing has been accepted for this shipment.
4A	Override	Generated as a result of selectivity processing: Overrides 1C or 1B: Subtracts REL quantity from bill if preceding notification was 1C or 1B. Do not RELEASE cargo.
4C	Override	Generated as a result of selectivity processing: Overrides 1A to a 1C: Writes REL quantity to the bill: ENT quantity unaffected. Cargo examination has been overridden. Cargo is RELEASED, however, do not RELEASE cargo if any HOLDS are still in force.
4E	Entry cancelled	Generated as a result of selectivity processing: Indicates previous entry posting was withdrawn by the broker: Subtracts ENT/REL quantities from the bill, if the previous entry posting resulted in a 1C or 1A, followed by a 1B, or subtracts the ENT quantity, if the entry posting resulted in a 1A.
4O	ISF Hold Removed for no ISF on file	Generated to remove the ISF Hold identified in 2O
4P	ISF Hold Removed for ISF Compliance Issue	Generated to remove the ISF Hold identified in 2P
4Q	Remove Do Not Load – No ISF On File	Generated to remove the Do Not Load identified in 2Q
4R	Remove Do Not Load – ISF Compliance Issue	Generated to remove the Do Not Load identified in 2R
5H	Entry Processing Hold	Generated as a result of selectivity processing: Indicates previous entry posting has been targeted for a document discrepancy exam. Release is denied. Entry status changed to "HELD". Subtracts release quantity from bill if preceding notification was 1C or 1B.
5I	Entry Processing Hold Removed	Generated as a result of selectivity processing: Bill status returns to previous status. Writes rel quantity to the bill.
6H	No Load	Generated by CBP. Cargo should not be loaded to a vessel sailing or transiting CBP territory.



6I	Release of No Load	Generated by CBP. Cargo may again resume loading to vessel destined for sailing or transiting CBP territory.
7H	NII Exam Ordered	Informs the carrier that a Non Intrusive Inspection (NII) has been ordered and the cargo has been held.
7I	NII Exam Removed	Informs the carrier that the NII has been removed. This disposition code cancels out the 7H held status.
A1	FDA PN Advisory	An advisory message indicating that the FDA Prior Notice of arrival has been filed for the shipment.
A2	FDA PN Warning	An advisory message indicating that movement is authorized and that Prior Notice data is required. (Message is sent by CBP in systems override mode only.)
A3	FDA PN Movement Denied	An advisory message indicating that the FDN Prior Notice data is missing and Authorization for Movement of the cargo is being denied.
BC	Goods Not Authorized for Zone	Informs the carrier that the goods they thought were going into a zone will not be and additional arrangements for clearance must be accomplished.
BD	Goods Accepted/No Qty Verification	Informs the carrier the goods were acceptable to the Zone Operator and the goods will be processed by the Zone.
BE	Goods Arrived	Informs the carrier that the goods have arrived at the zone. This is an inferred closure for the PTT.
BG	Admission Advisory	Informs the carrier that the posted bill has been placed on a FTZ Admission.
BH	Admission is Deleted	Informs the carrier that the previous admission filing has been deleted.
S1	Bill of Lading Matched to Importer Security Filing	Generated to the party filing the Importer Security Filing when the ISF is matched with a bill on file with CBP.
S2	Bill of Lading not on file	Generated to the party filing the Importer Security Filing when the ISF does not match a bill on file with CBP.
S3	Bill of Lading not on file 5 days after filing the ISF	Generated to the party filing the Importer Security Filing when the ISF does not match a bill on file with CBP within 5 days after the ISF is received.
S4	Bill of Lading not on file 20 days after filing the ISF	Generated to the party filing the Importer Security Filing when the ISF does not match a bill on file with CBP within 20 days after the ISF is received.
S5	Bill of Lading not on file 30 days after filing the ISF	Generated to the party filing the Importer Security Filing when the ISF does not match a bill on file with CBP within 30 days after the ISF is received.
S6	No Bill Match (Wrong Type)	Generated when the ISF bill is on file in AMS as a different bill type.
S7	Duplicate ISF By Another Filer	An ISF with same Bill Number and Importer of Record has been filed by another filer.
SA	No Bill Match (Wrong Type)	Generated when the ISF bill is on file in AMS as a different bill type, 5 days after filing the ISF
SB	No Bill Match (Wrong Type)	Generated when the ISF bill is on file in AMS as a different bill type, 20 days after filing the ISF
SC	No Bill Match (Wrong Type)	Generated when the ISF bill is on file in AMS as a different bill type, 30 days after filing the ISF
F0	AMS PGA Enforcement	Add Agricultural Marketing Services Hold for Denied Entry at Port of Origin

	Action - Denied Entry Hold	
F1	AMS PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add Agricultural Marketing Services Hold for Denied Entry at Port of In-Bond Destination
F2	Remove AMS PGA Enforcement Action - Denied Entry Hold	Remove Agricultural Marketing Services Hold for Denied Entry at Port of Origin
F3	Remove AMS PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove Agricultural Marketing Services Hold for Denied Entry at Port of In-bond Destination
F4	AMS PGA Enforcement Action - Seal Check Hold	Add Agricultural Marketing Services Hold for Seal Check at Port of Origin
F5	AMS PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add Agricultural Marketing Services Hold for Seal Check at Port of In-Bond Destination
F6	Remove AMS PGA Enforcement Action - Seal Check Hold	Remove Agricultural Marketing Services Hold for Seal Check at Port of Origin
F7	Remove AMS PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove Agricultural Marketing Services Hold for Seal Check at Port of In-bond Destination
F8	APHIS PGA Enforcement Action - Seal Check Hold	Add Animal Plant Health Inspection Services Hold for Seal Check at Port of Origin
F9	APHIS PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add Animal Plant Health Inspection Services Hold for Seal Check at Port of In-Bond Destination
FA	Remove APHIS PGA Enforcement Action - Seal Check Hold	Remove Animal Plant Health Inspection Services Hold for Seal Check at Port of Origin

FB	Remove APHIS PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove Animal Plant Health Inspection Services Hold for Seal Check at Port of In-Bond Destination
FC	AMS PGA Enforcement Action - PGA Documentation Required Hold	Add Agricultural Marketing Services Hold for PGA Documentation Required at Port of Origin
FD	AMS PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add Agricultural Marketing Services Hold for PGA Documentation Required at Port of In-Bond Destination
FE	Remove AMS PGA Enforcement Action - PGA Documentation Required Hold	Remove Agricultural Marketing Services Hold for PGA Documentation Required at Port of Origin
FF	Remove AMS PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Remove Agricultural Marketing Services Hold for PGA Documentation Required at Port of In-bond Destination
FG	APHIS PGA Enforcement Action - PGA Documentation Required Hold	Add Animal Plant Health Inspection Services Hold for PGA Documentation Required at Port of Origin
FH	APHIS PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add Animal Plant Health Inspection Services Hold for PGA Documentation Required at Port of In-Bond Destination
FI	Remove APHIS PGA Enforcement Action - PGA Documentation Required Hold	Remove Animal Plant Health Inspection Services Hold for PGA Documentation Required at Port of Origin
FJ	Remove APHIS PGA Enforcement Action - PGA Documentation Required Hold at	Remove Animal Plant Health Inspection Services Hold for PGA Documentation Required at Port of In-Bond Destination

	In-bond Destination	
FK	AMS PGA Enforcement Action - Inspection Hold	Add Agricultural Marketing Services Hold for Inspection at Port of Origin
FL	AMS PGA Enforcement Action – Inspection Hold at In-bond Destination	Add Agricultural Marketing Services Hold for Inspection at Port of In-Bond Destination
FM	Remove AMS PGA Enforcement Action - Inspection Hold	Remove Agricultural Marketing Services Hold for Inspection at Port of Origin
FN	Remove AMS PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove Agricultural Marketing Services Hold for Inspection at Port of In-bond Destination
FO	APHIS PGA Enforcement Action - Inspection Hold	Add Animal Plant Health Inspection Services Hold for Inspection at Port of Origin
FP	APHIS PGA Enforcement Action - Inspection Hold at In-bond Destination	Add Animal Plant Health Inspection Services Hold for Inspection at Port of In-Bond Destination
FQ	Remove APHIS PGA Enforcement Action - Inspection Hold	Remove Animal Plant Health Inspection Services Hold for Inspection at Port of Origin
FR	Remove APHIS PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove Animal Plant Health Inspection Services Hold for Inspection at Port of In-Bond Destination
FS	EPA PGA Enforcement Action - Inspection Hold	Add Environmental Protection Agency Hold for Inspection at Port of Origin
FT	EPA PGA Enforcement Action - Inspection Hold at In-bond Destination	Add Environmental Protection Agency Hold for Inspection at Port of In-Bond Destination
FU	Remove EPA PGA Enforcement	Remove Environmental Protection Agency Hold for Inspection at Port of Origin

	Action - Inspection Hold	
FV	Remove EPA PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove Environmental Protection Agency Hold for Inspection at Port of In-Bond Destination
FX	FDA PGA Enforcement Action - Inspection Hold	Add Food and Drug Administration Hold for Inspection at Port of Origin
FY	FDA PGA Enforcement Action - Inspection Hold at In-bond Destination	Add Food and Drug Administration Hold for Inspection at Port of In-Bond Destination
FZ	Remove FDA PGA Enforcement Action - Inspection Hold	Remove Food and Drug Administration Hold for Inspection at Port of Origin
G0	Remove FDA PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove Food and Drug Administration Hold for Inspection at Port of In-Bond Destination
G1	FSIS PGA Enforcement Action - Inspection Hold	Add Food Safety Inspection Service Hold for Inspection at Port of Origin
G2	FSIS PGA Enforcement Action - Inspection Hold at In-bond Destination	Add Food Safety Inspection Service Hold for Inspection at Port of In-Bond Destination
G3	Remove FSIS PGA Enforcement Action - Inspection Hold	Remove Food Safety Inspection Service Hold for Inspection at Port of Origin
G4	Remove FSIS PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove Food Safety Inspection Service Hold for Inspection at Port of In-Bond Destination
G5	FWS PGA Enforcement Action - Inspection Hold	Add Fish and Wildlife Service Hold for Inspection at Port of Origin

G6	FWS PGA Enforcement Action - Inspection Hold at In-bond Destination	Add Fish and Wildlife Service Hold for Inspection at Port of In-Bond Destination
G7	Remove FWS PGA Enforcement Action - Inspection Hold	Remove Fish and Wildlife Service Hold for Inspection at Port of Origin
G8	Remove FWS PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove Fish and Wildlife Service Hold for Inspection at Port of In-Bond Destination
G9	NHTSA PGA Enforcement Action - Inspection Hold	Add National Highway Transportation Safety Administration Hold for Inspection at Port of Origin
GA	NHTSA PGA Enforcement Action - Inspection Hold at In-bond Destination	Add National Highway Transportation Safety Administration Hold for Inspection at Port of In-Bond Destination
GB	Remove NHTSA PGA Enforcement Action - Inspection Hold	Remove National Highway Transportation Safety Administration Hold for Inspection at Port of Origin
GC	Remove NHTSA PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove National Highway Transportation Safety Administration Hold for Inspection at Port of In-Bond Destination
GD	OFAC PGA Enforcement Action - Inspection Hold	Add Office of Foreign Assets Control Hold for Inspection at Port of Origin
GE	OFAC PGA Enforcement Action - Inspection Hold at In-bond Destination	Add Office of Foreign Assets Control Hold for Inspection at Port of In-Bond Destination
GF	Remove OFAC PGA Enforcement Action - Inspection Hold	Remove Office of Foreign Assets Control Hold for Inspection at Port of Origin
GG	Remove OFAC PGA Enforcement Action -	Remove Office of Foreign Assets Control Hold for Inspection at Port of In-Bond Destination



	Inspection Hold at In-bond Destination	
GH	TTB PGA Enforcement Action - Inspection Hold	Add Alcohol and Tobacco Tax and Trade Bureau Hold for Inspection at Port of Origin
GI	TTB PGA Enforcement Action - Inspection Hold at In-bond Destination	Add Alcohol and Tobacco Tax and Trade Bureau Hold for Inspection at Port of In-Bond Destination
GJ	Remove TTB PGA Enforcement Action - Inspection Hold	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for Inspection at Port of Origin
GK	Remove TTB PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for Inspection at Port of In-Bond Destination
GL	USCG PGA Enforcement Action - Inspection Hold	Add U.S. Coast Guard Hold for Inspection at Port of Origin
GM	USCG PGA Enforcement Action - Inspection Hold at In-bond Destination	Add U.S. Coast Guard Hold for Inspection at Port of In-Bond Destination
GN	Remove USCG PGA Enforcement Action - Inspection Hold	Remove U.S. Coast Guard Hold for Inspection at Port of Origin
GO	Remove USCG PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove U.S. Coast Guard Hold for Inspection at Port of In-Bond Destination
GP	APHIS PGA Enforcement Action - Denied Entry Hold	Add Animal and Plant Health Inspection Service Hold for Denied Entry at Port of Origin
GQ	APHIS PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add Animal and Plant Health Inspection Service Hold for Denied Entry at Port of In-Bond Destination



GR	Remove APHIS PGA Enforcement Action - Denied Entry Hold	Remove Animal and Plant Health Inspection Service Hold for Denied Entry at Port of Origin
GS	Remove APHIS PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove Animal and Plant Health Inspection Service Hold for Denied Entry at Port of In-bond Destination
GT	EPA PGA Enforcement Action - Denied Entry Hold	Add U.S. Environmental Protection Agency Hold for Denied Entry at Port of Origin
GU	EPA PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add U.S. Environmental Protection Agency Hold for Denied Entry at Port of In-Bond Destination
GV	Remove EPA PGA Enforcement Action - Denied Entry Hold	Remove U.S. Environmental Protection Agency Hold for Denied Entry at Port of Origin
GX	Remove EPA PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove U.S. Environmental Protection Agency Hold for Denied Entry at Port of In-bond Destination
GY	FDA PGA Enforcement Action - Denied Entry Hold	Add U. S. Food and Drug Administration Hold for Denied Entry at Port of Origin
GZ	FDA PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add U. S. Food and Drug Administration Hold for Denied Entry at Port of In-Bond Destination
H0	Remove FDA PGA Enforcement Action - Denied Entry Hold	Remove U. S. Food and Drug Administration Hold for Denied Entry at Port of Origin
H1	Remove FDA PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove U. S. Food and Drug Administration Hold for Denied Entry at Port of In-bond Destination
H2	FSIS PGA Enforcement	Add Food Safety and Inspection Service Hold for Denied Entry at Port of Origin

	Action - Denied Entry Hold	
H3	FSIS PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add Food Safety and Inspection Service Agency Hold for Denied Entry at Port of In-Bond Destination
H4	Remove FSIS PGA Enforcement Action - Denied Entry Hold	Remove Food Safety and Inspection Service Hold for Denied Entry at Port of Origin
H5	Remove FSIS PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove Food Safety and Inspection Service Hold for Denied Entry at Port of In-bond Destination
H6	FWS PGA Enforcement Action - Denied Entry Hold	Add U.S. Fish and Wildlife Service Hold for Denied Entry at Port of Origin
H7	FWS PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add U.S. Fish and Wildlife Service Hold for Denied Entry at Port of In-Bond Destination
H8	Remove FWS PGA Enforcement Action - Denied Entry Hold	Remove U.S. Fish and Wildlife Service Hold for Denied Entry at Port of Origin
H9	Remove FWS PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove U.S. Fish and Wildlife Service Hold for Denied Entry at Port of In-bond Destination
HA	NHTSA PGA Enforcement Action - Denied Entry Hold	Add National Highway Traffic Safety Administration Hold for Denied Entry at Port of Origin
HB	NHTSA PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add National Highway Traffic Safety Administration Hold for Denied Entry at Port of In-Bond Destination
HC	Remove NHTSA PGA Enforcement Action - Denied Entry Hold	Remove National Highway Traffic Safety Administration Hold for Denied Entry at Port of Origin

HD	Remove NHTSA PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove National Highway Traffic Safety Administration Hold for Denied Entry at Port of In-bond Destination
HE	OFAC PGA Enforcement Action - Denied Entry Hold	Add Office of Foreign Assets Control Hold for Denied Entry at Port of Origin
HF	OFAC PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add Office of Foreign Assets Control Hold for Denied Entry at Port of In-Bond Destination
HG	Remove OFAC PGA Enforcement Action - Denied Entry Hold	Remove Office of Foreign Assets Control Hold for Denied Entry at Port of Origin
HH	Remove OFAC PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove Office of Foreign Assets Control Hold for Denied Entry at Port of In-bond Destination
HI	TTB PGA Enforcement Action - Denied Entry Hold	Add Alcohol and Tobacco Tax and Trade Bureau Hold for Denied Entry at Port of Origin
HJ	TTB PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add Alcohol and Tobacco Tax and Trade Bureau Hold for Denied Entry at Port of In-Bond Destination
HK	Remove TTB PGA Enforcement Action - Denied Entry Hold	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for Denied Entry at Port of Origin
HL	Remove TTB PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for Denied Entry at Port of In-bond Destination
HM	USCG PGA Enforcement Action - Denied Entry Hold	Add U.S. Coast Guard Hold for Denied Entry at Port of Origin
HN	USCG PGA Enforcement Action - Denied	Add U.S. Coast Guard Hold for Denied Entry at Port of In-Bond Destination

	Entry Hold at In-bond Destination	
HO	Remove USCG PGA Enforcement Action - Denied Entry Hold	Remove U.S. Coast Guard Hold for Denied Entry at Port of Origin
HP	Remove USCG PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove U.S. Coast Guard Hold for Denied Entry at Port of In-bond Destination
HQ	CDC PGA Enforcement Action - Inspection Hold	Add Center for Disease Control and Prevention Hold for Inspection at Port of Origin
HR	CDC PGA Enforcement Action - Inspection Hold at In-bond Destination	Add Center for Disease Control and Prevention Hold for Inspection at Port of In-Bond Destination
HS	Remove CDC PGA Enforcement Action - Inspection Hold	Remove Center for Disease Control and Prevention Hold for Inspection at Port of Origin
HT	Remove CDC PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove Center for Disease Control and Prevention Hold for Inspection at Port of In-Bond Destination
HU	CPSC PGA Enforcement Action - Inspection Hold	Add Consumer Products Safety Commission Hold for Inspection at Port of Origin
HV	CPSC PGA Enforcement Action - Inspection Hold at In-bond Destination	Add Consumer Products Safety Commission Hold for Inspection at Port of In-Bond Destination
HX	Remove CPSC PGA Enforcement Action - Inspection Hold	Remove Consumer Products Safety Commission Hold for Inspection at Port of Origin
HY	Remove CPSC PGA Enforcement Action - Inspection Hold at In-bond Destination	Remove Consumer Products Safety Commission Hold for Inspection at Port of In-Bond Destination

HZ	DEA PGA Enforcement - Action - Inspection Hold	Add Drug Enforcement Administration Hold for Inspection at Port of Origin
I0	DEA PGA Enforcement - Action - Inspection Hold at In-bond Destination	Add Drug Enforcement Administration Hold for Inspection at Port of In-Bond Destination
I1	Remove DEA PGA Enforcement - Action - Inspection Hold	Remove Drug Enforcement Administration Hold for Inspection at Port of Origin
I2	Remove DEA PGA Enforcement - Action - Inspection Hold at In-bond Destination	Remove Drug Enforcement Administration Hold for Inspection at Port of In-Bond Destination
I3	CBP PGA Enforcement - Action - Inspection Hold	Add CBP placed PGA Hold for Inspection at Port of Origin
I4	CBP PGA Enforcement - Action - Inspection Hold at In-bond Destination	Add CBP placed PGA Hold for Inspection at Port of In-Bond Destination
I5	Remove CBP PGA Enforcement - Action - Inspection Hold	Remove CBP placed PGA Hold for Inspection at Port of Origin
I6	Remove CBP PGA Enforcement - Action - Inspection Hold at In-bond Destination	Remove CBP placed PGA Hold for Inspection at Port of In-Bond Destination
I7	AMS PGA Enforcement - Action - Corrective Action Hold	Add Agricultural Marketing Services Hold for Corrective Action at Port of Origin
I8	AMS PGA Enforcement - Action - Corrective Action Hold at In-bond Destination	Add Agricultural Marketing Services Hold for Corrective Action at Port of In-Bond Destination
I9	Remove AMS PGA Enforcement	Remove Agricultural Marketing Services Hold for Corrective Action at Port of Origin

	Action - Corrective Action Hold	
IA	Remove AMS PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Remove Agricultural Marketing Services Hold for Corrective Action at Port of In-bond Destination
IB	APHIS PGA Enforcement Action - Corrective Action Hold	Add Animal Plant Health Inspection Services Hold for Corrective Action Required at Port of Origin
IC	APHIS PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Add Animal Plant Health Inspection Services Hold for Corrective Action at Port of In-Bond Destination
ID	Remove APHIS PGA Enforcement Action - Corrective Action Hold	Remove Animal Plant Health Inspection Services Hold for Corrective Action at Port of Origin
IE	Remove APHIS PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Remove Animal Plant Health Inspection Services Hold for Corrective Action at Port of In-Bond Destination
IF	EPA PGA Enforcement Action - Corrective Action Hold	Add Environmental Protection Agency Hold for Corrective Action at Port of Origin
IG	EPA PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Add Environmental Protection Agency Hold for Corrective Action at Port of In-Bond Destination
IH	Remove EPA PGA Enforcement Action - Corrective Action Hold	Remove Environmental Protection Agency Hold for Corrective Action at Port of Origin
II	Remove EPA PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Remove Environmental Protection Agency Hold for Corrective Action at Port of In-Bond Destination

IJ	FDA Enforcement Action - Corrective Action Hold	PGA Add Food and Drug Administration Hold for Corrective Action at Port of Origin
IK	FDA Enforcement Action - Corrective Action Hold at In-bond Destination	PGA Add Food and Drug Administration Hold for Corrective Action at Port of In-Bond Destination
IL	Remove FDA Enforcement Action - Corrective Action Hold	PGA Remove Food and Drug Administration Hold for Corrective Action at Port of Origin
IM	Remove FDA Enforcement Action - Corrective Action Hold at In-bond Destination	PGA Remove Food and Drug Administration Hold for Corrective Action at Port of In-Bond Destination
IN	FSIS Enforcement Action - Corrective Action Hold	PGA Add Food Safety Inspection Service Hold for Corrective Action at Port of Origin
IO	FSIS Enforcement Action - Corrective Action Hold at In-bond Destination	PGA Add Food Safety Inspection Service Hold for Corrective Action at Port of In-Bond Destination
IP	Remove FSIS Enforcement Action - Corrective Action Hold	PGA Remove Food Safety Inspection Service Hold for Corrective Action at Port of Origin
IQ	Remove FSIS Enforcement Action - Corrective Action Hold at In-bond Destination	PGA Remove Food Safety Inspection Service Hold for Corrective Action at Port of In-Bond Destination
IR	FWS Enforcement Action - Corrective Action Hold	PGA Add Fish and Wildlife Service Hold for Corrective Action at Port of Origin
IS	FWS Enforcement Action - Corrective Action	PGA Add Fish and Wildlife Service Hold for Corrective Action at Port of In-Bond Destination

	Hold at In-bond Destination	
IT	Remove FWS PGA Enforcement Action - Corrective Action Hold	Remove Fish and Wildlife Service Hold for Corrective Action at Port of Origin
IU	Remove FWS PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Remove Fish and Wildlife Service Hold for Corrective Action at Port of In-Bond Destination
IV	NHTSA PGA Enforcement Action - Corrective Action Hold	Add National Highway Transportation Safety Administration Hold for Corrective Action at Port of Origin
IX	NHTSA PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Add National Highway Transportation Safety Administration Hold for Corrective Action at Port of In-Bond Destination
IY	Remove NHTSA PGA Enforcement Action - Corrective Action Hold	Remove National Highway Transportation Safety Administration Hold for Corrective Action at Port of Origin
IZ	Remove NHTSA PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Remove National Highway Transportation Safety Administration Hold for Corrective Action at Port of In-Bond Destination
J0	OFAC PGA Enforcement Action - Corrective Action Hold	Add Office of Foreign Assets Control Hold for Corrective Action at Port of Origin
J1	OFAC PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Add Office of Foreign Assets Control Hold for Corrective Action at Port of In-Bond Destination
J2	Remove OFAC PGA Enforcement Action - Corrective Action Hold	Remove Office of Foreign Assets Control Hold for Corrective Action at Port of Origin
J3	Remove OFAC PGA Enforcement	Remove Office of Foreign Assets Control Hold for Corrective Action at Port of In-Bond Destination



	Action Corrective Action Hold at In-bond Destination	
J4	TTB PGA Enforcement Action - Corrective Action Hold	Add Alcohol and Tobacco Tax and Trade Bureau Hold for Corrective Action at Port of Origin
J5	TTB PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Add Alcohol and Tobacco Tax and Trade Bureau Hold for Corrective Action at Port of In-Bond Destination
J6	Remove TTB PGA Enforcement Action - Corrective Action Hold	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for Corrective Action at Port of Origin
J7	Remove TTB PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for Corrective Action at Port of In-Bond Destination
J8	USCG PGA Enforcement Action - Corrective Action Hold	Add U.S. Coast Guard Hold for Corrective Action at Port of Origin
J9	USCG PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Add U.S. Coast Guard Hold for Corrective Action at Port of In-Bond Destination
JA	Remove USCG PGA Enforcement Action - Corrective Action Hold	Remove U.S. Coast Guard Hold for Corrective Action at Port of Origin
JB	Remove USCG PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Remove U.S. Coast Guard Hold for Corrective Action at Port of In-Bond Destination
JC	CDC PGA Enforcement Action - Corrective Action Hold	Add Center for Disease Control and Prevention Hold for Corrective Action at Port of Origin



JD	CDC Enforcement Action - Corrective Action Hold at In-bond Destination	Add Center for Disease Control and Prevention Hold for Corrective Action at Port of In-Bond Destination
JE	Remove CDC Enforcement Action - Corrective Action Hold	Remove Center for Disease Control and Prevention Hold for Corrective Action at Port of Origin
JF	Remove CDC Enforcement Action - Corrective Action Hold at In-bond Destination	Remove Center for Disease Control and Prevention Hold for Corrective Action at Port of In-Bond Destination
JG	CPSC Enforcement Action - Corrective Action Hold	Add Consumer Products Safety Commission Hold for Corrective Action at Port of Origin
JH	CPSC Enforcement Action - Corrective Action Hold at In-bond Destination	Add Consumer Products Safety Commission Hold for Corrective Action at Port of In-Bond Destination
JI	Remove CPSC Enforcement Action - Corrective Action Hold	Remove Consumer Products Safety Commission Hold for Corrective Action at Port of Origin
JJ	Remove CPSC Enforcement Action - Corrective Action Hold at In-bond Destination	Remove Consumer Products Safety Commission Hold for Corrective Action at Port of In-Bond Destination
JK	DEA Enforcement Action - Corrective Action Hold	Add Drug Enforcement Administration Hold for Corrective Action at Port of Origin
JL	DEA Enforcement Action - Corrective Action Hold at In-bond Destination	Add Drug Enforcement Administration Hold for Corrective Action at Port of In-Bond Destination
JM	Remove DEA Enforcement Action -	Remove Drug Enforcement Administration Hold for Corrective Action at Port of Origin



	Corrective Action Hold	
JN	Remove DEA PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Remove Drug Enforcement Administration Hold for Corrective Action at Port of In-Bond Destination
JO	CBP PGA Enforcement Action - Corrective Action Hold	Add CBP placed PGA Hold for Corrective Action at Port of Origin
JP	CBP PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Add CBP placed PGA Hold for Corrective Action at Port of In-Bond Destination
JQ	Remove CBP PGA Enforcement Action - Corrective Action Hold	Remove CBP placed PGA Hold for Corrective Action at Port of Origin
JR	Remove CBP PGA Enforcement Action - Corrective Action Hold at In-bond Destination	Remove CBP placed PGA Hold for Corrective Action at Port of In-Bond Destination
JS	CDC PGA Enforcement Action - Denied Entry Hold	Add Center for Disease Control and Prevention Hold for Denied Entry at Port of Origin
JT	CDC PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add Center for Disease Control and Prevention Hold for Denied Entry at Port of In-Bond Destination
JU	Remove CDC PGA Enforcement Action - Denied Entry Hold	Remove Center for Disease Control and Prevention Hold for Denied Entry at Port of Origin
JV	Remove CDC PGA Enforcement Action - Denied Entry Hold In-bond Destination	Remove Center for Disease Control and Prevention Hold for Denied Entry at Port of In-Bond Destination
JX	CPSC PGA Enforcement Action - Denied Entry Hold	Add Consumer Products Safety Commission Hold for Denied Entry at Port of Origin

JY	CPSC PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add Consumer Products Safety Commission Hold for Denied Entry at Port of In-Bond Destination
JZ	Remove CPSC PGA Enforcement Action - Denied Entry Hold	Remove Consumer Products Safety Commission Hold for Denied Entry at Port of Origin
K0	Remove CPSC PGA Enforcement Action - Hold Denied Entry Hold	Remove Consumer Products Safety Commission Hold for Denied Entry at Port of In-Bond Destination
K1	DEA PGA Enforcement Action - Denied Entry Hold	Add Drug Enforcement Administration Hold for Denied Entry at Port of Origin
K2	DEA PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add Drug Enforcement Administration Hold for Denied Entry at Port of In-Bond Destination
K3	Remove DEA PGA Enforcement Action - Denied Entry Hold	Remove Drug Enforcement Administration Hold for Denied Entry at Port of Origin
K4	Remove DEA PGA Enforcement Action - Denied Entry Hold In-bond Destination	Remove Drug Enforcement Administration Hold for Denied Entry at Port of In-Bond Destination
K5	CBP PGA Enforcement Action - Denied Entry Hold	Add CBP placed PGA Hold for Denied Entry at Port of Origin
K6	CBP PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Add CBP placed PGA Hold for Denied Entry at Port of In-Bond Destination
K7	Remove CBP PGA Enforcement Action - Denied Entry Hold	Remove CBP placed PGA Hold for Denied Entry at Port of Origin
K8	Remove CBP PGA Enforcement Action - Denied Entry Hold at In-bond Destination	Remove CBP placed PGA Hold for Denied Entry at Port of In-Bond Destination

K9	EPA Enforcement Action - Seal Check Hold	PGA	Add Environmental Protection Agency Hold for Seal Check at Port of Origin
KA	EPA Enforcement Action - Seal Check Hold at In-bond Destination	PGA	Add Environmental Protection Agency Hold for Seal Check at Port of In-Bond Destination
KB	Remove EPA Enforcement Action - Seal Check Hold	PGA	Remove Environmental Protection Agency Hold for Seal Check at Port of Origin
KC	Remove EPA Enforcement Action - Seal Check Hold at In-bond Destination	PGA	Remove Environmental Protection Agency Hold for Seal Check at Port of In-Bond Destination
KD	FDA Enforcement Action - Seal Check Hold	PGA	Add Food and Drug Administration Hold for Seal Check at Port of Origin
KE	FDA Enforcement Action - Seal Check Hold at In-bond Destination	PGA	Add Food and Drug Administration Hold for Seal Check at Port of In-Bond Destination
KF	Remove FDA Enforcement Action - Seal Check Hold	PGA	Remove Food and Drug Administration Hold for Seal Check at Port of Origin
KG	Remove FDA Enforcement Action - Seal Check Hold at In-bond Destination	PGA	Remove Food and Drug Administration Hold for Seal Check at Port of In-Bond Destination
KH	FSIS Enforcement Action - Seal Check Hold	PGA	Add Food Safety Inspection Service Hold for Seal Check at Port of Origin
KI	FSIS Enforcement Action - Seal Check Hold at In-bond Destination	PGA	Add Food Safety Inspection Service Hold for Seal Check at Port of In-Bond Destination
KJ	Remove FSIS Enforcement	PGA	Remove Food Safety Inspection Service Hold for Seal Check at Port of Origin

	Action - Seal Check Hold	
KK	Remove FSIS PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove Food Safety Inspection Service Hold for Seal Check at Port of In-Bond Destination
KL	FWS PGA Enforcement Action - Seal Check Hold	Add Fish and Wildlife Service Hold for Seal Check at Port of Origin
KM	FWS PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add Fish and Wildlife Service Hold for Seal Check at Port of In-Bond Destination
KN	Remove FWS PGA Enforcement Action - Seal Check Hold	Remove Fish and Wildlife Service Hold for Seal Check at Port of Origin
KO	Remove FWS PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove Fish and Wildlife Service Hold for Seal Check at Port of In-Bond Destination
KP	NHTSA PGA Enforcement Action - Seal Check Hold	Add National Highway Transportation Safety Administration Hold for Seal Check at Port of Origin
KQ	NHTSA PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add National Highway Transportation Safety Administration Hold for Seal Check at Port of In-Bond Destination
KR	Remove NHTSA PGA Enforcement Action - Seal Check Hold	Remove National Highway Transportation Safety Administration Hold for Seal Check at Port of Origin
KS	Remove NHTSA PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove National Highway Transportation Safety Administration Hold for Seal Check at Port of In-Bond Destination
KT	OFAC PGA Enforcement Action - Seal Check Hold	Add Office of Foreign Assets Control Hold for Seal Check at Port of Origin

KU	OFAC PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add Office of Foreign Assets Control Hold for Seal Check at Port of In-Bond Destination
KV	Remove OFAC PGA Enforcement Action - Seal Check Hold	Remove Office of Foreign Assets Control Hold for Seal Check at Port of Origin
KX	Remove OFAC PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove Office of Foreign Assets Control Hold for Seal Check at Port of In-Bond Destination
KY	TTB PGA Enforcement Action - Seal Check Hold	Add Alcohol and Tobacco Tax and Trade Bureau Hold for Seal Check at Port of Origin
KZ	TTB PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add Alcohol and Tobacco Tax and Trade Bureau Hold for Seal Check at Port of In-Bond Destination
L0	Remove TTB PGA Enforcement Action - Seal Check Hold	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for Seal Check at Port of Origin
L1	Remove TTB PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for Seal Check at Port of In-Bond Destination
L2	USCG PGA Enforcement Action - Seal Check Hold	Add U.S. Coast Guard Hold for Seal Check at Port of Origin
L3	USCG PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add U.S. Coast Guard Hold for Seal Check at Port of In-Bond Destination
L4	Remove USCG PGA Enforcement Action - Seal Check Hold	Remove U.S. Coast Guard Hold for Seal Check at Port of Origin
L5	Remove USCG PGA Enforcement Action - Seal	Remove U.S. Coast Guard Hold for Seal Check at Port of In-Bond Destination



	Check Hold at In-bond Destination	
L6	CDC PGA Enforcement Action - Seal Check Hold	Add Center for Disease Control and Prevention Hold for Seal Check at Port of Origin
L7	CDC PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add Center for Disease Control and Prevention Hold for Seal Check at Port of In-Bond Destination
L8	Remove CDC PGA Enforcement Action - Seal Check Hold	Remove Center for Disease Control and Prevention Hold for Seal Check at Port of Origin
L9	Remove CDC PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove Center for Disease Control and Prevention Hold for Seal Check at Port of In-Bond Destination
LA	CPSC PGA Enforcement Action - Seal Check Hold	Add Consumer Products Safety Commission Hold for Seal Check at Port of Origin
LB	CPSC PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add Consumer Products Safety Commission Hold for Seal Check at Port of In-Bond Destination
LC	Remove CPSC PGA Enforcement Action - Seal Check Hold	Remove Consumer Products Safety Commission Hold for Seal Check at Port of Origin
LD	Remove CPSC PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove Consumer Products Safety Commission Hold for Seal Check at Port of In-Bond Destination
LE	DEA PGA Enforcement Action - Seal Check Hold	Add Drug Enforcement Administration Hold for Seal Check at Port of Origin
LF	DEA PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add Drug Enforcement Administration Hold for Seal Check at Port of In-Bond Destination



LG	Remove DEA PGA Enforcement Action - Seal Check Hold	Remove Drug Enforcement Administration Hold for Seal Check at Port of Origin
LH	Remove DEA PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove Drug Enforcement Administration Hold for Seal Check at Port of In-Bond Destination
LI	CBP PGA Enforcement Action - Seal Check Hold	Add CBP placed PGA Hold for Seal Check at Port of Origin
LJ	CBP PGA Enforcement Action - Seal Check Hold at In-bond Destination	Add CBP placed PGA Hold for Seal Check at Port of In-Bond Destination
LK	Remove CBP PGA Enforcement Action - Seal Check Hold	Remove CBP placed PGA Hold for Seal Check at Port of Origin
LL	Remove CBP PGA Enforcement Action - Seal Check Hold at In-bond Destination	Remove CBP placed PGA Hold for Seal Check at Port of In-Bond Destination
LM	EPA PGA Enforcement Action - PGA Documentation Required Hold	Add Environmental Protection Agency Hold for PGA Documentation Required at Port of Origin
LN	EPA PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add Environmental Protection Agency Hold for PGA Documentation Required at Port of In-Bond Destination
LO	Remove EPA PGA Enforcement Action - PGA Documentation Required Hold	Remove Environmental Protection Agency Hold for PGA Documentation Required at Port of Origin
LP	Remove EPA PGA Enforcement Action - PGA Documentation Required Hold at	Remove Environmental Protection Agency Hold for PGA Documentation Required at Port of In-Bond Destination

	In-bond Destination	
LQ	FDA PGA Enforcement Action - PGA Documentation Required Hold	Add Food and Drug Administration Hold for PGA Documentation Required at Port of Origin
LR	FDA PGA Enforcement Action - PGA Documentation Required Hold At In-Bond Destination	Add Food and Drug Administration Hold for PGA Documentation Required at Port of In-Bond Destination
LS	Remove FDA PGA Enforcement Action - PGA Documentation Required Hold	Remove Food and Drug Administration Hold for PGA Documentation Required at Port of Origin
LT	Remove FDA PGA Enforcement Action - PGA Documentation Required Hold At In-Bond Destination	Remove Food and Drug Administration Hold for PGA Documentation Required at Port of In-Bond Destination
LU	FSIS PGA Enforcement Action - PGA Documentation Required Hold	Add Food Safety Inspection Service Hold for PGA Documentation Required at Port of Origin
LV	FSIS PGA Enforcement Action - PGA Documentation Required Hold At In-Bond Destination	Add Food Safety Inspection Service Administration Hold for PGA Documentation Required at Port of In-Bond Destination
LX	Remove FSIS PGA Enforcement Action - PGA Documentation Required Hold	Remove Food Safety Inspection Service Hold for PGA Documentation Required at Port of Origin
LY	Remove FSIS PGA Enforcement Action - PGA Documentation Required Hold At In-Bond Destination	Remove Food Safety Inspection Service Hold for PGA Documentation Required at Port of In-Bond Destination
LZ	FWS PGA Enforcement Action - PGA	Add Fish and Wildlife Service Hold for PGA Documentation Required at Port of Origin

	Documentation Required Hold	
M0	FWS PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add Fish and Wildlife Hold for PGA Documentation Required at Port of In-Bond Destination
M1	Remove FWS PGA Enforcement Action - PGA Documentation Required Hold	Remove Fish and Wildlife Service Hold for PGA Documentation at Port of Origin
M2	Remove FWS PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Remove Fish and Wildlife Service Hold for PGA Documentation Required at Port of In-Bond Destination
M3	NHTSA PGA Enforcement Action - PGA Documentation Required Hold	Add National Highway Transportation Safety Administration Hold for PGA Documentation Required at Port of Origin
M4	NHTSA PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add National Highway Transportation Safety Administration Hold for PGA Documentation Required at Port of In-Bond Destination
M5	Remove NHTSA PGA Enforcement Action - PGA Documentation Required Hold	Remove National Highway Transportation Safety Administration Hold for PGA Documentation Required at Port of Origin
M6	Remove NHTSA PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Remove National Highway Transportation Safety Administration Hold for PGA Documentation Required at Port of In-Bond Destination
M7	OFAC PGA Enforcement Action - PGA Documentation Required Hold	Add Office of Foreign Assets Control Hold for PGA Documentation Required at Port of Origin
M8	OFAC PGA Enforcement Action - PGA	Add Office of Foreign Assets Control Hold for PGA Documentation Required at Port of In-Bond Destination



	Documentation Required Hold at In-bond Destination	
M9	Remove OFAC PGA Enforcement Action - PGA Documentation Required Hold	Remove Office of Foreign Assets Control Hold for PGA Documentation Required at Port of Origin
MA	Remove OFAC PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Remove Office of Foreign Assets Control Hold for PGA Documentation Required at Port of In-Bond Destination
MB	TTB PGA Enforcement Action - PGA Documentation Required Hold	Add Alcohol and Tobacco Tax and Trade Bureau Hold for PGA Documentation Required at Port of Origin
MC	TTB PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add Alcohol and Tobacco Tax and Trade Bureau Hold for PGA Documentation Required at Port of In-Bond Destination
MD	Remove TTB PGA Enforcement Action - PGA Documentation Required Hold	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for PGA Documentation Required at Port of Origin
ME	Remove TTB PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Remove Alcohol and Tobacco Tax and Trade Bureau Hold for PGA Documentation Required at Port of In-Bond Destination
MF	USCG PGA Enforcement Action - PGA Documentation Required Hold	Add U.S. Coast Guard Hold for PGA Documentation Required at Port of Origin
MG	USCG PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add U.S. Coast Guard Hold for PGA Documentation Required at Port of In-Bond Destination



MH	Remove USCG PGA Enforcement Action - PGA Documentation Required Hold	Remove U.S. Coast Guard Hold for PGA Documentation Required at Port of Origin
MI	Remove USCG PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Remove U.S. Coast Guard Hold for PGA Documentation Required at Port of In-Bond Destination
MJ	CDC PGA Enforcement Action - PGA Documentation Required Hold	Add Center for Disease Control and Prevention Hold for PGA Documentation Required at Port of Origin
MK	CDC PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add Center for Disease Control and Prevention Hold for PGA Documentation Required at Port of In-Bond Destination
ML	Remove CDC PGA Enforcement Action - PGA Documentation Required Hold	Remove Center for Disease Control and Prevention Hold for PGA Documentation Required at Port of Origin
MM	Remove CDC PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Remove Center for Disease Control and Prevention Hold for PGA Documentation Required at Port of In-Bond Destination
MN	CPSC PGA Enforcement Action - PGA Documentation Required Hold	Add Consumer Products Safety Commission Hold for PGA Documentation Required at Port of Origin
MO	CPSC PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add Consumer Products Safety Commission Hold for PGA Documentation Required at Port of In-Bond Destination
MP	Remove CPSC PGA Enforcement Action - PGA Documentation Required Hold	Remove Consumer Products Safety Commission Hold for PGA Documentation Required at Port of Origin



MQ	Remove CPSC PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Remove Consumer Products Safety Commission Hold for PGA Documentation Required at Port of In-Bond Destination
MR	DEA PGA Enforcement Action - PGA Documentation Required Hold	Add Drug Enforcement Administration Hold for PGA Documentation Required at Port of Origin
MS	DEA PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add Drug Enforcement Administration Hold for PGA Documentation Required at Port of In-Bond Destination
MT	Remove DEA PGA Enforcement Action - PGA Documentation Required Hold	Remove Drug Enforcement Administration Hold for PGA Documentation Required at Port of Origin
MU	Remove DEA PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Remove Drug Enforcement Administration Hold for PGA Documentation Required at Port of In-Bond Destination
MV	CBP PGA Enforcement Action - PGA Documentation Required Hold	Add CBP placed PGA Hold for PGA Documentation Required at Port of Origin
MX	CBP PGA Enforcement Action - PGA Documentation Required Hold at In-bond Destination	Add CBP placed PGA Hold for PGA Documentation Required at Port of In-Bond Destination
MY	Remove CBP PGA Enforcement Action - PGA Documentation Required Hold	Remove CBP placed PGA Hold for PGA Documentation Required at Port of Origin
MZ	Remove CBP PGA Enforcement Action - PGA Documentation Required Hold at	Remove CBP placed PGA Hold for PGA Documentation Required at Port of In-Bond Destination

	In-bond Destination	
N1	APHIS Fumigation Hold	Add Animal Plant Health Inspection Services Fumigation Hold at Port of Origin
N2	APHIS Fumigation Hold at In-bond Destination	Add Animal Plant Health Inspection Services Fumigation Hold at Port of In-Bond Destination
N3	Remove APHIS Fumigation Hold	Remove Animal Plant Health Inspection Services Fumigation Hold at Port of Origin
N4	Remove APHIS Fumigation Hold at In-bond Destination	Remove Animal Plant Health Inspection Services Fumigation Hold at Port of In-Bond Destination
AAD	Actual Arrival of Conveyance at First Port	Generated in ANSI X12 350 Set V901 Element and in CAMIR R06 record in Response to a Conveyance Arrival Message
COC	Cancel arrival of Conveyance at First Port	Generated in ANSI X12 350 Set V901 Element and in CAMIR R06 record in Response to a Conveyance Arrival Cancellation Message
FCA	Carrier does not possess valid U.S. operating authority	Used for CBP Truck Release for FMCSA notifications to the carriers. Pre-arrival of the truck at the first U.S. port: The information contained in the information systems of FMCSA indicates that the carrier does not possess valid operating authority. Arrival at the first U.S. port: If this carrier operates in the United States it will be subject to enforcement action because the carrier does not possess valid operating authority. Generated in 350 Set V901 Element
FCB	Carrier has an Out of Service Order against it	Used for CBP Truck Release for FMCSA notifications to the carriers. Pre-arrival of the truck at the first U.S. port: The information contained in the information systems of FMCSA indicates that this carrier has an out-of-service order against it. Arrival at the first U.S. port: If this carrier operates in the United States it will be subject to enforcement action because the carrier has an out-of-service order against it. Generated in 350 Set V901 Element
FCC	Safety Score Indicates Inspection is Warranted	Used for CBP Truck Release for FMCSA notifications to the carriers. Pre-arrival of the truck at the first U.S. port: Safety score indicates Inspection is warranted
FCD	Carrier Check not performed, data not available at this time	Used for CBP Truck Release for FMCSA notifications to the carriers prior to the arrival of the truck at the first U.S. port: Carrier Check not performed; data not available at this time. Generated in 350 Set V901 Element
FCI	Carrier's current insurance does not meet the minimum level or better	Used for CBP Truck Release for FMCSA notifications to the carriers. Pre-arrival of the truck at the first U.S. port: The information contained in the information systems of FMCSA indicates that this carrier's current insurance level does not meet the minimum requirements. Arrival at the first U.S. port: If this carrier operates in the United States it will be subject to enforcement action because the carrier's current insurance level does not meet the minimum requirements. Generated in 350 Set V901 Element

FCS	Carrier Data not found in FMCSA system	Used for Truck Release for FMCSA notifications to the carriers prior to arrival of the truck at the first U.S. port. The information contained in the information systems of FMCSA Indicates that this carrier is not registered with FMCSA. If this carrier operates in the United States it will be subject to enforcement action because the carrier is not registered with the FMCSA. Generated in 350 Set V901 Element
FDD	Driver check not performed. Data unavailable at this time.	Used for CBP Truck Release for FMCSA notifications to the carriers prior to arrival of the truck at the first U.S. port. Driver check not performed data not available at this time. Generated in 350 Set V901 Element
FDH	Driver does not have proper CDL endorsements for HAZMAT cargo	Used for Truck Release for FMCSA notifications to the carriers. Pre-arrival of the truck at the first U.S. port: The information contained in the information systems of FMCSA, or Mexico indicates that this driver does not have a valid CDL with the proper HM endorsement or equivalent Mexican CDL with proper HM class of license. Canadian drivers must present HM training certificate on demand. If this carrier operates in the United States the driver and the carrier will be subject to enforcement action because the driver does not have a CDL with the proper HM class of license. Canadian drivers must present HM training Certificate on demand. Generated in 350 Set V901 Element
FDL	Driver does not have valid, current CDL or equivalent (MX, CA)	Used for CBP Truck Release for FMCSA notifications to the carriers. Pre-arrival of the truck at the first U.S. port: The information contained in the information systems of FMCSA, Mexico, or Canada indicates that this driver does not have a valid/current CDL or equivalent (Mexico/Canada). Arrival at the first U.S. port: If this carrier operates in the United States the driver and the carrier will be subject to enforcement action because the driver does not have a valid/current CDL or equivalent (Mexico/Canada). Generated in 350 set V901 element.
FED	Trailer check not performed; data not available at this time.	Used for CBP Truck Release for FMCSA notifications to the carriers prior to arrival of the truck at the first U.S. port. Trailer check not performed; data not available at this time. Generated in 350 set V901 element.
FVC	No current CVSA decal on file. Please check visually.	Used for CBP Truck Release for FMCSA notifications to the carriers prior to arrival of the truck at the first U.S. port. Pre-arrival of the truck at the first U.S. port: No current safety inspection decal on file for one or more commercial motor vehicles (Mexican long-haul carriers only). If this carrier operates in the United States beyond commercial zones with these vehicles it will be subject to enforcement action because one or more of the vehicles do not have current safety inspection decal. Generated in 350 set V901 element.
FVD	Tractor check not performed; data not available at this time.	Used for CBP Truck Release for FMCSA notifications to the carriers prior to arrival of the truck at the first U.S. port. Trailer check not performed data not available at this time. Generated in 350 set V901 element.
HCR	Crew/Passengers Held	Used for CBP Truck Release when crew members or passengers are detained at border. Generated in 350 set V901 element.
HEQ	Equipment Held	Used for CBP Truck Release when equipment is detained at border. Generated in 350 set V901 element.
HMI	Hold Conveyance	Used for CBP Truck/Rail Release when conveyance is detained at border. Generated in 350 set V901 element.

HRE	Release from Hold/Miscellaneous	Used for CBP Rail Release when a hold/miscellaneous is removed. Generated in 350 set V901 element.
HTR	Trip Held	Used for CBP Truck Release in lieu of HCR, HEQ, HMI and Cargo Held when all components are held. Generated in 350 set V901 element.
LCK	Consist Lock	Used for CBP Rail Release after a train has crossed the border. Carrier or authorized agent can't amend the consist without CBP approval and the lock is removed. Generated in 350 set V901 Element.
OCA	Overdue Conveyance Arrival	Used for CBP Truck/Rail/Ocean Release when conveyance has not arrived at first U.S. port within twp (2) days of consisting. Generated in 350 set V901 element, and in CAMIR R06 record.
RCO	Conveyance Released	Used for CBP truck Release when conveyance is completely released at the border without detention or when detention has been completed. Generated in 350 set V901 element.
RCR	Crew/Passengers Released	Used for CBP truck Release when crew/passengers are completely released at the border without detention or when detention has been completed. Generated in 350 set V901 element.
REQ	Equipment Released	Used for CBP truck Release when equipment is completely released at the border without detention or when detention has been completed. Generated in 350 set V901 element
RTR	Release Trip	Used for CBP truck Release in lieu of RCR, RCO, or RCO when the entire trip is released at the border without detention or when detention has been completed. Generated in 350 set V901 element.
SEI	Seized Equipment	Used for CBP Rail Release when equipment is seized at the border for violations. Generated in 350 set V901 element.
SER	Seized Equipment removed	Used for CBP Rail Release when previously seized equipment is completely released at the border. Generated in 350 set V901 element.
ULC	Consist Unlock	Used for CBP Rail Release when to allow a previously locked consist to be amended with approval of CBP. Generated in 350 set V901 element.

Appendix P

E214 ADMISSIONS ERROR MESSAGES

This appendix provides a complete listing of FTZ error messages.

Code	Name	Description
001	ADMISSION NOT ON FILE	Action Code is "D" or "R" and the admission is not on file – FT10 Record.
002	DUPLICATE ADMISSION ON FILE	FTZ admission number is already present in open status, the action code is not "A" – FT10 Record.
003	INPUT RECORD OUT OF SEQUENCE	Record ID is out of sequence, Transaction History
004	INVALID ZONE ID	Zone number equal spaces – FT10 Record
005	ADMISSION ALREADY IN DELETED STATUS	Action code is "D" and admission is in delete status – FT10 Record
006	INVALID PORT CODE	Port code not in RDP file or spaces – FT10 Record
007	ZONE/PORT MISMATCH	Zone is not in the port specified – processing port not equal to admission port – FT10 Record.
008	INVALID APPLICANT IDENTIFICATION NUMBER	EIN number equal to spaces or '0' or not in SRE file – FT10 Record
009	NO FTZ OPERATOR BOND ON FILE FOR ZONE	EIN number not valid in BDO file - FT10 Record
010	LINE ITEM NOT AUTH FOR DIRECT DELIVERY	This code is no longer used
011	FILER NOT AUTH FOR FTZ	Filer not valid in ABE file – FT10 Record.
012	INVALID ACTION CODE	Action code is not equal to "A", "B", "C", "D", "F", "G", "H", or "I" – FT10 Record.
013	CONVEYANCE NAME MISSING	Conveyance and flag missing or invalid in the FTZ database – FT20 Record (not required).
014	CONVEYANCE FLAG MISSING/INVALID	This code is no longer used
015	DUPLICATE INPUT RECORD	Duplicate input record type on transmission.
016	PORT OF UNLADING MISSING/INVALID	Port of unlading greater than spaces and invalid in FTZ database,– Port of Unlading equal to spaces , and admission type not equal to C, D, O, T, Z – import data greater than spaces and invalid FT20 Record.
017	BILL/IN-BOND NOT ON FILE	In-bond number is not on file for BOL specified. This error will also occur if the filer includes the air waybill as an in-bond
018	IMPORT DATE MISSING/INVALID	Import date equal to spaces, or type not equal to C, D, O, T, Z – import data greater than spaces and invalid – FT20 record.
019	EXPORT DATE MISSING/INVALID	Export date equal to spaces, or type not equal to C, D, O, T, Z – FT20 Record.

020	EXPORT DATE MUST PRECEDE IMPORT DATE	Export date must precede or be equal to the import date – FT20 Record.
021	HOUSE BILL NUMBER MISSING/INVALID	AWB House bill equal to spaces or greater than 12 positions – FT40 Record.
022	INVALID DATA TYPE FOR CHARGES	This code is no longer used
023	INVALID ZONE TO ZONE INDICATOR	Invalid Admission type: not equal to A, C, D, O, T, C, Z – FT51 Record.
024	INVALID SCAC	Carrier Code equal to spaces, admission type not equal to C, D, O, T, C, Z, or not in XRB file – FT20 Record
025	INVALID VOYAGE/FLIGHT/TRIP NUMBER	Trip number is required for Modes 10, 20 or 40. Not required for Admission types O, C, D, Z, and T – FT20 Record.
026	IN-BOND NUMBER MISSING/INVALID	In-bond number is missing or invalid in ACE and ACS – FT41 Record
027	CARRIER NOT BONDED	Invalid IRS number or not found in BDO or BDB in active status. FT42 Record.
028	INVALID FIRMS CODE	FIRMS code not marked as an FTZ location. FIRMS code is equal to spaces or is greater than spaces but not valid in XRJ table.
029	FIRMS/FTZ MISMATCH	This code is no longer used
030	INVALID ISO CODE	This code is no longer used
031	COUNTRY CODE NOT IN CTRY REF DB2 TABLE	Country code is not in ISO database – FT50 Record.
032	INVALID HSA NUMBER	Tariff number is not in the Harmonized file – FT50/51 Records.
033	NO SPECIAL PROGRAM STATUS AVAILABLE	This code is no longer used.
034	INVALID SPI COUNTRY CODE	Country code is not valid on DB2 table – FT50/51 Records
035	UNIT OF MEASURE MISMATCH	Unit of measure 1 and 2 using the HSA Table does not match, Quantity 1 and Quantity 2 with an accommodation for 2 decimals places – FT50/51 record.
036	INVALID WEIGHT	Weight not numeric or invalid – FT50/51 Records.
037	INVALID VALUE	Value not numeric numeric or not greater than 0 – FT50/51 Records.
038	INVALID REFERENCE QUALIFIER	Invalid qualifier, not listed in the ANSI X12 Guidelines.
039	ADMISSION NUMBER MISSING/INVALID	This code is no longer used
040	BILL OF LADING NUMBER MISSING/INVALID	No FT40 record exists, Direct Delivery and Admission Type equal “A”, XRB Carrier Mode Switch is greater than “1” and MOT is not equal to “30” – FT40 Record.
041	CONVEYANCE RECORDS EXCEEDED MAX (999)	Number of conveyance records exceeds number allowed for transmission – FT20 Record.
042	INVALID MANIFEST QUANTITY	Manifest quantity not greater than zero with admission type “A and Z” – FT40 Record.

043	CONTAINER NUMBER MISSING OR INVALID	BOL/container not found in ACE – FT43 Record.
044	AIRPORT CODE MISSING/INVALID	Airport three-digit number is missing or invalid, AWCL – FZ10 Record.
045	CALENDAR YEAR MISSING/INVALID	Action code is “A”. Calendar year is spaces or invalid. – FT10 Record.
046	CONTROL NUMBER MISSING/INVALID	Action code is “D, R”. Control number spaces or invalid. – FT10 Record.
047	DIRECT DELIVERY IND MISSING/INVALID	Direct delivery indicator (Y/N) missing or invalid – FT10 Record.
048	FILER CODE MISSING/INVALID	ABI filer code of submitter is spaces or invalid – FT10 Record.
049	INVALID ZONE STATUS	FTZ zone status code not equal to P, N, D, A, Z – FT51 Record.
050	DESCRIPTION MISSING/INVALID	This code is no longer used
051	FIRMS NOT BONDED	FIRMS record does not show appropriate bond – FT50/51 Records.
052	FIRMS FTZ PORT MISMATCH	This code is no longer used
053	BILL RECORDS EXCEEDED MAXIMUM (999)	Bill records exceed maximum allowed for conveyance – FT40 Record.
054	FT10 RECORD MISSING	Record not present in admission records – FT10 Record.
055	FT41 RECORD MISSING	Record not present in admission records – FT41 Record.
056	FT20 RECORD MISSING	Record not present in admission records – FT20 Record.
057	FT40 RECORD MISSING	No FT40 or Ft50 record and Admission type equals “A” or “T” – FT40 Record.
058	FT50 RECORD MISSING	No FT 50 record in admission records – FT50
059	FT51 RECORD MISSING	Record not present in admission records – FT51 Record.
060	FT60 RECORD MISSING	Record not present in admission records – FT60 Record.
061	INVALID TRANSPORTATION CHARGE	Transportation charges not numeric or invalid – FT51 Record.
062	INVALID CHARGE AMOUNT	This code is no longer used
063	INVALID COUNTRY OF ORIGIN	Country of origin is not on the RFJ Table – FT50/51 Records.
064	INVALID COUNTRY OF EXPORT	Admission Type is “A” and country of export equal spaces or not valid in RFJ table - FT40 Record.
065	INVALID FOREIGN LOAD PORT	Invalid Census Schedule K code for port where goods loaded for transport to US. Foreign port greater than spaces but not valid on FTZ foreign port reference table – FT40 Record.
066	INVALID PEDIMENTO NUMBER	Invalid pedimento number for goods transported by truck to zone from Mexico. Reference Qualifier equal FFN but the reference ID is not valid – FT60 Record.

067	FDA PN REJECTED	FDA PN not on file or disclaimer not sent. Also, sent when FDA PNA is not satisfied. – FT50
068	INVALID MODE OF TRANSPORTATION	FT20 mode code doesn't match FT40. Admission type not equal to C, D, O, T, C, Z – FT20 Record.
069	INPUT RECORD SEQUENCE ERROR	FT40 or FT50 record and Admission type equals "O", "C", "Z", "D"
070	FT42 RECORD MISSING	FT42 not present in admission – FT42 Record.
071	NO MANIFEST DATA REQUIRED	Admission Deletes require no additional Manifest Data when transmitted. Remarks are allowed. Action code equal "D" and detail record present – FT61 Record.
072	BILL OF LADING NOT IN OPEN STATUS	Bill of lading not in open status – FT40 Record.
073	UNAUTHORIZED TO TRANSMIT	Filer not authorized for FZ transmissions in ABE record – FT10 Record.
074	PTT ALREADY REQUESTED AT BILL LEVEL	This code is no longer used
075	CONTAINER RECORDS EXCEEDED MAXIMUM (999)	Container records exceeds maximum allowed for BOL- 999 times - FT43 Record.
076	INVALID TARIFF NUMBER	HTS number not in Tariff number file – FT50 Record.
077	INVALID SPECIAL PROGRAM INDICATOR	SPI Code is invalid for HSA number – FT50/51 Records.
078	INVALID UNIT OF MEASURE	Unit of measure provided is not on file – FT50 Record.
079	LINE ITEM RECORDS EXCEEDED MAXIMUM (9999)	Line item records exceed maximum allowed – FT50 Record.
080	INVALID HARBOR MAINTENANCE FEE	Harbor Maintenance Free is not numeric, equal to 0 – FT51 Record.
081	INVALID REMARKS	Remarks records exceed maximum allowed – FT61 Record.
082	ZONE ADMISSION DATA REJECTED	Admission data not accepted in FT/FZ Records.
083	ZONE ADMISSION DATA ACCEPTED	Admission data accepted in FT/FZ Records.
084	ZONE ADMISSION DELETED	Admission data has been deleted in FT/FZ Records.
085	BILL LINE ITEM RECORDS EXCEEDED MAXIMUM (999)	Bill of lading too long – FT50 Record.
086	INVALID REFERENCE ID	Reference qualifier is present but no data is transmitted in the reference element. Reference ID is greater than spaces but not valid – FT60 record
087	ESTIMATED DATE OF ARRIVAL MISSING/ INVALID	Estimated date of arrival of the conveyance equal spaces and Admission type not equal C, D, O, T, C, Z – Estimated date of arrival greater than spaces and invalid - FT20 Record.
088	REC42 DUPLICATE FOR PERMIT TO TRANSFER	User attempts to add more than one IRS number for move – FT42 Record.
089	PN WARNING ISSUED	Cargo has BTA involvement – FZ10 Record.
090	PN REJECTED	This code is no longer used

091	LINE ITEM NUMBER INVALID/MISSING	Line item number not numeric and greater than "0" – FT50 Record.
092	DUPLICATE TARIFF NUMBER FOR LINE ITEM	HTS for line item already used – FT50 Record.
093	MAXIMUM TARIFF NUMBERS ENTERED FOR LINE	Previous line item number is repeated but can't exceed 8 times - FT50 Record.
094	REC 42 CONTAINER PTT NOT VALID FOR AIR	Containers are not used in Air – FT42 Record.
095	MID REQUIRED FOR ADMISSION	Manufacturer ID number missing or blank – FT60 Record.
096	BILL NOT FOUND FOR IN-BOND	In-bond/bill of lading combination not on file with in ACE or AIR – FT41 Record.
097	ROUTING FILER NOT AUTH FOR FTZ	Filer is not in ABE file– FT10 Record.
098	FZ10 RECORD MISSING	FZ10 (PTT record) required and missing – FZ10 Record.
099	CONTAINER VALID FOR "D" AND "F" CODES	This code is no longer used
100	FTZ REMARKS RECS EXCEEDED MAX (10)	This code is no longer used
101	REMARKS VALID FOR "D" AND "F" CODES	This code is no longer used
102	INVALID IDENTIFICATION QUALIFIER	Action Qualifier not equal "1", "2", "3", "4" – Admission number equal spaces – Calendar year not numeric – Identification number not equal to Admission number, In-bond number, or container number. FZ10 Record.
103	ADMISSION NOT ON FILE	BOL/AWB/Admission not found for concurrence process, or equal spaces – FZ11 Record.
104	INVALID/MISSING ADMISSION NUMBER	Invalid Admission number, control number equal spaces
105	INVALID ACTION CODE FOR ACTION QLFR	Invalid action codes, code should be A-I – FZ10 Record. Delivery goods cannot be reported as "Arrived" at the zone using the Admission number.
106	INVALID/MISSING DELIVERY CODE	Delivery code is not equal to PA or FI – FZ10 Record.
107	BILL OF LADING NOT ON FILE	Bill of lading is not on file with CBP – no Admission given and PTT or Arrival not processed.
108	INVALID/MISING IN-BOND NUMBER	In-bond number equal to spaces. FZ10 Record
109	IN-BOND NUMBER NOT PART OF ADMISSION	BOL/In-bond number is not found in FTZ and processing concurrence - FZ10 Record.
110	ROUTING FILER CODE INVALID	Format is greater than spaces, not in ABE file – FT10 Record.
111	FTZ REPORTING REC EXCEEDED MAX (999)	User has attempted more than 999 records – FZ10 Record.
112	CONTAINER RECORD NOT USED WITH ACTION CODE	Action qualifier not equal to BOL or container - FZ10 Record.

113	CONTNR NBR NOT FOUND FOR BOL IN FTZ	Action code "D" is used but container number indicated is not associated with the bill - FZ10 Record.
114	FTZ DLVY/PTT REMARKS EXCEEDED MAX (999)	Remarks more than 10 characters per FZ20 Record - FZ20 Record.
115	ZONE CONCURRENCE DATA ACCEPTED	Action code "A" - FZ10 Record.
116	PTT MESSAGE DATA ACCEPTED	Action code "F" - successful PTT message - FZ10 Record.
117	NOT AUTHORIZED MESSAGE ACCEPTED	Action code "G" - successful Not Accepted message - FZ10 Record.
118	MERCHANDISE AUTHORIZED MESSAGE ACCEPTED	Action code "H" - successful Merchandise Accepted message - FZ10 Record.
119	PHYSICAL ARRIVAL DATA ACCEPTED	Action code "I" - Successful Arrival of merchandise message - FZ10 Record.
120	TRANSACTION DATA REJECTED	Zone operator concurs with the cargo count. This is displayed in the FZ edit process when any error occurs within the ABYZ set. The line where the error occurs along with a specific error message related to the error is given followed by the Transaction Data Rejected message- fatal error occurred.
121	INVALID SUB ZONE - CANNOT EQUAL SPACES	Spaces in sub zone field not allowed - FT10 Record.
122	INVALID SITE CODE - CANNOT EQUAL SPACES	Spaces in site code field not allowed - FT10 Record.
123	CONVEYANCE MUST BE ARRIVED	Vessel must be arrived.
124	FOREIGN LOAD PORT NOT IN FRGN PORT REF	Foreign port not in Census Schedule K file - FT40 Record.
125	FIRMS CODE NOT IN FIRMS CD REF DB2 TBL	FIRMS Code not in CBP database - FT40 Record.
126	INVALID ISSUER CODE FOR BILL	Invalid SCAC code. Issuer code not in XRJ or XRB files - FT20 Record.
127	FIRMS CODES REQUIRED FOR PTT	Destination location for PTT move missing - FZ11 Record.
128	FZ11 RECORD MISSING	BOL on file with no FTZ admission and not a PTT or arrival proces
129	ADMISSION NBR REQUIRED ON FZ11 RECORD	Admission number equal to spaces - FZ11 Record.
130	LINE ITEM QUANTITY1 INVALID	Quantity not according to format - FT50 Record.
131	LINE ITEM QUANTITY2 INVALID	Quantity not according to format - FT50 Record.
132	PTT REJ - OPERATOR NOT ACCEPTED	PTT not direct delivery or zone accepted is not "Y" or filer not equal routing code - FZ10 Record. Zone operator must accept cargo at the Admission level and NOT at the BOL level (FZ10 Record Action Code H).
133	INVALID RECORD TYPE	Invalid input record types invalid - FT40 Record.
134	DUPLICATE BILL WITHIN TRANSACTION	Duplicate BOL exists within the same FT transaction.

135	INCALID FIRMS CODE IN FT40 FOR ADM TYPE	This code is no longer used
136	FT50 - FT61 INVALID FOR ADMSN TYPE "T"	No Line Item data given for temp deposit. Admission type is "T" and record is FT50, 51, 60, 61
137	LINE ITEM SEQUENCE ERROR	Line item numbers out of sequence. Line item number is less than previous number for same bill.
138	INVALID IMPORTER NUMBER	Reference qualifier equal IM and reference ID not valid importer number not on SRE file, or inactive.
139	IMPORTER NUMBER NOT IN ACTIVE STATUS	Importer on SRE file in inactive status.
140	DUPLICATE IN-BOND FOR BOL	Duplicate in-bond entered for BOL in same transaction. Admission type equal "A" or "R". FT41
141	DUPLICATE CONTAINER FOR BOL	Duplicate Container entered for BOL. FT43
142	IN-BOND ASSOC WITH MULTIPLE ADMISSIONS	In-bond is linked to multiple admissions in FTZ.
143	BILL ON IN-BOND ON MULTIPLE ADMISSIONS	In-bond is linked to multiple bills in FTZ.
144	BILL NOT PART OF THIS ADMISSION	FTZ admission not found for BOL/Container and not processing PTT or admission
145	ADDITIONAL CONVEYANCE NEEDED FOR BOL	Conflicting Data reported for Conveyance and Bill Number. The carrier code in the FT20 record must be on file for the MOT reported in the FT20 record. Both of these fields must agree with the MOT assigned to the bill number reported in the FT40 record. An incomplete carrier code in the FT20 record will also cause this error
146	"PA" NOT VALID FOR ADMISSION OR IN-BOND	Deliver code equals "PA" for in-bond concurrence
147	INVALID LICENSE NUMBER	Reference qualifier equals CEM and Reference ID not valid license numver. Cement License required for Special Tariff Number. FT60
148	CEMENT LICENSE REQUIRED	Cement License Required for Tariff Number beginning with "252310", "252329" and "252390" with Country Code "MX"- no license given,.
149	INBOND CANNOT BE ARRIVED, NOT IN ROUTE	INBOND status must be en route before Arrival.
150	MANUFACTURER ID NOT ON FILE	Manufacture ID not on SSM File - FT60 Record.
151	MANUFACTURER ID INACTIVE	Manufacturer ID is in Inactive Status on SSM File – FT60 Record.
152	ADMSN MUST BE AUTH PRIOR TO CONCURRENCE	BOL/AWB/Admission FTZ Docs Review Date and paperless date equal spaces for concurrence.
153	ADMSN ALREADY IN CONCURRED STATUS	BOL/in-bond FTZ approval indicator equals "Y" when doing a concurrence.

154	INBOND ALREADY IN CONCURRED STATUS	INBOND has already been concurred.
155	CONCUR QTY EXCEEDS REPORTED ADMSN QTY	Concurrence QTY submitted for BILL exceeds Admission BILL QTY. This error is also generated if BOL has been previously concurred.
156	INVALID COUNTRY CODE	Country code is not in RFJ database – FT50 Record
157	ISSUER/FIRMS PREFIX INVALID FOR BILL	Issuer or Firms prefix for BOL is not valid. Issuer code not in XRJ or XRB
158	MULTI TARIFF NBR (9802) INVLD FOR LINE	Tariff Number beginning with “9802” duplicated for Line Item. Line item number repeated up to 8 times
159	FDA DSCLMR IND MUST BE “Y” OR “N”	FDA DSCLMR IND nor equal to space, “Y” or “N”. OGA disclaimer not valid
160	ONLY SIMPLE AND HOUSE BILLS ALLOWED	AWB01-RCNL-STUS-CD not equal “01”, “11”, “12”, “13”
161	INVALID REC STATUS – CHECK AIR WAYBILL	FZ process not allowed for Air Waybills with RCNL-STUS of “11” or “12”
162	INBOND ALREADY ARRIVED	In-bond is already arrived when processing an in-bond arrival message
163	ACTL DEST CAN NOT EQUAL DEPARTURE	Admission port equals port of origin for BOL/in-bond when processing arrival through FTZ
164	NON DIRECT DELIVERY – ADMSN NOT ON FILE	FIRMS code invalid, PTT or XRJ Direct Delivery indicator not equal to “Y” and no BOL/Admission on file
165	INBOND REQUIRED FOR ADMSN TYPE “Z”	This code is no longer used.
166	BOL INVALID FOR ADMSN TYPES “O”	If ocean/rail bill and admission type equal to “0”
167	QUANTITY MUST BE NUMBERIS OR ZERO	If manifest quantity is not numeric, or spaces
168	BILL UNRELATED TO ADMISSION	BOL/admission found and admission received. Indicator not set to “Y” in ACE
169	INB/BILL UNRELATED TO ADMISSION	Inbond/BOL is not related to admission
170	BOL INVALID FOR ADMSN TYPES “Z”	AWB exists, admission type equal Z
171	INB VALID FOR ADMSN TYPES “Z”	Truck bill, not FTZ withdrawel or conventional paperless
172	INVALID BILL KEY FOR SPLIT BILL VERIFY	If AWB found by 21 byte key and AWB01 - SHIPMT-PART-NBR GREATER THAN SPACES
173	MASTER, HOUSE, CARR, FLT, AND ARVL-DT	If AWB found by 21 bypte key and AWB01-SHIPMT-PART-NBR GREATER THAN SPACES

Census Warnings

27R	*CENSUS*INVALID VESSEL MOT
27S	*CENSUS*INVLD NON-AIR, ON-VSL MOT
27T	*CENSUS*INVALID AIR MOT
27U	*CENSUS*ZERO QUANTITY 1
27V	*CENSUS*ZERO QUANTITY 2
27W	*CENSUS*MAX PRIMARY QTY EXCEEDED
27X	*CENSUS*MAX SECONDRY QTY EXCEEDED
27Y	*CENSUS*GROSS WEIGHT - OTHER MOT
27Z	*CENSUS*GROSS WEIGHT - RAIL



Appendix T

IN-BOND PROCESSING COMMON ERRORS

The In-bond Error Message Dictionary identifies error messages encountered in transmitting ACE ABI CATAIR records in QP and WP format and explains the reason for the error message.

001 QP30 RECORD MISSING

Record Identifier QP30 - QP10 Action Code is 'A' and QP30 record is missing.

or

Record Identifier QP30 – QP10 Action Code is 'B' and QP30 record is missing.

002 QP10 COUNT EXCEEDED

Record Identifier QP10 - Used more than once per B-Y Block for QP10 Action Codes 'A' and 'B'.

or

Record Identifier QP10 - Used more than 999 times per B-Y Block for QP10 Action Code 'D'.

003 QP40 RECORD MISSING

Record Identifier QP40 - Data was not transmitted when full bill data is transmitted within the QP message.

004 NO SHIPPER DATA FOUND

Record Identifier QP50 - Data was not transmitted when full bill data is transmitted within the QP message.

005 NO CONSIGNEE DATA FOUND

Record Identifier QP55 Consignee Name/Address Record - Not transmitted when full bill data is transmitted within the QP message.

006 QP30 LOOP COUNT EXCEEDED

Record Identifier QP30 - Used more than 9999 times per QP10.

007 NO CARGO DESC DATA FOUND

Record Identifier QP71 - Data was not transmitted when full bill data is transmitted within the QP message.

008 NO INBOND DATA FOUND

Record Identifier QP10 - In-bond Number data element is missing.

or



Record Identifier QP10 - In-bond Number not on file for QP30 Bill Delete.

or

Record Identifier QP10 - In-bond Number not on file for QP10 In-Bond Delete.

009 INVALID CARRIER CODE

Record Identifier QP10/QP20 - Carrier Code data element contains a code not in carrier record file.

or

Record Identifier QP10/QP20 - Carrier Code is missing.

010 INVALID TRANSPORTATION MODE

Record Identifier QP20 - Mode of Transportation Code data element contains values not specified in the Implementation Guide.

or

For WP to report FDA Prior Notice, this error is returned if the affirmation of compliance RNO (Rail Car Number) is sent with an MOT other than RAIL (20 or 21).

011 INVALID VESSEL COUNTRY CODE

Record Identifier QP20 - Importing Vessel Country Code data element contains invalid country code.

012 INVALID VOYAGE

Record Identifier QP20 - Voyage Number data element is missing.

or

Record Identifier QP20 - Voyage Number data element cannot contain special characters.

013 NO MAN UNIT CODE FOR BL

Record Identifier QP40 - Manifest Unit code is not specified in the Implementation Guide.

or

Record Identifier QP71 - If provided, Manifest Unit Code is not specified in the Implementation Guide.

014 INVALID UNLADING PORT

This message is not currently being used.

015 INVALID EST ARR DATE RANGE

Record Identifier QP20 – When creating non-withdrawal in-bonds the date in the Estimated Date of Arrival data element is before or after 270 days from the processing date of the inbound message.

016 QP32 COUNT EXCEEDED

Record Identifier QP32 - Used more than once per QP30.

017 INVALID BOL



Record Identifiers QP30 - Bill of Lading Sequence Number data element contains spaces or is not alpha/numeric.

018 QP33 COUNT EXCEEDED

Record Identifier QP33 - Used more than 999 times per QP30.

019 QP40 LOOP COUNT EXCEEDED

Record Identifier QP40 - Used more than once per QP30.

020 INVALID WEIGHT

Record Identifier QP40 - Contains weight information in a format other than numeric, not greater than 0, or is missing.

021 INVALID WEIGHT UNIT

Record Identifier QP40 - Weight Unit data element is missing or contains an invalid value per the Implementation Guide.

022 INVALID VOLUME

Record Identifier QP40 - Volume Unit data element is provided without Volume data element, or Volume data element is other than numeric or is not greater than zero.

023 INVALID VOLUME UNIT

Record Identifier QP40 - Volume data element is provided without Volume Unit data element or Volume Unit data element contains an invalid value per the Implementation Guide.

024 MISSING NAME

Record Identifier QP50, QP55, or QP60 - Foreign Shipper, Consignee, or Notify Name/Code data element is missing.

025 MISSING ADDRESS

Record Identifier QP50, QP55, or QP60 - Foreign Shipper, Consignee, or Notify Address data element is missing.

026 INVALID SNP PROFILE - XXXXXXXXXX

Record Identifier QP32 - Where XXXXXXXXXX is the Secondary Notify Party (SCAC, FIRMS, or ABI Routing Code) that does not have a valid EDI profile.

027 QP50/QP55/QP60 COUNT EXCEEDED

Record Identifier's QP50 or QP55 - Used more than once per bill of lading (QP30 Record).

or

Record Identifier QP60 - Used more than three times per Bill of Lading (QP30 Record).



028 QP51/QP56/QP61 COUNT EXCEEDED

Record Identifier's QP51, QP56, or QP61 - Used more than once per QP51/QP56/QP61 Record loop.

029 QP52/QP57/QP62 COUNT EXCEEDED

Record Identifier's QP52, QP57, or QP62 - Used more than once per QP52/QP57/QP62 Record loop.

030 INVALID INBOND ENTRY TYPE

Record Identifier QP10 - In-bond Entry Type data element contains anything other than 61, 62, or 63.

or

Record Identifier QP10 - In-bond Entry Type is missing.

031 DUPLICATE CONTAINER FOR THE BILL

Record Identifier QP65 - Cannot repeat the container number or 'NC' within the same bill.

032 INVALID INBOND NUMBER

Record Identifier QP10 - Contains a Conventional In-bond Number where first 8 characters are either not numeric or are all zero's.

or

Record Identifier WP10 - Does not contain an In-bond Number data element when required for specific Action Codes as specified within the Implementation Guide for WP.

033 INVALID PORT

Record Identifier QP10 and QP20 - U.S. Port of Destination or Port of Importing Conveyance Arrival data element is missing.

or

Record Identifier QP20 – Port of Importing Conveyance Arrival data element contains a code that does not appear in the Census Schedule D port code list.

or

Record Identifier QP10 - U.S. Port of Destination data element contains a code that does not appear in the Census Schedule D port code list.

or

Record Identifier WP20 - When provided, the Port of Arrival data element contains a code that does not appear in the Census Schedule D port code list.

034 INVALID FOREIGN PORT

Record Identifier QP40 - The Foreign Port of Lading is missing.

or

Record Identifier QP40 - The Foreign Port of Lading contains a code that does not appear in the Census Schedule K Foreign Port code list.

or



Record Identifier QP10 - Foreign Port of Destination is missing for In-Bond Entry Type 62 (T&E-Transport & Export or 63 (IE-Immediate Export).

or

Record Identifier QP10 - Foreign Port of Destination contains a code that does not appear in the Census Schedule K Foreign Port code list

035 INVALID DOLLAR VALUE

Record Identifier QP10 - The Value data element is missing when QP10 Action Code is 'A'.

or

Record Identifier QP10 - Value data element contains data that is not numeric or a '0' when QP10 Action Code is 'A'

036 QP70 COUNT EXCEEDED

Record Identifier QP70 - Used more than 999 times per QP65.

037 WP10 LOOP COUNT EXCEEDED

Record Identifier WP10 - Used more than 9999 times per envelope.

038 BOL ALREADY ON FILE

Record Identifier QP30 - The QP dataset cannot contain Record Identifiers QP40 thru QP76 when the Bill is already on file.

039 WP20 COUNT EXCEEDED

Record Identifier WP20 - Used more than once per WP10.

040 BILL NOT ON FILE

Record Identifier QP30 - Action Code data element with 'D' was transmitted to delete the Bill but the Bill was not on file.

or

Record Identifier QP30 - Action Code data element with 'A' was transmitted to add the Bill to the In-Bond but the Bill was not on file.

041 MISSING CONTAINER NUMBER

Record Identifier QP65 - The Container Number data element is missing.

042 INVALID QUANTITY

Record Identifier QP30 - When provided, In-bond Quantity data element contains data that is not numeric.

or

Record Identifier QP40 - Manifest Quantity data element contains a quantity that is not numeric, equal to '0', or is missing.

or

Record Identifier QP71 - Piece Count data element contains a quantity that is not numeric or is missing.



043 INVALID INBOND CHECK DIGIT

Record Identifier QP10 - In-bond Number data element contains an invalid In-bond Check Digit.

044 INVALID VESSEL NAME

This message is not currently being used.

045 ACTION CODE INVALID OR MISSING

Record Identifier QP10 - Action Code data element is missing.

or

Record Identifier QP10 - Action Code data element contains anything other than a valid code per the Implementation Guide.

or

Record Identifier QP30 - Action Code data element is missing.

or

Record Identifier QP30 - Action Code data element contains anything other than a valid code per the Implementation Guide.

or

Record Identifier WP10 - Action Code data element contains anything other than a valid code per the Implementation Guide.

046 INVALID AMENDMENT CODE

This message is not currently being used.

047 MISSING DESCRIPTION

Record Identifier QP71 - Description data element is missing.

048 INVALID SNP - XXXXXXXXXX

Record Identifier QP32 - Where XXXXXXXXXX is the Secondary Notify Party (SCAC, FIRMS, or ABI Routing Code) that contains a code that does not appear on file.

or

Record Identifier QP32 – When QP32 is provided, at least the first Secondary Notify Party in positions 3 to 11 must be provided.

049 SCAC NOT ELIGIBLE FOR NOTIFICATION

This message is not currently being used.

050 INVALID ESTIMATED ARRIVAL DATE

Record Identifier QP20 - Original Scheduled Date of Arrival data element contains an arrival date in format other than MMDDYY for CATAIR.

051 MASTER INBOND NOT INDICATED ON BL

This message is not currently being used.

052 BILL COUNT NOT = BILLS READ

This message is not currently being used.

053 ACTUAL ARRIVAL DATE INVALID

This message is not currently being used.

054 PORT NOT = P01/P4 PORT

This message is not currently being used.

055 INVALID LAYORDER DATE

This message is not currently being used.

056 VESSEL NOT ON FILE

This message is not currently being used.

057 INVALID WEIGHT OR MEASURE

This message is not currently being used.

058 ACCUMULATED QTY NOT = BILL QTY

Record Identifier QP40 - Manifest Quantity data element contains a quantity that does not equal the sum of Piece Counts on the Record Identifier QP71.

059 REQUIRED AS OF ACT ARR DATE

This message is not currently being used.

060 BILL REJECTED

This message is not currently being used.

061 MANIFEST REJECTED

This message is not currently being used.

062 PORT REJECTED

This message is not currently being used.

063 BILL ACCEPTED

This message is not currently being used.

064 BILL CLOSED NO ACTIONS ALLOWED

This message is not currently being used.

065 BILL PASSED EDITS



This message is not currently being used.

066 QP75 LOOP COUNT EXCEEDED

Record Identifier QP75 - Transmitted in excess of the allowable 99 Hazardous Material records per container per bill of lading set.

067 HAZMAT CODE MISSING

Record Identifier QP75 - Hazardous Material Code data element is missing.

or

Record Identifier QP75 - Hazardous Material Code data element contains an invalid value.

068 HAZMAT DATA OUT OF ORDER

Record Identifier QP76 - Appears before Record Identifier QP71 and QP72.

or

Record Identifier QP76 - Transmitted without an accompanying Record Identifier QP75 Loop Header.

069 QP76 COUNT EXCEEDED

Record Identifier QP76 - Transmitted in excess of the allowable two Record Identifier QP76 records per Record Identifier QP75.

070 INV HAZMAT CODE QUAL

Record Identifier QP75 - If provided, Hazardous Material Code Qualifier is not found in the Implementation Guide.

071 INVALID FLASHPOINT TEMP

Record Identifier QP75 - If provided, Flashpoint Temperature must be numeric.

072 INV FLASHPOINT TEMP UOM

Record Identifier QP75 - If provided, Flashpoint Temperature UOM is not found in the Implementation Guide.

073 NO HARMONIZED NUMBER FOUND

Record Identifier QP70 - When provided and In-bond Type is T&E '62' or IE '63', the Harmonized Number data element is required.

074 INCORRECT HARMONIZED NUMBER

Record Identifier QP70 - If provided, Harmonized Number Data Element contains data that does not appear in Harmonized Schedule file.

075 COMM VALUE MISSING OR INV

Record Identifier QP70 - When Record Identifier QP10 In-bond Entry Type is T&E '62' or IE '63', the Value data element is missing.



or

Record Identifier QP70 - Value data element is not numeric.

076 COMM WEIGHT MISSING OR INV

Record Identifier QP70 - When Record Identifier QP10 In-bond Type is T&E '62' or IE '63', the Weight data element is missing.

or

Record Identifier QP70 - Weight data element is not numeric.

or

Record Identifier QP70 - Weight data element is missing when Weight Unit is provided.

077 COMM WEIGHT UNIT INVALID

Record Identifier QP70 - When Record Identifier QP10 In-bond Type is T&E '62' or IE '63', the Weight Unit data element contains an invalid value per the Implementation Guides.

or

Record Identifier QP70 - Weight Unit data element is missing when Weight data element is provided.

078 MARKS AND NUMBERS MISSING

Record Identifier QP72 - Marks and Numbers data element is missing.

079 INVALID FDA BTA IND - MUST BE Y OR N

Record Identifier QP10 - The FDA Indicator is required for In-bond Entry Type IT '61' or T&E '62'. When required, BTA/FDA Indicator data element in Record Identifier QP10 must be 'Y' for yes or 'N' for no.

080 FTZ IND MUST BE Y OR BLANK

Record Identifier QP10 - The FTZ Indicator is not 'Y' or ' ' (space).

081 MANIFEST TRANSMITTAL

This message is not currently being used.

082 MASTER IB-LESS THAN 48 HOURS

This message is not currently being used.

083 QTY NOT EQUAL BILL QTY

This message is not currently being used.

084 INV FLSPNT TEMP NEG IND

This message is not currently being used.

085 MISSING ENTRY NUMBER

This message is not currently being used.



086 MISSING ENTRY TYPE

This message is not currently being used.

087 INVALID ENTRY TYPE

Record Identifier FD05 - The Entry Type given in WP for affirmation code 'ETP' is not valid.

088 MISSING RELEASE DATE

This message is not currently being used.

089 CARRIER GO4 TRANSMISSION

This message is not currently being used.

090 SEND GO AFTER VESSEL ARRIVAL

This message is not currently being used.

091 INVALID ENTRY NUMBER

Record Identifier FD05 - The Entry Number given in WP for affirmation code 'ENT' is not valid.

092 INBOND INTERFACE COMPLETED

This message is not currently being used.

093 VESSEL MUST BE ARRIVED

Record Identifier WP10 - Vessel must be arrived before the in-bond arrival.

094 PROBLEM IN INBOND INTERFACE

This message is not currently being used.

095 BOL HDR DATA MISSING

This message is not currently being used.

096 RUN HAS BEEN TERMINATED

The transmission was terminated for a database problem. Report problem to USCBP help desk at 1-800-927-8729.

097 PLEASE CALL CLIENT REP

This error message accompanies error messages 989 thru 999.

098 NOT AUTHORIZED TO TRANSMIT

This message is not currently being used.

099 DB ERROR ON XRE FILE

This message is not currently being used.

100 INVALID PROCESS MODE ON XRE

This message is not currently being used.

101 NOT TEST/OPERATIONAL MODE

This message is not currently being used.

102 NO OPEN BILL(S) FOR PROCESSING

Record Identifier WP10 - Contains a Bill or In-bond Number that where the Status prevents the action from being accepted (the Bills are deleted or the In-bond is concluded).

103 BILL ALREADY DELETED

This message is not currently being used.

104 INVALID ESTIMATED ARRIVAL DATE

This message is not currently being used.

105 INBOND NUMBER USED AT ANOTHER PORT

This message is not currently being used.

106 DELETE BILL WITHOUT QP40 TO QP76

Record Identifiers QP40 to QP76 – These records must not be included with a QP30 Action Code of ‘D’.

107 INBOND DB ERROR-POSSIBLY FILE FULL

This message is not currently being used.

108 BONDED CARRIER ID REQUIRED

Record Identifier QP10 – Bonded Carrier ID is missing when the Action Code data element is ‘A’ to add an In-bond.

or

Record Identifier WP20 - Bonded Carrier ID is missing for Transfer of Liability or In-bond Diversion.

109 INVALID BONDED CARRIER ID

Record Identifier QP10 – Bonded Carrier ID is not on file when the Action Code data element is ‘A’ to add an In-bond.

or



Record Identifier WP20 - Bonded Carrier ID is not on file for Transfer of Liability or In-bond Diversion.

110 NOT AUTH TO USE CUST BOND

Record Identifier QP10 - Filer Code in the B Record attempting to use Bonded Carrier ID Number in QP10, not on the Custodial Bond profile.

or

Record Identifier QP10- Filer Code in the B Record attempting to use Bonded Carrier ID Number in QP10, not authorized because of termination date on the Custodial Bond profile.

or

Record Identifier QP10 - Filer Code in the B Record attempting to use Bonded Carrier ID Number in QP10, not authorized for Origin port on the Custodial Bond profile.

or

Record Identifier QP10 - Filer Code in the B Record attempting to use Bonded Carrier ID Number in QP10, not authorized for Destination port on the Custodial Bond profile.

or

Record Identifier WP20 – When the Action Code data element is Z, the Filer Code in the B Record attempting to use the Bonded Carrier ID Number in WP20 for Diversion request, not authorized for Destination port on the Custodial Bond profile.

111 AMS MIB IND-USE Y OR SPACE

This message is not currently being used.

112 HDR & INB ENTRY TYPE NOT=

This message is not currently being used.

113 INBOND DEST EXISTS FOR BOL

This message is not currently being used.

114 EXPRT PORT MUST = ARR PORT

Record Identifier QP20 - If Record Identifier QP10 has in-bond entry type code 63, the In-bond Port of Destination data element in Record Identifier QP10 must be the same as the Port of Discharge data element in Record Identifier QP20.

115 ARR MESSG CODE IS REQUIRED

Record Identifier WP10 - Action Code uses Error Message 045 for this case. This message is not currently being used.

116 VALID ARR MSG =>1 2 3 4

This message is not currently being used.

117 9 DIGIT NUM REQUIRED

This message is not currently being used.

118 DATE INVALID-YYMMDD



- Record Identifier WP20 - Date data element is not in numeric format.
- or*
- Record Identifier WP20 - Date data element contains invalid month, day, and year.
- 119 INBOND PORT REQUIRED**
- Record Identifier WP20 - Port of Arrival/Export data element is missing when required for specific Action Codes as specified within the Implementation Guide for WP.
- 120 INBOND PREFIX NOT ON FILE**
- This message is not currently being used.
- 121 INBOND NBR MUST BEGIN WITH V**
- This message is not currently being used.
- 122 BILL NBR NOT ON FILE**
- Record Identifier WP10 – When Action Code data element contains 2, 3, 6, or 7 the BOL number specified is not on file or is missing.
- 123 INBOND NBR NOT ON FILE**
- Record Identifier WP10 - When Action Code data element contains code 1, the In-bond Number specified is not on file.
- 124 INBOND NBR ALREADY ARRIVED**
- Record Identifier WP10 - When Action Code data element contains code 1, the In-bond Number is already arrived.
- 125 BILL NBR CONCLUDED**
- This message is not currently being used.
- 126 BILL NBR ALREADY ARRIVED**
- Record Identifier WP10 - When Action Code data element contains code 2, the bill number is already arrived.
- 127 LAYORDER DATE ERROR**
- This message is not currently being used.
- 128 WP20 DATE REQUIRED**
- Record Identifier WP20 - The Date data element is missing or contains a '0'.
- 129 ARRIVAL DATE EXCEEDS TODAYS DATE**
- Record Identifier WP20 - When Action Code data element contains codes 1, 2, or 3, the Date data element contains an arrival date that is in the future.



130 ARRIVAL DATE OVER 120 DAYS OLD

This message is not currently being used.

131 CONTAINER ALREADY ARRIVED

Record Identifier WP20 - When Action Code data element contains code 3, the Container is already arrived.

132 ARRIVAL DATE BEFORE DEPARTURE

Record Identifier WP20 - When Action Code data element contains codes 1, 2, or 3, the Date data element contains an arrival date at the destination port that is before the departure date for the entity being arrived.

133 TOL DATE EXCEEDS TODAYS DATE

Record Identifier WP20 - When Action Code data element contains code A the Date data element contains a Transfer of Liability date that is in the future.

134 TOL DATE EXCEEDS TODAYS TIME

Record Identifier WP20 - When Action Code data element contains code A and the Transfer of Liability Date is the current date, the Time data element contains a Transfer of Liability time that is in the future.

135 NO CONTAINER DATA FOUND

This message is not currently being used.

136 INVALID ISSUER CODE

Record Identifier QP30 – Issuer Code of Master Bill of Lading data element is not a valid SCAC or FIRMS code.

137 NO ISSUER CODE FOUND

Record Identifier QP30 - Issuer Code of Master Bill of Lading data element is missing.

138 INV MOT FOR EXP REPORTING

Record Identifier WP20 – When provided, and Action Code data element contains code 5, 6 or 7 the Export MOT data element is not equal to '10' or '11' per Implementation Guides.

or

Record Identifier WP20 – When Action Code data element contains code 5, 6 or 7 the Export MOT data element is missing when Export Conveyance is given.



139 INV CONV FOR EXP REPORTING

Record Identifier WP20 – When Action Code data element contains code 5, 6 or 7 the Export Conveyance is missing when Export MOT data element is given.

140 BOL NOT AVAILABLE FOR USE

Record Identifier QP30 - Bill of Lading contains a bill number that has been used within the past 3 years and is not active.

141 PENDING ARCHIVE -NO ACTION ALLOWED

This message is not currently being used.

142 UNAUTHORIZED TO TRANSMIT

Record Identifier B - The Broker is not authorized for In-bond in the Broker Profiles.

or

Record Identifier B - The Broker/Transmitter's profile contains an Application ID for which the Broker/Transmitter is not configured.

143 INVALID DP-SITE PORT

Record Identifier B - The Broker Profile is not found for the Broker Port combination.

144 TIME IS INVALID

Record Identifier WP20 - Time data element is not in a 24-hour clock format or is missing.

145 VESSEL ARRIVAL DATE OVER 30 DYS

This message is not currently being used.

146 SEAL NUMBER MISSING

This message is not currently being used.

147 VESSEL CODE/NAME REQUIRED

Record Identifier QP20 - The Importing Vessel Name data elements is missing for non-withdrawal QP messages.

148 INCORRECT VESSEL CODE

This message is not currently being used.

149 REPLACE BOL MUST BE OPEN STATUS

This message is not currently being used.

150 OLD CONTAINER NUMBER NOT ON FILE

This message is not currently being used.



151 INCORRECT VESSEL NAME

This message is not currently being used.

152 CONV NAME INVALID

Record Identifier QP20 - Importing Conveyance Name data element contains one or more slashes or special characters not in the 'American English Keyboard'.

153 VESSEL ARR NOT AUTHORIZED FOR PORT

This message is not currently being used.

154 ARRIVAL TIME IS IN THE FUTURE

Record Identifier WP20 - When Action Code data element contains codes 1, 2, or 3 and the Arrival Date is the current date, the Time data element shows an Arrival Time that is in the future.

155 H01 ALREADY SUBMITTED FOR PORT

This message is not currently being used.

156 BILL NUMBER REQUIRED

Record Identifier QP30 - The Issuer Sequence of Master Bill of Lading data element is missing.

157 FIRMS CODE REQUIRED

Record Identifier FD05 - The FIRMS Code data element is blank in the WP application.**158 FIRMS CODE NOT ON FILE**

Record Identifier FD05 - The FIRMS Code data element in the WP application contains a code not in the FIRMS file.

or

Record Identifier WP10 – When provided, FIRMS Code data element contains a code not in the FIRMS file.

159 FIRM NOT ACTIVE

Record Identifier WP10 – When provided, the FIRMS Code data element contains a code not classified as active in the FIRMS file.

160 FIRM NOT BONDED

This message is not currently being used.

161 PTT ALREADY AUTHORIZED

This message is not currently being used.

162 BILL STATUS NOT ELIGIBLE FOR PTT

This message is not currently being used.

163 BILL TYPE NOT ELIGIBLE FOR PTT

This message is not currently being used.

164 FIRM NOT ON FILE FOR PORT

Record Identifier WP10 – When provided, FIRMS Code data element contains a code not on file for this port of In-bond arrival.

165 CONTAINER NOT ON FILE FOR BILL

Record Identifier WP10 - When Action Code data element is 3 or 7 the Container Number data element contains a Container Not On File For Bill or Container Not On File.



166 EXPORT DATE BEFORE ARRIVAL DATE

Record Identifier WP20 – When Action Code data element contains codes 5, 6, or 7 the request to export the in-bond is rejected because the in-bond has not been arrived.

or

Record Identifier WP20 - When Action Code data element contains codes 5, 6, or 7 the Date data element has an in-bond export date that is before the arrival date that is on file for the in-bond.

167 EXPORT DATE ALREADY ON FILE

Record Identifier WP20 – When Action Code data element contains codes 5, 6, or 7 the status of the in-bond indicates that the in-bond is already exported.

168 CONTACT CLIENT REP TO EXP

This message is not currently being used.

169 NOT AUTHORIZED FOR EXP AT THIS PORT

This message is not currently being used.

170 NOT AUTHORIZED FOR THIS ENTRY TYPE

This message is not currently being used.

171 NOT AUTHORIZED FOR PAPERLESS MIB

This message is not currently being used.

172 EXP DATE LESS THAN IB ARR DT

This message is not currently being used.

173 ENTRY TYPE NOT ELIGIBLE FOR EXPORT

Application WP - The entry type for the In-bond being exported show an entry type other than 62 or 63.

174 PORT OF DEST NOT FOR EXPORT

This message is not currently being used.

175 EXPORT DATE IS IN FUTURE

Record Identifier WP20 - When Action Code data element contains codes 5, 6, or 7 the Date data element shows an exportation date that is in the future.

176 EXPORT TIME IS IN FUTURE

Record Identifier WP20 - When Action Code data element contains codes 5, 6, or 7 and the Export Date is the current date, the Time data element shows an exportation time that is in the future.

177 WP10 PREV IB CONCLUDED

Record Identifier WP10 - When Message Code data element is 1, 2, 3, 5, 6, 7, A, or Z, the action is not allowed against a Previous In-bond Number.

178 PREVIOUS INBOND NOT FOUND

Record Identifier QP30 - Previous In-bond Number was not on file.

179 BILL IN HELD STATUS

Record Identifier WP10 - When Action Code data element contains codes 5, 6, or 7 and there is a container level hold on any of the containers on the in-bond.

or

Record Identifier WP10 - When Action Code data element contains codes 5, 6, or 7 and there is a bill level hold on any of the bills on the in-bond for the Transfer of Liability.

or

Record Identifier WP10 - When Action Code data element contains code A and there is a bill level hold on any of the bills on the in-bond.

or

Record Identifier WP10 - When Action Code data element contains code A and there is a container level hold on any of the containers on the in-bond for the Transfer of Liability.

180 MISSING QUANTITY

This message is not currently being used.

181 PREV INBOND MOVE MUST BE ARRIVED

Record Identifier QP30 - The previous in-bond movement must be arrived before you can add a second in-bond movement.

182 PREVIOUS IN-BOND INVALID FOR TYPE 63

Record Identifier QP30 - The in-bond number in the Previous In-bond Number data element cannot be type 63.

183 PREVIOUS IN-BOND ALREADY EXPORTED

Record Identifier QP30 - The in-bond number in the Previous In-bond Number data element cannot be in exported status.

184 IN-BOND NBR EXISTS FOR THIS BILL

This message is not currently being used.

185 MIB ENTRY NOT PREV ENTRY



Record Identifier QP10 - In-bond Type Code must match existing data when QP is used to add additional Bills to existing In-bonds.

186 B03/I01 PAIR REQUIRED

This message is not currently being used.

187 INVALID INTERMEDIATE PORT 1

This message is not currently being used.

188 INVALID INTERMEDIATE PORT 2

This message is not currently being used.

189 INVALID INTERMEDIATE PORT 3

This message is not currently being used.

190 INVALID INTERMEDIATE PORT 4

This message is not currently being used.

191 INVALID INTERMEDIATE PORT 5

This message is not currently being used.

192 MISSING CITY NAME

Record Identifier WP20 - When Action Code data element is A the city name data element is missing for Transfer of Liability.

193 MISSING OR INVALID STATE OR PROVINCE

Record Identifier FD05 - Has a state code that is invalid for the United States or Canada.

or

Record Identifier WP20 - When Action Code data element is A the state code data element is blank for Transfer of Liability.

194 INTER PORT IND INVALID FOR MSG

This message is not currently being used.

195 M01/B03 DATA DOES NOT MATCH HDR

This message is not currently being used.

196 INVALID SECONDARY SCAC 2

This message is not currently being used.

197 HS-VAL-WGT REQ FOR EXPORT INB



This message is not currently being used.

198 FILER ERROR

This message is not currently being used.

199 REC 10 MISSING/INVALID

This message is not currently being used.

200 QP65 LOOP COUNT EXCEEDED

Record Identifier QP65 - Number of QP65 Records exceeds 999 per QP30 Record.

201 REC 10 AND 30 ACTS NOT CONSISTENT

Record Identifiers QP10 and QP30 - Action Codes are not consistent. For QP10 Action Code of 'A', QP30 Action Code of 'A' is valid. For QP10 Action Code of 'B', QP30 Action Code of 'D' is valid.

202 INVALID BILL IND

This message is not currently being used.

203 INBOND NOT FOUND

Record Identifier QP10 - In-bond is not on file for QP10 In-bond Delete.

204 INVALID DEST FOR INB IN QP10

Record Identifier QP10 - U.S. Port of Destination is equal to the Port of Importing Conveyance Arrival on the QP20 for an In-bond Entry Type IT '61' or T&E '62'.

205 NO BILL ON FILE

This message is not currently being used.

206 LINE MISSING OR OUT OF SEQUENCE

Application QP - This error accompanies Error Message 003 when the QP40 record is missing, Error Message 005 when the QP50 record is missing, Error Message 041 when the QP65 record Container Number field contains spaces, or Error Message 106 when bill data (records QP40 to QP76) are provided on a Bill Delete.

207 SHELL CANNOT BE DELETED

This message is not currently being used.

208 INBOND DEST CANNOT = DDPP FOR TYPE 61 OR 62



Record Identifier QP10 - When the previous in-bond is not provided and In-bond Entry Type 61 or 62 the U.S. Port of Destination must not equal the Port of Importing Conveyance Arrival on file.

209 INBOND DELETED

Record Identifier QP10 - This message accompanies the successful response of an In-bond delete.

210 INBOND DEST MUST = DDPP FOR TYPE 63

Record Identifier QP10 - When the previous in-bond is not provided and In-bond Entry Type 63 the U.S. Port of Destination must match the Port of Importing Conveyance Arrival on file.

211 AMS BILL, DELETE DENIED

This message is not currently being used.

212 INVALID PREVIOUS DEST PORT

Record Identifier QP10 – When the previous in-bond is provided and the In-bond Entry Type is 63, the U.S. Port of Destination must equal the actual Destination Port of the previous In-bond.

or

Record Identifier QP10 - When the previous in-bond is provided and the In-bond Entry Type is 61 or 62, the U.S. Port of Destination cannot equal the Destination Port of the previous In-bond.

213 INBOND EXISTS FOR OTHER PORTS

This message is not currently being used.

214 BILL REQUIRED FROM AMS CARRIER

This message is not currently being used.

215 AMS CARRIER, BILL REJECTED

Record Identifier QP30 - The Issuer Code of Master Bill of Lading data element cannot use an Automated Carrier SCAC to create a Bill with the QP Application using Records QP40 to QP76.

216 RECORD INVALID FOR AMS CARRIER

This message is not currently being used.

217 CONTAINER NUMBER MUST BE ALPHANUMERIC

Record Identifier QP65 - Container Number field cannot have embedded spaces or characters other than alpha/numeric.

218 BAD INPUT RECORD



Application ID QP - If QP10 Action Code is 'A', records other than QP10 thru QP76 are invalid.

or

Application ID QP - If QP10 Action Code is 'B', records other than QP10 and QP30 are invalid.

or

Application ID QP - If QP10 Action Code is 'D', records other than QP10 are invalid.

or

Application ID WP - Contains a Record Identifier that is invalid for the WP transaction set

219 AMS CARRIER- REMAINING DATA REJECTED

This message is not currently being used.

220 BILL ACCEPTED FOR INBOND

Record Identifier QP30 - This message accompanies the successful response of an In-bond add.

221 BILL REJECTED- REMAINING DATA REJECTED

Record Identifier QP20 - This message accompanies error message 244 when multiple QP20 records are provided for an In-bond QP10 Record.

222 BILL CANNOT BE DELETED FOR AMS CARRIER

This message is not currently being used.

223 BILL DELETED FOR INBOND

Record Identifier QP10 and QP30 - This message accompanies the successful response of a Bill delete.

224 ALL BILLS DELETED FOR INBOND

This message is not currently being used.

225 INBOND PREVIOUSLY DELETED

Record Identifier QP10 - QP10 Action Code 'D' or QP10 Action Code 'B' and QP30 Action Code 'D' - In-bond is already in deleted status.

or

Record Identifier QP10 - QP10 Action Code 'B' with QP30 Action Code 'D' - In-bond is still on file but the relationship with a specific bill is already deleted.

226 BILLS ON IB MUST HAVE SAME ORG PORT

Record Identifier QP20 - Upon adding an In-bond, if multiple Bills exist against the In-bond the Port of Importing Conveyance Arrival must be the same for all the Bills in non-deleted status on the In-bond.

or



Record Identifier QP30 – When no Previous In-bond is being reported, adding an existing In-bond to an existing BOL (QP Short) the Port of Unlading for the BOL must match the Port of Origin for the In-bond that is on file.

227 ALL BILLS ON IB MUST HAVE SAME DEST

Record Identifier QP10 - Upon adding an In-bond, if multiple Bills exist against the In-bond, the U.S. Port of Destination must be the same.

228 PREVIOUS IN-BOND NOT VALID

Record Identifier QP30 – Previous In-bond must match existing Previous In-bond data when QP is used to add additional Bills to existing In-bond.

229 DO NOT INPUT QP20

Record Identifier QP20 and QP30 - Do not include the QP20 Record when an In-bond is provided in the QP30 Previous In-bond Number field.

or

Record Identifier QP20 – Do not include the QP20 Record when QP10 Action Code is 'A' and QP30 Action Code is 'A' for an automated carrier in the QP30 Issuer Code of Master Bill of Lading data element.

230 FOREIGN PORT INVALID FOR IMM TRNSPRT

Record Identifier QP10 – Foreign Port of Destination must be spaces or zeros for In-bond Entry Type 61 (IT-Immediate Transport).

231 QP20 RECORD MISSING

Record Identifier QP20 - Required for a QP10 Action Code of 'A' and QP30 Action Code of 'A' for a non-automated carrier when using Records QP40 to QP76.

232 UNABLE TO DELETE INB LEG

Record Identifier QP10 - The request to delete a subsequent in-bond is rejected when the in-bond is listed as previous in-bond to another in-bond on file.

233 QP55 RECORD MISSING

This message is not currently being used.

234 QP65 RECORD MISSING

Record Identifier QP65 - Not transmitted when full bill data is transmitted within the QP message.

235 QP70 RECORD MISSING

Record Identifier QP70 - Not transmitted if In-bond Entry Type data element is T&E '62' or IE '63' when full bill data is transmitted within the QP message.



236 QP71 RECORD MISSING

Record Identifier QP71 - Not transmitted when full bill data is transmitted within the QP message.

237 QP72 RECORD MISSING

Record Identifier QP72 - Not transmitted when full bill data is transmitted within the QP message.

238 MOT NOT ALLOWED FOR PORT

This message is not currently being used.

239 PORT OF ARRIVAL MUST BE A SEAPORT

This message is not currently being used.

240 PORT OF ARRIVAL MUST BE LAND BORDER

This message is not currently being used.

241 FOREIGN PORT MUST BE VALID SCHEDULE K

This message is not currently being used.

242 WP10 RECORD MISSING

Mandatory Record Identifier WP10 - Not transmitted within the WP message.

243 QP10 RECORD MISSING

Mandatory Record Identifier QP10 - Not transmitted within the QP message.

244 QP20 COUNT EXCEEDED

Record Identifier QP20 - Used more than once per QP10.

245 INVALID FILER FOR SECONDARY NOTIFY

This message is not currently being used.

246 PREVIOUS INBOND NOT FOUND

This message is not currently being used.

247 NO BILL SUBMITTED FOR INBOND

This message is not currently being used.

248 MASTER BILL NBR MUST BE ALPHANUMERIC

Record Identifier QP30 - Issuer Sequence of Master Bill of Lading data element cannot have embedded spaces and characters other than Alpha/Numeric.



249 ALL BILLS ON INB MUST BE SAME ENTRY TYP

This message is not currently being used.

250 QP72 RECORDS EXCEEDED

Record Identifier QP72 - A maximum of 999 QP72 Records is allowed per QP65 Record.

251 QP71 RECORDS EXCEEDED

Record Identifier QP71 - A maximum of 999 QP71 Records is allowed per QP65 Record.

252 WP20 RECORD MISSING

Record Identifier WP20 - This Record is required when using WP to Arrive, Export, Transfer of Liability, or Divert an In-bond. This Record is not required when using WP10 Action Codes of 8 and 9 (FDA BTA).

253 INVALID DESTINATION PORT

Record Identifier QP10 - U.S. Port of Destination for the In-bond is not configured as an In-bond Port for the In-Bond type.

or

Record Identifier WP20 - Port of Arrival/Export is not configured as an In-bond Port for the In-Bond type.

254 DONT USE QP20 FOR DELETE

This message is not currently being used.

255 INBOND EXISTS FOR THIS BILL

Record Identifier QP30 - The QP10 In-bond Number and QP30 Master Bill Number is already on file in AMS.

256 CANNOT ADD BILL TO EXISTING AMS INBOND

Record Identifier QP10 - The Action Code of 'A' cannot be used to add an In-bond on file in AMS by a source other than QP.

257 REMAINING DATA IN BLOCK REJECTED

This message is not currently being used.

258 TEMP IND MUST BE BLANK OR N

Record Identifier QP75 - The Negative Indicator must be either spaces or 'N'.

259 INBOND ALREADY ON FILE AS FTZ

Record Identifier QP10 - The Foreign Trade Zone/Warehouse Indicator must be set to 'Y' when the In-bond Number is already on file in AMS as an FTZ Withdrawal.

260 INVALID FTZ PORT

Record Identifier QP40 - The Foreign Port of Lading for FTZ Withdrawals must be 99999.

261 DATA CONTAINED IN FTZ FIRMS FIELD

Record Identifier QP20 - The Foreign Trade Zone FIRMS Code can only be provided when the QP10 Foreign Trade Zone/Warehouse Indicator is set to 'Y'.

262 INVALID FTZ FIRMS CODE

Record Identifier QP20 - The Foreign Trade Zone FIRMS Code is not on the FIRMS code file or is missing.

or

Record Identifier QP20 - The Foreign Trade Zone FIRMS Code must be in active status.

or

Record Identifier QP20 - The Foreign Trade Zone FIRMS Code must be bonded.

or

Record Identifier QP20 - The Foreign Trade Zone FIRMS Code bond type must be '2' Custodial or '4' FTZ bond.

or

Record Identifier QP20 - The Foreign Trade Zone FIRMS Code facility type must be '02' FTZ, '04' Bonded Warehouse, or '10' Multi-use Bond.

263 BILL TO DELETE IS NOT FTZ TYPE

This message is not currently being used.

264 FTZ MUST HAVE FULL BILL DATA

This message is not currently being used.

265 QP30 PREV INBOND INVALID FOR FTZ

Record Identifier QP30 - The Previous In-bond Number cannot be given when the QP10 Foreign Trade Zone/Warehouse Indicator is set to 'Y'.

266 INVALID REF # QUALIFER

Record Identifier QP33 - Qualifier data element is invalid per Implementation Guide.

or

Record Identifier QP33 - Reference Identifier Qualifier data element is missing.

267 REF # BLANK

Record Identifier QP33 - Reference Identifier data element is missing.



268 INBOND ALREADY ON FILE AS NON-FTZ

Record Identifier QP10 - The Foreign Trade Zone/Warehouse Indicator must be set to space when the In-bond Number is already on file in AMS as a non-FTZ Withdrawal.

269 FTZ FIRMS CODE MISMATCH

Record Identifier QP10 – When the QP10 Carrier Code is a FIRMS code it does not match the FIRMS code provided in QP20 Foreign Trade Zone FIRMS Code.

or

Record Identifier QP20 – When the QP20 Carrier Code is a FIRMS code it does not match the FIRMS code provided in QP20 Foreign Trade Zone FIRMS Code.

or

Record Identifier QP30 – When the QP30 Issuer Code of Master Bill of Lading is a FIRMS code it does not match the FIRMS code provided in QP20 Foreign Trade Zone FIRMS Code.

270 TRANSACTION DATA REJECTED

Application ID QP and WP - This message accompanies every rejected QP and WP message.

271 DATA ADDED AS REQUESTED

Application ID WP - This message accompanies every successful WP message.

272 INVALID LINE NUMBER

Record Identifier FD01 - The FDA Line Number is equal to zero or is not numeric.

273 INVALID PRODUCT CODE

Record Identifier FD01 - The FDA Product Code is not on file.

274 CARGO STORAGE STATUS

Record Identifier FD01 - The Cargo Storage Status is invalid.

275 INVALID COUNTRY CODE

Record Identifier FD01 - The FDA Country of Production is not on file.

or

Record Identifier FD05 - The Affirmation Country Code is not on file.

276 INVALID AFFIRMATION OF COMPLIANCE

Record Identifier FD01 - The Affirmation of Compliance Code is not valid.

or

Record Identifier FD05 - The Affirmation of Compliance Code is not valid.

277 AFFIRMATION QUALIFIER EQUAL SPACES

Record Identifier FD01 - The Affirmation of Compliance Qualifier cannot be spaces.



or

Record Identifier FD05 - The Affirmation of Compliance Qualifier cannot be spaces.

278 INVALID MANUFACTURER NUMBER

Record Identifier FD01 - The FDA Actual Manufacturer Number cannot be spaces and must be a valid code.

279 FIRM NAME OR CITY EQUAL SPACES

Record Identifier FD01 - The FDA Actual Manufacturer Number must have a Firm Name and City.

or

Record Identifier FD05 - The FDA Actual Shipper/Supplier Number must have a Firm Name and City.

280 INVALID SHIPPER NUMBER

Record Identifier FD01 - The FDA Actual Shipper/Supplier Number cannot be spaces and must be a valid code.

281 INVALID LINE ITEM NUMBER

Record Identifier FD03 - The FDA Value by FDA Line must be numeric.

282 INVALID CONSIGNEE

Record Identifier FD05 - The Affirmation Compliance Code is 'CON' and the value of the Consignee is spaces or not on file.

283 INVALID WIDTH

Record Identifier FD03 - The Container Dimensions #1 Width when provided must be numeric and the last two digits must be less than 17.

284 INVALID HEIGHT

Record Identifier FD03 - The Container Dimensions #2 Height when provided must be numeric and the last two digits must be less than 17.

285 INVALID LENGTH

Record Identifier FD03 - The Container Dimensions #3 Length when provided must be numeric and the last two digits must be less than 17.

286 INVALID CONTACT NAME

Record Identifier FD04 - The Contact Name must be provided, cannot be spaces.

287 MISSING TELEPHONE NUMBER

Record Identifier FD04 - The Contact Telephone Number must be numeric.

or



Record Identifier FD05 - The Telephone Number for the Affirmation of Compliance Code must be provided when specified.

288 AFFIRMATION QUALIFIER REQUIRED

Record Identifier FD01 - The Affirmation of Compliance Qualifier must have an Edit Qualifier of 'Y'.

or

Record Identifier FD05 - The Affirmation of Compliance Qualifier must have an Edit Qualifier of 'Y'.

289 MISSING FAX NUMBER

Record Identifier FD05 - The Fax Number for the Affirmation of Compliance Code must be provided when specified.

290 MISSING ZIP CODE

Record Identifier FD05 - The Zip Code for the Affirmation of Compliance Code must be provided when specified.

291 MISSING TARIFF NUMBER

Record Identifier FD05 - The Harmonized Tariff Number Affirmation of Compliance Code must be provided when specified.

292 MISSING POINT OF CROSSING

Record Identifier FD05 - The Anticipated Point of Crossing Affirmation of Compliance Code must be provided when specified.

293 INVALID VOYAGE, TRIP OR FLIGHT NUM

Record Identifier FD05 - The Voyage, Trip, Flight Number Affirmation of Compliance Code must be provided when specified.

294 INVALID CARRIER NAME

Record Identifier FD05 - The Carrier Name Affirmation of Compliance Code must be provided when specified.

295 INVALID BILL NUMBER

Record Identifier FD05 - The Master Bill of Lading Affirmation of Compliance Code must be provided when specified and must have a valid SCAC.

296 INVALID FTZ NUMBER

Record Identifier FD05 - The FTZ Admission Number Affirmation of Compliance Code must be provided when specified.

297 INVALID AIRWAY BILL



Record Identifier FD05 - The Air Waybill Affirmation of Compliance Code must have valid format when specified.

298 INVALID HOUSE BILL

Record Identifier FD05 - The House Air Waybill Affirmation of Compliance Code must have valid format when specified.

299 INVALID OR MISSING RAIL CAR NUMBER

Record Identifier FD05 - The Rail Car Number Affirmation of Compliance Code must have valid format when specified.

300 INVALID REGISTRATION NUMBER

Record Identifier FD05 - The Food Facility Registration Number Affirmation of Compliance Code must have valid format when specified.

301 INVALID PRODUCER FIRM TYPE IND

Record Identifier FD05 - The Producer Firm Type Affirmation of Compliance Code must have valid format when specified.

302 INVALID BTA PN MANUF EXEMPTION IND

Record Identifier FD05 - The FDA PN Manufacturer Registration Number Affirmation of Compliance Code must have valid format when specified.

303 INVALID SHIPPER REGISTRATION NUMBER

Record Identifier FD05 - The Shipper Registration Number Affirmation of Compliance Code must have valid format when specified.

304 INVALID SUBMITTER FIRM TYPE IND

Record Identifier FD05 - The Submitter Firm Type Affirmation of Compliance Code must have valid format when specified.

305 INVALID OWNER FIRM TYPE IND

Record Identifier FD05 - The Owner Firm Type Affirmation of Compliance Code must have valid format when specified.

306 TRANSMITTER EMAIL CANNOT EQUAL SPACES

Record Identifier FD05 - The Transmitter Email Affirmation of Compliance Code must be provided or has not been transmitted.

307 MANDATORY REQUIRED DATA NOT ENTERED

This message is not currently being used.

308 PN NOT REQUIRED FOR TYPE (63)



Record Identifier FD05 - The In-bond Type Affirmation of Compliance Code cannot be type 63 for BTA Data Submission.

309 WARNING - NOT AN IN-BOND PN

Record Identifier WP10 - The Action Code is '9' and the FD05 In-bond Type Affirmation of Compliance Code was provided.

310 NO FILER ENTERED - FILER REQUIRED

Record Identifier WP10 - The Action Code is '8' or '9', the FD05 Entry Number Affirmation of Compliance Code was provided, but Filer Affirmation of Compliance Code was not provided.

311 REQUIRE EITHER BOL, AWB, FTZ, EFC+ENT

Record Identifier WP10 - The Action Code is '8' or '9' and neither the FD05 Master Bill Of Lading, FTZ Admission Number, Entry Number, nor Air Waybill Affirmation of Compliance Code was not provided.

312 UNIT1 QUANTITY MISSING OR INVALID

Record Identifier FD02 - The Unit 1 Quantity is missing or not numeric.

313 UNIT2 QUANTITY MISSING OR INVALID

Record Identifier FD02 - The Unit 2 Quantity is not numeric.

314 UNIT3 QUANTITY MISSING OR INVALID

Record Identifier FD02 - The Unit 3 Quantity is not numeric.

315 UNIT4 QUANTITY MISSING OR INVALID

Record Identifier FD02 - The Unit 4 Quantity is not numeric.

316 UNIT5 QUANTITY MISSING OR INVALID

Record Identifier FD02 - The Unit 5 Quantity is not numeric.

317 UNIT6 QUANTITY MISSING OR INVALID

Record Identifier FD04 - The Unit 6 Quantity is not numeric.

318 UNIT1 MEASURE MISSING OR INVALID

Record Identifier FD02 - The Unit 1 Measure is missing or not valid.

319 UNIT2 MEASURE MISSING OR INVALID

Record Identifier FD02 - The Unit 2 Measure is not valid when the Unit 2 Quantity is provided.



320 UNIT3 MEASURE MISSING OR INVALID

Record Identifier FD02 - The Unit 3 Measure is not valid when the Unit 3 Quantity is provided.

321 UNIT4 MEASURE MISSING OR INVALID

Record Identifier FD02 - The Unit 4 Measure is not valid when the Unit 4 Quantity is provided.

322 UNIT5 MEASURE MISSING OR INVALID

Record Identifier FD02 - The Unit 5 Measure is not valid when the Unit 5 Quantity is provided.

323 UNIT6 MEASURE MISSING OR INVALID

Record Identifier FD04 - The Unit 6 Measure is not valid when the Unit 6 Quantity is provided.

324 ONLY ONE BILL PERMITTED - BOL OR AWB

Record Identifier WP10 - The Action Code is '8' or '9' and the FD05 Master Bill Of Lading and Air Waybill Affirmation of Compliance Code was provided.

325 AWB OR BOL REQUIRED

Record Identifier WP10 - The Action Code is '8' then the FD05 Master Bill Of Lading or Air Waybill Affirmation of Compliance Code must be provided.

326 QTY INVALID - NO PREVIOUS QTY

Record Identifier FD02 - The Unit 2 Quantity is provided when Unit 1 Quantity is not, Unit 3 Quantity is provided when Unit 2 Quantity is not, Unit 4 Quantity is provided when Unit 3 Quantity is not, Unit 5 Quantity is provided when Unit 4 Quantity is not, or Unit 6 Quantity is provided when Unit 5 Quantity is not.

327 INVALID IMPORTER NUMBER OR FORMAT

Record Identifier FD05 - The Importer Number Affirmation of Compliance Code reference is not on file.

328 IMPORTER NBR REQUIRED - TYPE 61 REC

Record Identifier FD05 - The FD05 FTZ Admission Number or Entry Number Affirmation of Compliance Code was provided without the Affirmation of Compliance Code for Importer.

329 EST ARRVL DATE LESS THAN TODAYS DATE

Record Identifier FD05 - The FD05 Anticipated Date of Arrival Affirmation of Compliance Code was provided with a Date less than 10 days from the processing date of the WP submission.

330 INVALID FILER

Record Identifier FD05 - The Entry Filer Code Affirmation of Compliance Code reference is not on file.

331 INVALID ENTRY NBR CHECK DIGIT - ENT

Record Identifier FD05 - The Entry Number Affirmation of Compliance Code reference has an Invalid Entry Number Check Digit.

332 CARRIER CODE IN DELETED STATUS

Record Identifier FD05 - The Importer Carrier Affirmation of Compliance Code reference is on file but in deleted status.

333 DUPLICATE AFFIRMATION ENTERED

Record Identifier FD05 - The same Affirmation of Compliance Code is referenced more than once, with the exception being Affirmation of Compliance Code RNO (Rail Car Number).

334 INVALID FEI NUMBER

Record Identifier FD03 - The FDA Consignee (FDA Establishment Indicator) is not on file.

335 INVALID OR MISSING PASSWORD

Record Identifier A - Receiver Password does not match EDI Profile for the Broker.

or

Record Identifier A - Sender Password does not match EDI Profile for the Broker.

or

Record Identifier Z - Receiver Password does not match EDI Profile for the Broker.

or

Record Identifier A - Receiver Password is missing.

or

Record Identifier A - Sender Password is missing.

or

Record Identifier Z - Receiver Password is missing.

336 INV OR MSSING DDPP/FILER/OFFICE CODE

Record Identifier A – Receiver Port, Receiver Filer Code and when provided, Receiver Office Code data elements do not match EDI Profile.

or

Record Identifier A – When provided, Sender Port, Sender Filer Code and Sender Office Code data elements do not match EDI Profile.

or



Record Identifier B – When provided, Preparer Port, Preparer Filer Code and Preparer Office Code data elements do not match EDI Profile.

or

Record Identifier B - Processing Port Code, Entry Filer Code and when provided, Processing Office Code data elements do not match EDI Profile.

or

Record Identifier A – When one of Sender Port Code, Sender Filer Code or Sender Office Code data elements are provided the other data elements are required.

or

- Record Identifier B – When Preparer Indicator provided Preparer Port Code, Preparer Filer Code or Preparer Office Code data elements required.

or

Record Identifier A – Receiver Port and Receiver Filer Code data elements are missing.

or

Record Identifier B - Processing Port Code and Entry Filer Code data elements are missing.

or

Record Identifier Y - Processing Port Code and Entry Filer Code data elements are missing.

or

Record Identifier Z - Port of ABI Receiver and Receiver Filer Code data elements are missing.

337 APPLICATION NOT AVAILABLE

Record Identifier B - Application Identifier data element is not enabled within the EDI Profile.

or

Record Identifier Y - Application Identifier data element do not match in Record 'B' and Record 'Y'.

338 Y RECORD MISSING

Record Identifier Y – Record was not transmitted.

339 Z RECORD MISSING

Record Identifier Z – Record was not transmitted.

340 SENDING BROKER NOT EQUAL 'A' RECORD

This message is not currently being used.

341 SENDING OFFICE CODE NOT EQUAL 'A' REC

This message is not currently being used.

342 CANNOT BE BOTH FDA/BTA AND FTZ

Record Identifier QP10 - An In-bond cannot be both Foreign Trade Zone/Warehouse and BTA/FDA.



343 INVALID BILL TYPE

Record Identifier QP30 - The Issuer Code of the Master Bill Number data element cannot be an NVOCC as configured on the Carrier file.

or

Record Identifier QP30 - The Master Bill Number is not on file as Withdrawal type when adding an existing In-bond to an existing BOL (QP Short) and QP10 FTZ Indicator is set to 'Y'.

344 INVALID ENTRY TYPE FOR FDA/BTA

Record Identifier QP10 - The In-bond Entry Type cannot be 63 when the FDA/BTA Indicator is set to 'Y'.

345 BILL ALREADY IN DELETE STATUS

This message is not currently being used.

346 INVALID DATE

Record Identifier A – Current Date not valid format MMDDYY (month, day, year).

347 DP/BKR NOT EQUAL 'B' RECORD

Record Identifier Y - Processing Port Code and Entry Filer Code data elements do not match B record data elements.

348 DETAIL RECS FOUND - 'Y' COUNT IS ZERO

Record Identifier Y - Number of Transaction Detail Records In The Block data element cannot be '0'.

349 DETAIL REC COUNT NOT EQUAL 'Y' COUNT

Record Identifier Y - Number of Transaction Detail Records In The Block data element does not equal the record count for the 'B'-'Y' block.

350 DP/BKR NOT EQUAL 'A' RECORD

Record Identifier Z - Port of ABI Receiver and Receiver Filer Code data elements do not match A record data elements.

351 INBOND QTY GREATER THAN BILL QTY

Record Identifier QP30 - The In-bond Quantity cannot be greater than Bill Quantity on file in AMS.

352 INBOND OR BILL DELETE DENIED

Record Identifier QP10 and QP30 - The Action Code of 'D' cannot be used to delete an In-bond on file in AMS by a source other than QP.



353 INVALID CONTAINER NUMBER

Record Identifier WP10 - When Action Code is 3 or 7 the Container Number cannot be 'NC' (Non Containerized).

354 CONVEYANCE ARRIVAL REQUIRED

This message is not currently being used.

355 MISSING INBOND QUANTITY

This message is not currently being used.

356 MUST BE EXPORTED AT INBOND LEVEL

Record Identifier WP10 – Export of an In-bond by Bill (Action Code 6) or Container (Action Code 7) is not allowed for Subsequent and less than full bill quantity In-bonds. These In-bonds must be exported at the In-bond level (Action Code 5)

357 MUST BE ARRIVED AT INBOND LEVEL

Record Identifier WP10 – Arrival of an In-bond by Bill (Action Code 2) or Container (Action Code 3) is not allowed for Subsequent and less than full bill quantity In-bonds. These In-bonds must be arrived at the In-bond level (Action Code 1).

358 MUST BE DELETED AT INBOND LEVEL

This message is not currently being used.

359 INBOND NOT AVAILABLE FOR UPDATE

This message is not currently being used.

360 INVALID PEDIMENTO NUMBER

Record Identifier QP33 - The Reference Identification Qualifier is 'FEN' and the Pedimento number in the Reference Identification does not have a valid format per the Implementation Guide.

361 INVALID DEPARTURE PORT

Record Identifier QP20 - The Port of Importing Conveyance Arrival is not configured as an In-bond Departure port within AMS.

362 PEDIMENTO REQUIRED

Record Identifier QP10 - When In-bond Type is '63' and the In-bond Destination is a Port on the U.S. southern border with Mexico, the Mexican Pedimento is required with the QP33 Reference Identification Qualifier of 'FEN'.

363 INBOND TYPE INVALID FOR INBOND DVRSN

Record Identifier WP10 - When Action Code data element is Z, a request for In-bond Diversion is rejected if the In-bond Number is on file in AMS as In-bond Type 63.



364 DIVERSION DENIED

Record Identifier WP10 - When Action Code data element is Z, a request for In-bond Diversion is denied if any of the Bills associated with the In-bond are in Hold status.

or

Record Identifier WP10 - When Action Code data element is Z, a request for In-bond Diversion is denied if any of the Containers associated with the In-bond are in Hold status.

365 INBOND ALREADY ARRIVED

Record Identifier WP10 - When Action Code data element is Z, a request for In-bond Diversion is rejected if the In-bond has already been arrived.

366 DIVERSION PORT INVALID

Record Identifier WP20 - When Action Code data element is Z, a request for In-bond Diversion is rejected if the USCBP Port is equal to the Departure or Destination Port on file in AMS for the In-bond.

367 CONTAINER DESCRIPTION CODE INVALID

Record Identifier QP65 – if provided, the Container Description Code must be a value. Found in the CATAIR Appendix B Equipment Description Codes List.



Appendix B

VALID CODES

This appendix lists valid Automated Commercial System (ACS) codes.

Valid codes to be used in ACS are presented in the following order:

- Bond Type Codes
- International Organization for Standardization (ISO) Country and Currency Codes
- Canadian/Mexican Province Codes
- Location Identifiers
- Entry Type Codes
- Missing Document Codes
- Mode of Transportation Codes
- Related Document Identifiers
- Shipping/Packaging Unit Codes
- IRS Excise Tax/Fee Codes
- Equipment Description Codes
- Amendment Codes
- Reference Qualifiers
- Primary Special Program Indicator Codes
- Country Special Programs Indicator Codes
- Secondary Special Programs Indicator Codes
- Container/Equipment Types

Bond Type Codes



This section lists valid bond types for each entry type. For a description of the entry type codes, refer to the Entry Type Codes section in this chapter.

<i>Bond Type Codes</i>		
Entry Types	Government Importer	Non-Government Importer
01	8, 9	8, 9
02	8, 9	8, 9
03	8, 9	8, 9
04	0	0
05	8, 9	8, 9
06	8, 9	8, 9
07	8, 9	8, 9
11	0, 8, 9	0, 8, 9
12	0, 8	0, 8
21	8, 9	8, 9
22	8, 9	8, 9
41	8, 9	8, 9
42	8, 9	8, 9
43	8, 9	8, 9
51	8, 9, 0	8, 9
52	8, 9, 0	8, 9

Valid bond type codes are:

0 = No bond required

8 = Continuous bond

9 = Single transaction bond

Note: If the bond type is 0 for entry types 51 and 52, the surety code 999 is required.

ISO Country and Currency Codes

This section provides a complete listing of valid International Organization for Standardization (ISO) Country and Currency Codes. It is provided for reference.

<i>ISO Country and Currency Codes</i>			
<i>Country</i>	<i>Code</i>	<i>Currency</i>	<i>Code</i>
AFGHANISTAN	AF	Afghani	AFN
ALBANIA	AL	Lek	ALL
ALGERIA	DZ	Algerian Dinar	DZD
AMERICAN SAMOA	AS	US Dollar	USD
ANDORRA	AD	Euro	EUR
ANGOLA	AO	Kwanza	AOA
ANGUILLA	AI	East Caribbean Dollar	XCD
ANTIGUA & BARBUDA	AG	East Caribbean Dollar	XCD
ARGENTINA	AR	Argentine Peso	ARS
ARMENIA	AM	Armenian Dram	AMD
ARUBA	AW	Aruban Guilder	AWG
AUSTRALIA	AU	Australian Dollar	AUD
AUSTRIA	AT	Euro	EUR
AZERBAIJAN	AZ	Azerbaijani Manat	AZN
BAHAMAS	BS	Bahamian Dollar	BSD
BAHRAIN	BH	Bahraini Dinar	BHD
BANGLADESH	BD	Taka	BDT
BARBADOS	BB	Barbados Dollar	BBD
BELARUS	BY	Belarussian Ruble	BYR
BELGIUM	BE	Euro	EUR
BELIZE	BZ	Belize Dollar	BZD
BENIN	BJ	CFA Franc BCEAO ¹	XOF
BERMUDA	BM	Bermudian Dollar	BMD
BHUTAN	BT	Indian Rupee	INR
		Ngultrum	BTN
BOLIVIA	BO	Bolivian	BOB
		Mvdol	BOV
BOSNIA & HERCEGOVINA	BA	Convertible Marks	BAM
BOTSWANA	BW	Pula	BWP
BOUVET ISLAND	BV	Norwegian Krone	NOK
BRAZIL	BR	Brazilian Real	BRL
BRITISH INDIAN OCEAN TERRITORY	IO	US Dollar	USD
BRUNEI DARUSSALAM	BN	Brunei Dollar	BND
BULGARIA	BG	Bulgarian Lev	BGN
BURKINA FASO	BF	CFA Franc BCEAO ¹	XOF
BURUNDI	BI	Burundi Franc	BIF
CAMBODIA	KH	Riel	KHR
CAMEROON,	CM	CFA Franc BEAC ²	XAF
CANADA	CA	Canadian Dollar	CAD
CAPE VERDE	CV	Cape Verde Escudo	CVE
CAYMAN ISLANDS	KY	Cayman Islands Dollar	KYD
CENTRAL AFRICAN REPUBLIC	CF	CFA Franc BEAC ²	XAF
CHAD	TD	CFA Franc BEAC ²	XAF
CHILE	CL	Chilean Peso	CLP



CHINA	CN	Yuan Renminbi	CNY
CHRISTMAS ISLANDS	CX	Australian Dollar	AUD
COCOS (KEELING) ISLANDS	CC	Australian Dollar	AUD
COLOMBIA	CO	Colombian Peso	COP
COMOROS	KM	Comoros Franc	KMF
CONGO	CG	CFA Franc BEAC ²	XAF
CONGO , THE DEMOCRATIC REPUBLIC OF	CD	Franc Congolais	CDF
COOK ISLANDS	CK	New Zealand Dollar	NZD
COSTA RICA	CR	Costa Rican Colon	CRC
COTE D'IVOIRE	CI	CFA Franc BEAC ²	XOF
CROATIA	HR	Croatian Kuna	HRK
CUBA	CU	Cuban Peso	CUP
CYPRUS	CY	Euro	EUR
CZECH REPUBLIC	CZ	Czech Koruna	CZK
DENMARK	DK	Danish Krone	DKK
DJIBOUTI	DJ	Djibouti Franc	DJF
DOMINICA	DM	East Caribbean Dollar	XCD
DOMINICAN REPUBLIC	DO	Dominican Peso	DOP
ECUADOR	EC	US Dollar	USD
EGYPT	EG	Egyptian Pound	EGP
EL SALVADOR	SV	El Salvador Colon	SVC
		US Dollar	USD
EQUATORIAL GUINEA	GQ	CFA Franc BEAC ²	XOF
ERITREA	ER	Nakfa	ERN
ESTONIA	EE	Kroon	EEK
ETHIOPIA	ET	Ethiopian Birr	ETB
FALKLAND ISLANDS (MALVINAS)	FK	Falkland Islands Pound	FKP
FAROE ISLANDS	FO	Danish Krone	DKK
FIJI	FJ	Fiji Dollar	FJD
FINLAND	FI	Euro	EUR
FRANCE	FR	Euro	EUR
FRENCH GUIANA	GF	Euro	EUR
FRENCH POLYNESIA	PF	CFP Franc	XPF
FRENCH SOUTHERN TERRITORIES	TF	Euro	EUR
GABON	GA	CFA Franc BEAC ²	XAF
GAMBIA	GM	Dalasi	GMD
GEORGIA	GE	Lari	GEL
GERMANY	DE	Euro	EUR
GHANA	GH	Ghana Cedi	GHS
GIBRALTAR	GI	Gibraltar Pound	GIP
GREECE	GR	Euro	EUR
GREENLAND	GL	Danish Krone	DKK
GRENADA	GD	East Caribbean Dollar	XCD
GUADELOUPE	GP	Euro	EUR
GUAM	GU	US Dollar	USD
GUATEMALA	GT	Quetzal	GTQ
GUINEA	GN	Guinea Franc	GNF
GUINEA-BISSAU	GW	Guinea-Bissau Peso	GWP
		CFA Franc BEAC ²	XOF
GUYANA	GY	Guyana Dollar	GYD

HAITI	HT	Gourde	HTG
		US Dollar	USD
HEARD AND McDONALD ISLANDS	HM	Australian Dollar	AUD
HOLY SEE (VATICAN CITY STATE)	VA	Euro	EUR
HONDURAS	HN	Lempira	HNL
HONG KONG	HK	Hong Kong Dollar	HKD
HUNGARY	HU	Forint	HUF
ICELAND	IS	Iceland Krona	ISK
INDIA	IN	Indian Rupee	INR
INDONESIA	ID	Rupiah	IDR
INTERNATIONAL MONETARY FUND (I.M.F.)		SDR	XDR
IRAN, ISLAMIC REPUBLIC OF	IR	Iranian Riall	IRR
IRAQ	IQ	Iraqi Dinar	IQD
IRELAND	IE	Euro	EUR
ISRAEL	IL	New Israeli Sheqel	ILS
ITALY	IT	Euro	EUR
JAMAICA	JM	Jamaican Dollar	JMD
JAPAN	JP	Yen	JPY
JORDAN	JO	Jordanian Dinar	JOD
KAZAKHSTAN	KZ	Tenge	KZT
KENYA	KE	Kenyan Shilling	KES
KIRIBATI	KI	Australian Dollar	AUD
KOREA, DEMOCRATIC PEOPLE'S REPUBLIC OF	KP	North Korean Won	KPW
KOREA, REPUBLIC OF	KR	Won	KRW
KOSOVO	KV	Euro	EUR
KUWAIT	KW	Kuwaiti Dinar	KWD
KYRGYZSTAN	KG	Som	KGS
LAOS PEOPLE'S DEMOCRATIC REPUBLIC	LA	Kip	LAK
LATVIA	LV	Latvian Lats	LVL
LEBANON	LB	Lebanese Pound	LBP
LESOTHO	LS	Rand	ZAR
		Loti	LSL
LIBERIA	LR	Liberian Dollar	LRD
LIBYA ARAB JAMAHIRIYA	LY	Libyan Dollar	LYD
LIECHTENSTEIN	LI	Swiss Franc	CHF
LITHUANIA	LT	Lithuanian Litas	LTL
LUXEMBOURG	LU	Euro	EUR
MACAO	MO	Pataca	MOP
MACEDONIA, THE FORMER YUGOSLAV REPUBLIC OF	MK	Denar	MKD
MADAGASCAR	MG	Malagasy Ariary	MGA
MALAWI	MW	Kwacha	MWK
MALAYSIA	MY	Malaysian Ringgit	MYR
MALDIVES	MV	Rufiyaa	MVR
MALI	ML	CFA Franc BEAC ²	XOF
MALTA	MT	Euro	EUR
MARSHALL ISLANDS	MH	US Dollar	USD
MARTINIQUE	MQ	Euro	EUR
MAURITANIA	MR	Ouguiya	MRO

MAURITIUS	MU	Mauritius Rupee	MUR
MAYOTTE	YT	Euro	EUR
MEXICO	MX	Mexican Peso	MXN
MICRONESIA, FEDERATED STATE OF	FM	US Dollar	USD
MOLDOVA, REPUBLIC OF	MD	Moldovan Leu	MDL
MONACO	MC	Euro	EUR
MONGOLIA	MN	Tugrik	MNT
MONTENEGRO	ME	Euro	EUR
MONTserrat	MS	East Caribbean Dollar	XCD
MOROCCO	MA	Moroccan Dirham	MAD
MOZAMBIQUE	MZ	Metical	MZN
MYANMAR	MM	Kyat	MMK
NAMIBIA	NA	Rand	ZAR
		Namibian Dollar	NAD
NAURU	NR	Australian Dollar	AUD
NEPAL	NP	Nepalese Rupee	NPR
NETHERLANDS	NL	Euro	EUR
NETHERLANDS ANTILLES	AN	Netherlands Antillian Guilder	ANG
NEW CALEDONIA	NC	CFP Franc	XPF
NEW ZEALAND	NZ	New Zealand Dollar	NZD
NICARAGUA	NI	Cordoba Oro	NIO
NIGER	NE	CFA Franc BCEAO ¹	XOF
NIGERIA	NG	Naira	NGN
NIUE	NU	New Zealand Dollar	NZD
NORFOLK ISLAND	NF	Australian Dollar	AUD
NORTHERN MARIANA ISLANDS	MP	US Dollar	USD
NORWAY	NO	Norwegian Krone	NOK
OMAN	OM	Rial Omani	OMR
PAKISTAN	PK	Pakistan Rupee	PKR
PALAU	PW	US Dollar	USD
PANAMA	PA	Balboa	PAB
		US Dollar	USD
PAPUA NEW GUINEA	PG	Kina	PGK
PARAGUAY	PY	Guarani	PYG
PERU	PE	Nuevo Sol	PEN
PHILIPPINES	PH	Philippine Peso	PHP
PITCAIRN	PN	New Zealand Dollar	NZD
POLAND	PL	Zloty	PLZ
PORTUGAL	PT	Euro	EUR
PUERTO RICO	PR	US Dollar	USD
QATAR	QA	Qatari Rial	QAR
REUNION	RE	Euro	EUR
ROMANIA	RO	New Leu	RON
RUSSIAN FEDERATION	RU	Russian Ruble	RUB
RWANDA	RW	Rwand Franc	RWF
ST. HELENA	SH	St. Helena Pound	SHP
ST. KITTS-NEVIS	KN	East Caribbean Dollar	XCD
ST. PIERRE AND MIQUELON	PM	Euro	EUR
SAINT LUCIA	LC	East Caribbean Dollar	XCD
SAINT VINCENT AND THE GRENADINES	VC	East Caribbean Dollar	XCD

SAMOA	WS	Tala	WST
SAN MARINO	SM	Euro	EUR
SAO TOMES AND PRINCIPE	ST	Dobra	STD
SAUDI ARABIA	SA	Saudi Riyal	SAR
SENEGAL	SN	CFA Franc BCEAO ¹	XOF
SERBIA	RS	Servian Dinar	RSD
SEYCHELLES	SC	Seychelles Rupee	SCR
SIERRA LEONE	SL	Leone	SLL
SINGAPORE	SG	Singapore Dollar	SGD
SLOVAKIA	SK	Slovak Koruna	SKK
		Euro (Effective 1 January 2009)	EUR
SLOVENIA	SI	Euro	EUR
SOLOMON ISLANDS	SB	Solomon Islands Dollar	SBD
SOMALIA	SO	Somali Shilling	SOS
SOUTH AFRICA	ZA	Rand	ZAR
SPAIN	ES	Euro	EUR
SRI LANKA	LK	Sri Lanka Rupee	LKR
SUDAN	SD	Sudanese Pound	SDP
SURINAME	SR	Surinam Dollar	SRD
SVALBARD AND JAN MAYEN, ISLANDS	SJ	Norwegian Krone	NOK
SWAZILAND	SZ	Lilangeni	SZL
SWEDEN	SE	Swedish Krona	SEK
SWITZERLAND	CH	Swiss Frank	CHF
		WIR Franc	CHW
		WIR Euro	CHE
SYRIAN ARAB REPUBLIC	SY	Syrian Pound	SYP
TAIWAN, PROVINCE OF CHINA	TW	New Taiwan Dollar	TWD
TAJIKISTAN	TJ	Somoni	TJS
TANZANIA, UNITED REPUBLIC OF	TZ	Tanzanian Shilling	TZS
THAILAND	TH	Baht	THB
TIMOR-LESTE	TL	US Dollar	USD
TOGO	TG	CFA Franc BCEAO ¹	XOF
TOKELAU	TK	New Zealand Dollar	NZD
TONGA	TO	Pa'anga	TOP
TRINIDAD AND TOBAGO	TT	Trinidad and Tobago Dollar	TTD
TUNISIA	TN	Tunisian Dinar	TND
TURKEY	TR	New Turkish Lira	TRY
TURKMENISTAN	TM	Manat	TMM
TURKS AND CAICOS ISLANDS	TC	US Dollar	USD
TUVALU	TV	Australian Dollar	AUD
UGANDA	UG	Uganda Shilling	UGS
UKRAINE	UA	Hryvnia	UAH
UNITED ARAB EMIRATES	AE	UAE Dirham	AED
UNITED KINGDOM	GB	Pound Sterling	GBP
UNITED STATES	US	US Dollar	USD
		(Same Day)	USS
		(Next Day)	USN
UNITED STATES MINOR OUTLYING ISLANDS	UM	US Dollar	USD



URUGUAY	UY	Peso Uruguayo	UYU
UZBEKISTAN	UZ	Uzbekistan Sum	UZS
VANUATU	VU	Vatu	VUV
VENEZUELA	VE	Bolivar Fuerte	VEF
VIET NAM	VN	Dong	VND
VIRGIN ISLANDS (BRITISH)	VG	US Dollar	USD
VIRGIN ISLANDS (U.S.)	VI	US Dollar	USD
WALLIS AND FUTUNA ISLANDS	WF	CFP Franc	XPF
WESTERN SAHARA	EH	Moroccan Dirham	MAD
YEMEN	YE	Yemeni Rial	YER
ZAMBIA	ZM	Kwacha	ZMK
ZIMBABWE	ZW	Zimbabwe Dollar	ZWR

¹ CFA Franc BCEAO: Responsible authority: Banque Centrale des Etats de l'Afrique de l'Ouest.

² CFA Franc BEAC: Responsible authority: Banque des Etats de l'Afrique Centrale.

³ This entry is not derived from ISO 3166, but is included here for convenience.

Canadian/Mexican Province Codes

The following codes are to be used when cargo was laden on the importing conveyance at an inland location and the shipment is destined for a non-seaport location in Canada or Mexico. These codes are to be used only for in-bond and for Rail or Truck AMS:

<i>Canadian Provinces</i>		<i>Mexican States</i>	
<i>Code</i>	<i>Description</i>		
80101	Alberta	97110	Durango
80102	Manitoba	97111	Guerrero
80103	Saskatchewan	97112	Guanajuato
80104	Northwest Territories	97113	Hidalgo
80105	Yukon	97114	Jalisco
80106	British Columbia	97115	Michoacan
80107	Ontario	97116	Morelos
80108	Quebec	97117	Mexico
80109	Nova Scotia	97118	Navari
80110	New Brunswick	97119	Nuevo Leo
80111	Prince Edward Island	97120	Oaxaca
80112	Newfoundland	97121	Puebla
80113	Nunavut	97122	Quintana Roo
<i>Mexican States</i>		97123	Queretaro
97101	Aguascalientes	97124	Sinaloa
97102	Baja California Norte	97125	San Luis Potosi
97103	Baja California Sur	97126	Sonora
97104	Chihuahua	97127	Tabasco
97105	Colima	97128	Tlaxcala
97106	Campeche	97129	Tamaulipas
97107	Coahuila	97130	Veracruz
97108	Chiapas	97131	Yucatan
97109	Distrito Federal	97132	Zacatecas

Location Identifiers

<i>United States</i>	
<i>Code</i>	<i>Description</i>
AK	Alaska
AL	Alabama
AR	Arkansas
AZ	Arizona
CA	California
CO	Colorado
CT	Connecticut
DC	District of Columbia
DE	Delaware
FL	Florida
GA	Georgia
GU	Guam
HI	Hawaii
IA	Iowa
ID	Idaho
IL	Illinois
IN	Indiana
KS	Kansas
KY	Kentucky
LA	Louisiana
MA	Massachusetts
MD	Maryland
ME	Maine
MI	Michigan
MN	Minnesota
MO	Missouri

MS	Mississippi
MT	Montana
NC	North Carolina
ND	North Dakota
NE	Nebraska
NH	New Hampshire
NJ	New Jersey
NM	New Mexico
NV	Nevada
NY	New York
OH	Ohio
OK	Oklahoma
OR	Oregon
PA	Pennsylvania
PR	Puerto Rico
RI	Rhode Island
SC	South Carolina
SD	South Dakota
TN	Tennessee
TX	Texas
UT	Utah
VA	Virginia
VI	Virgin Islands
VT	Vermont
WA	Washington
WI	Wisconsin
WV	West Virginia
WY	Wyoming

		MIC	Michoacán
<i>Mexican Status</i>		MOR	Morelos
<i>Code</i>	<i>Description</i>	NAY	Nayarit
AGU	Aguascalientes	NLE	Nuevo Leon
BCN	Baja California Nord	OAX	Oaxaca
BCS	Baja California Sur	QUE	Queretaro
CAM	Campeche	ROO	Quintana Roo
CHH	Chihuahua	SIN	Sinaloa
CHP	Chiapas	SLP	San Luis Potosi
COA	Coahuila	SON	Sonora
COL	Colima	TAB	Tabasco
DIF	Distrito Federal	TAM	Tamaulipas
DUR	Durango	TLA	Tlaxcala
GRO	Guerrero	VER	Vera Cruz
GUA	Guanajuato	YUC	Yucatán
HID	Hidalgo	ZAC	Zacatecas
JAL	Jalisco		
MEX	Mexico State		

<i>Canadian Provinces</i>	
<i>Code</i>	<i>Description</i>
AB	Alberta
BC	British Columbia
MB	Manitoba
NB	New Brunswick
NL	New Foundland and Labrador Nova Scotia
NT	Northwest Territories
NU	Nunavut
PE	Prince Edward Island
QC	Quebec
SK	Saskatchewan
YT	Yukon Territory

Entry Type Codes

This section provides a complete list of valid entry type codes.

Entry Type Codes		31	Warehouse Withdrawal Consumption
Entry Code	Description	32	Warehouse Withdrawal - Quota
00	Consumption Category	33**	Aircraft and Vessel Supply (For Immediate Exportation)
01	Consumption - Free and Dutiable	34	Warehouse Withdrawal Antidumping/Countervailing Duty
***		38	Warehouse Withdrawal - Antidumping/Countervailing Duty & Quota/Visa Combination
02	Consumption - Quota/Visa	40	Drawback Category
03	Consumption - Antidumping/Countervailing Duty)	41	Direct Identification Manufacturing Drawback
04*	Appraisement	42	Direct Identification Unused Merchandise Drawback
05*	Vessel - Repair	43	Rejected Merchandise Drawback
06	Consumption - Foreign Trade Zone (FTZ)	44	Substitution Manufacturer Drawback
07	Consumption - Antidumping/Countervailing Duty and Quota/Visa Combination	45	Substitution Unused Merchandise Drawback
08	NAFTA Duty Deferral	46	Other Drawback
09	Reconciliation Summary	50	Government Category
10	Informal Category	51	Defense Contract Administration Service Region (DCASR)
11***	Informal - Free and Dutiable	52	Government - Dutiable
12	Informal - Quota/Visa (other than textiles)	60	Transportation Category
20	Warehouse Category	61	Immediate Transportation
21	Warehouse	62	Transportation and Exportation
22	Re-Warehouse	63	Immediate Exportation
23	Temporary Importation Bond (TIB)	64**	Barge Movement
24**	Trade Fair	65**	Permit to Proceed
25**	Permanent Exhibition	66**	Baggage
26**	Warehouse - Foreign Trade Zone (FTZ) (Admission)	90	Special Entry Processing - Not in Use
30	Warehouse Withdrawal Category		

* Not appropriate for automated filing

** Not approved for automated filing.

*** Approved for EIP/RLF Filing.



Missing Document Codes

This section lists valid missing document codes. Record the appropriate document code number(s) to indicate any documents not available at the time of filing the entry.

<i>Missing Document Codes</i>	
Code	Description
01	Commercial Invoice
02-09	Reserved
10	CBPF 5523 (19 CFR 141.89)
11	CBPF 3291
12-13	Reserved
14	Lease Statement (19 CFR 10.108(b))
15	Re-melting Certificate (19 CFR 54.6(a))
16	Corrected Commercial Invoice (19 CFR 141.89 et al)
17	Other Agency Forms (19 CFR Part 12)
18	Duty Free Entry Certificate (19 CFR 10.102); HTS 9808.00.3000
19	Scale Weight (19 CFR 151, Subpart B)
20	End Use Certificate (19 CFR 10.138)
21	Form O (coffee)
22	Chemical Analysis
23	Out turn Report (19 CFR 151, Sub part C)
24-25	Reserved
26	Packing List (19 CFR 141.86(e))
27-97	Reserved
98	Not specified above
99	If three or more documents are missing, record the code number for the first document and 99 for any additional documents.



Mode of Transportation Codes

This section lists valid mode of transportation codes and is provided for reference.

<i>Mode of Transportation Codes</i>	
Code	Description
10	Vessel, non-container. Including all cargo at first U.S. port of unlading aboard a vessel regardless of later disposition. This includes Lightered, Land Bridge and LASH. If the container status is unknown but the goods did arrive by vessel, use this code.
11	Vessel, Container
12	Border Water-borne (only Mexico and Canada)
20	Rail, Non-container
21	Rail, Container
30	Truck, Non-container
31	Truck, Container
32	Auto
33	Pedestrian
34	Road, other. Includes foot and animal-borne.
40	Air, Non-container
41	Air, Container
50	Mail
60	Passenger, hand-carried.
70	Fixed Transport Installations. Includes pipeline and powerhouse.
80	Not in Use



Related Document Identifiers

This section provides related document identifier codes. These codes identify the source document.

Currently only the C41, C42, C43, D15 and C36 identifiers have been authorized to certify a source document for EIP (Electronic Invoice Program) and the RLF (Remote Location Filing) prototype participation. The other identifiers in Appendix B are not authorized by CBP or the other government agencies as electronic certification. The other identifiers are for future use only. Inclusion of additional codes within the EIP and RLF electronic certification process will be documented in a Cargo System Messaging Service (CSMS) message as they occur.

<i>Related Document Identifiers</i>		C48	Animals, Meats, Poultry Products, MP-410 Import Certificate
<i>Code</i>	<i>Description</i>	C49	Plants and Plant Products APHIS Import Permits
AF	Airlines Flight Identification Number	C50	CBP Form (CBPF) 3311, Articles Exported and Returned
AW	Air Waybill Number	C51	Certificate of Registration
BH	House Bill of Lading Number	C52	Certificate of Repair
BM	Bill of Lading Number	C53	CBPF 3325, Declaration on Entry of Theatrical Effective for Temporary Use of Works of Art for Temporary or Permanent Exhibition
C11	Cheese Certificate (Various) Number	C54	Tag for Certified Seed, etc.
C14	CBP Valuation Decision Number	C55	Certificate for Purebred Animals
C15	End-use Authorization Number	C56	Wool Certificate
C17	Handloom Certificate Reference Number	C57	Transfer Certificate
C28	Textile Origin Certificate Number	C58	Report of Use or Transfer
C33	Antidumping Duty Case Number	C59	Certificate for Ethyl Alcohol
C34	Countervailing Duty Case Number	C60	Certificate for Duty-Free Government Entries (Various)
C35	Tariff Number	C61	American Goods Returned (Government Agencies)
C36	Declarants Reference Number	C62	United States Information Agency (USIA) Certification
C37	Quota Category Number	C63	Proof of Actual Use (Various Commodities)
C38	CBP Decision Request Number	C64	Generalized System of Preferences (GSP) Form A
C39	Salmonid Fish or Eggs Disease-Free Certificate	C65	Canadian Crude Petroleum Certificate
C40	Narcotic Drugs (Lawful Imports) Drug Enforcement Agency (DEA) License	C66	Meat Inspection Certificate
C41	Alcoholic Beverages: Permit to import alcohol from Alcohol and Tobacco Tax and Trade Bureau (TTB)	C67	Certificate of Entitlement, Form International Trade Administration (ITA) 362P. Document not required for entry per CSMS # 10-000148.
C42	Alcoholic Beverages: Certificate of Label Approval	C68	Imported Articles Designed for Handicap, Form ITA 362P
C43	Alcoholic Beverages: Certificates of Age, Origin, Identity (Certain)	C69	Civil Aircraft Certificate
C44	Fish Products - Certificate of Origin for National Marine Fisheries Service	C70	Certificate of Origin Caribbean Basin Initiative (CBI)
C45	Firearms, Explosives, Ammunition - BATF Permit		
C46	Vietnamese, North Korean, Cambodian, Cuban, Nicaraguan, Libyan, Iranian & Certain South African Goods - Foreign Assets Control License		
C47	Cheese Import License, Foreign Agricultural Service		



C71	CBI Special Access Program, Various Countries	D05	Wheat Declaration
C72	Certificate for Inspection of Matches	D06	Rescue and Relief Work Declaration
C73	Coffee Certificates (Various)	D07	Products Exported Under Lease and Reimported Declaration
C74	Certificate of Origin	D08	Strategic Materials Declaration
C75	Motor Vehicle Certification	D09	Scientific & Educational Instruments & Apparatus Declaration
C76	Cultural Property Certificate	D10	GSP Processing Cost Declaration
C77	Pre-Columbian Certificate	D11	CBI Processing Cost Declaration
C78	TSCA Certification	D12	Declaration, Boat Safety Standards
C79	Textiles, Visas, Various Countries	D13	Declaration, Electronic Products, Food and Drug (FD) 2877
C80	Textiles, Visa Waivers	D14	Declaration, Pesticides
C81	Mexican Textile Program, Special Regime	D15	Textiles, Country of Origin Declarations (Various)
C82	Folklore and Handicraft, CBI, Various Countries	D16	Textiles, Negative Country of Origin Declaration
C83	Folklore and Handicraft, GSP, Various Countries	D17	Fish & Wildlife Declaration
C84	Folklore and Handicraft, Quota Exemption, Various Countries	D18	Foods, Drugs, Cosmetics, Medical Devices, FD 701 Declaration
C85	Certificate of Repacking	D19	Radio frequency Devices - Federal Communication Commission (FCC) 740 Declaration
C86	Steel, Export Certificates, Various Countries	D20	Denatured Vegetable Oil Certificate
C87	Steel, Special Summary Steel Invoice, Various Countries	D21	Used Tires for Recapping - Disinsection Certificate
C89	Defense Contract Administration Service Region (DCSAR) Certificate	EX	Export License
C90	South Africa Parastatal Certification	FT	Free Trade Zone
C91	Canadian Free Trade Act (CFTA), Canadian Certificate of Origin	GC	Government Contract Number
C92	Canadian Softwood Lumber, Export Notice	GO	General Order Number
C93	CFTA Cost of Production Certification	IB	In-Bond Number
C94	U.S./Israeli Free Trade Agreement - Certificate of Origin	IM	Import License
C95	European Community (EC) Pasta Certificate	IV	Seller's Invoice Number
C96	EC Emmenthaler & Swiss Cheese Certificate	MK	Manifest Key Number
C97	Sugar, Certificate of Eligibility	MSC	Manufacturer/Supplier Code
C98	Sugar Certificate of Use	OGA	Other Government Agencies
C99	Tapestry Certificate	OM	Ocean Manifest
CST	Commodity Specialist Team	PO	Purchase Order Number
CT	Contract Number	SB	Sub-house Bill of Lading
D01	Foreign Shipper's Declaration	SMR	Special Mailing Reference
D02	Assembler's Declaration	TB	Trucker's Bill of Lading
D03	Artists Declaration	WEN	Warehouse Entry Number
D04	Automotive Products Trade Act (APTA) Documents, Various	WY	Rail Waybill Number
		XC	Cargo Control Number

Shipping/Packaging Unit Codes

This section lists the codes for commodity packaging and shipping units currently in use. These codes are referenced in the Automated Invoice Interface and Other Government Agencies chapters of this document.

<i>Shipping/Packaging Unit Codes</i>		CON	Container
<i>Code</i>	<i>Description</i>	CP	Carboy, Protected
AE	Aerosol	CR	Crate
AM	Ampoule, Nonprotected	CS	Case
AP	Ampoule, Protected	CT	Carton
AT	Atomizer	CU	Cup
BA	Barrel	CV	Cover
BB	Bobbin	CX	Can, Cylindrical
BC	Bottle crate, Bottle rack	CY	Cylinder
BD	Board	CZ	Canvas
BE	Bundle	DJ	Demijohn, Nonprotected
BF	Balloon, Nonprotected	DP	Demijohn, Protected
BG	Bag	DR	Drum
BH	Bunch	EN	Envelope
BI	Bin	FC	Fruit Crate
BJ	Bucket	FD	Framed Crate
BK	Basket	FI	Firkin
BL	Bale, Compressed	FL	Flask
BN	Bale, Noncompressed	FO	Footlocker
BO	Bottle, Nonprotected, Cylindrical	FP	Filmpack
BP	Balloon, Protected	FR	Frame
BQ	Bottle, Protected, Cylindrical	GB	Gas Bottle
BR	Bar	GI	Girder
BS	Bottle, Nonprotected, Bulbous	GZ	Girders, In Bundle/Bunch/Truss
BT	Bolt	HG	Hogshead
BU	Butt	HR	Hamper
BV	Bottle, Protected Bulbous	IN	Ingot
BX	Box	IZ	Ingots, In Bundle/Bunch/Truss
BY	Board, In Bundle/Bunch/Truss	JR	Jar
BZ	Bars, In Bundle/Bunch/Truss	JC	Jerrican, Rectangular
CA	Can, Rectangular	JG	Jug
CAR	Carcasses	JT	Jutebag
CB	Beer, Crate	JY	Jerrican, Cylindrical
CC	Churn	KG	Keg
CE	Creel	LG	Log
CF	Coffer	LZ	Logs, In Bundle/Bunch/Truss
CG	Cage	MB	Multiply Bag
CH	Chest	MC	Milk Crate
CI	Canister	MS	Multiwall Sack
CJ	Coffin	MT	Mat
CK	Cask	MX	Matchbox
CL	Coil	NE	Unpacked Or Unpackaged
CO	Carboy, Nonprotected	NS	Nest
COM	Combo Bins	NT	Net
		PA	Packet



PAL	Pallet	SW	Shrinkwrapped
PC	Parcel	SZ	Sheets, In Bundle/Bunch/Truss
PG	Plate	TB	Tub
PH	Pitcher	TC	Tea-Chest
PI	Pipe	TD	Collapsible Tube
PK	Package	TD	Tube, Collapsible
PL	Pail	TK	Tank, Rectangular
PN	Plank	TN	Tin
PO	Pouch	TO	Tun
PT	Pot	TR	Trunk
PU	Tray or Tray Pack	TS	Truss
PY	Plates, In Bundle/Bunch/Truss	TU	Tube
PZ	Planks or Pipes, In Bundle/Bunch/Truss	TY	Tank, Cylindrical
		TZ	Tubes, In Bundle/Bunch/Truss
RD	Rod	VA	Vat
RG	Ring	VG	Bulk Gas (At 1031 MBAR and 15 degrees Celsius)
RL	Reel		
RO	Roll	VI	Vial
RT	Rednet	VL	Bulk Liquid
RZ	Rods, In Bundle/Bunch/Truss	VO	Bulk, Solid, Large Particles ("Nodules")
SA	Sack		
SC	Shallow Crate	VP	Vacuum-packed
SD	Spindle	VQ	Bulk, Liquified Gas (At Normal Temperature)
SE	Sea-chest		
SH	Sachet	VR	Bulk, Solid, Granular Particles ("Grains")
SK	Skeleton Case		
SL	Slipsheet	VY	Bulk, Solid, Fine Particles ("Powders")
SM	Sheetmetal	WB	Wicker bottle
ST	Sheet		
SU	Suitcase		

Tax/Fee Codes

This section lists the current valid Internal Revenue Service (IRS) excise tax rates and valid fee class codes.

Beer, Wine, Alcohol and Perfume

<i>IRS Excise Tax Rates</i>					
Tax Class Code	Rate /Unit of Measure	Unit of Measure	Equivalent Metric HTS Rate	Equivalent Metric HTS Unit of Measure	Description
016	\$13.50	PFG	\$3.566322	PFL	Distilled Spirits
016	\$13.50	PFG	\$3.566322	PFL	Liquors and Cordials, Distilled
016	\$13.50	PFG	\$3.566322	PFL	Wines over 24 percent alcohol
017	\$18.00	BBL	\$0.153389	L	Rice Wine or Sake, Fermented
017	\$1.07	WG	\$0.2826619	WL	Still wines not exceeding 14 percent alcohol
017	\$1.57	WG	\$0.4147469	WL	Still wines exceeding 14 but less than 21 percent alcohol
017	\$3.15	WG	\$0.8321355	WL	Still wines exceeding 21 but less than 24 percent alcohol
017	\$3.40	WG	\$0.898178	WL	Champagne and Sparkling Wines
017	\$3.30	WG	\$0.871761	WL	Artificial Carbonated Wines
022	\$18.00	BBL (31 Gallons)	\$0.153389	L	Beer
022	\$13.50	WG	\$3.566322	L	Perfumes

Note: For wine, a liter = 0.26417 U.S. gallons;
 For distilled spirits, a liter = 0.264172 U.S. gallons
 A kilogram = 2.2046 pounds



Tobacco Products

<i>IRS Excise Tax Rates</i>					
Tax Class Code	Rate /Unit of Measure	Unit of Measure	Equivalent Metric HTS Rate	Equivalent Metric HTS Unit of Measure	Description
018	\$50.33	M	\$50.33	K	Small cigars not exceeding 3 pounds per K.
018	52.75%	M	52.75%	K	Large cigars exceeding 3 pounds per K. If the excise tax amount using 52.75% of the sales price exceeds \$402.60 per thousand cigars, use the rate of \$402.60/thousand to calculate the total excise tax due.
018	\$50.33	M	\$50.33	K	Small cigarettes not exceeding 3 pounds per K.
018	\$105.69	M	\$105.69	K	Large cigarettes exceeding 3 pounds per K.
018	\$0.5033	Lb.	\$1.0957518	Kg.	Chewing tobacco classified in 2403.99.00
018	\$2.8311	Lb.	\$6.24144306	Kg.	Pipe tobacco classified in 2403
018	\$1.51	Lb.	\$3.328946	Kg.	Snuff classified in 2403.99.2040
022	\$0.0315	50	\$0.0315	50	Cigarette papers
022	\$0.0630	50	\$0.0630	50	Cigarette tubes

Note: Unit of Measure Codes are listed in Appendix C.



Fee Class Codes

<i>Fee Class Codes</i>	
<i>Code</i>	<i>Description</i>
053	Beef Fee
054	Pork Fee
055	Honey Fee
056	Cotton Fee
057	Raspberry Fee
079	Sugar Fee
090	Potato and Potato Products
102	Fresh Limes
103	Mushroom Fee
104	Watermelon Fee
105	Sheep Fee
106	Blueberry Fee
107	Hass Avocado Fee
108	Mango Fee
109	Sorghum Fee

110	Dairy Fee
<i>Fee Class Codes</i>	
<i>Code</i>	<i>Description</i>
311	Informal Fee
496	Dutiable Mail Fee
499	Merchandise Processing Fee
501	Harbor Maintenance (Waterways) Fee

Tax Class Codes

<i>Tax Class Codes</i>	
<i>Code</i>	<i>Description</i>
016	Distilled Spirits
017	Wines
018	Tobacco Products
022	Other Excise Taxes

Note: Additional class codes are expected to be added to this list in the future.

Collection fees

<i>Collection Fee Codes</i>	
<i>Code</i>	<i>Description</i>
001	Consumption Entries
002	Warehouse Withdrawals
003	Mail Entries
007	Informal Entries
008	Appraisement Entries
009	Vessel Repair Entries
010	All Other Duties On Imports
012	Antidumping Duties
013	Countervailing Duties
020	Importations from the Virgin Islands
021	Importations from Puerto Rico

038	Charges for Testing, Inspection and Grading Services
072	Unapplied CBP Receipts
073	Suspense
092	Final Warehouse Withdrawal
101	Additional Duty on Formal Entry
116	Deferred Tax on Distilled Spirits
117	Deferred Tax on Wine
118	Deferred Tax on Tobacco Products
122	Deferred Tax on All Other Excise Taxes
211	Canadian Surcharge
500	Manual Surcharge Fee

Equipment Description Codes

This section provides a complete listing of valid Equipment Description Codes.

<i>Equipment Description Codes</i>		2E	Helper Unit
<i>Code</i>	<i>Description</i>	2F	Road trailer
20	20 ft. IL Container (Open Top)	40	40 ft. IL Container (Open Top)
2B	20 ft. IL Container (Closed Top)	4B	40 ft. IL Container (Closed Top)
2D	Control Unit	AC	Closed Container
		AF	Air Freight (Break Bulk)



AL	Container, Aluminum - Container must be made of aluminum	FN	Flat Bed Trailer with No Headboards
AP	Aircraft	FP	Flatcar with Pedestal
AT	Closed Container (Controlled Temperature)	FR	Flat Bed Trailer - Removable Sides
BC	Covered Barge	FS	Container with Floor Securing Rings - Appliances at floor level that can be used to secure cargo
BE	Bilevel Railcar Fully Open	FT	Flat Bed Trailer
BF	Bilevel Railcar Fully Enclosed	FX	Boxcar Cushion Under Frame of
BG	Bogie	GS	Generator Set
BH	Bilevel Railcar Screened with Roof	HB	Container with Hangar Bars - Container must be equipped with hangar beams/bars for garment shipments
BJ	Bilevel Railcar Screened, No Roof	HC	Hopper Car (Covered)
BK	Container, Bulk	HO	Hopper Car (Open)
BO	Barge, Open	HP	Hopper Car (Covered: Pneumatic Discharge)
BR	Barge	HT	Head of Train Device
BX	Boxcar	HV	High Cube Van
CA	Caboose	HY	Hydrant Cart – Used at large airports with installed distribution systems to make into plane deliveries; distinguished from other types of fueling vehicles
CB	Chassie, Goose neck	ID	Idler Car
CC	Container Resting on a Chassis	IX	Boxcar (Insulated)
CD	Container with Bag Hangers - Rings or bars located in upper part of container walls to suspend bulk bags within the ocean container	LO	Locomotive
CG	Container, Tank (Gas)	LS	Half Height Flat Rack
CH	Chassis	LU	Load/Unload Device on Equipment
CI	Container, Insulated	NC	Non-containerized or No Equipment
CJ	Container, Insulated/Ventilated	NX	Boxcar (Interior Bulkheads)
CK	Container, Heated/Insulated/ Ventilated	OB	Ocean Vessel
CL	Container (Closed Top Length Unspecified)	OT	Open Top Flat Bed Trailer
CM	Container, Open-Sided	OV	Open Top Van
CN	Container	PL	Container Platform
CP	Coil Car Open	PP	Power Pack – A container holding a motor, generator, and fuel tank; used to provide power to refrigerated containers on a double stack train
CQ	Container, Tank (Food Grade - Liquid)	PT	Protected Trailer
CR	Coil Car Covered	PU	Pick-up Truck
CS	Container - Low Side Open Top	RA	Fixed Rack
CT	Container - High Side Open top	RC	Refrigerated (Reefer) Car
CU	Container (Open Top - Length Unspecified)	RD	Fixed Rack, Double Drop Trailer – A double drop flat bed with an A-frame.
CV	Closed Van	RE	Flat Car (End Bulkheads)
CW	Container, Tank (Chemicals)	RF	Flat Car
CX	Container, Tank	RG	Gondola Covered
CZ	Refrigerated Container	RI	Gondola Car (Covered – Interior Bulkheads)
DD	Double Drop Tailer - A flatbed with two drop decks	RO	Gondola Car (Open)
DF	Container with Flush Doors - Container doors must be flush with the inside walls of the ocean-type containers	RR	Rail Car
DT	Drop Back Trailer		
DX	Boxcar, Damage Free Equipped		
ET	End of Train Device		
FH	Flat Bed Trailer with Headboards		

RS	Fixed Rack Single Drop Trailer – A single drop flat bed with an A-frame.	TQ	Trailer, Electric Heat - A trailer with electric heat to keep product from freezing.
RT	Controlled Temperature Trailer (Reefer)	TR	Tractor
SA	Saddle – Device to stack container on a rail car.	TT	Telescoping Trailer
SC	Service Car	TU	Truck, Open Top Low Side
SD	Single Drop Trailer – A flatbed trailer with one-drop deck.	TV	Truck, Van
SK	Stack Car	TW	Trailer, Refrigerated - A refrigerated trailer capable of keeping product cold. Different from a temperature controlled trailer which is able to keep product at a constant temperature.
SL	Container, Steel - Container must be made of steel.	UA	Trilevel Railcar 20 Feet
SR	Stak-Rak - A device upon which empty chassis may be stacked for movement “En Bloc” on a railcar, stack train, trailer, or water-borne vessel.	UB	Trilevel Railcar Screened, Fully Enclosed
SS	Container with Smooth Sides - Walls in ocean container must be flat/smooth.	UC	Trilevel Railcar Screened, With Roof
ST	Removable Side Trailer	UD	Trilevel Railcar Screened, No Roof
SV	Van - Special inside length, width, or height requirements.	UE	Trilevel Railcar Screened, With Door, No Roof
TA	Trailer, Heated/Insulated/Ventilated	UL	Unit Load Device (ULD)
TB	Trailer, Boat	UP	Container, Upgraded - Container must be upgraded for higher weights.
TC	Trailer, Car	VA	Container, Vented - Dry container must have vent openings for air exchange.
TF	Trailer, Dry Freight	VE	Vessel, Ocean
TG	Trailer, Tank (Gas)	VL	Vessel, Lake
TH	Truck, Open Top High Side	VR	Vessel, Ocean, Roll on-Roll off
TI	Trailer, Insulated	VS	Vessel, Ocean, Lash
TJ	Trailer, Tank (Chemicals)	VT	Vessel, Ocean, Containership
TK	Trailer, Tank (Food Grade Liquid)	WR	Container with Wavy or Ripple Sides
TL	Trailer (Not Otherwise Specified)	WY	Railroad Maintenance of Way Car
TM	Trailer, Insulated/Ventilated		
TN	Tank Car		
TO	Truck, Open Top		
TP	Trailer, Pneumatic - A specialized trailer with a pneumatic device for loading or unloading.		

Amendment Codes

This section provides a complete listing of valid Amendment codes.

<i>Importing Carrier</i>	
<i>Code</i>	<i>Description</i>
01	Not laden aboard per evidence from foreign shipper, or amended bill of lading.
02	Error in manifesting, not laden on this carrier; laden on subsequent carrier for transportation to United States, per evidence in files.
03	Clerical error in manifesting per bill of lading in files.
04	Pilfered or prematurely landed prior to arrival in United States per signed statement of master or his agent or vessel log extract in our file.
05	Erroneously duplicated by another bill of lading on the same manifest.
06	Prematurely landed or over carried to another United States port where proper disposition was made per evidence in our files.
07	Inadvertently retained on board and taken foreign per master's or his agent's statement, amended bill of lading, lading certificate, in our files.
08	Container stripped under CBP supervision.. Foreign seals affixed aboard were intact, as per evidence in our files.
09	Merchandise apparently pilfered on dock while in custody of carrier.
10	Inadvertently delivered without CBP release. Goods will be redelivered intact or duty and taxes will be paid by carrier.
11	Overage - omitted from manifest through clerical error.
12	Overage - manifested for discharge at another port and inadvertently discharged at this port.
13	Proper entry filed or placed in general order per entry or general order number.

<i>Bonded Carrier</i>	
<i>Code</i>	<i>Description</i>
14	Merchandise inadvertently delivered to consignee without CBP release. Merchandise will be redelivered intact or liquidated damages paid.
15	Merchandise cannot be located and has apparently been lost. Liquidated damages will be paid.
16	Error in quantity manifested at port of origin. MDR (Manifested Discrepancy Report) will be filed at origin to correct in-bond entry. A copy will be delivered to this port within 90 days or duty and taxes will be paid.
17	Merchandise removed from original container and restuffed as part of the in-bond movement.



Reference Qualifiers

<i>Reference Qualifiers</i>	
<i>Code</i>	<i>Description</i>
8S	Broker Identification – This is used by Rail and Truck AMS
BEN	Brokers Entry Number – This is only used by Truck AMS
BL	Government Bill of Lading
BM	Bill of Lading Number
BN	Booking Number
CG	Consignee's Order Number
CN	Carrier's Reference Number (Pro or Invoice Number)
CO	Customer Order Number
CR	Customer Reference Number
CX	Consignment Classification Number
ED	Export Declaration
EP	Softwood Lumber Permit
FEN	Foreign Entry Number – This is used by Rail and Truck AMS
FN	Forwarders or Agents Reference Number
FP	Forestry Permit Number
GB	Grain Block Number
GR	Grain Order Reference Number
HS	Harmonized Code System
IN	Consignee's Invoice Number
LT	Lot Number

MA	Ship Notice or Manifest Number
MB	Master Bill of Lading
MR	Merchandise Type Code
OB	Ocean Bill of Lading – This is used by Rail and Truck AMS
OM	Ocean Manifest
OW	Service Order Number
PK	Packing List Number
PN	Permit Number
PO	Purchase Order Number
RC	Rail Routing Code
RR	Railroad
S7	Stack Train Identification
SI	Shipper's Identifying Number for Shipment (SID) – This is used by Rail and Truck AMS
SO	Shipper's Order (Invoice Number)
ST	Store Number
SW	Seller's Sale Number
UT	Unit Train
VA	Vessel Agent Number
WU	Vessel
WY	Rail Waybill Number
XP	Previous Cargo Control Number
ZE	Coal Authority Number
ZZ	Mutually Defined

Primary Special Program Indicator Codes

<i>Primary Special Program Indicator Codes</i>	
<i>Code</i>	<i>Description</i>
A	If claiming duty-free treatment under the Generalized System of Preferences (GSP).
B	If claiming duty-free treatment under the Automotive Product Trade Act.
C	If claiming duty-free treatment under the Agreement on Trade in Civil Aircraft.
D	If claiming duty-free treatment under the African Growth and Opportunity Act (AGOA) for non-textile products. (Refer to administrative message 01-0391 for system requirements and the Harmonized Tariff Schedule General Note 16.) To claim AGOA textile benefits, refer to administrative message 01-0390 and the additional AGOA notes indicated below.
E	If claiming a special rate under the Caribbean Basin Economic Recovery Act (CBERA). CBERA is also referred to as the Caribbean Basin Initiative (CBI).
J	If claiming special rate under the Andean Trade Preference Act (ATPA).
K	If claiming duty free treatment under the Agreement on Trade in Pharmaceutical Products.
L	If claiming duty free treatment under the Uruguay Round Concessions on Intermediate Chemicals for Dyes.
N	If claiming duty-free treatment as a product of the West Bank (country code WE), Gaza Strip (GZ), a qualifying industrial zone (JO) or Israel (IL) under the U.S. - Israel Free Trade Area Agreement.
P	Originating CAFTA Claim (refer to Administrative Message 06-0315)
R	If claiming duty-free treatment under the Caribbean Basin Trade Partnership Act (CBTPA) for non-textile benefits. (Refer to administrative message 01-0389 for system requirements and the Harmonized Tariff Schedule General Note 17.) To claim CBTPA textile benefits, refer to administrative message 01-0388 and the additional CBTPA notes listed below.
W	Products of Puerto Rico advanced in value or improved in condition in a CBERA country (19 United States Code (USC) 2703(a)(5)).
Y	If claiming duty-free treatment from an U.S. Insular Possession (HTS General Headnote 3(a)).
Z	If claiming duty-free treatment from Freely Associated States. Certain footwear, handbags, luggage, flatgoods, work gloves and leather wearing apparel are excluded from duty-free treatment. For a list of these items, refer to Appendix K of this publication.

AGOA NOTES:

To claim African Growth and Opportunity Act (AGOA) textile benefits, the filer must transmit the following AGOA chapter 98 tariff numbers and the associated textile tariff numbers (tariff numbers that are indicated in the chapter 98 Low Bound and High Bound ranges:

9802008042
98191103
98191106
98191109*
98191112*
98191115
98191118
98191121
98191124
98191127

For all AGOA claims, the Country of Origin and the Country of Export must be eligible for AGOA benefits.

VISA's will be required for all AGOA textile claims (refer to administrative message 01-0390).

Tariff numbers 98191109 and 98191112 will be subject to tariff rate quota. Only Sub-Saharan African Countries Designated as eligible for Benefits of AGOA and considered Lesser Developed Beneficiary Countries under AGOA will be eligible to claim benefits under tariff number 98191112 (refer to administrative message 01-0085 for a list of AGOA LDD countries).

No Special Program Indicators will be required for the AGOA Textile claims. The chapter 98 tariff numbers, indicates that an AGOA textile benefits are being claimed.

The total Value of the AGOA textile claims for the 9819 tariff number, is to be reported under the associated tariff number (non chapter 9819 tariff number).

For goods claimed under tariff 9802008042, the value of the US component will be entered under the 9802008042-tariff number and the foreign component will be entered under the associated tariff number.



CBTPA NOTES:

To claim Caribbean Basin Trade Partnership Act (CBTPA) Textile benefits, the filer must transmit the following CBTPA chapter 98 tariff numbers and the associated textile tariff numbers (tariff numbers that are indicated in the chapter 98 Low Bound and High Bound ranges:

9802008044
 9802008046
 98201103
 98201106
 98201109*
 98201112*
 98201115
 98201118
 98201121
 98201124
 98201127
 98201130

All CBTPA claims (textile and non-textile claims) require that the country of origin be eligible for CBTPA benefits. Countries that are eligible for CBTPA benefits will have an SPI 'D' indication on the country code file.

The country of origin and the country of export must be eligible for CBTPA benefits.

No Special Program Indicators will be required for the CBTPA Textile claims. The chapter 98 tariff numbers, indicates that CBTPA textile benefits are being claimed.

CBTPA textile claims are exempt from quota/visa requirements with the exception of tariff numbers 98201109 and 98201112. Tariff numbers 98201109 and 98201112 are exempt from Visa requirements but are subject to tariff rate quota.

The total value of the CBTPA 9820 textile claims will be reported on the associated tariff number (non-chapter 9820 tariff number).

For goods claimed under tariff 9802008044 and 9802008046, the value of the US component will be entered under the 9802008044/46 tariff number and the foreign component will be entered under the associated tariff number.

Both primary and country special programs indicator codes are not allowed on the same line. If both apply, choose one. If no special programs indicator codes apply, space fill.



The following must apply if merchandise is entered duty free into the U.S. under HTS number 9802.00.5010 or 9802.00.8040.

The country of origin must be the U.S. or a CBI country.

The country of export must be a CBI country.

An additional tariff number must be reported with the 9802 number and the number cannot begin with 2709 or 2710.

The additional HTS number cannot be associated with a textile category number unless the number is from chapter 64.



Country Special Programs Indicator Codes

<i>Country Special Program Indicator Codes</i>	
<i>Code</i>	<i>Description</i>
AU	Australia Free Trade Agreement (refer to Administrative Message 05-0018)
B#	Automotive Product Trade Act
BH	Bahrain Free Trade Agreement (refer to Administrative Message 06-0927)
C#	Agreement on Trade in Civil Aircraft
CA	If claiming a Canadian special rate under the North American Free Trade Agreement (NAFTA).
CL	Chile Free Trade Act (refer to Administrative Message 04-0012)
CO	Colombia Trade Promotion Agreement (refer to CSMS 12-000154)
IL	If claiming a special rate under the U.S. - Israel Free Trade Area Agreement.
J+	If claiming a special rate under the Andean Trade Promotion and Drug Eradication Act (ATPDEA). Refer to administrative message 03-0793.
JO	Jordan Free Trade Agreement (refer to Administrative Message 02-0213)
K#	If claiming duty free treatment under the agreement on trade in Pharmaceutical Products.
KR	South Korea Free Trade Agreement (Refer to CSMS 12-000059)
L#	If claiming duty free treatment under the Uruguay Round concessions on Intermediate Chemicals for Dyes.
MA	Morocco Free Trade Agreement (refer to Administrative Message 05-0557)
MX	If claiming a Mexican special rate under the North American Free Trade Agreement (NAFTA).
OM	Oman Free Trade Agreement (Refer to CSMS 09-000077)
P+	Qualifying CAFTA Claim (refer to Administrative Message 06-0315)
PA	Panama Trade Promotion Agreement (Refer to CSMS 12-000429)
PE	Peru Free Trade Agreement (Refer to CSMS 09-000079)
SG	Singapore Free Trade Act (refer to Administrative Message 04-0071)

Canada-NAFTA originating goods

C#, CA, B#, K#, and L# indicate that an article is eligible for the North American Free Trade Agreement (NAFTA) for Canada exemption from the Merchandise Processing Fee (MPF) and a claim is made under one of the special trade programs. When the column 1 rate is free, no special rate will be indicated. Therefore, in order to exempt the MPF the entry filer must transmit an SPI of CA.

Mexico-NAFTA originating goods

Effective June 30, 1999, C#, K#, L#, and MX indicate that an article is eligible for the North American Free Trade Agreements (NAFTA) for Mexico exemption from the Merchandise Processing Fee (MPF) and a claim is made under the special trade programs. Prior to June 30, 1999, C#, K#, L# and MX is eligible for the 0.19% MPF rate and a claim is made under one of the special trade programs. When the column 1 rate is free, no special rate will be indicated. Therefore, in order to obtain the benefit of the Mexican rate, the entry filer must transmit an SPI of MX.



Secondary Special Programs Indicator Codes

<i>Secondary Special Program Indicator Codes</i>	
<i>Code</i>	<i>Description</i>
F	To identify folklore products (handloomed fabric, hand-made articles made of handloomed fabric and traditional products of the cottage industry).
G	To identify “made to measure” suits of Hong Kong origin. The category numbers associated with suits are 443, 444, 643, 644, 843 and 844.
H	To identify certain garments in chapter 61 or 62 of the HTS which may be eligible for entry under a special access program.
M	To identify textile fashion samples.
S	Outward Processing Program
V	To identify an article that is a component of a set.
X	To identify sets and the tariff number used to calculate the rate of duty.

F is a valid Secondary Special Programs Indicator Code if:

The entry type is 02, 07, 32 or 38.

The tariff number in the HTS has a corresponding category number.

Note: Reporting the category number is not required when claiming F for a line item. However, if the category number is reported, it must agree with the category number in the HTS.

Quota or visa processing is bypassed when claiming M on a line. Entry type 01 is allowed. The tariff number in the HTS must have a category number to claim M.

Folklore agreements only waive the quota and visa requirements. They do not waive duty requirements. The U.S. has folklore agreements with the following countries:

Country	ISO Code	Country	ISO Code
Bangladesh	BD	Mexico	MX
Colombia	CO	Pakistan	PK
India	IN	Peru	PE
Japan	JP	Philippines	PH
Korea	KR	Taiwan	TW
Malaysia	MY	Thailand	TH



Secondary Special Programs Indicator Codes may be reported in addition to any valid primary or country special programs indicator codes. If no secondary special programs indicator codes apply, space fill.

Container/Equipment Type

A code consisting of four separate characters used to identify a type of container or equipment. There are two categories of Container/Equipment Type Codes: "old" codes, referencing containers and equipment built before January 1, 1996, and "new" codes, for containers and equipment built since January 1, 1996.

"Old" codes are all numeric. One example of an "old" Container/Equipment Type code is 4204. 42 = 12,000 mm or 40 feet in nominal length X 2,581 mm or 8 feet 6 inches in nominal height without a tunnel for goose neck. 04 = a general-purpose container with openings at both ends plus opening roof plus openings at one or both sides.

The codes are broken down in the following tables. The first two characters of the code identify length and height.

ISO freight containers series ¹ and assimilated containers	Nominal Heights h		$h = 2,438$ mm (8 ft)		$h = 2,581$ mm (8 ft 6 in)		$h > 2,591$ m (6ft 6 in)		1,219 mm (4 ft) $< h < 1,295$ mm (4 ft 3 in)		1,295 mm (4 ft 3 in) $< h < 2,436$ mm (8 ft)	$h = 1,219$ mm (4 ft)
	Nominal Length L	Tunnel for Goose Neck	w/o	with	w/o	with	w/o	with	w/o	with	with or w/o	with or w/o
		Index	0.0 0	1	2	3	4	5	6	7	8	9
	3,000 mm (10 ft)	1	10	11	12	13	14	15	16	17	18	19
	6,000 mm (20 ft)	2	20	21	22	23	24	25	26	27	28	29
	9,000 mm (30 ft)	3	30	31	32	33	34	35	36	37	38	39
	12,000 mm (40 ft)	4	40	41	42	43	44	45	46	47	48	49
Other containers	3,000 mm (10ft) $< L < 6,000$ mm (20 ft)	6	60	61	62	63	64	65	66	67	68	69
	6,000 mm (20 ft) $< L < 9,000$ mm (30 ft)	7	70	71	72	73	74	75	76	77	78	79
	9,000 mm (30 ft) $< L < 12,000$ mm (40 ft)	8	80	81	82	83	84	85	86	87	88	89
	$L > 12,000$ (40 ft)	9	90	91	92	93	94	95	96	97	89	99

¹ – Assimilated means that the container is in accordance with ISO 1161 relating to the dimensions and location of corner fittings horizontal plan view and can be handled by the equipment used for lifting ISO containers.

		Index	Size code designations of containers having a nominal length $< 3,000$ mm (10 ft)									
ISO freight containers	$L < 3,000$ mm (10 ft)	0.00	0.0 0	01	02	03	04	05	06	07	08	09
	Type of containers	To be allocated										
Other containers	$L < 3,000$ mm (10 ft)	5			52	53	54	55	56	57	58	59
	Internal volume of containers	These codes will be given later.										

Type		Characteristics	
0	General-purpose container. General purpose of closed vented/ventilated container: Container other than Thermal, Dry Bulk, Air, or other specific container. One having floor, walls, and roof, and being capable of being loaded at least by openings (doors) at one end and, in some types, additional openings and, in other types, vented/ventilated openings as well. Opening: A hinged movable or removable panel of a container designed as a load-bearing structure and also to be watertight and reasonably airtight.	Openings at one end or both ends	00
		Opening(s) at one or both ends plus "full" opening(s) on one or both sides	01
		Opening(s) at one or both ends plus "partial" opening(s) on one or both sides	02
		Opening(s) at one or both ends plus opening roof	03
		Opening(s) at one or both ends plus opening roof, plus opening(s) at one or both sides	04
		(Spare)	05
		(Spare)	06
		(Spare)	07
		(Spare)	08
		(Spare)	09
1	Closed container vented. General purpose of closed vented/ventilated container: Container other than Thermal, Dry Bulk, Air, or other specific container. One having floor, walls, and roof, and being capable of being loaded at least by openings (doors) at one end and, in some types, additional openings and, in other types, vented/ventilated openings as well. Opening: A hinged movable or removable panel of a container designed as a load-bearing structure and also to be watertight and reasonably airtight.	Passive vents at upper part of cargo space - Total vent cross-section area < 25 cm ² /m of nominal container length.	10
		Passive vents at upper part of cargo space - Total vent cross-section area > 25cm ² /m of nominal container length	11
		(Spare)	12
1	Closed container, ventilated Opening: A hinged movable or removable panel of a container designed as a load-bearing structure and also to be watertight and reasonably airtight.	Non-mechanical system, vents at lower and upper parts of cargo space	13
		(Spare)	14
		Mechanical ventilation system, located internally	15
		(Spare)	16
		Mechanical ventilation system, located externally	17
		(Spare)	18
		(Spare)	19

Type	Characteristics	
2	Thermal Container: Types 20 to 49 Containers built with insulating walls, doors, floor and roof which retard the rate of heat transmission between the inside and outside of the container. Insulated container: Thermal container without devices for cooling and/or heating.	
		Insulated - containers shall have insulation "K" values of $K_{\max} < 0.4 \text{ W/(m}^2\cdot\text{°C)}$. 20
		Insulated - containers shall have insulation "K" values of $K_{\max} < 0.7 \text{ W/(m}^2\cdot\text{°C)}$. 21
	Heated container: thermal container fitted with a heat-producing appliance.	Heated - containers shall have insulation "K" values of $K_{\max} < 0.4 \text{ W/(m}^2\cdot\text{°C)}$. Containers shall be required to maintain the internal temperatures given in ISO 1496/2. Series 1 freight containers – specification and testing - part 2: Thermal containers 22
		(Spare) 23
		(Spare) 24
	Named cargo containers.	(Spare) Livestock carrier 25
		(Spare) Automobile carrier 26
		(Spare) 27
		(Spare) 28
3	Thermal Container: Types 20 to 49 Containers built with insulating walls, doors, floor and roof which retard the rate of heat transmission between the inside and outside of the container. Refrigerated container: Thermal container using either expendable refrigerant or fitted with a refrigerator appliance.	(Spare) 29
		Refrigerated - expendable refrigerant – containers shall have insulation "K" values of $K_{\max} < 0.4 \text{ W/(m}^2\cdot\text{°C)}$. Containers shall be required to maintain the internal temperatures given in ISO 1496/2. Series 1 freight containers – specification and testing - part 2: Thermal containers 30
		Mechanically refrigerated – containers shall have insulation "K" values of $K_{\max} < 0.4 \text{ W/(m}^2\cdot\text{°C)}$. Containers shall be required to maintain the internal temperatures given in ISO 1496/2. Series 1 freight containers - specification and testing - part 2: Thermal containers 31
3	Refrigerated and heated. Heated container: thermal container fitted with a heat-producing appliance. Refrigerated container: Thermal container using either expendable refrigerant or fitted with a refrigerator appliance.	Refrigerated and heated - containers shall have insulation "K" values of $K_{\max} < 0.4 \text{ W/(m}^2\cdot\text{°C)}$. Containers shall be required to maintain the internal temperatures given in ISO 1496/2. Series 1 freight containers - specification and testing - part 2: Thermal containers 32
		(Spare) 33

		(Spare)	34
		(Spare)	35
		(Spare)	36
		(Spare)	37
		(Spare)	38
		(Spare)	39
4	Thermal Container: Types 20 to 49 Containers built with insulating walls, doors, floor and roof which retard the rate of heat transmission between the inside and outside of the container.		
	Refrigerated and/or heated with removable equipment. Refrigerated container: Thermal container using either expendable refrigerant or fitted with a refrigerator appliance. Removable equipment: Refrigerating and/or heating appliance which is designed primarily for attachment to or detachment from the container when transferring between different modes of transportation. Such equipment may be "located internally", i.e., totally within the external dimensional envelope of the container as defined in ISO 668, or "located externally", i.e., partially or totally outside the external dimensional envelope of the container as defined in ISO 668.	Refrigerated and/or heated with removable equipment appliance located EXTERNALLY - containers shall have insulation "K" values of $K_{max} < 0.4 \text{ W/(m}^2\cdot\text{°C)}$.	40
		Refrigerated and/or heated with removable equipment appliance located INTERNALLY - containers shall have insulation "K" values of $K_{max} < 0.4 \text{ W/(m}^2\cdot\text{°C)}$.	41
		Refrigerated and/or heated with removable equipment appliance located EXTERNALLY - containers shall have insulation "K" values of $K_{max} < 0.7 \text{ W/(m}^2\cdot\text{°C)}$.	42
		(Spare)	43
		(Spare)	44
		(Spare)	45
		(Spare)	46
		(Spare)	47
		(Spare)	48
		(Spare)	49

Type		Characteristics	
5	Open top container: A description applied when one or more of the sides, ends or the roof of a container is permanently open.	Opening(s) at one or both ends	50
		Opening(s) at one or both ends plus removable top member(s) in end frame(s)	51
		Opening(s) at one or both ends, plus opening(s) on one or both sides	52
		Opening(s) at one or both ends, plus opening(s) on one or both sides plus removable to member(s) in end frame(s)	53
		(Spare)	54
		(Spare)	55
		(Spare)	56
		(Spare)	57
		(Spare)	58
		(Spare)	59
6	Platform (container)	Platform (container) - Type 60. A loadable platform having no superstructure whatever but having the same length and width as the base of the series 1 container and equipped with top and bottom corner fittings, located in plain view as on other series 1 containers so that some of the same securing and lifting devices can be used.	60
	Platform-based container with incomplete superstructure Platform-based container: Container having a base structure of the platform type for which camber may be provided. Platform (container): Type 60. A loadable platform having no superstructure whatever but having the same length and width as the base of the series 1 container and equipped with top and bottom corner fittings, located in plain view as on other series 1 containers so that some of the same securing and lifting devices can be used. Platform-based container with incomplete superstructure with fixed complete end structure or with fixed freestanding posts for which the requirements of ISO 668 for the overall top length may be relaxed.	With complete and fixed ends (2)	61
		With fixed free standing posts	62
		With complete and folding ends	63
		With folding free-standing posts	64

Type		Characteristics	
6	Platform-based container with complete superstructure and open-sided.	With roof	65
		With open top	66
		With open top, open ends (skeletal)	67
		(Spare)	68
		(Spare)	69
7	Tank containers: Tank container for liquids or gases: Container specially built for transporting and distributing liquids or gases in bulk (with due regard to such codes and national and international regulatory requirements as may be applicable). Liquid: A fluid substance having a vapour pressure not greater than 3.0 bar (3 kgf/cm ²) absolute at 50°C (42.67 lbf/in ² absolute at 122°F). Gas: A gas or vapour having a vapour pressure greater than 3.0 bar (3 kgf/cm ²) absolute at 50°C (42.67 lbf/in ² absolute at 122°F). Test pressures for tank containers and dry bulk containers: the test pressure given is the minimum value of the respective class. Any tank or dry bulk container with a test pressure in the range between a given minimum pressure and the next higher minimum pressure belongs to the lower class. Dangerous substances (goods) are those substances classified as dangerous by the UN Committee of Experts on the Transport of Dangerous goods or by competent authorities concerned.	For non-dangerous liquids, test pressure 0.45 bar	70
		For non-dangerous liquids, test pressure 1.5 bar	71
		For non-dangerous liquids, test pressure 2.65 bar	72
		For dangerous liquids, test pressure 1.5 bar	73
		For dangerous liquids, test pressure 2.65 bar	74
		For dangerous liquids, test pressure 4.0 bar	75
		For dangerous liquids, test pressure 6.0 bar	76
		For dangerous gases, test pressure 10.5 bar	77
		For dangerous gases, test pressure 22.0 bar	78
8	Dry bulk containers: Test pressures for tank containers and dry bulk containers: the test pressure given is the minimum value of the respective class. Any tank or dry bulk container with a test pressure in the range between a given minimum pressure and the next higher minimum pressure belongs to the lower class.	Reserved for dry bulk containers (code allocation, characteristic text and notes, where required, shall be provided by ISO/TC 104/SC 2)	80 to 89
9	Air/surface containers: Code characteristics are to be developed by ISO and IATA jointly. It is envisaged that number 90 to 99 will be allocated to containers for carriage in fixed wing aircraft.		90 to 99

"New" codes are all alphanumeric. One example of a "new" container/equipment type code is 4EV0. 4 = 12,192 mm or 40 feet in length; E = 2,895m (9'6") x >2,438 mm but <2,500 mm in width, and V0 = a non-mechanical system with vents at lower and upper parts of cargo space.

The codes are broken out in the following tables. The first character of the code identifies the length.

Code	Length	
	Mm	ft in
1	2,991	10'



2	8,058	20'
3	9,125	30'
4	12,192	40'
5	Spare	
6	Spare	
7	Spare	
8	Spare	
9	Spare	
A	7,150	
B	7,316	24'
C	7,420	
D	7,430	24' 6"
E	7,800	
F	8,100	
G	12,500	41'
H	13,106	43'
K	13,600	
L	13,716	45'
M	14,630	48'
N	14,935	49'
P	15,154	
R	Spare	
"	Spare	

The second character of the code identifies the width and height.

width mm (ft, in) height mm (ft, in)	2,438 (8')	2,438 (>8') <=2,500 (8',2.5'')	>2,500 (> 8'2.5'')
2,438 (8')	0		
2,592 (8'6'')	2	C	L
2,743 (9')	4	D	M
2895 (9'6'')	5	E	N
> 2,895 (9'6'')	6	F	P
1,295 (4'3'')	8		
<= 1,219 (4')	9		

The third and fourth characters of the code identify the type of container/equipment.

<i>General Purpose Container/Equipment</i>	
<i>Code</i>	<i>Description</i>
G0	Opening(s) at one end or both ends.
G1	Passive vents at upper part of cargo space.
G2	Opening(s) at one or both ends plus "full" opening(s) on one or both sides.
G3	Opening(s) at one or both ends plus "partial" opening(s) on one or both sides.
G4	(Spare)
G5	(Spare)
G6	(Spare)
G7	(Spare)
G8	(Spare)
G9	(Spare)
V0	Non-mechanical system vents at lower and upper parts of cargo space.
V1	(Spare)
V2	Mechanical ventilation system located internally.
V4	(Spare)
V5	(Spare)
V6	(Spare)
V7	(Spare)
V8	(Spare)
V9	(Spare)
<i>Dry Bulk Container</i>	
B0	Closed
B1	Airtight
B2	(Spare)
B3	Horizontal discharge, test pressure 1,5 bar.
B4	Horizontal discharge, test pressure 2,65 bar.
B5	Tipping discharge, test pressure 1,5 bar.
B6	Tipping discharge, test pressure 2,65 bar.
B7	(Spare)
B8	(Spare)
B9	(Spare)
<i>Named Cargo Containers</i>	
S0	Livestock carrier
S1	Automobile carrier
S2	Livefish carrier
S3	(Spare)



S4	(Spare)
S5	(Spare)
S6	(Spare)
S7	(Spare)
S8	(Spare)
S9	(Spare)
<i>Thermal Containers</i>	
R0	Mechanically refrigerated
R1	Mechanically refrigerated and heated
R2	Mechanically refrigerated
R3	Mechanically refrigerated and heated
R4	(Spare)
R5	(Spare)
R6	(Spare)
R7	(Spare)
R8	(Spare)
R9	(Spare)
<i>Thermal Containers</i>	
H0	Refrigerated and/or heated with removable equipment appliance located EXTERNALLY. Heat transfer $K = 0.4 \text{ W/(m}^2\text{.K)}$
H1	Refrigerated and/or heated with removable equipment appliance equipment appliance located INTERNALLY.
H2	Refrigerated and/or heated with removable equipment appliance located EXTERNALLY. Heat transfer $K = 0.7 \text{ W/(m}^2\text{.K)}$
H3	(Spare)
H4	(Spare)
H5	Insulated. Heat transfer $K = 0.4 \text{ W/m}^2, \text{ K}$
H6	Insulated. Heat transfer $K = 0.7 \text{ W/(m}^2, \text{ K)}$
H7	(Spare)
H8	(Spare)
H9	(Spare)

<i>Open-Top Containers</i>	
<i>Code</i>	<i>Description</i>
U0	Opening(s) at one or both ends.
U1	Opening(s) at one or both ends, plus removable top member(s) in end frame(s).
U2	Opening(s) at one or both ends, plus opening(s) on one or both sides.
U3	Opening(s) at one or both ends, plus opening(s) on one or both sides plus removable top member(s) in end frame(s).
U4	Opening(s) at one or both ends, plus "partial" opening on one side and "full" opening on the other side.
U5	Open top - no doors.
U6	(Spare)
U7	(Spare)
U8	(Spare)
U9	(Spare)
<i>Platform (Container)</i>	
P0	Platform (container)
P1	With two complete and fixed ends.
P2	With fixed posts, either freestanding or with removable top member.
P3	With folding complete end structure.
P4	With folding posts, either freestanding or with removable top member.
P5	With open top, open ends (skeletal).
P6	(Spare)
P7	(Spare)
P8	(Spare)
P9	(Spare)
<i>Tank Container</i>	
T0	Minimum pressure 0,45 bar.
T1	Minimum pressure 1,5 bar.
T2	Minimum pressure 2,65 bar.
T3	Minimum pressure 1,5 bar.
T4	Minimum pressure 2,65 bar.
T5	Minimum pressure 4,0 bar.
T6	Minimum pressure 6,0 bar.
T7	Minimum pressure 9,1 bar.
T8	Minimum pressure 22 bar.
T9	Minimum pressure (to be developed)